

IBM i  
Version 7.2

*Networking*  
*IBM Tivoli Directory Server for i (LDAP)*



**Note**

Before using this information and the product it supports, read the information in [“Notices” on page 349](#).

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# IBM Tivoli Directory Server for IBM i (LDAP)

IBM® Tivoli® Directory Server for IBM i (here after referred to as Directory Server) is a function of the IBM i operating system that provides a Lightweight Directory Access Protocol (LDAP) server. LDAP runs over Transmission Control Protocol/Internet Protocol (TCP/IP) and is popular as a directory service for both Internet and non-Internet applications.

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## What's new for IBM i 7.2

Read about new or significantly changed information for the IBM Tivoli Directory Server for IBM i (LDAP) topic collection.

### **TombStone**

When an entry is the target of an LDAP Delete operation, it is not physically removed from the database right away. Instead, the to-be-deleted entries is moved to the tombstone subtree cn=Deleted Objects. The tombstone lifetime determines the time that deleted entries are retained, the default value is 7 days.

- [“Tombstone - Record deleted entries” on page 232](#)

### **Virtual List View**

Virtual list view (VLV) is a GUI technique that may be employed where ordered lists containing a large number of entries need to be displayed. VLV provides a scrolling view of large sorted data set through a window containing a small number of visible entries, which is implemented by extending the regular LDAP search operation and includes a server side sorting control.

- Reference [“ldapsearch” on page 253 -g option](#).

### **HA support**

To provide customers with high availability(HA) it provides new support to use libraries on Switchable IASP except for Private IASP. A switchable IASP works under a cluster. When the primary node is down the switchable IASP will be switched to the secondary node and go on working.

- [“Directory Server and IBM i IASP support” on page 97](#)

### **SHA-2**

Support provided for Secure Hash Algorithm (SHA)-2 and Salted Secure Hash Algorithm (SSHA)-2 family of algorithms This allows user to encrypt userpassword and encryptable attributes using this encryption scheme. The supported encryption schemes under the SHA-2 family of encryption algorithm are: SHA 224, SHA 226, SHA 384, and SHA 512. The supported encryption schemes under the SSHA-2 family of encryption algorithm are: SSHA 224, SSHA 226, SSHA 384, and SSHA 512.

- [“Password encryption” on page 53](#)

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## PDF file for IBM Tivoli Directory Server for IBM i (LDAP)

You can view and print a PDF file of IBM Tivoli Directory Server for i5/OS (LDAP).

To view or download the PDF version of this document, select [IBM Tivoli Directory Server for IBM i \(LDAP\)](#) .

## Other information


To view or print PDFs of related manuals and IBM Redbooks publications, see [“Related information” on page 347](#).

## Saving PDF files

To save a PDF file on your workstation for viewing or printing:

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3. Navigate to the directory in which you want to save the PDF file.
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## Directory Server concepts

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Information about Directory Server concepts.

Directory Server implements the Internet Engineering Task Force (IETF) LDAP V3 specifications. It also includes enhancements added by IBM in functional and performance areas. This version uses the IBM DB2 Universal Database for iSeries as the backing store to provide per LDAP operation transaction integrity, high performance operations, and on-line backup and restore capability. It interoperates with the IETF LDAP V3 based clients.

## Directories

The Directory Server allows access to a type of database that stores information in a hierarchical structure similar to the way that the IBM i integrated file system is organized.

If the name of an object is known, its characteristics can be retrieved. If the name of a particular individual object is not known, the directory can be searched for a list of objects that meet a certain requirement. Directories can usually be searched by specific criteria, not just by a predefined set of categories.

A directory is a specialized database that has characteristics that set it apart from general purpose relational databases. A characteristic of a directory is that it is accessed (read or searched) much more often than it is updated (written). Because directories must be able to support high volumes of read requests, they are typically optimized for read access. Because directories are not intended to provide as many functions as general-purpose databases, they can be optimized to economically provide more applications with rapid access to directory data in large distributed environments.

A directory can be centralized or distributed. If a directory is centralized, there is one directory server (or a server cluster) at one location that provides access to the directory. If the directory is distributed, there are multiple servers, usually geographically dispersed, that provide access to the directory.

When a directory is distributed, the information stored in the directory can be partitioned or replicated. When information is partitioned, each directory server stores a unique and non-overlapping subset of the information. That is, each directory entry is stored by one and only one server. The technique to partition the directory is to use LDAP referrals. LDAP referrals allow the users to refer Lightweight Directory Access Protocol (LDAP) requests to either the same or different name spaces stored in a different (or same) server. When information is replicated, the same directory entry is stored by more than one server. In a distributed directory, some information can be partitioned and some information can be replicated.

The LDAP directory server model is based on entries (which are also referred to as objects). Each entry consists of one or more attributes, such as a name or address, and a type. The types typically consist of mnemonic strings, such as cn for common name or mail for e-mail address.

The example directory in [Figure 1 on page 4](#) shows an entry for Tim Jones that includes mail and telephoneNumber attributes. Some other possible attributes include fax, title, sn (for surname), and jpegPhoto.

Each directory has a schema, which is a set of rules that determine the structure and contents of the directory. You can view the schema using the Web administration tool.

Each directory entry has a special attribute called objectClass. This attribute controls which attributes are required and allowed in an entry. In other words, the values of the objectClass attribute determine the schema rules the entry must obey.

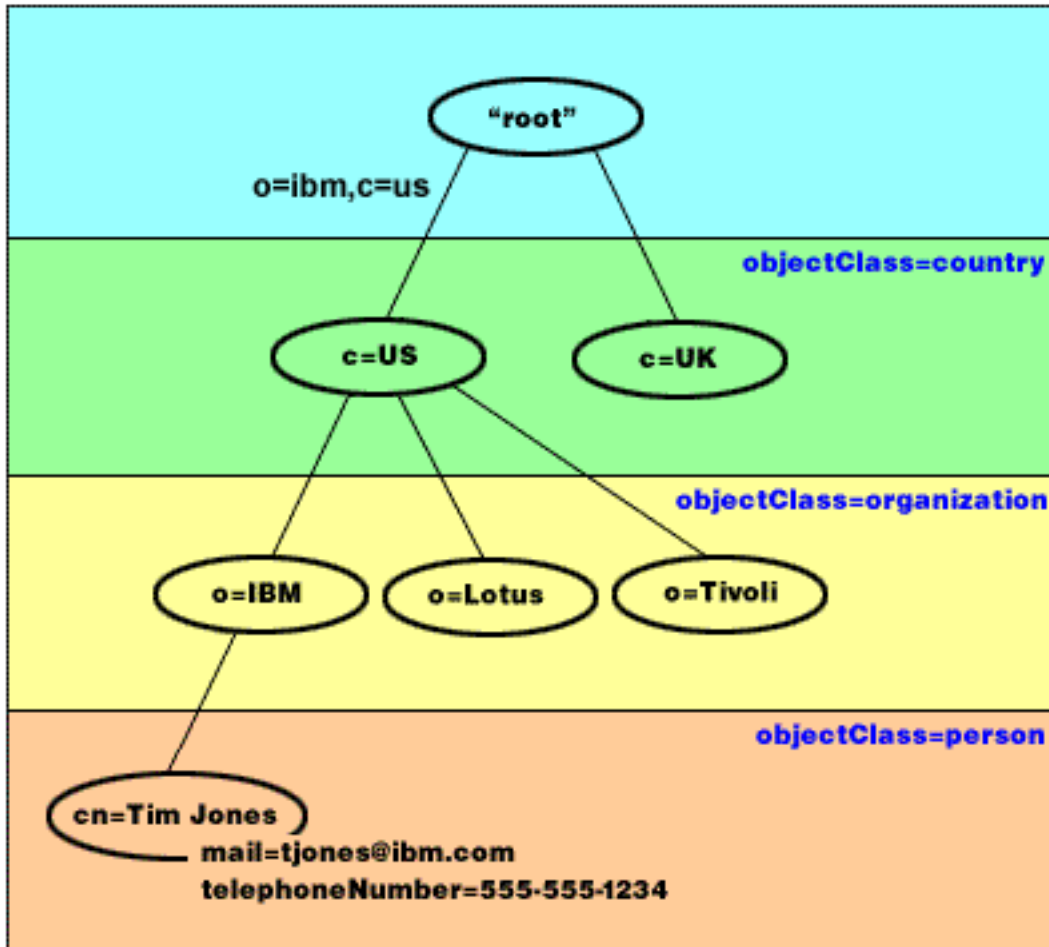
In addition to the attributes defined by the schema, entries also have a set of attributes that are maintained by the server. These attributes, known as operational attributes, include such things as when the entry was created and access control information.

Traditionally, LDAP directory entries are arranged in a hierarchical structure that reflects political, geographic, or organizational boundaries (see [Figure 1 on page 4](#)). Entries that represent countries or regions appear at the top of the hierarchy. Entries representing states or national organizations occupy the second level down in the hierarchy. The entries below that can then represent people, organizational units, printers, documents, or other items.

LDAP refers to entries with Distinguished Names (DNs). Distinguished names consist of the name of the entry itself as well as the names, in order from bottom to top, of the objects above it in the directory. For example, the complete DN for the entry in the bottom left corner of [Figure 1 on page 4](#) is cn=Tim Jones, o=IBM, c=US. Each entry has at least one attribute that is used to name the entry. This naming attribute is called the Relative Distinguished Name (RDN) of the entry. The entry above a given RDN is called its parent Distinguished Name. In the example above, cn=Tim Jones names the entry, so it is the RDN. o=IBM, c=US is the parent DN for cn=Tim Jones.

To give an LDAP server the capability to manage part of an LDAP directory, you specify the highest level parent distinguished names in the configuration of the server. These distinguished names are called suffixes. The server can access all objects in the directory that are below the specified suffix in the directory hierarchy. For example, if an LDAP server contained the directory shown in [Figure 1 on page 4](#), it would need to have the suffix o=ibm, c=us specified in its configuration in order to be able to answer client queries regarding Tim Jones.

## LDAP Directory Structure



RV4Q100-1

Figure 1. LDAP directory structure

You are not limited to the traditional hierarchy when structuring your directory. The domain component structure, for example, is gaining popularity. With this structure, entries are composed of the parts of TCP/IP domain names. For example, dc=ibm,dc=com might be preferable to o=ibm,c=us.

Say that you want to create a directory using the domain component structure that will contain employee data such as names, telephone numbers, and email addresses. You use the suffix or naming context based on the TCP/IP domain. This directory might be visualized as something similar to the following:

```

/
|
+- ibm.com
  |
  +- employees
    |
    +- Tim Jones
      | 555-555-1234
      | tjones@ibm.com
    +- John Smith
      | 555-555-1235
      | jsmith@ibm.com
  
```

When entered in the Directory Server this data might actually look similar to the following:

```

# suffix ibm.com
dn: dc=ibm,dc=com
objectclass: top

```



```

objectclass: domain
dc: ibm

# employees directory
dn: cn=employees,dc=ibm,dc=com
objectclass: top
objectclass: container
cn: employees

# employee Tim Jones
dn: cn=Tim Jones,cn=employees,dc=ibm,dc=com
objectclass: top
objectclass: person
objectclass: organizationalPerson
objectclass: inetOrgPerson
objectclass: publisher
objectclass: ePerson
cn: Tim Jones
cn: "Jones, Tim"
sn: Jones
givenname: Tim
telephonenumber: 555-555-1234
mail: tjones@ibm.com

# employee John Smith
dn: cn=John Smith,cn=employees,dc=ibm,dc=com
objectclass: top
objectclass: person
objectclass: organizationalPerson
objectclass: inetOrgPerson
objectclass: publisher
objectclass: ePerson
cn: John Smith
cn: "Smith, John"
sn: Smith
givenname: John
telephonenumber: 555-555-1235
mail: jsmith@ibm.com

```

You will notice that the each entry contains attribute values called objectclass. The objectclass values define what attributes are allowed in the entry, such as telephonenumber or givenname. The allowed object classes are defined in the schema. The schema is a set of rules that defines the type of entries allowed in the database.

### Directory clients and servers

Directories are usually accessed using the client-server model of communication. The client and server processes might or might not be on the same machine. A server is capable of serving many clients. An application that wants to read or write information in a directory does not access the directory directly. Instead, it calls a function or application programming interface (API) that causes a message to be sent to another process. This second process accesses the information in the directory on behalf of the requesting application. The results of the read or write are then returned to the requesting application.

An API defines the programming interface a particular programming language uses to access a service. The format and contents of the messages exchanged between client and server must adhere to an agreed on protocol. LDAP defines a message protocol used by directory clients and directory servers. There is also an associated LDAP API for the C language and ways to access the directory from a Java application using the Java Naming and Directory Interface (JNDI).

### Directory security

A directory should support the basic capabilities needed to implement a security policy. The directory might not directly provide the underlying security capabilities, but it might be integrated with a trusted network security service that provides the basic security services. First, a method is needed to authenticate users. Authentication verifies that users are who they say they are. A user name and password is a basic authentication scheme. Once users are authenticated, it must be determined if they have the authorization or permission to perform the requested operation on the specific object.

Authorization is often based on access control lists (ACLs). An ACL is a list of authorizations that might be attached to objects and attributes in the directory. An ACL lists what type of access each user or a group

of users is allowed or denied. In order to make ACLs shorter and more manageable, users with the same access rights are often put into groups.

### **Related concepts**

#### Schema

A schema is a set of rules that governs the way that data can be stored in the directory. The schema defines the type of entries allowed, their attribute structure and the syntax of the attributes.

#### Operational attributes

There are several attributes that have special meaning to the Directory Server known as operational attributes. These are attributes that are maintained by the server and either reflect information the server manages about an entry or affect server operation.

#### Distinguished names (DNs)

Every entry in the directory has a distinguished name (DN). The DN is the name that uniquely identifies an entry in the directory. The first component of the DN is referred to as the Relative Distinguished Name (RDN).

Lightweight Directory Access Protocol (LDAP) APIs See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

#### Directory Server security

Learn how a variety of functions can be used to secure your Directory Server secure.

### **Related information**

The Java Naming and Directory Interface (JNDI) Tutorial Web site

## **Distributed directories**

A distributed directory is directory environment in which data is partitioned across multiple directory servers. To make the distributed directory appear as a single directory to client applications, one or more proxy servers are provided which have knowledge of all the servers and the data they hold.

Proxy servers distribute incoming requests to the proper servers and gather the results to return a unified response to the client. A set of backend servers hold their portions of the distributed directory. These backend servers are basically standard LDAP servers with additional support for the proxy server to issue requests on behalf of user that might be defined in a different server, or belong to groups that are defined on different servers.

The IBM Tivoli Directory Server v6.0 and later (distributed platforms) provides such a distributed directory with proxy servers, backend servers, and tools for setting up such a directory. Such a directory is capable of scaling up to several millions of entries.

### **IBM Directory Server for IBM i Support for Distributed Directories**

The IBM Directory Server for IBM i is capable of acting as backend server within an IBM Tivoli Directory Server distributed directory. The IBM i directory server cannot act as the proxy server, nor does it include the tools required to set up a distributed directory. A proxy server could then run on another platform while the actual data resides on one or more IBM i directory servers or a mixture of IBM i and Tivoli-platform directory servers.

In order to partition existing directory data from an IBM i directory server to be used in the distributed directory topology, the data needs to be exported into an LDIF file from the IBM i directory, the distributed directory setup tool provided by Tivoli on Tivoli platforms needs to be executed using the LDIF file, and the data needs to be reloaded on the IBM i and Tivoli directory servers that are participating as backend servers for the distributed directory. This processing is no different for IBM i servers or Tivoli platform servers and the users already have the distributed directory setup tool because they own the proxy server on a Tivoli platform.

### **Controls and Extended Operations to Support Distributed Directories**

Since users and the groups to which they belong can be distributed across multiple servers, the IBM Tivoli Directory Server has defined a set of controls and extended operations to support group membership and

access control in a distributed directory, A mechanism for providing an "audit trail" back to the originating client is also provided.

**Note:** A directory entry is held on one server and its replicas. However, in a distributed directory, a user might belong to one or more groups on one server, and belong to other groups defined on another server. Similarly, the user itself may not be defined on the backend server processing a particular request.

### **Audit Control**

The Audit Control is the mechanism that the proxy server uses to send the unique identifier of the client request initiated by the proxy server to the backend servers. In addition to a unique identifier, the originating client IP is also sent along in the Audit Control. This unique identifier is what is used to match up audit entries on the proxy server with audit entries on the backend servers. If a request is passed through multiple servers, the IP information for each server is appended, providing a trail through each server back to the original client.

### **Group Membership Evaluation Extended Operation**

This extended operation allows an authorized client (the proxy server), to send information about a user to a backend server and request a list of the groups (static, nested, or dynamic) that the user is a member of on the backend server.

### **Group Membership Control**

This control allows an authorized client (the proxy server) to send a list of groups to be used for access control. Access control is evaluated using this list of groups rather than the list of groups the server would normally determine, which is based on group information stored local to the server. In typical use, this list of groups is the list of groups that the proxy server gathers from each of the backend servers by using the Group Membership Evaluation extended operation.

