

IBM Enterprise Records

Version 5.2.1

Fix Pack 9

Readme

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IBM Enterprise Records 5.2.1 Fix Pack 9 Readme: IER 5.2.1-FP009

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About this fix pack

This document provides information about the IBM Enterprise Records 5.2.1 fix pack 9 (5.2.1.9). Hereby referred to as IER 5.2.1.9 in this document.

This fix is a full package of IBM Enterprise Records. The package can be used to install a new instance of IBM Enterprise Records, or it can be used to update an existing installation of IBM Enterprise Records. The package is available on IBM Fix Central

<http://www.ibm.com/support/fixcentral/>

To access the **IBM Enterprise Records 5.2.1 documentation**, use the following URL:

<https://www.ibm.com/docs/en/enterprise-records/5.2.1>

To generate a software requirements report, use the **IBM Software Product Compatibility Reports (SPCR)** web site: <https://www.ibm.com/software/reports/compatibility/clarity/index.html>.

To access fix pack compatibility information, use the following URL:

<https://www.ibm.com/support/pages/node/321543>

New in this fix

1. Support for using Enterprise Records with
 - IBM Content Cortex 26.0.0
 - IBM Content Navigator 26.0.0
 - IBM Enterprise Records Config Manager plugin 5.2.1.9
 - IBM Cognos Analytics Version 12.1.2
2. Installing Enterprise Records in a containerized environment using
 - IBM Cloud Pak for Business Automation (CP4BA) operator 26.0.0
 - IBM Content Cortex 26.0.0

Known issues / Limitations

1. The following exception might be encountered during Trigger and Event configuration in IBM Enterprise Records:

An unexpected exception occurred from IBM Enterprise Records. See the following error: org/apache/commons/jxpath/JXPathContext

Resolution: Use the following procedure to resolve the issue:

- a. Manually copy the *commons-jxpath-1.4.jar* from `<ier_install_path>/Plugins/lib` to:
 - navigator.ear/lib
 - navigator.ear/navigator.war/WEB-INF/lib
 - navigator.ear/taskManagerWeb.war/WEB-INF/lib
- b. Restart the server.

2. When viewing Cognos reports, the results in the database are not automatically deleted when the Window is closed.

Resolution: Use the steps provided in the following technote to resolve the issue:

<https://www.ibm.com/support/pages/node/7176357>

3. You might get the following error message while running the Cognos reports in the Chrome or Firefox browsers:

Content Security Policy: The page's settings blocked the loading of a resource

Resolution: Refer to the following technote on how to configure CSP headers to resolve issue:

<https://www.ibm.com/support/pages/node/6229174>

Note: Add the header HTTP or HTTPS values according to the Enterprise Records environment setup. Example of adding an HTTP header:

```
<add-header name="Content-Security-Policy" value="default-src 'self' blob: http:; font-src 'self' data: blob: http:; img-src 'self' data: blob: http:; script-src 'self' 'unsafe-inline' 'unsafe-eval' http:; worker-src 'self' blob: http:; style-src 'self' 'unsafe-inline' http:; frame-ancestors 'self'; connect-src 'self' blob: http: http://<Report Server IP>.* wss://<Report Server IP>.*" path="/" />
```

Installation, Upgrade and Configuration

NOTES

1. Any virus protection software that might be running in the background does not affect the installation of this interim fix.
2. The following procedure uses `<IER_install_path>` as a variable to indicate the location of the installed products source files. The default location for this variable is:
UNIX®: /opt/IBM/EnterpriseRecords
Windows®: C:\Program Files\IBM\EnterpriseRecords
3. The following procedure uses `<ICN_install_path>` as a variable to indicate the location of the IBM Content Navigator product source files. The default location for this variable is:
UNIX®: /opt/IBM/ECMClient
Windows®: C:\Program Files\IBM\ECMClient

Note: The installation procedures in this section contain path names for both UNIX® and Windows®. If there is no difference in directory structure, a forward slash (/) is used to separate the elements of a path name for both UNIX and Windows.

Requirements

1. Traditional Installations

Installation of this fix requires up to 30 MB of available permanent storage space on the Enterprise Records server.

This fix requires the following minimum versions of the supporting IBM software:

- IBM Enterprise Records 5.2.1 fix pack 9 (5.2.1.9)
- IBM Content Navigator 26.0.0
- IBM Content Cortex 26.0.0

2. Container Installations

This fix requires the following minimum versions of the supporting IBM software:

- IBM Enterprise Records 5.2.1 fix pack 9 (5.2.1.9)
- IBM Content Navigator 26.0.0
- IBM Content Cortex 26.0.0

Installation Procedure

For Traditional installation

1. Download the fix pack (5.2.1.9-IER.zip) for your operating system from the following URL:
<https://prod.fixcentral.cis.ibm.net/support/fixcentral/swg/downloadFixes?parent=Enterprise%20Content%20Management&product=ibm/Information+Management/IBM+Enterprise+Records&release=5.2.1&platform=All&function=fixId&fixids=5.2.1.9-IER-FP009&includeRequisites=1&includeSupersedes=0&downloadMethod=http&login=true>
 - 5.2.1.9-IER-FP009-AIX.tar
 - 5.2.1.9-IER-FP009-LINUX.tar

- 5.2.1.9-IER-FP009-WIN.zip
 - 5.2.1.9-IER-FP009-ZLINUX.tar
2. Stop the Web Application Server.
 3. Run the IBM Enterprise Records installer.
 4. Start the Web Application Server.

Upgrade Procedure

1. Log on to the Enterprise Records server as the same user that installed the earlier Enterprise Records software, or as a user with equivalent permissions:

UNIX: Log on as a user with root privileges.

Windows: Log on as a member of the local administrator's group or as a user with equivalent permissions.