### **Auditing support for distributed directories**

IBM i Security auditing has been enhanced to support distributed directories.

- **Audit Control:** Following a request back to the originating client is useful. IBM i audits the "audit control" by adding a "routing" field to the existing DI security auditing journal entry. While the contents are not verifiable, they come from a client that is authorized to use proxy authorization and thus should be a trusted client.
- **Group membership control:** The presence of the group control is audited in two parts: A single character "group membership assertion" field has been added to the DI security auditing journal entry. The server can also be configured to optionally audit the list of groups provided by the client. When this option is configured, the server also audits a "XD cross reference" field in the DI journal entry, and creates one or more XD security auditing journal entries with a matching "XD cross reference" field and the list of groups (up to 5 groups per journal entry)

Refer to the Security reference topic in the related links below for more details on IBM i Security Auditing. You can also refer the [The Internet Engineering Task Force Web site](#) and search for *rfc4648* to learn more about configuring auditing for the directory server.

For more information about distributed directories and setting up distributed directories, refer the [Distributed Directories](#) topic in the Tivoli Software Information Center.

### **Related concepts**

[Auditing](#)

Auditing allows you to track the details of certain Directory Server transactions.

[Back-end servers setup tasks](#)

Back-end servers work with proxy servers to implement the distributed directories environment, which makes a distributed directory appear as a single directory to client applications. Each back-end server holds part of the data that is partitioned across multiple directory servers.

### Related information

[Security audits](#) For more information about auditing, see the Security audits topic.

[Object Identifiers \(OIDs\) for extended operations and controls](#)

### Global administration group

A directory administrator can use the global administration group to delegate administrative rights to the database backend in a distributed environment.

The members of a global administration group are users that have complete access to the directory server backend, and that have the same set of privileges for accessing entries in the database backend as the members of a local administration group.

All members in a global administration group have the same set of privileges. However, there are restrictions on their privileges:

- They cannot access any data or perform any operations that are related to the configuration settings of the directory server. This is commonly called the configuration backend.
- They cannot access schema data.
- They cannot access the audit log. Local administrators, therefore, can use the audit log to monitor the activities of the members in a global administration group for security purposes.

**Note:** Applications or administrators should use the global administration group to communicate with the proxy server by using administrative credentials. For example, when administrators want to modify directory entries through the proxy server, they need to use the member that was set up by using the instructions (cn=manager,cn=ibmpolicies) in place of the local administrator (cn=root). Binding to the proxy server as cn=root gives an administrator full access to the configuration of the proxy server, but only anonymous access to the directory entries.

## Distinguished names (DNs)

Every entry in the directory has a distinguished name (DN). The DN is the name that uniquely identifies an entry in the directory. The first component of the DN is referred to as the Relative Distinguished Name (RDN).

A DN is made up of attribute=value pairs, separated by commas, for example:

```
cn=Ben Gray,ou=editing,o=New York Times,c=US
cn=Lucille White,ou=editing,o=New York Times,c=US
cn=Tom Brown,ou=reporting,o=New York Times,c=US
```

Any of the attributes defined in the directory schema can be used to make up a DN. The order of the component attribute value pairs is important. The DN contains one component for each level of the directory hierarchy from the root down to the level where the entry resides. LDAP DN's begin with the most specific attribute (usually some sort of name), and continue with progressively broader attributes, often ending with a country attribute. The first component of the DN is referred to as the Relative Distinguished Name (RDN). It identifies an entry distinctly from any other entries that have the same parent. In the examples above, the RDN "cn=Ben Gray" separates the first entry from the second entry, (with RDN "cn=Lucille White"). These two example DN's are otherwise equivalent. The attribute=value pair making up the RDN for an entry must also be present in the entry. (This is not true of the other components of the DN.)

Follow this example to create an entry for a person:

```
dn: cn=Tim Jones,o=ibm,c=us
objectclass: top
objectclass: person
cn: Tim Jones
sn: Jones
telephonenumber: 555-555-1234
```

## DN escaping rules

Some characters have special meaning in a DN. For example, = (equals) separates an attribute name and value, and , (comma) separates attribute=value pairs. The special characters are , (comma), = (equals), + (plus), < (less than), > (greater than), # (number sign), ; (semicolon), \ (backslash), and " (quotation mark, ASCII 34).

A special character can be escaped in an attribute value to remove the special meaning. To escape these special characters or other characters in an attribute value in a DN string, use the following methods:

1. If a character to be escaped is one of the special characters, precede it by a backslash ('\ ' ASCII 92). This example shows a method of escaping a comma in an organization name:

```
CN=L. Eagle,0=Sue\, Grabbit and Runn,C=GB
```

This is the preferred method.

2. Otherwise replace the character to be escaped by a backslash and two hex digits, which form a single byte in the code of the character. The code of the character **must** be in UTF-8 code set.

```
CN=L. Eagle,0=Sue\2C Grabbit and Runn,C=GB
```

3. Surround the entire attribute value by "" (quotation marks) (ASCII 34), that are not part of the value. Between the quotation character pair, all characters are taken as is, except for the \ (backslash). The \ (backslash) can be used to escape a backslash (ASCII 92) or quotation marks (ASCII 34), any of the special characters previously mentioned, or hex pairs as in method 2. For example, to escape the quotation marks in cn=xyz"qrs"abc, it becomes cn=xyz\"qrs\"abc or to escape a \:

```
"you need to escape a single backslash this way \\"
```

Another example, "\Zoo" is illegal, because 'Z' cannot be escaped in this context.

## Pseudo DNs

Pseudo DNs are used in access control definition and evaluation. The LDAP directory supports several pseudo DNs (for example, "group:CN=THIS" and "access-id:CN=ANYBODY"), which are used to refer to large numbers of DNs that share a common characteristic, in relation to either the operation being performed or the object on which the operation is being performed.

Three pseudo DNs are supported by Directory Server:

- access-id: CN=THIS

When specified as part of an ACL, this DN refers to the bindDN, which matches the DN on which the operation is performed. For example, if an operation is performed on the object "cn=personA, ou=IBM, c=US" and the bindDn is "cn=personA, ou=IBM, c=US", the permissions granted are a combination of those given to "CN=THIS" and those given to "cn=personA, ou=IBM, c=US".

- group: CN=ANYBODY

When specified as part of an ACL, this DN refers to all users, even those that are unauthenticated. Users cannot be removed from this group, and this group cannot be removed from the database.

- group: CN=AUTHENTICATED

This DN refers to any DN that has been authenticated by the directory. The method of authentication is not considered.

**Note:** "CN=AUTHENTICATED" refers to a DN that has been authenticated anywhere on the server, regardless of where the object representing the DN is located. It should be used with caution, however. For example, under one suffix, "cn=Secret" could be a node called "cn=Confidential Material" which has an aclentry of "group:CN=AUTHENTICATED:normal:rsc". Under another suffix, "cn=Common" could be the node "cn=Public Material". If these two trees reside on the same server, a bind to "cn=Public Material" would be considered authenticated, and would get permission to the normal class on the "cn=Confidential Material" object.

Some examples of pseudo DNs:

### Example 1

Consider the following ACL for object: cn=personA, c=US

```
AclEntry: access-id: CN=THIS:critical:rws  
AclEntry: group: CN=ANYBODY: normal:rsc  
AclEntry: group: CN=AUTHENTICATED: sensitive:rsc
```

| User Binding as  | Would receive                         |
|------------------|---------------------------------------|
| cn=personA, c=US | normal:rsc:sensitive:rsc:critical:rws |
| cn=personB, c=US | normal:rsc:sensitive:rsc              |
| Anonymous        | normal:rsc                            |

In this example, personA receives permissions granted to the "CN=THIS" ID, and permissions given to both the "CN=ANYBODY" and "CN=AUTHENTICATED" pseudo DN groups.

### Example 2

Consider the following ACL for object: cn=personA, c=US  
AclEntry: access-id:cn=personA, c=US:  
object:ad

```
AclEntry: access-id: CN=THIS:critical:rws  
AclEntry: group: CN=ANYBODY: normal:rsc  
AclEntry: group: CN=AUTHENTICATED: sensitive:rsc
```

For an operation performed on cn=personA, c=US:

| User Binding as  | Would receive            |
|------------------|--------------------------|
| cn=personA, c=US | object:ad:critical:rws   |
| cn=personB, c=US | normal:rsc:sensitive:rsc |
| Anonymous        | normal:rsc               |

In this example, personA receives permissions granted to the "CN=THIS" ID, and those given to the DN itself "cn=personA, c=US". Note that the group permissions are not given because there is a more specific aclentry ("access-id:cn=personA, c=US") for the bind DN ("cn=personA, c=US").

### Enhanced DN processing

A composite RDN of a DN can consist of multiple components connected by the '+' operators. The server enhances the support for searches on entries that have such a DN. A composite RDN can be specified in any order as the base for a search operation.

```
ldapsearch -b "cn=mike+ou=austin,o=ibm,c=us" "(objectclass=*)"
```

The server supports a DN normalization extended operation. DN normalization extended operations normalize DNs using the server schema. This extended operation might be useful for applications that use DNs.

### Distinguished name syntax

The formal syntax for a Distinguished Name (DN) is based on RFC 2253. The Backus Naur Form (BNF) syntax is defined as follows:

```
<name> ::= <name-component> ( <spaced-separator> )  
          | <name-component> <spaced-separator> <name>  
  
<spaced-separator> ::= <optional-space>  
                    <separator>  
                    <optional-space>
```

```

<separator> ::= ", " | ";"
<optional-space> ::= ( <CR> ) *( " " )

<name-component> ::= <attribute>
| <attribute> <optional-space> "+"
  <optional-space> <name-component>

<attribute> ::= <string>
| <key> <optional-space> "=" <optional-space> <string>

<key> ::= 1*( <keychar> ) | "OID." <oid> | "oid." <oid>
<keychar> ::= letters, numbers, and space

<oid> ::= <digitstring> | <digitstring> "." <oid>
<digitstring> ::= 1*<digit>
<digit> ::= digits 0-9

<string> ::= *( <stringchar> | <pair> )
| "'" *( <stringchar> | <special> | <pair> ) "'"
| "#" <hex>

<special> ::= ", " | "=" | <CR> | "+" | "<" | ">"
| "#" | ";"

<pair> ::= "\" ( <special> | "\" | "'" )
<stringchar> ::= any character except <special> or "\" or "'"

<hex> ::= 2*<hexchar>
<hexchar> ::= 0-9, a-f, A-F

```

A semicolon (;) character can be used to separate RDNs in a distinguished name, although the comma (,) character is the typical notation.

White-space characters (spaces) might be present on either side of the comma or semicolon. The white-space characters are ignored, and the semicolon is replaced with a comma.

In addition, space (' ' ASCII 32) characters can be present either before or after a '+' or '='. These space characters are ignored when parsing.

The following example is a distinguished name written using a notation that is designed to be convenient for common forms of names. First is a name containing three components. The first of the components is a compound RDN. A compound RDN contains more than one attribute:value pair and can be used to distinctly identify a specific entry in cases where a simple CN value might be ambiguous:

```
OU=Sales+CN=J. Smith,O=Widget Inc.,C=US
```

## Related concepts

### [Directories](#)

The Directory Server allows access to a type of database that stores information in a hierarchical structure similar to the way that the IBM i integrated file system is organized.

### [Directory Server security](#)

Learn how a variety of functions can be used to secure your Directory Server secure.

### [Controls and extended operations](#)

Controls and extended operations allow the LDAP protocol to be extended without changing the protocol itself.

## Suffix (naming context)

A suffix (also known as a naming context) is a DN that identifies the top entry in a locally held directory hierarchy.

Because of the relative naming scheme used in LDAP, this DN is also the suffix of every other entry within that directory hierarchy. A directory server can have multiple suffixes, each identifying a locally held directory hierarchy, for example, o=ibm,c=us.

The specific entry that matches the suffix must be added to the directory. The entry you create must use an objectclass that contains the naming attribute used. You can use the Web administration tool or the Qshell ldapadd utility to create the entry corresponding to this suffix.

Conceptually, there is a global LDAP name space. In the global LDAP name space, you might see DNs like:

- cn=John Smith,ou=Rochester,o=IBM
- cn=Jane Doe,o=My Company,c=US
- cn=system administrator,dc=myco,dc=com

The suffix "o=IBM" tells the server that only the first DN is in a name space held by the server. Attempts to reference objects that are not within one of the suffixes result in a no such object error or a referral to another directory server.

A server can have multiple suffixes. The Directory Server has several predefined suffixes that hold data specific to our implementation:

- cn=schema contains the LDAP accessible representation of the schema
- cn=changelog holds the server change log, if enabled
- cn=localhost contains non-replicated information that controls some aspects of the server operation, for example, replication configuration objects
- cn=IBMpolicies contains information on server operation that *is* replicated
- the "os400-sys=system-name.mydomain.com" suffix provides LDAP accessibility to IBM i objects, currently limited to user profiles and groups

The Directory Server comes pre-configured with a default suffix, dc=system-name,dc=domain-name, to make it easier to get started with the server. There is no requirement that you use that suffix. You can add your own suffixes, and delete the pre-configured suffix.

There are two commonly used naming conventions for suffixes. One is based on the TCP/IP domain for your organization. The other is based on the organization's name and location.

For example, given a TCP/IP domain of mycompany.com, you might choose a suffix like dc=mycompany, dc=com, where the dc attribute refers to the domain component. In this case the top level entry you create in the directory might look like the following (using LDIF, a text file format for representing LDAP entries):

```
dn: dc=mycompany,dc=com
objectclass: domain
dc: mycompany
```

The domain objectclass also has some optional attributes you might want to use. View the schema or edit the entry you have created using the Web administration tool to see the additional attributes that you can use.

If your company name is My Company and it is located in the United States, you might chose a suffix like one of the following:

```
o=My Company
o=My Company,c=US
ou=Widget Division,o=My Company,c=US
```

Where ou is the name for the organizationalUnit objectclass, o is the organization name for the organization objectclass, and c is a standard two letter county abbreviation used to name the country object class. In this case the top level entry you create might look like:

```
dn: o=My Company,c=US
objectclass: organization
o: My Company
```

Applications that you use might require that specific suffixes be defined, or that a particular naming convention be used. For example, if your directory is used to manage digital certificates, you might be



required to structure part of your directory so that entry names match the subject DN of the certificates that it holds.

Entries to be added to the directory must have a suffix that matches the DN value, such as `ou=Marketing,o=ibm,c=us`. If a query contains a suffix that does not match any suffix configured for the local database, the query is referred to the LDAP server that is identified by the default referral. If no LDAP default referral is specified, an Object does not exist result is returned.

### **Related concepts**

Directory entry tasks

Use this information to manage directory entries.

Schema tasks

Use this information to manage the schema.

### **Related tasks**

Adding and removing Directory Server suffixes

Use this information to add or remove a Directory Server suffix.

### **Related reference**

ldapmodify and ldapadd

The LDAP modify-entry and LDAP add-entry command line utilities.

## **Schema**

A schema is a set of rules that governs the way that data can be stored in the directory. The schema defines the type of entries allowed, their attribute structure and the syntax of the attributes.

Data is stored in the directory using directory entries. An entry consists of an object class, which is required, and its attributes. Attributes can be either required or optional. The object class specifies the kind of information that the entry describes and defines the set of attributes it contains. Each attribute has one or more associated values.

For more information related to schema, see the following:

### **Related concepts**

Directories

The Directory Server allows access to a type of database that stores information in a hierarchical structure similar to the way that the IBM i integrated file system is organized.

Directory entry tasks

Use this information to manage directory entries.

Schema tasks

Use this information to manage the schema.

### **Directory Server schema**

The schema for the Directory Server is predefined, however, you can change the schema, if you have additional requirements.

The Directory Server includes dynamic schema support. The schema is published as part of the directory information, and is available in the Subschema entry (DN="cn=schema"). You can query the schema using the `ldap_search()` API and change it using `ldap_modify()`.

The schema has more configuration information than that included in the LDAP Version 3 Request For Comments (RFCs) or standard specifications. For example, for a given attribute, you can state which indexes must be maintained. This additional configuration information is maintained in the subschema entry as appropriate. An additional object class is defined for the subschema entry `IBMsubschema`, which has "MAY" attributes that hold the extended schema information.

The Directory Server defines a single schema for the entire server, accessible through a special directory entry, "cn=schema". The entry contains all of the schema defined for the server. To retrieve schema information, you can perform an ldap\_search by using the following:

```
DN: "cn=schema", search scope: base, filter: objectclass=subschema
or objectclass=*
```

The schema provides values for the following attribute types:

- objectClasses
- attributeTypes
- IBMAttributeTypes
- matching rules
- ldap syntaxes

The syntax of these schema definitions is based on the LDAP Version 3 RFCs.

A sample schema entry might contain:

```
objectclasses=( 1.3.6.1.4.1.1466.101.120.111
                NAME 'extensibleObject'
                SUP top AUXILIARY )

objectclasses=( 2.5.20.1
                NAME 'subschema'
                AUXILIARY MAY
                ( dITStructureRules
                  $ nameForms
                  $ ditContentRules
                  $ objectClasses
                  $ attributeTypes
                  $ matchingRules
                  $ matchingRuleUse ) )

objectclasses=( 2.5.6.1
                NAME 'alias'
                SUP top STRUCTURAL
                MUST aliasedObjectName )

attributeTypes=( 2.5.18.10
                 NAME 'subschemaSubentry'
                 EQUALITY distinguishedNameMatch
                 SYNTAX 1.3.6.1.4.1.1466.115.121.1.12
                 NO-USER-MODIFICATION
                 SINGLE-VALUE USAGE directoryOperation )

attributeTypes=( 2.5.21.5 NAME 'attributeTypes'
                 EQUALITY objectIdentifierFirstComponentMatch
                 SYNTAX 1.3.6.1.4.1.1466.115.121.1.3
                 USAGE directoryOperation )

attributeTypes=( 2.5.21.6 NAME 'objectClasses'
                 EQUALITY objectIdentifierFirstComponentMatch
                 SYNTAX 1.3.6.1.4.1.1466.115.121.1.37
                 USAGE directoryOperation
                 SYNTAX 1.3.6.1.4.1.1466.115.121.1.15
                 USAGE directoryOperation )

ldapSyntaxes=( 1.3.6.1.4.1.1466.115.121.1.5 DESC 'Binary' )
ldapSyntaxes=( 1.3.6.1.4.1.1466.115.121.1.7 DESC 'Boolean' )
ldapSyntaxes=( 1.3.6.1.4.1.1466.115.121.1.12 DESC 'DN' )
ldapSyntaxes=( 1.3.6.1.4.1.1466.115.121.1.15 DESC 'Directory String' )
ldapSyntaxes=( 1.3.6.1.4.1.1466.115.121.1.24 DESC 'Generalized Time' )
ldapSyntaxes=( 1.3.6.1.4.1.1466.115.121.1.26 DESC 'IA5 String' )
ldapSyntaxes=( 1.3.6.1.4.1.1466.115.121.1.27 DESC 'INTEGER' )
ldapSyntaxes=( 1.3.6.1.4.1.1466.115.121.1.50 DESC 'Telephone Number' )
ldapSyntaxes=( 1.3.6.1.4.1.1466.115.121.1.53 DESC 'UTC Time' )

matchingRules=( 2.5.13.2 NAME 'caseIgnoreMatch'
                SYNTAX 1.3.6.1.4.1.1466.115.121.1.15 )
matchingRules=( 2.5.13.0 NAME 'objectIdentifierMatch'
                SYNTAX 1.3.6.1.4.1.1466.115.121.1.38 )
matchingRules=( 2.5.13.30 NAME 'objectIdentifierFirstComponentMatch'
                SYNTAX 1.3.6.1.4.1.1466.115.121.1.38 )
matchingRules=( 2.5.13.4 NAME 'caseIgnoreSubstringsMatch'
                SYNTAX 1.3.6.1.4.1.1466.115.121.1.58 )
```

The schema information can be modified through the `ldap_modify` API. With the DN "cn=schema" you can add, delete or replace an attribute type or an object class. You also can provide a full description. You can add or replace a schema entry with the LDAP Version 3 definition or with the IBM attribute extension definition or with both definitions.

### **Related concepts**

#### Schema tasks

Use this information to manage the schema.

Lightweight Directory Access Protocol (LDAP) APIs See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

#### Object classes

An object class specifies a set of attributes used to describe an object.

#### Attributes

Each directory entry has a set of attributes associated with it through its object class.

### **Related reference**

#### The IBMAttributeTypes attribute

The IBMAttributeTypes attribute can be used to define schema information not covered by the LDAP Version 3 standard for attributes.

#### Matching rules

A matching rule provides guidelines for string comparison during a search operation.

#### Attribute syntax

An attribute syntax defines the allowable values for an attribute.

#### Dynamic schema

It is possible to dynamically change the schema.

### **Common schema support**

The IBM Directory supports standard directory schema.

The IBM Directory supports standard directory schema as defined in the following:

- The Internet Engineering Task Force (IETF) LDAP Version 3 RFCs, such as RFC 2252 and 2256.
- The Common Information Model (CIM) from the Desktop Management Task Force (DMTF).
- The Lightweight Internet Person Schema (LIPS) from the Network Application Consortium.

This version of LDAP includes the LDAP Version 3 defined schema in the default schema configuration. It also includes the DEN schema definitions.

IBM also provides a set of extended common schema definitions that other IBM products share when they exploit the LDAP directory. They include:

- Objects for white page applications such as `eperson`, `group`, `country`, `organization`, `organization unit` and `role`, `locality`, `state`, and so forth
- Objects for other subsystems such as `accounts`, `services` and `access points`, `authorization`, `authentication`, `security policy`, and so forth.

### **Related information**

Internet Engineering Task Force (IETF)

Desktop Management Task Force (DMTF)

Network Application Consortium

### **Object classes**

An object class specifies a set of attributes used to describe an object.

For example, if you created the object class `tempEmployee`, it could contain attributes associated with a temporary employee such as, `idNumber`, `dateOfHire`, or `assignmentLength`. You can add custom object classes to suit the needs of your organization. The IBM Directory Server schema provides some basic types of object classes, including:

- Groups
- Locations
- Organizations
- People

**Note:** Object classes that are specific to the Directory Server have the prefix 'ibm-'.

Object classes are defined by the characteristics of type, inheritance, and attributes.

### Object class type

An object class can be one of three types:

#### Structural:

Every entry must belong to one and only one structural object class, which defines the base contents of the entry. This object class represents a real world object. Because all entries must belong to a structural object class, this is the most common type of object class.

#### Abstract:

This type is used as a superclass or template for other (structural) object classes. It defines a set of attributes that are common to a set of structural object classes. These object classes, if defined as subclasses of the abstract class, inherit the defined attributes. The attributes do not need to be defined for each of the subordinate object classes.

#### Auxiliary:

This type indicates additional attributes that can be associated with an entry belonging to a particular structural object class. Although an entry can belong to only a single structural object class, it might belong to multiple auxiliary object classes.

### Object Class Inheritance

This version of the Directory Server supports object inheritance for object class and attribute definitions. A new object class can be defined with parent classes (multiple inheritance) and the additional or changed attributes.

Each entry is assigned to a single structural object class. All object classes inherit from the abstract object class **top**. They can also inherit from other object classes. The object class structure determines the list of required and allowed attributes for a particular entry. Object class inheritance depends on the sequence of object class definitions. An object class can only inherit from object classes that precede it. For example, the object class structure for a person entry might be defined in the LDIF file as:

```
objectClass: top
objectClass: person
objectClass: organizationalPerson
```

In this structure, the `organizationalPerson` inherits from the `person` and the `top` object classes, while `person` object class only inherits from the `top` object class. Therefore, when you assign the `organizationalPerson` object class to an entry, it automatically inherits the required and allowed attributes from the superior object class (in this case, the `person` object class).

Schema update operations are checked against the schema class hierarchy for consistency before being processed and committed.

### Attributes

Every object class includes a number of required attributes and optional attributes. Required attributes are the attributes that must be present in entries using the object class. Optional attributes are the attributes that can be present in entries using the object class.

## Attributes

Each directory entry has a set of attributes associated with it through its object class.

While the object class describes the type of information that an entry contains, the actual data is contained in attributes. An attribute is represented by one or more name-value-pairs that hold specific data element such as a name, an address, or a telephone number. The Directory Server represents data as name-value-pairs, a descriptive attribute, such as commonName (cn), and a specific piece of information, such as John Doe.

For example, the entry for John Doe might contain several attribute name-value-pairs.

```
dn: uid=jdoe, ou=people, ou=mycompany, c=us
objectClass: top
objectClass: person
objectClass: organizationalPerson
cn: John Doe
sn: Doe
givenName: Jack
givenName: John
```

While the standard attributes are already defined in the schema, you can create, edit, copy, or delete attributes definitions to suit the needs of your organization.

For more information, see the following:

### **Common subschema elements**

Elements are used to define the grammar of the subschema attribute values.

The following elements are used to define the grammar of the subschema attribute values:

- alpha = 'a' - 'z', 'A' - 'Z'
- number = '0' - '9'
- anh = alpha / number / '-' / ';' ;
- anhstring = 1 \* anh
- keystack = alpha [ anhstring ]
- numericstring = 1 \* number
- oid = descr / numericoid
- descr = keystack
- numericoid = numericstring \* ( "." numericstring )
- woid = whsp oid whsp ; set of oids of either form (numeric OIDs or names)
- oids = woid / ( "(" oidlist ")" )
- oidlist = woid \* ( "\$" woid ) ; object descriptors used as schema element names
- qdescrs = qdescr / ( whsp "(" qdescrlist ")" whsp )
- qdescrlist = [ qdescr \* ( qdescr ) ]
- whsp "" descr "" whsp

### **The objectclass attribute**

The objectclasses attribute lists the object classes supported by the server.

Each value of this attribute represents a separate object class definition. Object class definitions can be added, deleted, or modified by appropriate modifications of the objectclasses attribute of the cn=schema entry. Values of the objectclasses attribute have the following grammar, as defined by RFC 2252:

```
ObjectClassDescription = "(" whsp
    numericoid whsp ; Objectclass identifier
    [ "NAME" qdescrs ]
    [ "DESC" qdstring ]
    [ "OBSOLETE" whsp ]
    [ "SUP" oids ] ; Superior objectclasses
    [ ( "ABSTRACT" / "STRUCTURAL" / "AUXILIARY" ) whsp ] ; default is structural
    [ "MUST" oids ] ; AttributeTypes
```

```
[ "MAY" oids ] ; AttributeTypes
whsp ")"
```

For example, the definition of the person objectclass is:

```
( 2.5.6.6 NAME 'person' DESC 'Defines entries that generically represent
people.' STRUCTURAL SUP top MUST ( cn $ sn ) MAY ( userPassword $
telephoneNumber $ seeAlso $ description ) )
```

- The OID for this class is 2.5.6.6
- The name is "person"
- It is a structural object class
- It inherits from the object class "top"
- The following attributes are required: cn, sn
- The following attributes are optional: userPassword, telephoneNumber, seeAlso, description

### Related concepts

#### Schema tasks

Use this information to manage the schema.

### *The attributetypes attribute*

The attributetypes attribute lists the attribute supported by the server.

Each value of this attribute represents a separate attribute definition. Attribute definitions can be added, deleted, or modified by appropriate modifications of the attributetypes attribute of the cn=schema entry. Values of the attributetypes attribute have the following grammar, as defined by RFC 2252:

```
AttributeTypeDescription = "(" whsp
    numericoid whsp ; AttributeType identifier
    [ "NAME" qdescrs ] ; name used in AttributeType
    [ "DESC" qdstring ] ; description
    [ "OBSOLETE" whsp ]
    [ "SUP" woid ] ; derived from this other AttributeType
    [ "EQUALITY" woid ; Matching Rule name
    [ "ORDERING" woid ; Matching Rule name
    [ "SUBSTR" woid ] ; Matching Rule name
    [ "SYNTAX" whsp noidlen whsp ]
    [ "SINGLE-VALUE" whsp ] ; default multi-valued
    [ "COLLECTIVE" whsp ] ; default not collective
    [ "NO-USER-MODIFICATION" whsp ] ; default user modifiable
    [ "USAGE" whsp AttributeUsage ] ; default userApplications
    whsp ")"

AttributeUsage =
    "userApplications" /
    "directoryOperation" /
    "distributedOperation" / ; DSA-shared
    "dSAOperation" ; DSA-specific, value depends on server
```

The matching rules and syntax values must be one the values defined by the following:

- [“Matching rules” on page 20](#)
- [“Attribute syntax” on page 22](#)

Only "userApplications" attributes can be defined or modified in the schema. The "directoryOperation", "distributedOperation" and "dSAOperation" attributes are defined by the server and have specific meaning to the server operation.

For example, the "description" attribute has the following definition:

```
( 2.5.4.13 NAME 'description' DESC 'Attribute common to CIM and LDAP schema to
provide lengthy description of a directory object entry.' EQUALITY
caseIgnoreMatch SUBSTR caseIgnoreSubstringsMatch SYNTAX
1.3.6.1.4.1.1466.115.121.1.15 USAGE userApplications )
```

- Its OID is 2.5.4.13

- Its name is "description"
- Its syntax is 1.3.6.1.4.1.1466.115.121.1.15 (Directory String)

### Related concepts

#### Schema tasks

Use this information to manage the schema.

### The IBMAttributeTypes attribute

The IBMAttributeTypes attribute can be used to define schema information not covered by the LDAP Version 3 standard for attributes.

Values of IBMAttributeTypes must comply with the following grammar:

```

IBMAttributeTypesDescription = "(" whsp
    numericoid whsp
    [ "DBNAME" qdescrs ] ; at most 2 names (table, column)
    [ "ACCESS-CLASS" whsp IBMAccessClass whsp ]
    [ "LENGTH" wlen whsp ] ; maximum length of attribute
    [ "EQUALITY" [ IBMwlen ] whsp ] ; create index for matching rule
    [ "ORDERING" [ IBMwlen ] whsp ] ; create index for matching rule
    [ "APPROX" [ IBMwlen ] whsp ] ; create index for matching rule
    [ "SUBSTR" [ IBMwlen ] whsp ] ; create index for matching rule
    [ "REVERSE" [ IBMwlen ] whsp ] ; reverse index for substring
    whsp ")"

IBMAccessClass =
    "NORMAL" / ; this is the default
    "SENSITIVE" /
    "CRITICAL" /
    "RESTRICTED" /
    "SYSTEM" /
    "OBJECT" /

IBMwlen = whsp len

```

### Numericoid

Used to correlate the value in attributetypes with the value in IBMAttributeTypes.

### DBNAME

You can provide 2 names at the most, if indeed 2 names are given. The first is the table name used for this attribute. The second is the column name used for the fully normalized value of the attribute in the table. If you provide only one name, it is used as the table name as well as the column name. If you do not provide any DBNAMEs, then a name based on the first 128 characters of the attribute name (which must be unique) is used. Database table names are truncated to 128 characters. Column names are truncated to 30 characters.

### ACCESS-CLASS

The access classification for this attribute type. If ACCESS-CLASS is omitted, it defaults to normal.

### LENGTH

The maximum length of this attribute. The length is expressed as the number of bytes. Directory Server has a provision for specifying the length of an attribute. In the attributetypes value, the string:

```
( attr-oid ... SYNTAX syntax-oid{len} ... )
```

can be used to indicate that the attributetype with oid attr-oid has a maximum length.

### EQUALITY, ORDERING, APPROX, SUBSTR, REVERSE

If any of these attributes are used, an index is created for the corresponding matching rule. The optional length specifies the width of the indexed column. A single index is used to implement multiple matching rules. The Directory Server assigns a length of 500 when one is not provided by the user. The server can also use a shorter length than what the user requested when it makes sense to do so. For example, when the length of the index exceeds the maximum length of the attribute, the index length is ignored.

## Matching rules

A matching rule provides guidelines for string comparison during a search operation.

Matching rules are divided into three categories:

- Equality
- Ordering
- Substring

The directory server supports equality matches for all syntaxes except binary. For attributes defined using a binary syntax, the server only supports existence searches, for example "(jpegphoto=\*)". For the IA5 String and Directory String syntaxes, an attribute definition can be further defined as case exact or case ignore. For example, the cn attribute uses the caseIgnoreMatch matching rule making the values "John Doe" and "john doe" equivalent. For case ignore matching rules, comparison is done after converting values to uppercase. The uppercase algorithm is not locale-sensitive and may not be correct for all locales.

The directory server supports substring matching for Directory String, IA5 String, and Distinguished Name syntax attributes. Search filters for substring matches use the "\*" character to match zero or more characters in a string. For example, the search filter "(cn=\*smith)" matches all cn values ending with the string "smith".

Ordering matches are supported for Integer, Directory String, IA5 String and Distinguished Name syntaxes. For string syntaxes, ordering is based on a simple byte ordering of the UTF-8 string values. If the attribute is defined with a case ignore matching rule, ordering is done using uppercase string values. As noted earlier, the uppercase algorithm may not be correct for all locales.