2. Take a backup of your current installation and all the existing profiles of Enterprise Records from the following paths:
 - a. ConfigManager profiles from:
`<ier_install_path>\EnterpriseRecords\configure\profiles`
 - b. RecordManagerSweep profiles from:
`<ier_install_path>\EnterpriseRecords\RecordsManagerSweep\lib\config`
 - c. FilePlan Import Export tool profiles from:
`<ier_install_path>\EnterpriseRecords\FilePlanImportExportTool\lib\config`
 - d. Take backup of below lib folder from:
`<ier_install_path>\EnterpriseRecords\Plugins\lib`

IMPORTANT: Failing to back up the profiles can result in the deletion of the existing profiles.

3. Extract the contents of the fix into a temporary location on your Enterprise Records server.
4. Stop the Web Application Server.
5. Stop any Enterprise Records tools that may be running.
6. Before upgrading to Enterprise Records 5.2.1.9, remove the following jars from the indicated locations:

Jar Name	Location
commons-lang-2.1.jar	Remove the existing commons-lang-2.1.jar from the following deployment paths: <ol style="list-style-type: none"> 1.<ier_install_path>/ API/JARM 2.<ier_install_path>/Plugins/RecordRepositoryAdminTools/lib 3.<ier_install_path>/API/REST/distribution/WEB-INF/lib 4.<ier_install_path>/Workflow/configureRMworkflow/lib-CMv2

commons-io-2.8.0.jar	Remove the existing commons-io-2.8.0.jar from the following deployment paths: 1.<ier_install_path>/plugins/lib 2.<ier_install_path>/RecordsManagerSweep/lib 3.<ier_install_path>/RMTransfe/lib/ext
commons-jxpath-1.2.jar	Remove the existing commons-jxpath-1.2.jar from the following deployment path: <ier_install_path>/Plugins/lib

7. Restart your web application server again.
8. Run the Enterprise Records installer.

For additional information on upgrading IBM Enterprise Records, refer to the following documentation:

<https://www.ibm.com/docs/en/enterprise-records/5.2.1?topic=premises-upgrading-enterprise-records>

Post-Installation/Upgrade

1. Update the latest CPE Client files according to the instructions in the following technote:
<http://www.ibm.com/support/pages/node/7235369>
2. After completing a fresh install or upgrade of IBM Enterprise Records, copy the following JAR files to the specified location below

Jar Name	Location
Jace.jar	Copy the Jace.jar from <ier_install_path>/CommonFiles/CE_API to the following location: <ier_install_path>/RecordsManagerSweep/lib
pe.jar	Copy the pe.jar from <ier_install_path>/CommonFiles/CE_API to the following location: <ier_install_path>/RecordsManagerSweep/lib
peResources.jar	Copy the peResources.jar from <ier_install_path>/CommonFiles/CE_API to the following location: <ier_install_path>/RecordsManagerSweep/lib

3. Run the IBM Enterprise Records configuration manager to configure the Enterprise Records configuration using the instructions in this documentation:
 - For new installations: - <https://www.ibm.com/docs/en/enterprise-records/5.2.1?topic=plugin-starting-enterprise-records-config-manager>
 - For upgrades - <https://www.ibm.com/docs/en/enterprise-records/5.2.1?topic=plugin-enterprise-records-config-manager-import-profile>

Uninstalling Procedure

To uninstall this fix, refer to the following URL for detailed uninstallation instructions:

<https://www.ibm.com/docs/en/enterprise-records/5.2.1?topic=premises-removing-enterprise-records>

Container Installation

IER fix pack 5.2.1.9 can be installed with the IBM Cloud Pak for Business Automation (CP4BA) Operator 26.0.0 and the Content Cortex operator. Refer to the following documentation for installation instructions:

<https://www.ibm.com/docs/en/cloud-paks/cp-biz-automation>

<https://www.ibm.com/docs/en/content-cortex/26.0.0>

Fix List

The following table details the fixes and enhancements introduced in IER 5.2.1.9. This release also incorporates all fixes delivered in the earlier IER 5.2.1 releases.

IER 5.2.1 FP009		
PSIRT	Relevant Vulnerabilities	Description
PVR0694301	CVE-2022-40159, CVE-2022-40160	Upgraded the commons-jxpath-1.2.jar due to CVE fixes
PVR0695038	PSIRT-ADV0250226	Upgraded the TransferApp tool from the GCD algorithm to an insecure cryptographic algorithm.
PVR0693294	PSIRT-ADV0249398	Upgraded from GCD algorithm to Insecure Cryptographic Algorithm
PVR0695402	PSIRT-ADV0250416	Upgraded from GCD algorithm to Insecure Cryptographic Algorithm
PVR0474827	CVE-2023-4218	Enhanced the IER Configuration Manager by updating the plugin JAR and eliminating its dependency on the Eclipse framework

Note: After upgrading to IER 5.2.1.9, the existing sweep configurations must be updated to use the new AES encryption algorithm by modifying the sweep properties to include encrypted username and password credentials for enhanced security compliance.

Documentation

- Download IBM Enterprise Records 5.2.1.9 fix pack Readme file
<https://www.ibm.com/support/pages/node/7277148>
- IBM Enterprise Records 5.2.1 readme files and fixed Known Issue
<https://www.ibm.com/support/pages/node/598899>
- IBM Software Product Compatibility Reports
<https://www.ibm.com/software/reports/compatibility/clarity/index.html>
- IBM Enterprise Records 5.2.1 documentation
<https://www.ibm.com/docs/en/enterprise-records/5.2.1>
- Download IBM Enterprise Records 5.2.1
<https://www.ibm.com/support/pages/node/316599>

Contact Customer Support

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Document Change History

Date	Description
26 June 2026	Initial release of this fix pack IER 5.2.1.9