In the IBM Directory Server, the substring and ordering matching behavior is implied by the matching rule: all syntaxes that support substring matching have an implied substring matching rule, and all syntaxes that support ordering have an implied ordering rule. For attributes defined using a case ignore matching rule, the implied substring and ordering matching rules are also case ignore.

| Equality matching rules             |                            |   |
|-------------------------------------|----------------------------|---|
| Matching Rule                       | OID                        | Syntax  |
| caseExactIA5Match                   | 1.3.6.1.4.1.1466.109.114.1 | Directory String syntax   |
| caseExactMatch                      | 2.5.13.5 IA5               | String syntax   |
| caseIgnoreIA5Match                  | 1.3.6.1.4.1.1466.109.114.2 | IA5 String syntax   |
| caseIgnoreMatch                     | 2.5.13.2                   | Directory String syntax   |
| distinguishedNameMatch              | 2.5.13.1                   | DN - distinguished name   |
| generalizedTimeMatch                | 2.5.13.27                  | Generalized Time syntax   |
| ibm-entryUuidMatch                  | 1.3.18.0.2.22.2            | Directory String syntax   |
| integerFirstComponentMatch          | 2.5.13.29                  | Integer syntax - integral number  |
| integerMatch                        | 2.5.13.14                  | Integer syntax - integral number  |
| objectIdentifierFirstComponentMatch | 2.5.13.30                  | String for containing OIDs. The OID is a string containing digits (0-9) and decimal points (.). |
| objectIdentifierMatch               | 2.5.13.0                   | String for containing OIDs. The OID is a string containing digits (0-9) and decimal points (.). |



| Equality matching rules |           |                         |
|-------------------------|-----------|-------------------------|
| Matching Rule           | OID       | Syntax                  |
| octetStringMatch        | 2.5.13.17 | Directory String syntax |
| telephoneNumberMatch    | 2.5.13.20 | Telephone Number syntax |
| uTCTimeMatch            | 2.5.13.25 | UTC Time syntax         |

| Ordering matching rules        |                  |                         |
|--------------------------------|------------------|-------------------------|
| Matching rule                  | OID              | Syntax                  |
| caseExactOrderingMatch         | 2.5.13.6         | Directory String syntax |
| caseIgnoreOrderingMatch        | 2.5.13.3         | Directory String syntax |
| distinguishedNameOrderingMatch | 1.3.18.0.2.4.405 | DN - distinguished name |
| generalizedTimeOrderingMatch   | 2.5.13.28        | Generalized Time syntax |

| Substring matching rules       |           |                         |
|--------------------------------|-----------|-------------------------|
| Matching rule                  | OID       | Syntax                  |
| caseExactSubstringsMatch       | 2.5.13.7  | Directory String syntax |
| caseIgnoreSubstringsMatch      | 2.5.13.4  | Directory String syntax |
| telephoneNumberSubstringsMatch | 2.5.13.21 | Telephone Number syntax |

**Note:** UTC-Time is time string format defined by ASN.1 standards. See ISO 8601 and X680. Use this syntax for storing time values in UTC-Time format.

#### **Related reference**

Generalized and UTC time

The Directory Server supports generalized time and universal (UTC) time syntaxes.

#### **Indexing rules**

Index rules attached to attributes make it possible to retrieve information faster.

If only the attribute is given, no indexes are maintained. Directory Server provides the following indexing rules:

- Equality
- Ordering
- Approximate
- Substring
- Reverse

#### *Indexing rules specifications for attributes*

Specifying an indexing rule for an attribute controls the creation and maintenance of special indexes on the attribute values. This greatly improves the response time to searches with filters which include those attributes.

The five possible types of indexing rules are related to the operations applied in the search filter.

#### **Equality**

Applies to the following search operations:

- equalityMatch '='

For example:

```
"cn = John Doe"
```

### Ordering

Applies to the following search operation:

- greaterOrEqual '>='
- lessOrEqual '<='

For example:

```
"sn >= Doe"
```

### Approximate

Applies to the following search operation:

- approxMatch '~='

For example:

```
"sn ~= doe"
```

### Substring

Applies to the search operation using the substring syntax:

- substring '\*'

For example:

```
"sn = McC*"
"cn = J*Doe"
```

### Reverse

Applies to the following search operation:

- '\*' substring

For example:

```
"sn = *baugh"
```

At a minimum, it is recommended that you specify equal indexing on any attributes that are to be used in search filters.

### Attribute syntax

An attribute syntax defines the allowable values for an attribute.

The server uses the syntax definition for an attribute to validate data and determine how to match values. For example, a "Boolean" attribute can only have the values "TRUE" and "FALSE".

Attributes can be defined as either single-valued or multi-valued. Multi-valued attributes are not ordered, so an application should not depend on the set of values for a given attribute being returned in particular order. If you need an ordered set of values, consider putting the list of values in a single attribute value:

```
preferences: 1st-pref 2nd-pref 3rd-pref
```

Or consider including order information in the value:

```
preferences: 2 yyy
preferences: 1 xxx
preferences: 3 zzz
```

Multi-valued attributes are useful when an entry is known by several names. For example, cn (common name) is multi-valued. An entry could be defined like:

```
dn: cn=John Smith,o=My Company,c=US
objectclass: inetorgperson
sn: Smith
cn: John Smith
cn: Jack Smith
cn: Johnny Smith
```

This allows searches for John Smith and Jack Smith to return the same information.

Binary attributes contain an arbitrary byte string, for example a JPEG photo, and cannot be used to search for entries.

Boolean attributes contain the strings TRUE or FALSE.

DN attributes contain LDAP distinguished names. The values do not need to be the DNs of existing entries, but they must have a valid DN syntax.

Directory String attributes contain a text string using UTF-8 characters. The attribute can be case exact or case ignore with respect to values used in search filters (based on the matching rule defined for the attribute), though the value is always returned as originally entered.

Generalized Time attributes contain a string representation of a year 2000 safe date and time using GMT times with an optional GMT time zone offset.

IA5 String attributes contain a text string using the IA5 character set (7-bit US ASCII). The attribute can be case exact or case ignore with respect to values used in search filters (based on the matching rule defined for the attribute), though the value is always returned as originally entered. IA5 String also allows the use of a wild card character for substring searches.

Integer attributes contain the text string representation of the value. For example, 0 or 1000. Values for Integer syntax attributes must be in the range -2147483648 to 2147483647.

Telephone Number attributes contain a text representation of a telephone number. The Directory Server does not impose any particular syntax on these values. The following are all valid values: (555) 555-5555, 555.555.5555, and +1 43 555 555 5555.

UTC Time attributes use an earlier, non-year 2000 safe, string format for representing dates and times.

In the directory schema, the syntax of an attribute is specified using Object Identifiers (OIDs) assigned to each syntax. The following table lists the syntaxes supported by the directory server and their OIDs.

| Syntax                               | OID                           |
|--------------------------------------|-------------------------------|
| Attribute Type Description syntax    | 1.3.6.1.4.1.1466.115.121.1.3  |
| Binary - octet string                | 1.3.6.1.4.1.1466.115.121.1.5  |
| Boolean - TRUE/FALSE                 | 1.3.6.1.4.1.1466.115.121.1.7  |
| Directory String syntax              | 1.3.6.1.4.1.1466.115.121.1.15 |
| DIT Content Rule Description syntax  | 1.3.6.1.4.1.1466.115.121.1.16 |
| DITStructure Rule Description syntax | 1.3.6.1.4.1.1466.115.121.1.17 |
| DN - distinguished name              | 1.3.6.1.4.1.1466.115.121.1.12 |
| Generalized Time syntax              | 1.3.6.1.4.1.1466.115.121.1.24 |
| IA5 String syntax                    | 1.3.6.1.4.1.1466.115.121.1.26 |
| IBM Attribute Type Description       | 1.3.18.0.2.8.1                |
| Integer syntax - integral number     | 1.3.6.1.4.1.1466.115.121.1.27 |
| LDAP Syntax Description syntax       | 1.3.6.1.4.1.1466.115.121.1.54 |

| Syntax   | OID                           |
|--|-------------------------------|
| Matching Rule Description  | 1.3.6.1.4.1.1466.115.121.1.30 |
| Matching Rule Use Description  | 1.3.6.1.4.1.1466.115.121.1.31 |
| Name Form Description  | 1.3.6.1.4.1.1466.115.121.1.35 |
| Object Class Description syntax  | 1.3.6.1.4.1.1466.115.121.1.37 |
| String for containing OIDs. The OID is a string containing digits (0-9) and decimal points (.).  | 1.3.6.1.4.1.1466.115.121.1.38 |
| Telephone Number syntax  | 1.3.6.1.4.1.1466.115.121.1.50 |
| UTC Time syntax. UTC-Time is time string format defined by ASN.1 standards. See ISO 8601 and X680. Use this syntax for storing time values in UTC-Time format. | 1.3.6.1.4.1.1466.115.121.1.53 |

### Related concepts

#### Object identifier (OID)

An object identifier (OID) is a string, of decimal numbers, that uniquely identifies an object. These objects are typically an object class or an attribute.

### Related reference

#### Generalized and UTC time

The Directory Server supports generalized time and universal (UTC) time syntaxes.

### Object identifier (OID)

An object identifier (OID) is a string, of decimal numbers, that uniquely identifies an object. These objects are typically an object class or an attribute.

If you do not have an OID, you can specify the object class or attribute name appended with **-oid**. For example, if you create the attribute tempID, you can specify the OID as **tempID-oid**.

It is absolutely critical that private OIDs are obtained from legitimate authorities. There are two basic strategies for obtaining legitimate OIDs:

- Register the objects with an authority. This strategy can be convenient, for example, if you need a small number of OIDs.
- Obtain an arc (an arc is an individual subtree of the OID tree) from an authority and assign your own OIDs as needed. This strategy might be preferred if many OIDs are needed, or OID assignments are not stable.

The American National Standards Institute (ANSI) is the registration authority for organization names in the United States under the global registration process established by International Standards Organization (ISO) and International Telecommunication Union (ITU). More information about organization name registration can be found at the ANSI Web site ([www.ansi.org](http://www.ansi.org)). The ANSI OID arc for organizations is 2.16.840.1. ANSI will assign a number (NEWNUM), creating a new OID arc: 2.16.840.1.NEWNUM.

In most countries or regions, the national standards association maintains an OID registry. As with the ANSI arc, these are generally arcs assigned under the OID 2.16. It might take some investigation to find the OID authority for a particular country or region. The national standards organization for your country or region might be an ISO member. The names and contact information of ISO members can be found at the ISO Web site ([www.iso.ch](http://www.iso.ch)).

The Internet Assigned Numbers Authority (IANA) assigns private enterprise numbers, which are OIDs, in the arc 1.3.6.1.4.1. IANA will assign a number (NEWNUM) so that the new OID arc will be 1.3.6.1.4.1.NEWNUM. These numbers can be obtained from the IANA Web site ([www.iana.org](http://www.iana.org)).

Once your organization has been assigned an OID, you can define your own OIDs by appending to the end of the OID. For example, suppose your organization has been assigned the fictional OID 1.1.1. No other organization will be assigned an OID that starts with "1.1.1". You might create a range for LDAP by

appending ".1" to form 1.1.1.1. You might further subdivide this into ranges for objectclasses (1.1.1.1.1), attribute types (1.1.1.1.2), and so on, and assign OID 1.1.1.1.2.34 to the attribute "foo".

### Related information

[ANSI Web site](#)

[ISO Web site](#)

[IANA Web site](#)

### The subschema entries

There is one subschema entry per server. All entries in the directory have an implied subschemaSubentry attribute type. The value of the subschemaSubentry attribute type is the DN of the subschema entry that corresponds to the entry. All entries under the same server share the same subschema entry, and their subschemaSubentry attribute type has the same value. The subschema entry has the hardcoded DN 'cn=schema'.

The subschema entry belongs to the object classes 'top', 'subschema', and 'IBMsubschema'. The 'IBMsubschema' object class has no MUST attributes and one MAY attribute type ('IBMattributeTypes').

### The IBMsubschema object class

The IBMsubschema object class is a specific object class that stores all the attributes and object classes for a given directory server.

The IBMsubschema object class is used only in the subschema entry as follows:

```
( 1.3.18.0.2.6.174
NAME 'ibmSubSchema'
DESC 'IBM specific object class that stores all the attributes and object classes for a given
directory
server.'
SUP 'subschema'
STRUCTURAL MAY ( IBMAttributeTypes ) )
```

### Schema queries

The ldap\_search() API can be used to query the subschema entry.

The ldap\_search() API can be used to query the subschema entry, as shown in the following example:

```
DN          : "cn=schema"
search scope : base
filter      : objectclass=subschema or objectclass=*
```

This example retrieves the full schema. To retrieve all of the values of selected attribute types, use the attrs parameter in ldap\_search. You cannot retrieve only a specific value of a specific attribute type.

### Related concepts

[Lightweight Directory Access Protocol \(LDAP\) APIs](#) See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

### Dynamic schema

It is possible to dynamically change the schema.

To perform a dynamic schema change, use the ldap\_modify API with a DN of "cn=schema". It is permissible to add, delete, or replace only one schema entity (for example, an attribute type or an object class) at a time.

To delete a schema entry, specify the schema attribute that defines the schema entry (objectclasses or attributetypes), and for its value, the OID in parentheses. For example, to delete the attribute with OID <attr-oid>:

```
dn: cn=schema
changetype: modify
delete: attributetypes
attributetypes: ( <attr-oid> )
```

You can also provide a full description. In either case, the matching rule used to find the schema entity to delete is `objectIdentifierFirstComponentMatch`.

To add or replace a schema entity, you **MUST** provide a LDAP Version 3 definition and you **MAY** provide the IBM definition. In all cases, you must provide only the definition or definitions of the schema entity that you want to affect.

For example, to delete the attribute type 'cn' (its OID is 2.5.4.3), use `ldap_modify()` with:

```
LDAPMod attr;
LDAPMod *attrs[] = { &attr, NULL };
char *vals [] = { "( 2.5.4.3 )", NULL };
attr.mod_op = LDAP_MOD_DELETE;
attr.mod_type = "attributeTypes";
attr.mod_values = vals;
ldap_modify_s(ldap_session_handle, "cn=schema", attrs);
```

To add a new attribute type `bar` with OID 20.20.20 that inherits from the attribute "name" and has a length of 20 chars:

```
char *vals1[] = { "( 20.20.20 NAME 'bar' SUP name )" NULL };
char *vals2[] = { "( 20.20.20 LENGTH 20 )", NULL };
LDAPMod attr1;
LDAPMod attr2;
LDAPMod *attrs[] = { &attr1, &attr2, NULL };
attr1.mod_op = LDAP_MOD_ADD;
attr1.mod_type = "attributeTypes";
attr1.mod_values = vals1;
attr2.mod_op = LDAP_MOD_ADD;
attr2.mod_type = "IBMattributeTypes";
attr2.mod_values = vals2;
ldap_modify_s(ldap_session_handle, "cn=schema", attrs);
```

The LDIF version of the above would be:

```
dn: cn=schema
changetype: modify
add: attributeTypes
attributeTypes: ( 20.20.20 NAME 'bar' SUP name )
-
add:ibmattributeTypes
ibmattributeTypes: (20.20.20 LENGTH 20)
```

## Access controls

Dynamic schema changes can be performed only by a replication supplier or the administrator DN.

## Replication

When a dynamic schema change is performed, it is replicated.

Schema replication needs to be explicitly setup on "cn=ibmpolicies" to have the changes under "cn=schema" replicated to the specified replication Agreements.

To ensure that the schemas are synchronized across all of your servers, you must create an extra replication context for "cn=ibmpolicies". This replication context needs to include all the servers that are in your directory topology.

In previous releases, schema changes were propagated to all the agreements mentioned in the directory server. However, for IBM Tivoli Directory Server for IBM i in 6.1 and above version schema changes are propagated only to those agreements that occur below "cn=ibmpolicies" and to no other agreements occur in the Directory Information Tree (DIT).

## Disallowed schema changes

Not all schema changes are allowed.

Change restrictions include the following:

- Any change to the schema must leave the schema in a consistent state.

- An attribute type that is a supertype of another attribute type cannot be deleted. An attribute type that is a "MAY" or a "MUST" attribute type of an object class cannot be deleted.
- An object class that is a superclass of another cannot be deleted.
- Attribute types or object classes that refer to nonexistent entities (for example, syntaxes or object classes) cannot be added.
- Attribute types or object classes cannot be modified in such a way that they end up referring to nonexistent entities (for example, syntaxes or object classes).
- New attributes cannot use existing database tables in their IBMattributestype definition.
- Attributes that are used in any existing directory entries cannot be deleted.
- The length and syntax of an attribute cannot be changed.
- The database table or column associated with an attribute cannot be changed.
- Attributes used in definitions of existing object classes cannot be deleted.
- Object classes that are used in any existing directory entries cannot be deleted.

You can increase the column size via schema modification. This allows you to increase the maximum length of attributes through schema modification by either using Web Administration or the ldapmodify utility.

Changes to the schema that affect the operation of the server are not allowed. The following schema definitions are required by the directory server. They must not be changed.

**Object classes:**

- accessGroup
- accessRole
- alias
- os400-usrprf
- referral
- replicaObject
- top

**Attributes:**

- aclEntry
- aclPropagate
- aclSource
- aliasedObjectName, aliasedentryName
- businessCategory
- cn, commonName
- createTimestamp
- creatorsName
- description
- dn, distinguishedName
- entryOwner
- hasSubordinates
- ibm-entryChecksum
- ibm-entryChecksumOp
- ibm-entryUuid
- member
- modifiersName

- modifyTimestamp
- name
- o, organizationName, organization
- objectClass
- os400-acgcde
- os400-astlvl
- os400-atnpgm
- os400-audlvl
- os400-aut
- os400-ccsid
- os400-chridctl
- os400-cntryid
- os400-curlib
- os400-dlvry
- os400-docpwd
- os400-dspsgninf
- os400-eimassoc
- os400-gid
- os400-groupmember
- os400-grpaut
- os400-grpauttyp
- os400-grpprf
- os400-homedir
- os400-IaspStorageInformation
- os400-inlmnu
- os400-inlpgm
- os400-invalidSignonCount
- os400-jobd
- os400-kbdbuf
- os400-langid
- os400-lclpwdmgt
- os400-lmtcpb
- os400-lmtdevssn
- os400-locale
- os400-maxstg
- os400-msgq
- os400-objaud
- os400-outq
- os400-owner
- os400-password
- os400-passwordExpirationDate
- os400-passwordLastChanged
- os400-previousSignon



- os400-profile
- os400-prtdev
- os400-ptylmt
- os400-pwdexp
- os400-pwdexpitv
- os400-setjobatr
- os400-sev
- os400-spcaut
- os400-spcenv
- os400-srtseq
- os400-status
- os400-storageUsed
- os400-storageUsedOnIasp
- os400-supgrpprf
- os400-sys os400-text
- os400-uid
- os400-usrcls
- os400-usropt
- ou, organizationalUnit, organizationalUnitName
- owner
- ownerPropagate
- ownerSource
- ref
- replicaBindDN
- replicaBindMethod
- replicaCredentials, replicaBindCredentials
- replicaHost
- replicaPort
- replicaUpdateTimeInterval
- replicaUseSSL
- seeAlso

**Syntaxes:**

All

**Matching rules:**

All

**Schema checking**

When the server is initialized, the schema files are read and checked for consistency and correctness.

If the checks fail, the server fails to initialize and issues an error message. During any dynamic schema change, the resulting schema is also checked for consistency and correctness. If the checks fail, an error is returned and the change fails. Some checks are part of the grammar (for example, an attribute type can have at most one supertype, or an object class can have any number of superclasses).

The following items are checked for attribute types:

- Two different attribute types cannot have the same name or OID.
- The inheritance hierarchy of attribute types does not have cycles.

- The supertype of an attribute type must also be defined, although its definition might be displayed later, or in a separate file.
- If an attribute type is a subtype of another, they both have the same USAGE.
- All attribute types have a syntax either directly defined or inherited.
- Only operational attributes can be marked as NO-USER-MODIFICATION.

The following items are checked for object classes:

- Two different object classes cannot have the same name or OID.
- The inheritance hierarchy of object classes does not have cycles.
- The superclasses of an object class must also be defined, although its definition might appear later or in a separate file.
- The "MUST" and "MAY" attribute types of an object class must also be defined, although its definition might appear later or in a separate file.
- Every structural object class is a direct or indirect subclass of top.
- If an abstract object class has superclasses, the superclasses must also be abstract.

### Checking an entry against the schema

When an entry is added or modified through an LDAP operation, the entry is checked against the schema. By default, all checks listed in this section are performed. However you can selectively disable some of the schema checking by changing the schema checking level. This is done through System i® Navigator by changing the value of the **Schema checking** field on the **Database/Suffixes** page of the Directory Server properties.

To comply with the schema an entry is checked for the following conditions:

#### With respect to object classes:

- Must have at least one value of attribute type "objectClass".
- Can have any number of auxiliary object classes including zero. This is not a check, but a clarification. There are no options to disable this.
- Can have any number of abstract object classes, but only as a result of class inheritance. This means that for every abstract object class that the entry has, it also has a structural or auxiliary object class that inherits directly or indirectly from that abstract object class.
- Must have at least one structural object class.
- Must have exactly one immediate or base structural object class. This means that of all the structural object classes provided with the entry, they all must be superclasses of exactly one of them. The most derived object class is called the "immediate" or "base structural" object class of the entry, or simply the "structural" object class of the entry.
- Cannot change its immediate structural object class (on ldap\_modify).
- For each object class provided with the entry, the set of all of its direct and indirect superclasses is calculated; if any of those superclasses is not provided with the entry, then it is automatically added.
- If the schema checking level is set to **Version 3 (strict)** all structural superclasses must be provided. For example, to create an entry with objectclass inetorgperson, the following objectclasses must be specified: person, organizationalperson, and inetorgperson.

#### The validity of the attribute types for an entry is determined as follows:

- The set of MUST attribute types for the entry is calculated as the union of the sets of MUST attribute types of all of its object classes, including the implied inherited object classes. If the set of MUST attribute types for the entry is not a subset of the set of attribute types contained by the entry, the entry is rejected.
- The set of MAY attribute types for the entry is calculated as the union of the sets of MAY attribute types of all of its object classes, including the implied inherited object classes. If the set of attribute

types contained by the entry is not a subset of the union of the sets of MUST and MAY attribute types for the entry, the entry is rejected.

- If any of the attribute types defined for the entry are marked as NO-USER-MODIFICATION, the entry is rejected.

**The validity of the attribute type values for an entry is determined as follows:**

- For every attribute type contained by the entry, if the attribute type is single-valued and the entry has more than one value, the entry is rejected.
- For every attribute value of every attribute type contained by the entry, if its syntax does not comply with the syntax checking routine for the syntax of that attribute, the entry is rejected.
- For every attribute value of every attribute type contained by the entry, if its length is greater than the maximum length assigned to that attribute type, the entry is rejected.

**The validity of the DN is checked as follows:**

- The syntax is checked for compliance with the BNF for DistinguishedNames. If it does not comply, the entry is rejected.
- It is verified that the RDN is made up with only attribute types that are valid for that entry.
- It is verified that the values of attribute types used in the RDN appear in the entry.

**Related concepts**

Directory Server configuration schema

This information describes the Directory Information Tree (DIT) and the attributes that are used to configure the ibmslapd.conf file.

**iPlanet compatibility**

The parser used by the Directory Server allows the attribute values of schema attribute types (objectClasses and attributeTypes ) to be specified using the grammar of iPlanet.

For example, descrs and numeric-oids can be specified with surrounding single quotation marks (as if they were qdescrs). However, the schema information is always made available through ldap\_search. As soon as a single dynamic change (using ldap\_modify) is performed on an attribute value in a file, the whole file is replaced by one where all attribute values follow the Directory Server specifications. Because the parser used on the files and on ldap\_modify requests is the same, an ldap\_modify that uses the iPlanet grammar for attribute values is also handled correctly.

When a query is made on the subschema entry of a iPlanet server, the resulting entry can have more than one value for a given OID. For example, if a certain attribute type has two names (such as 'cn' and 'commonName'), then the description of that attribute type is provided twice, once for each name. The Directory Server can parse a schema where the description of a single attribute type or object class appears multiple times with the same description (except for NAME and DESCR). However, when the Directory Server publishes the schema it provides a single description of such an attribute type with all of the names listed (the short name comes first). For example, here is how iPlanet describes the common name attribute:

```
( 2.5.4.3 NAME 'cn'  
  DESC 'Standard Attribute'  
  SYNTAX '1.3.6.1.4.1.1466.115.121.1.15' )  
  
( 2.5.4.3 NAME 'commonName'  
  DESC 'Standard Attribute, alias for cn'  
  SYNTAX '1.3.6.1.4.1.1466.115.121.1.15' )
```

This is how the Directory Server describes it:

```
( 2.5.4.3 NAME ( 'cn' 'commonName' ) SUP name )
```

The Directory Server supports subtypes. If you do not want 'cn' to be a subtype of name (which deviates from the standard), you can declare the following:

```
( 2.5.4.3 NAME ( 'cn' 'commonName' )
  DESC 'Standard Attribute'
  SYNTAX '1.3.6.1.4.1.1466.115.121.1.15' )
```

The first name ('cn') is taken as the preferred or short name and all other names after 'cn' as alternate names. From this point on, the strings '2.3.4.3', 'cn' and 'commonName' (as well as their case-insensitive equivalents) can be used interchangeably within the schema or for entries added to the directory.

### Generalized and UTC time

The Directory Server supports generalized time and universal (UTC) time syntaxes.

There are different notations used to designate date and time-related information. For example, the fourth day of February in the year 1999 can be written as:

```
2/4/99
4/2/99
99/2/4
4.2.1999
04-FEB-1999
```

as well as many other notations.

Directory Server standardizes the timestamp representation by requiring the LDAP servers to support two syntaxes:

- The Generalized Time syntax, which takes the form:

```
YYYYMMDDHHMMSS[. | ,fraction][(+ | -HHMM) | Z]
```

There are 4 digits for the year, 2 digits each for the month, day, hour, minute, and second, and an optional fraction of a second. Without any further additions, a date and time is assumed to be in a local time zone. To indicate that a time is measured in Coordinated Universal Time, append a capital letter Z to a time or a local time differential. For example:

```
"19991106210627.3"
```

which in local time is 6 minutes, 27.3 seconds after 9 p.m. on 6 November 1999.

```
"19991106210627.3Z"
```

which is the coordinated universal time.

```
"19991106210627.3-0500"
```

which is local time as in the first example, with a 5 hour difference in relation to the coordinated universal time.

If you designate an optional fraction of a second, a period or a comma is required. For local time differential, a '+' or a '-' must precede the hour-minute value.

- The Universal time syntax, which takes the form:

```
YYMMDDHHMM[SS][(+ | -)HHMM) | Z]
```

There are 2 digits each for the year, month, day, hour, minute, and optional second fields. As in GeneralizedTime, an optional time differential can be specified. For example, if local time is a.m. on 2 January 1999 and the coordinated universal time is 12 noon on 2 January 1999, the value of UTCTime is either:

```
"9901021200Z"
  OR
"9901020700-0500"
```

If the local time is a.m. on 2 January 2001 and the coordinated universal time is 12 noon on 2 January 2001, the value of UTCTime is either:

```
"0101021200Z"  
    OR  
"0101020700-0500"
```

UTCTime allows only 2 digits for the year value, therefore the usage is not recommended.

The supported matching rules are `generalizedTimeMatch` for equality and `generalizedTimeOrderingMatch` for inequality. Substring search is not allowed. For example, the following filters are valid:

```
generalized-timestamp-attribute=199910061030  
utc-timestamp-attribute>=991006  
generalized-timestamp-attribute=*
```

The following filters are not valid:

```
generalized-timestamp-attribute=1999*  
utc-timestamp-attribute>=*1010
```

## Recommended practices for directory structure

The Directory Server is often used as a repository for users and groups. This section describes some recommended practices for setting up a structure that is optimized for managing users and groups. This structure and associated security model can be extended to other uses of the directory.

Users are typically stored in a single, or few, locations. You might have a single container, `cn=users`, that is the parent entry for all users, or separate containers for distinct sets of users that are administered separately. For example, employees, vendors, and self-registered Internet users might be located under objects named `cn=employees`, `cn=vendors`, and `cn=internet users`, respectively. One might be tempted to place people under the organizations they belong to; however, this can create difficulties when they move to another organization as the directory entry then also needs to be moved and groups or other data sources (both internal and external to the directory) might have to be updated to reflect the new DN. The relationship of users to the organizational structure can be captured within the user entry using directory attributes such as "o" (organization name), "ou" (organizational unit name), and `departmentNumber` which are part of the standard schema for `organizationalPerson` and `inetOrgPerson`.

Similarly, groups are typically placed in a separate container, for example a container named "`cn=groups`".

By organizing users and groups in this manner, there are only a few places where access control lists (ACLs) need to be set.

Depending on how the directory server is used, and how users and groups are managed, you might use one of the following access control patterns:

- If the directory is used for applications like an address book, you might want to grant the special group `cn=anybody` read and search permissions for "normal" attributes in the `cn=users` container and its parent objects.
- Often, only the DNs used by specific applications and group administrators need access to the `cn=groups` container. You might want to create a group holding the DNs of group administrators and make that group the owner of `cn=groups` and its subordinates. You might create another group holding the DNs used by applications to read group information, and grant that group read and search permissions to `cn=groups`.
- If user objects are updated directly by users, you will want to grant the special access-id `cn=this` appropriate read, write, and search permissions.
- If users are updated through applications, often those applications run under their own identity, and only those applications need authority to update user objects. Once again, it is convenient to add these DNs to a group, for example, `cn=user administrators`, and grant that group necessary permissions to `cn=users`.

Applying this type of structure and access control, your initial directory might look like this:

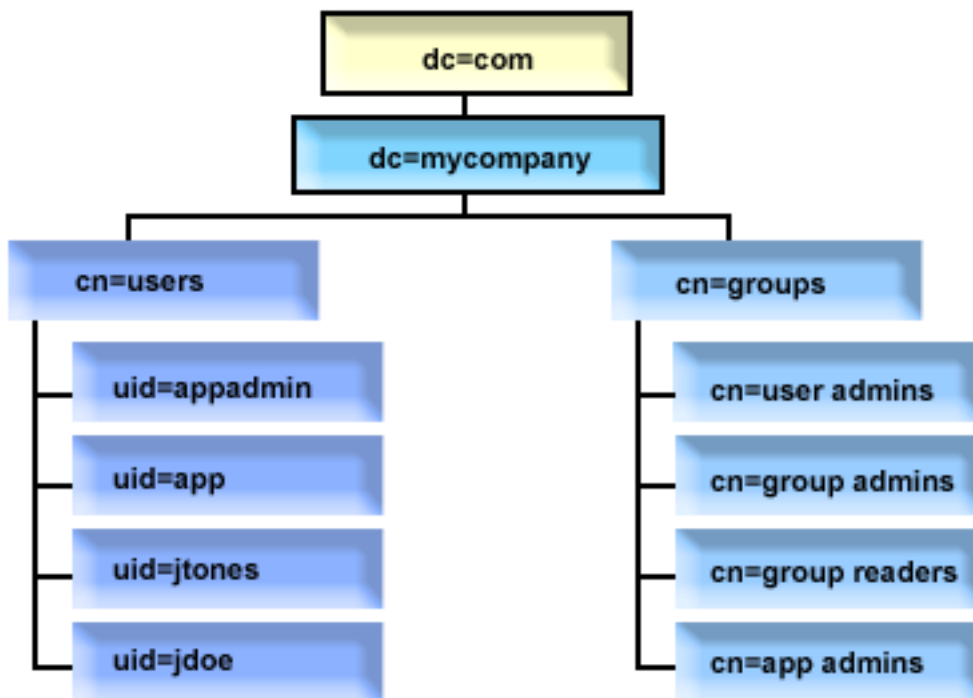


Figure 2. Example directory structure

- c=mycompany, dc=com is owned by the directory administrator, or another user or group with authority to manage the top level of the directory. Additional ACL entries grant read access to normal attributes for one of cn=anybody or cn=authenticated, or possibly some other group if a more restrictive ACL is needed.
- cn=users has ACL entries beyond those described below to allow appropriate access to users. ACLs might include:
  - read and search access to normal attributes for cn=anybody or cn=authenticated
  - read and search access to normal and sensitive attributes for managers
  - other ACL entries as desired, perhaps allowing write access for individuals to their own entry.

**Notes:**

- To improve readability, RDNs of entries have been used rather than the full DNs. For example, the "user admins" group would have the full DN uid=app,cn=users,dc=mycompany,dc=com as a member rather than the shorter uid=app.
- Some users and groups could be combined. For example, if the application administrator was to have authority to manage users, the application could run under the application administrator DN. However, that might restrict the ability, for example, to change the application's administrator password without also reconfiguring the new password in the application.
- While the above represent best practices for directories used by only one application, it might be more expedient to have all updates done authenticating as the directory administrator. This practice is discouraged for reasons discussed earlier.

**Publishing**

Directory Server provides the ability to have the system publish certain kinds of information to an LDAP directory. That is, the system will create and update LDAP entries representing various types of data.

IBM i has built-in support for publishing the following information to a LDAP server:

## Users

When you configure the operating system to publish the information type Users to the Directory Server, it automatically exports entries from the system distribution directory to the Directory Server. It uses the QGLDSSDD application program interface (API) to do this. This also keeps the LDAP directory synchronized with changes that are made in the system distribution directory.

Publishing users is useful for providing LDAP search access to information from the system distribution directory (for example to provide LDAP address book access to LDAP-enabled POP3 mail clients like Netscape Communicator or Microsoft Outlook Express).

Published users can also be used to support LDAP authentication with some users published from the system distribution directory, and other users added to the directory by other means. A published user has a uid attribute that names the user profile, and has no userPassword attribute. When a bind request is received for an entry like this, the server calls the operating system security to validate the uid and password as a valid user profile and password for that profile. If you want to use LDAP authentication, and would like existing users to be able to authenticate using their operating system passwords, while non-IBM i users are added to the directory manually, you should consider this function.

Another way to publish users is to take entries from an existing HTTP validation list and create corresponding LDAP entries in the directory server. This is done through the QGLDPUBVL application program interface (API). This API creates inetOrgPerson directory entries with passwords that are linked to the original validation list entry. The API can be run once or scheduled to run periodically to check for new entries to add to the directory server.

**Note:** Only validation list entries created for use with the HTTP Server (powered by Apache) are supported by this API. Existing entries in the directory server will not be updated. Users that are deleted from the validation list are not detected.

Once users are added to the directory they can authenticate to applications that use the validation as well as applications that support LDAP authentication.

## System information

When you configure the operating system to publish the information type System to the Directory Server, the following types of information are published:

- Basic information about this machine and the operating system release.
- Optionally, you can select one or more printers to publish, in which case the system will automatically keep the LDAP directory synchronized with changes that are made to those printers on the system.

Printer information that can be published includes:

- Location
- Speed in pages per minutes
- Support for duplex and color
- Type and model
- Description

This information comes from the device description on the system being published. In a network environment, users can use this information to help select a printer. The information is first published when a printer is selected to be published, and it is updated when a printer writer is stopped or started, or the printer device description is changed.

## Printer shares

When you configure the operating system to publish printer shares, information about the selected iSeries NetServer printer shares are published to the configured Active Directory server. Publishing print shares to an Active Directory allows users to add IBM i printers to their Windows 2000 desktop with the Windows 2000's Add Printer wizard. In order to do this in the Add Printer wizard, specify that

you want to find a printer in the Windows 2000 Active Directory. You must publish print shares to a directory server which supports Microsoft's Active Directory schema.

### **TCP/IP Quality of Service**

The TCP/IP Quality of Service (QOS) server can be configured to use a shared QOS policy defined in an LDAP directory using an IBM defined schema. The TCP/IP QOS publishing agent is used by the QOS server to read the policy information; it defines the server, authentication information, and where in the directory the policy information is stored.

You can also create an application to publish or search for other kinds of information in a LDAP directory using this framework by defining additional publishing agents and making use of the directory publishing APIs.

### **Related concepts**

[Lightweight Directory Access Protocol \(LDAP\) APIs](#) See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

### **Related tasks**

[Publishing information to the Directory Server](#)

Use this information to publish information to the Directory Server.

## **Replication**

Replication is a technique used by directory servers to improve performance and reliability. The replication process keeps the data in multiple directories synchronized.

For more information about replication, see the following:

### **Related concepts**

[Replication tasks](#)

Use this information to manage replication.

[Migrating a network of replicating servers](#)

Use this information if you have a network of replicating servers.

### **Replication overview**

Through replication, a change made to one directory is propagated to one or more additional directories. In effect, a change to one directory shows up on multiple different directories.

Replication provides two main benefits:

- Redundancy of information - replicas back up the content of their supplier servers.
- Faster searches - search requests can be spread among several different servers, all having the same content, instead of a single server. This improves the response time for the request completion.

Specific entries in the directory are identified as the roots of replicated subtrees, by adding the `ibm-replicationContext` objectclass to them. Each subtree is replicated independently. The subtree continues down through the directory information tree (DIT) until reaching the leaf entries or other replicated subtrees. Entries are added below the root of the replicated subtree to contain the replication topology information. These entries are one or more replica group entries, under which are created replica subentries. Associated with each replica subentry are replication agreements that identify the servers that are supplied (replicated to) by each server, as well as defining the credentials and schedule information.

The IBM Directory supports an expanded master-subordinate replication model. Replication topologies are expanded to include:

- Replication of subtrees of the Directory Information Tree (DIT) to specific servers
- A multi-tier topology referred to as cascading replication
- Assignment of server role (master or replica) by subtree
- Multiple master servers, referred to as peer to peer replication
- Gateway replication across networks



The advantage of replicating by subtrees is that a replica does not need to replicate the entire directory. It can be a replica of a part, or subtree, of the directory.

The expanded model changes the concept of master and replica. These terms no longer apply to servers, but rather to the roles that a server has regarding a particular replicated subtree. A server can act as a master for some subtrees and as a replica for others. The term, master, is used for a server that accepts client updates for a replicated subtree. The term, replica, is used for a server that only accepts updates from other servers designated as a supplier for the replicated subtree.

The types of servers as defined by function are *master/peer*, *cascading*, *gateway*, and *replica*.

| Table 1. Server roles  |  |
|------------------------|--|
| Directory              | Description  |
| Master/peer            | <p>The master/peer server contains the master directory information from where updates are propagated to the replicas. All changes are made and occur on the master server, and the master is responsible for propagating these changes to the replicas.</p> <p>There can be several servers acting as masters for directory information, with each master responsible for updating other master servers and replica servers. This is referred to as peer replication. Peer replication can improve performance and reliability. Performance is improved by providing a local server to handle updates in a widely distributed network. Reliability is improved by providing a backup master server ready to take over immediately if the primary master fails.</p> <p><b>Notes:</b></p> <ol style="list-style-type: none"> <li>1. Master servers replicate all client updates, but do not replicate updates received from other masters.</li> <li>2. Updates to the same entry made by multiple servers might cause inconsistencies in directory data because there is no conflict resolution.</li> </ol> |
| Cascading (forwarding) | A cascading server is a replica server that replicates all changes sent to it. This contrasts to a master/peer server in that a master/peer server only replicates changes that are made by clients connected to that server. A cascading server can relieve the replication workload from the master servers in a network that contains many widely dispersed replicas.   |
| Gateway                | Gateway replication uses gateway servers to collect and distribute replication information effectively across a replicating network. The primary benefit of gateway replication is the reduction of network traffic.   |
| Replica (read-only)    | A replica is an additional server that contains a copy of directory information. The replicas are copies of the master (or the subtree that it is a replica of). The replica provides a backup of the replicated subtree.  |

If the replication fails, it is repeated even if the master is restarted. The Manage Queues window in the Web administration tool can be used to check for failing replication.

You can request updates on a replica server, but the update is actually forwarded to the master server by returning a referral to the client. If the update is successful, the master server then sends the update to the replicas. Until the master has completed replication of the update, the change is not reflected on the replica server where it was originally requested. Changes are replicated in the order in which they are made on the master.

If you are no longer using a replica, you must remove the replication agreement from the supplier. Leaving the definition causes the server to queue up all updates and use unnecessary directory space. Also, the supplier continues trying to contact the missing consumer to retry sending the data.

## Gateway replication

Gateway replication uses gateway servers to collect and distribute replication information effectively across a replicating network. The primary benefit of gateway replication is the reduction of network traffic. Gateway servers must be masters (writable).

The following figure illustrates how gateway replication works:

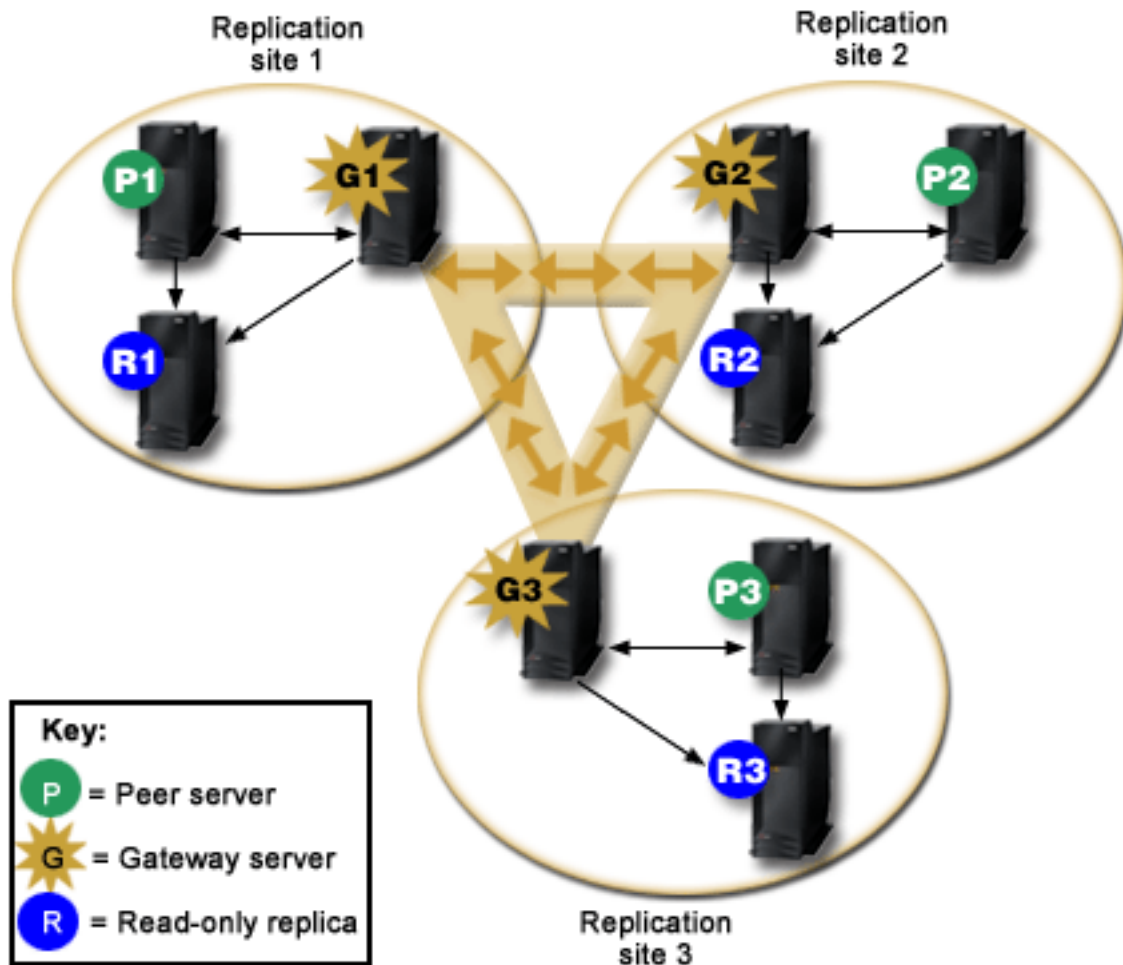


Figure 3. A replicating network with gateway servers

The replicating network in the preceding figure contains three replication sites, each containing a gateway server. The gateway server collects replication updates from the peer/master servers in the replication site where it resides and sends the updates to all the other gateway servers within the replicating network. It also collects replication updates from other gateway servers in the replication network and sends those updates to the peers/masters and replicas in the replication site where it resides.

Gateway servers use server IDs and consumer IDs to determine which updates are sent to other gateway servers in the replicating network and which updates are sent to local servers within the replication site.

To set up gateway replication, you must create at least two gateway servers. The creation of a gateway server establishes a replication site. You must then create replication agreements between the gateway and any masters/peers and replicas you want to include in that gateway's replication site.

Gateway servers must be masters (writable). If you attempt to add the gateway object class, `ibm-replicaGateway`, to a subentry that is not a master, an error message is returned.

There are two methods for creating a gateway server. You can:

- Create a new gateway server

- Convert an existing peer server to a gateway server

**Note:** It is very important that you assign only one gateway server per replication site.

## Partial Replication

Partial replication is a replication feature that replicates only the specified entries and a subset of attributes for the specified entries within a subtree. Using partial replication, an administrator can enhance the replication bandwidth depending on the deployment requirements. The attributes that are to be replicated are specified using a replication filter. For more information on partial replication, see [Setting up a Partial Replication](#).

## Replication conflict resolution

In a network with multiple master servers, it is possible to make conflicting changes to an entry that could cause servers to have different data for the entry after replicating the changes. Conflicting changes are uncommon since they require that the changes be made on different master servers at close to the same time. Some examples of conflicting changes include:

- Adding the same entry with different attributes on two servers.
- Resetting the password for an entry using different passwords on two servers.
- Renaming an entry on one server while modifying the entry on another server.

The IBM Tivoli Directory Server has the ability to automatically detect and resolve conflicting changes so that directories on all servers remain consistent. When replication conflicts are detected, the conflicting change is reported in the server log and also recorded in a "lost and found" log file so that an administrator can recover any lost data.

Conflict resolution for add and modify operations in peer-to-peer replication is based on entry and change timestamps. The update with the most recent timestamp on any server in a multi-master replication environment is the one that takes precedence. When a replication conflict is detected the replaced entry is archived for recovery purposes in the Lost and Found log.

Replicated delete and rename request are accepted in the order received without conflict resolution. If replication conflicts involving delete or modifyDN (rename or move) operations occur, errors that require human intervention might result. For example, if an entry is renamed on one server while it is being modified on a second server, the rename modifyDN operation might arrive at a replica before the modify operation. Then, when the modify operation arrives, it fails. In this case, the administrator needs to respond to the error by applying the modifications to the entry using the new DN. All information necessary to redo the modifications with the correct name is preserved in the replication and error logs. Such replication errors are rare occurrences in a correctly configured replication topology, but it is not safe to assume that they never occur.

Updates to the same entry made by multiple servers might cause inconsistencies in directory data because conflict resolution is based on the timestamp of the entries. The most recent modify timestamp takes precedence. If the data on your servers become inconsistent, see the [ldapdiff](#) topic in the related link below for information on resynchronizing servers.

To enhance the replication conflict resolution mechanism, the granularity of the timestamps is set to microseconds. IBM Tivoli Directory Server also takes into consideration the fact that changes to the same entry at different times across peers may not converge because of clock skew. Therefore, to ensure convergence, each entry's timestamp is increased monotonically with every update to ensure that after an update, an entry's timestamp should never be lesser than the timestamp prior to the update operation. This ensures the convergence of entries in spite of clock skew. Therefore, replication conflict resolution will function correctly even if the system clocks of the machines in the replication topology are not in sync.

Replication conflict resolution requires the supplier to provide the entry's timestamp before the entry was updated on the supplier. The IBM Tivoli Directory Server for IBM i in 5.4 and earlier versions do not have the capability to supply this kind of information. Therefore, replication conflict resolution is not applicable to cases where the supplier is a down-level server. In IBM i 6.1, the IBM Tivoli Directory Server for IBM i

consumer server, in this case, takes the replicated timestamp and updates and applies it without conflict checking.

**Note:** Earlier versions of the IBM Tivoli Directory Server for IBM i do not support time stamp conflict resolution. If your topology contains earlier versions of the IBM Tivoli Directory Server for IBM i, data consistency is not ensured for the network.

Conflicting changes can be avoided by using a load balancer, virtual IP address takeover, or other methods to ensure that directory changes are made to a single server, while providing automatic failover to other servers if the preferred server is not available.

A load balancer, such as the IBM WebSphere® Edge Server, has a virtual host name that applications use when sending updates to the directory. The load balancer is configured to send those updates to only one server. If that server is down, or unavailable because of a network failure, the load balancer sends the updates to the next available peer server until the first server is back on line and available. Refer to your load balancer product documentation for information on how to install and configure the load balancing server.

When conflict resolution is enabled and changes are made to the membership of a given group on two servers concurrently, conflict resolution will be triggered repeatedly and this may affect the server's performance if the groups are large.

IBM Tivoli Directory Server enables you to selectively enable or disable replication conflict resolution for modifications to group entries by defining the value of the "ibm-slapdEnableConflictResolutionForGroups" attribute present under the "cn=Replication, cn=configuration" entry of the configuration file.

If the attribute "ibm-slapdEnableConflictResolutionForGroups" is set to FALSE then no conflict resolution will be performed even if a conflict is detected for operations on group entries like adding, deleting, or renaming an attribute of type member or uniquemember.

However, a single modify request can target multiple attributes. In such a case, if in a single modify request any other attribute other than member or uniquemember is used, then replication conflict resolution will still be performed even though the attribute "ibm-slapdEnableConflictResolutionForGroups" is set to FALSE.

### Replication error handling

Replication errors are any replicated updates for which the consumer returns a result other than LDAP\_SUCCESS. Replication conflict errors return LDAP\_OTHER and a special control, and are not treated as errors unless the data is greater than allowed by the server configuration.

Replication errors can be logged in the database. The size of the replication error log is in the server configuration (ibm-slapdReplMaxErrors) and can be updated dynamically. Replication errors are stored and managed per replication agreement, that is, if there are two agreements, then one agreement might have some errors logged, and the other agreement might have no error logged.

How errors are addressed depends on the replication method. For single-threaded replication, the following occurs:

- ibm-slapdReplMaxErrors: 0 means that no errors are logged and the first error is retried every minute until it succeeds or is skipped.
- If the number of errors for an agreement reaches the limit, the next error is retried until the error succeeds, is skipped, the number of errors for an agreement limit is increased, or an error is cleared from the log. The data for an entry that is being retried is displayed by the replication status attribute ibm-replicationChangeLDIF.
- The status for the replication agreement is:  
ibm-replicationStatus: retrying

For multi-threaded replication, the following occurs:

- ibm-slapdReplMaxErrors: 0 means that no errors should be logged, but any errors are logged and replication is suspended until all of the errors are cleared.

- If the number of errors for an agreement exceeds the limit, replication is suspended until at least one error is cleared, or the number of errors for an agreement limit is increased.
- The status for the replication agreement is:  
ibm-replicationStatus: error log full

### **Related tasks**

#### Modifying lost and found log settings

The lost and found log (LostAndFound.log is the default file name) records errors that occur as a result of replication conflicts. There are settings to control the lost and found log including the location and maximum size of the file and archiving of old log files.

#### Creating a simple topology with peer replication

Peer replication is a replication topology in which multiple servers are masters. Use peer replication only in environments where the update vectors are well known.

### **Related reference**

#### Ldapdiff

The LDAP replica synchronization command line utility.

### **Replication terminology**

Definitions of some terminology used in describing replication.

#### **Cascading replication**

A replication topology in which there are multiple tiers of servers. A peer/master server replicates to a set of read-only (forwarding) servers which in turn replicate to other servers. Such a topology off-loads replication work from the master servers.

#### **Consumer server**

A server which receives changes through replication from another (supplier) server.

#### **Credentials**

Identify the method and required information that the supplier uses in binding to the consumer. For simple binds, this includes the DN and password. The credentials are stored in an entry the DN of which is specified in the replication agreement.

#### **Forwarding server**

A read-only server that replicates all changes sent to it by a master or peer. Client update requests are referred to the master or peer server.

#### **Gateway server**

A server that forwards all replication traffic from the local replication site where it resides to other gateway servers in the replicating network. A gateway server receives replication traffic from other gateway servers within the replication network, which it forwards to all servers on its local replication site. Gateway servers must be masters (writable).

#### **Master server**

A server which is writable (can be updated) for a given subtree.

#### **Nested subtree**

A subtree within a replicated subtree of the directory.

#### **Peer server**

The term used for a master server when there are multiple masters for a given subtree.

#### **Replica group**

The first entry created under a replication context has objectclass `ibm-replicaGroup` and represents a collection of servers participating in replication. It provides a convenient location to set ACL's to protect the replication topology information. The administration tools currently support one replica group under each replication context, named **ibm-replicagroup=default**.

#### **Replica subentry**

Below a replica group entry, one or more entries with objectclass `ibm-replicaSubentry` can be created; one for each server participating in replication as a supplier. The replica subentry identifies the role the server plays in replication: master or read-only. A read-only server might, in turn, have replication agreements to support cascading replication.

### **Replicated subtree**

A portion of the DIT that is replicated from one server to another. Under this design, a given subtree can be replicated to some servers and not to others. A subtree can be writable on a given server, while other subtrees might be read-only.

### **Replicating Network**

A network that contains connected replication sites.

### **Replication agreement**

Information contained in the directory that defines the 'connection' or 'replication path' between two servers. One server is called the supplier (the one that sends the changes) and the other is the consumer (the one that receives the changes). The agreement contains all the information needed for making a connection from the supplier to the consumer and scheduling replication.

### **Replication context**

Identifies the root of a replicated subtree. The `ibm-replicationContext` auxiliary object class can be added to an entry to mark it as the root of a replicated area. The replication topology related information is maintained in a set of entries created below a replication context.

### **Replication site**

A Gateway server and any master, peer, and replica servers configured to replicate together.

### **Schedule**

Replication can be scheduled to occur at particular times, with changes on the supplier accumulated and sent in a batch. The replica agreement contains the DN for the entry that supplies the schedule.

### **Supplier server**

A server which sends changes to another (consumer) server.

### **Multi-threaded replication**

Using multi-threaded (asynchronous) replication, administrators can replicate using multiple threads, improving overall throughput of replication.

When using single-threaded (synchronous) replication, it is possible that clients may consistently make updates faster than replication can send the changes to other servers. This is because the standard replication model uses a single thread to replicate all changes in the order received.

The standard replication model also blocks when certain types of errors occur, for example, if a replicated modify request fails because the target entry does not exist on the consumer server. While this behavior calls attention to discrepancies between servers that should be corrected, it can also lead to a growing backlog of pending changes. In some applications, this backlog of unreplicated changes may be undesirable.

To address this, multi-threaded replication also provides the ability to log information about failing changes to an error log, and then continue with the remaining changes. The log provides enough information to determine which entries have discrepancies and the changes that were skipped, along with tools to retry changes after correcting errors. To prevent skipping a large number of changes due to major discrepancies, a configurable error threshold is provided; when reached, replication will block until the errors are corrected and the replication error log is cleared.

- Multi-threaded (asynchronous) replication can be difficult to administer if servers or networks are not reliable, causing many replicated changes to be skipped.

When errors occur, the errors are logged and can be replayed by the administrator, but the error logs must be monitored closely. The following is a search to show the replication backlog for all agreements supplied by one server:

```
ldapsearch -h supplier-host -D cn=admin -w ? -s sub
            objectclass=ibm-replicationagreement
            ibm-replicationpendingchangeount ibm-replicationstate
```

If the replication state is active, and the pending count is growing, there is a backlog that won't decrease unless the update rate decreases or the replication mode is changed from synchronous to asynchronous (multi-threaded).

Replication also adds to the workload on the master server where the updates are first applied. In addition to updating its copy of the directory data, the master server must send the changes to all replica servers. If your application or users do not depend on immediate replication, then careful scheduling of replication to avoid peak activity times will help minimize the impact to throughput on the master server.

For multi-threaded replication, when a replication error occurs, the following occurs:

- `ibm-slapdReplMaxErrors`: 0 means that no errors should be logged in the replication error log, but any errors are logged in the server log and replication is suspended until all of the errors are cleared.
- If the number of errors for an agreement exceeds the limit, replication is suspended until at least one error is cleared, or the number of errors for an agreement limit is increased.
- The status for the replication agreement is:

```
ibm-replicationStatus: error log full
```

### Replication error table

The replication error table logs failing updates for later recovery. When replication starts, the number of failures logged for each replication agreement is counted. This count is increased if an update results in a failure, and a new entry is added into the table.

Each entry in the replication error table contains the following:

- The replication agreement ID.
- The replication change ID.
- The timestamp for when the update was attempted.
- The number of attempts made (this value is 1 by default, and increments for each attempt made).
- The result code from the consumer.
- All of the information from the replication operation pertaining to the update, for example, the DN, the actual data, controls, flags, and so forth.

If the value specified by the attribute `ibm-slapdReplMaxErrors` in the server configuration is 0, replication continues processing updates. The attribute `ibm-slapdReplMaxErrors` is an attribute in the replication configuration entry and it can be changed dynamically.

If the value specified by the attribute `ibm-slapdReplMaxErrors` is greater than 0, then when the error count for a replication agreement exceeds this value, replication does one of the following:

- **Single threaded:** Replication goes into a loop trying to replicate the failing update.
- **Multi-threaded:** Replication is suspended.

If the server is configured to use a single connection, replication attempts to send the same update after waiting for 60 seconds and keeps trying until replication succeeds or the administrator skips the update.

For a server configured to use multiple connections, replication is suspended for this agreement. The receiver threads continue polling for status from any updates that have been sent, but no more updates are replicated. To resume replication, the directory administrator must clear at least one error for this agreement or increase the limit with a dynamic modification of the server configuration.

For more information, see the [Managing replication queues](#) topic in the related links below. Also, see the `-op controlreplerr` option in the [ldapexop](#) topic in the related links below.

### Related tasks

#### [Managing replication queues](#)

Use this information to monitor the status of replication for each replication agreement (queue) used by this server.

### Related reference

#### [ldapexop](#)

The LDAP extended operation command line utility.

### Replication agreements

A replication agreement is an entry in the directory with the object class **ibm-replicationAgreement** created beneath a replica subentry to define replication from the server represented by the subentry to another server.

These objects are similar to the replicaObject entries used by prior versions of the Directory Server. The replication agreement consists of the following items:

- A user friendly name, used as the naming attribute for the agreement.
- An LDAP URL specifying the server, port number, and whether SSL should be used.
- The consumer server id, if known. Directory servers prior to V5R3 do not have a server id.
- The DN of an object containing the credentials used by the supplier to bind to the consumer.
- An optional DN pointer to an object containing the schedule information for replication. If the attribute is not present, changes are replicated immediately.

The user friendly name might be the consumer server name or some other descriptive string.

The consumer server id is used by the administrative GUI to traverse the topology. Given the consumer's server ID, the GUI can find the corresponding subentry and its agreements. To aid in enforcing the accuracy of the data, when the supplier binds to the consumer, it retrieves the server ID from the root DSE and compares it to the value in the agreement. A warning is logged if the server IDs do not match.

Because the replication agreement can be replicated, a DN to a credentials object is used. This allows the credentials to be stored in a nonreplicated area of the directory. Replicating the credentials objects (from which 'clear text' credentials must be obtainable) represents a potential security exposure. The cn=localhost suffix is an appropriate default location for creating credentials objects.

Object classes are defined for each of the supported authentication methods:

- Simple bind
- SASL
- EXTERNAL mechanism with SSL
- Kerberos authentication

You can designate that part of a replicated subtree not be replicated by adding the **ibm-replicationContext** auxiliary class to the root of the subtree, without defining any replica subentries.

**Note:** The Web administration tool also refers to agreements as 'queues' when referring to the set of changes that are waiting to be replicated under a given agreement.

For a replication agreement using the single-threaded replication method, the number of consumer connections is always one, the attribute value is ignored. For an agreement using multi-threaded replication, the number of connections can be configured from 1 to 32. If no value is specified on the agreement, the number of consumer connections is set to one.

**Note:** For the **cn=ibmpolicies** subtree, all replication agreements will use the single-threaded replication method and one consumer connection, ignoring the attribute values.

### How replication information is stored in the server

Replication information is stored in the directory in several places.

- The server configuration, which contains information about how other servers can authenticate to this server to perform replication (for example, who this server allows to act as a supplier).
- In the directory at the top of a replicated subtree. If "o=my company" is the top of a replicated subtree, an object named "ibm-replicagroup=default" will be created directly beneath it (ibm-replicagroup=default,o=my company). Beneath the "ibm-replicagroup=default" object will be additional objects that describe the servers holding replicas of the subtree and the agreements between the servers.



- An object named "cn=replication,cn=localhost" is used to contain replication information that is used only by one server. For example, the object containing the credentials used by a supplier server are needed only by the supplier server. Credentials can be placed under "cn=replication,cn=localhost" making them accessible only by that server.
- An object named "cn=replication, cn=IBMpolicies" is used to contain replication information that is replicated to other servers.

### **Security considerations for replication information**

Review the security considerations for certain objects.

- `ibm-replicagroup=default`: Access controls on this object control who can view or change the replication information stored here. By default, this object inherits the access control from its parent. You should consider setting access control on this object to restrict access to the replication information. For example, you could define a group that contains users that will be managing replication. This group could be made the owner of the "ibm-replicagroup=default" object and other users given no access to the object.
- `cn=replication,cn=localhost`: There are two security considerations for this object:
  - Access control on this object controls who is allowed to view or update objects stored here. The default access control allows anonymous users to read most information except for passwords and requires administrator authority to add, change, or delete objects.
  - Objects stored in "cn=localhost" are never replicated to other servers. You can place replication credentials in this container on the server that uses the credential and they will not be accessible to other servers. Alternately, you might choose to place credentials under the "ibm-replicagroup=default" object so that multiple servers share the same credentials.
- `cn=IBMpolicies`: You can place replication credentials in this container, but the data in it is replicated to any consumers of the server. Placing credentials in `cn=replication, cn=localhost` is considered more secure.

### **Replication in a high availability environment**

The Directory Server is often utilized in single signon solutions, which can result in a single point of failure.

The Directory Server can be made highly available using replication two ways: using the IBM Load Balancer or IP address takeover. More information on this topic can be found in found in Chapter 13.2 of the IBM Redbooks publication *IBM WebSphere V5.1 Performance, Scalability, and High Availability*.

### **Related information**

[IBM WebSphere V5.1 Performance, Scalability, and High Availability](#)

## **Realms and user templates**

The realm and user template objects found in the Web administration tool are used in order to relieve the user of the need to understand some of the underlying LDAP issues.

A realm identifies a collection of users and groups. It specifies information, in a flat directory structure, such as where users are located and where groups are located. A realm defines a location for users (for example, "cn=users,o=acme,c=us") and creates users as immediate subordinates of that entry (for example John Doe is created as "cn=John Doe,cn=users,o=acme,c=us"). You can define multiple realms and give them familiar names (for example Web Users). The familiar name can be used by the people that are creating and maintaining the users.

A template describes what a user looks like. It specifies the objectclasses that are used when creating users (both the structural objectclass and any auxiliary classes that you want). A template also specifies the layout of the panels used to create or edit users (for example, names of tabs, default values, and attributes to appear on each tab).

When you add a new realm, you are creating an `ibm-realm` object in the directory. The `ibm-realm` object keeps track of the properties of the realm such as where users and groups are defined, and what template to use. The `ibm-realm` object can point to an existing directory entry that is the parent of users, or it can point to itself (the default), making it the container for new users. For example, you could have an existing

cn=users,o=acme,c=us container, and create a realm named `users` elsewhere in the directory (maybe a container object called `cn=realms,cn=admin stuff,o=acme,c=us`) that identifies `cn=users,o=acme,c=us` as the location for users and groups. This creates an `ibm-realm` object:

```
dn: cn=users,cn=realms,cn=admin stuff,o=acme,c=us
objectclass: top
objectclass: ibm-realm
objectclass: ibm-staticGroup
ibm-realmUserTemplate: cn=users template,cn=realms,cn=admin stuff,o=acme,c=us
ibm-realmUserContainer: cn=users,o=acme,c=us
ibm-realmGroupContainer: cn=users,o=acme,c=us
ibm-realmAdminGroup: cn=users,cn=realms,cn=admin stuff,o=acme,c=us
ibm-realmUserSearchFilter:
cn: users
```

Or, if there was no existing `cn=users,o=acme,c=us` object, you could create the realm `users` under `o=acme,c=us` and have it point to itself.

The directory administrator is responsible for managing user templates, realms and realm administrator groups. After a realm is created, members of that realm's administrator group are responsible for managing the users and groups within that realm.

### **Related concepts**

[Realm and user template tasks](#)

Use this information to manage realms and user templates.

### **Related tasks**

[Creating a realm](#)

Use this information to create a realm.

## **Search parameters**

To limit the amount of resources used by the server, an administrator can set search parameters to restrict users' search capabilities. Search capabilities can also be extended for special users.

User searches can be restricted or extended using these methods:

### **Restrict search**

- Paged search
- Sorted search
- Disable alias dereferencing

### **Extend search**

- Search limit groups

### **Paged search**

Paged results allow a client to manage the amount of data returned from a search request. A client can request a subset of entries (a page) instead of receiving all the results from the server at once.

Subsequent search requests return the next page of results until the operation is canceled or the last result is returned. The administrator can restrict its use by only allowing administrators to use it.

### **Sorted search**

Sorted search allows a client to receive search results sorted by a list of criteria, where each criterion represents a sort key. This moves the responsibility of sorting from the client application to the server. The administrator can restrict its use by only allowing administrators to use it.

## Disable alias dereferencing

A directory entry with objectclass of alias or aliasObject contains the attribute aliasedObjectName, which is used to reference another entry in the directory. Only search requests can specify if aliases are dereferenced. *Dereferencing* means to trace the alias back to the original entry. The IBM Directory Server response time for searches with the alias dereferencing option set to **always** or **search** might be significantly longer than that of searches with dereferencing option set to **never**, even if no alias entries exist in the directory. Two settings determine the server's alias dereference behavior: the dereferencing option specified by the client's search request and the dereference option as configured in the server by the administrator. If configured to do so, the server can automatically bypass alias dereferencing if no alias objects exist in the directory as well as override the dereference option specified in client search requests. The following table describes how alias dereferencing is hashed between the client and the server.

| Server      | Client      | Actual               |
|-------------|-------------|----------------------|
| never       | any setting | never                |
| always      | any setting | the client's setting |
| any setting | always      | the server's setting |
| search      | find        | never                |
| find        | search      | never                |

## Search limit groups

An administrator can create search limit groups that can have more flexible search limits than the general user. The individual members or groups contained in the search limit group are granted less restrictive search limits than those imposed on general users.

When a user initiates a search, the search request limitations are first checked. If a user is a member of a search limit group, the limitations are compared. If the search limit group limitations are higher than those of the search request, the search request limitations are used. If the search request limitations are higher than those of the search limit group, the search limit group limitations are used. If no search limit group entries are found, the same comparison is made against the server search limitations. If no server search limitations have been set, the comparison is made against the default server setting. The limitations used are always the lowest settings in the comparison.

If a user belongs to multiple search limit groups, the user is granted up to the highest level of search capability. For example, the user belongs to search group 1, which grants search limits of search size 2000 entries and search time of 4000 seconds, and to search group 2, which grants search limits of search size unlimited entries and a search time of 3000 seconds. The user has the search limitations of search size unlimited and search time of 4000 seconds.

Search limit groups can be stored under either localhost or IBMpolicies. Search limit groups under IBMpolicies are replicated; those under localhost are not. You can store the same search limit group under both localhost and IBMpolicies. If the search limit group is not stored under one of these DNs, the server ignores the search limit part of the group and treats it as a normal group.

When a user initiates a search, the search limit group entries under localhost are checked first. If no entries are found for the user, the search limit group entries under IBMpolicies are then searched. If entries are found under localhost, the search limit group entries under IBMpolicies are not checked. The search limit group entries under localhost have priority over those under IBMpolicies.

## Related concepts

[Search limit group tasks](#)

Use this information to manage search limit groups.

### Related tasks

[Adjusting search settings](#)

Use this information to control users' search capabilities.

[Searching the directory entries](#)

Use this information to search the directory entries.

## National language support (NLS) considerations

NLS considerations include data formats, characters, mapping methods, and string case.

Be aware of the following NLS considerations:

- Data is transferred between LDAP servers and clients in UTF-8 format. All ISO 10646 characters are allowed.
- The Directory Server uses the UTF-16 mapping method to store data in the database.
- The server and the client do case insensitive string comparisons. The uppercase algorithms will not be correct for all languages (locales).

### Related information

[i5/OS globalization](#) See i5/OS globalization for more information about NLS considerations.

## Language tags

The term *language tags* defines a mechanism that enables the Directory Server to associate natural language codes with values held in a directory and enables clients to query the directory for values that meet certain natural language requirements.

The language tag is a component of an attribute description. The language tag is a string with the prefix lang-, a primary subtag of alphabetic characters and, optionally, subsequent subtags connected by a hyphen (-). The subsequent subtags can be any combination of alphanumeric characters; only the primary subtag needs to be alphabetic. The subtags can be any length; the only limitation is that the total length of the tag cannot exceed 240 characters. Language tags are not case sensitive; en-us and en-US and EN-US are identical. Language tags are not allowed in components of DN or RDN. Only one language tag per attribute description is allowed.

**Note:** On a per attribute basis, language tags are mutually exclusive with unique attributes. If you have designated a particular attribute as being a unique attribute, it cannot have language tags associated with it.

If language tags are included when data is added to a directory, they can be used with search operations to selectively retrieve attribute values in specific languages. If a language tag is provided in an attribute description within the requested attribute list of a search, then only attribute values in a directory entry that have the same language tag as that provided are to be returned. Thus for a search like:

```
ldapsearch -b "o=ibm,c=us" (objectclass=organization) description;lang-en
```

the server returns values of an attribute "description;lang-en", but does not return values of an attribute "description" or "description;lang-fr".

If a request is made specifying an attribute without providing a language tag, then all attribute values regardless of their language tag are returned.

The attribute type and the language tag are separated with a semicolon (;) character.

**Note:** The semicolon character is allowed to be used in the "NAME" part of an AttributeType. However, because this character is being used to separate the AttributeType from the language tag, its usage in the "NAME" part of an AttributeType is not permitted.

For example, if the client requests a "description" attribute and a matching entry contains:

```
objectclass: top
objectclass: organization
```

```
o: Software GmbH
description: software
description;lang-en: software products
description;lang-de: Softwareprodukte
postalAddress: Berlin 8001 Germany
postalAddress;lang-de: Berlin 8001 Deutschland
```

the server returns:

```
description: software
description;lang-en: software products
description;lang-de: Softwareprodukte
```

If the search requests a "description;lang-de" attribute, then the server returns:

```
description;lang-de: Softwareprodukte
```

The use of language tags allows for multi-lingual data in directories that can support clients that operate in various languages. Using language tags, an application can be written so that a German client sees only the data entered for the lang-de attribute, and the French client sees only the data entered for the lang-fr attribute.

To determine whether the language tag function is enabled, issue a root DSE search specifying the attribute "ibm-enabledCapabilities".

```
ldapsearch -b "" -s base objectclass=* ibm-enabledCapabilities
```

If the OID "1.3.6.1.4.1.4203.1.5.4" is returned, the function is enabled.

If the language tag support is not enabled, any LDAP operation that associates a language tag with an attribute is rejected with an error message.

Some attributes can have language tags associated with them, while some cannot. To determine whether or not an attribute allows language tags, use the ldapexop command:

- For attributes that allow language tags: `ldapexop -op getattributes -attrType language_tag -matches true`
- For attributes that don't allow language tags: `ldapexop -op getattributes -attrType language_tag -matches false`

### Related tasks

[Adding an entry containing attributes with language tags](#)

Use this information to create an entry containing attributes with language tags.

## LDAP directory referrals

Referrals allow Directory Servers to work in teams. If the DN that a client requests is not in one directory, the server can automatically send (refer) the request to any other LDAP server.

Directory Server allows you to use two different types of referrals. You can specify default referral servers, where the LDAP server will refer clients whenever any DN is not in the directory. You can also use your LDAP client to add entries to the directory server that have the objectClass referral. This allows you to specify referrals that are based on what specific DN a client requests.

**Note:** With Directory Server, referral objects must contain only a distinguished name (dn), an objectClass (objectClass), and a referral (ref) attribute. See the ldapsearch command for an example that illustrates this restriction.

Referral servers are closely related to replica servers. Because data on replica servers cannot be changed from clients, the replica refers any requests to change directory data to the master server.

### Related tasks

[Specifying a server for directory referrals](#)

Use this information to specify referral servers.

### **Related reference**

[ldapsearch](#)

The LDAP search command line utility.

## **Transactions**

You can configure your Directory Server to allow clients to use transactions. A transaction is a group of LDAP directory operations that are treated as one unit.

None of the individual LDAP operations that make up a transaction are permanent until all operations in the transaction have completed successfully and the transaction has been committed. If any of the operations fail or the transaction is cancelled, the other operations are undone. This capability can help users to keep LDAP operations organized. For example, a user might set up a transaction on his client that will delete several directory entries. If the client loses its connection to the server part way through the transaction, none of the entries are deleted. Therefore the user can simply start the transaction over rather than having to check to see which entries were successfully deleted.

The following LDAP operations can be part of a transaction:

- add
- modify
- modify RDN
- delete

**Note:** Do not include changes to the directory schema (the cn=schema suffix) in transactions. Though it is possible to include them, they cannot be backed out if the transaction fails. This could cause your directory server to experience unpredictable problems.

### **Related tasks**

[Specifying transaction settings](#)

Use this information to configure the Directory Server transaction settings.

## **Directory Server security**

Learn how a variety of functions can be used to secure your Directory Server secure.

See the following for more information about Directory Server security:

### **Related concepts**

[Directories](#)

The Directory Server allows access to a type of database that stores information in a hierarchical structure similar to the way that the IBM i integrated file system is organized.

[Distinguished names \(DNs\)](#)

Every entry in the directory has a distinguished name (DN). The DN is the name that uniquely identifies an entry in the directory. The first component of the DN is referred to as the Relative Distinguished Name (RDN).

[Security property tasks](#)

Use this information to manage security property tasks.

### **Related tasks**

[Enabling object auditing for the Directory Server](#)

Use this information to enable object auditing for the Directory Server.

### **Auditing**

Auditing allows you to track the details of certain Directory Server transactions.

Directory Server supports IBM i security auditing. Auditable items include the following:

- Binds to and unbinds from the directory server.
- Changes to permissions of LDAP directory objects.

- Changes in ownership of LDAP directory objects.
- Creation of, deletion of, searches of, and changes to LDAP directory objects.
- Changes to the password of administrator and update distinguished names (DNs).
- Changes to the passwords of users.
- File imports and exports.

You might need to make changes to the auditing settings before auditing of directory entries will work. If the QAUDCTL system value has \*OBJAUD specified, you can enable object auditing through System i Navigator.

Group names can be specified for auditing. Authorized clients can request that an operation be performed using the authority of groups specified by the client rather than the groups the server has associated with the client identity. This setting controls whether auditing of these requests indicates only that the client specified the groups to be used, or also includes the list of groups specified. Auditing the list of groups creates additional audit entries holding the list of groups for each request.

To specify if group names should be audited, do the following:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.
3. On the **Auditing** tab, check the **Include group names when auditing use of caller-specified groups** checkbox.

### **Related concepts**

#### Distributed directories

A distributed directory is directory environment in which data is partitioned across multiple directory servers. To make the distributed directory appear as a single directory to client applications, one or more proxy servers are provided which have knowledge of all the servers and the data they hold.

### **Related tasks**

#### Enabling object auditing for the Directory Server

Use this information to enable object auditing for the Directory Server.

### **Related information**

#### Security Reference

Security audits For more information about auditing, see the Security audits topic.

### **Secure Sockets Layer (SSL) and Transport Layer Security (TLS) with the Directory Server**

To make communications with your Directory Server more secure, Directory Server can use Secure Sockets Layer (SSL) security and Transport Layer Security (TLS).

SSL is the standard for Internet security. You can use SSL to communicate with LDAP clients, as well as with replica LDAP servers. You can use client authentication in addition to server authentication to provide additional security to your SSL connections. Client authentication requires that the LDAP client present a digital certificate that confirms the client's identity to the server before a connection is established.

To use SSL, you must have Digital Certificate Manager (DCM), option 34 of IBM i, installed on your system. DCM provides an interface for you to create and manage digital certificates and certificate stores.

TLS is designed as a successor to SSL and uses the same cryptographic methods but supports more cryptographic algorithms. TLS enables the server to receive secure and unsecure communications from the client over the default port, 389. For secure communications the client must use the StartTLS extended operation.

In order for a client to use TLS:

1. The Directory Server must be configured to use TLS or SSLTLS.
2. The -Y option needs to be specified on the client command line utilities.

**Note:** TLS and SSL are not interoperable. Issuing a start TLS request (the -Y option) over an SSL port causes an operations error.



A client can connect to the secure port (636) using either TLS or SSL. StartTLS is an LDAP feature that allows you to start secure communication over an existing non-secure connection (i.e. port 389). As such, you can only use StartTLS (or command line utility -Y option) with the standard non-secure port (389); you cannot use StartTLS with a secure connection.

### Related tasks

[Enabling SSL and Transport Layer Security on the Directory Server](#)

Use this information to enable SSL and Transport Layer Security on the Directory Server.

[Using SSL with the LDAP command line utilities](#)

Use this information to understand how to use SSL with the LDAP command line utilities.

### Related information

[Digital Certificate Manager](#)

[Secure Sockets Layer \(SSL\)](#)

[Supported SSL and Transport Layer Security \(TLS\) protocols](#)

### Kerberos authentication with the Directory Server

Directory Server allows you to use Kerberos authentication. Kerberos is a network authentication protocol that uses secret key cryptography to provide strong authentication to client and server applications.

To [enable Kerberos authentication](#), you must have the network authentication service configured.

The Kerberos support of Directory Server provides support for the GSSAPI SASL mechanism. This enables both Directory Server and Windows 2000 LDAP clients to use Kerberos authentication with the Directory Server.

The **Kerberos principal name** that the server uses has the following form:

```
service-name/host-name@realm
```

`service-name` is `ldap` (`ldap` must be lower case), `host-name` is the fully qualified TCP/IP name of the system, and `realm` is the default realm specified in the systems Kerberos configuration.

For example, for a system named `my-as400` in the `acme.com` TCP/IP domain, with a default Kerberos realm of `ACME.COM`, the LDAP server Kerberos principal name would be `ldap/my-as400.acme.com@ACME.COM`. The default Kerberos realm is specified in the Kerberos configuration file (by default, `/QIBM/UserData/OS400/NetworkAuthentication/krb5.conf`) with the `default_realm` directive (`default_realm = ACME.COM`). The directory server cannot be configured to use Kerberos authentication if a default realm has not been configured.

When Kerberos authentication is used, the Directory Server associates a distinguished name (DN) with the connection that determines access to directory data. You can choose to have the server DN associated with one of the following methods:

- The server can create a DN based on the Kerberos ID. When you choose this option, a Kerberos identity of the form `principal@realm` generates a DN of the form `ibm-kn=principal@realm`. `ibm-kn=` is equivalent to `ibm-kerberosName=`.
- The server can search the directory for a distinguished name (DN) that contains an entry for the Kerberos principal and realm. When you choose this option, the server searches the directory for an entry that specifies this Kerberos identity.

You must have a key table (keytab) file that contains a key for the LDAP service principal.

### Related tasks

[Enabling Kerberos authentication on the Directory Server](#)

Use this information to enable Kerberos authentication on the Directory Server.

### Related information

[Network authentication service](#) See the Network authentication service topic for more information about Kerberos.

[Configuring network authentication service](#) See the Configuring network authentication service topic for information about adding information to key table (keytab) files.



## Password encryption

The IBM Tivoli Directory Server enables you to prevent unauthorized access to user passwords. The administrator may configure the server to encrypt userPassword attribute values in either a one-way encrypting format or a two-way encrypting format. The encrypted passwords are tagged with the encrypting algorithm name so that passwords encrypted in different formats can coexist in the directory. When the encrypting configuration is changed, existing encrypted passwords remain unchanged and continue to work.

Using one-way encryption formats, user passwords may be encrypted and stored in the directory, which prevents clear passwords from being accessed by any users including the system administrators. Using two-way encryption formats, passwords are encrypted while stored in the database, and decrypted when returned to an authorized client. Use of two-way encryption protects the password stored in the database, while supporting use authentication methods like DIGEST-MD5 that require the server to have access to the clear text password, and supporting applications that may need the clear-text password.

One-way encrypted passwords can be used for password matching but they cannot be decrypted. During user login, the login password is encrypted and compared with the stored version for matching verification.

Even if the server is configured to store new passwords in a particular format, it will accept passwords previously encrypted using another method. For example, the server could be configured to use AES256 password encryption, but still allow an administrator to load data from another server that contained SHA-1 encrypted passwords. Both sets of passwords can be used to authenticate to the server using simple password authentication, but the SHA-1 passwords will be returned as encrypted strings and cannot be used with DIGEST-MD5 authentication.

One-way encrypting formats are:

- Salted SHA-1
- SHA-1
- MD5
- crypt
- SHA-2
- Salted SHA-2

After the server is configured, any new passwords (for new users) or modified passwords (for existing users) are encrypted before they are stored in the directory database. Subsequent LDAP searches will return a tagged and encrypted value.

For applications that require retrieval of clear passwords, such as middle-tier authentication agents, the directory administrator needs to configure the server to perform either a two-way encrypting encryption on user passwords. In this instance, the clear passwords returned by the server are protected by the directory ACL mechanism.

Two-way encrypting formats are:

- None
- AES

A two-way encryption option, AES, is provided to allow values of the userPassword attribute to be encrypted in the directory and retrieved as part of an entry in the original clear format. It can be configured to use 128, 192, and 256-bit key lengths. Some applications such as middle-tier authentication servers require passwords to be retrieved in clear text format; however, corporate security policies might prohibit storing clear passwords in a secondary permanent storage. This option satisfies both requirements.

Additionally, when AES password encryption is used in a replicated network, if all servers are configured with the same AES passphrase and salt, password data will be replicated in its encrypted form, better protecting the password data. If a server does not support AES, or is configured with different AES information, passwords will be decrypted and replicated as clear text.

### Note:

1. AES is not supported on LDAP servers prior to IBM i 6.1. Specifically, replication of AES encrypted data is not supported on pre-6.1 LDAP server.
2. On other platforms, when the 'None' choice is selected, clear text passwords are stored in the database. If this server is participating in a network that includes the IBM Tivoli Directory Server on other platforms, it is recommended that one of the AES encryption options be used.

A simple bind will succeed if the password provided in the bind request matches any of the multiple values of the userPassword attribute.

When you configure the server using Web Administration, you can select one of the following encryption options:

**None**

Passwords are stored two-way encrypted in a validation list and are retrieved as part of an entry in the original clear text format. The QRETSVRSEC system value must be set to 1 to use this setting.

**crypt**

Passwords are encrypted by the UNIX crypt encrypting algorithm before they are stored in the directory. When crypt is used, only the 1st 8 characters of a password are used. Passwords longer than 8 characters are truncated.

**MD5**

Passwords are encrypted by the MD5 hash algorithm before they are stored in the directory.

**SHA-1**

Passwords are encrypted by the SHA-1 encrypting algorithm before they are stored in the directory.

**Salted SHA-1**

Passwords are encrypted by the Salted SHA-1 encrypting algorithm before they are stored in the directory.

**SHA-2**

Passwords are encrypted by the SHA-2 family of encrypting algorithm before they are stored in the directory. The supported encryption schemes under the SHA-2 family of encryption algorithm are:

- SHA-224
- SHA-256
- SHA-384
- SHA-512

**Salted SHA-2**

Passwords are encrypted by the Salted SHA-2 family of encrypting algorithm before they are stored in the directory. The supported encryption schemes under the Salted SHA-2 family of encryption algorithm are:

- SSHA-224
- SSHA-256
- SSHA-384
- SSHA-512

**AES128**

Passwords are encrypted by the AES128 algorithm before they are stored in the directory and are retrieved as part of an entry in the original clear format.

**AES192**

Passwords are encrypted by the AES192 algorithm before they are stored in the directory and are retrieved as part of an entry in the original clear format.

**AES256**

Passwords are encrypted by the AES256 algorithm before they are stored in the directory and are retrieved as part of an entry in the original clear format.

**Note:** The imask format that was available in previous releases is no longer an encryption option. However, any existing imask encrypted values still work.

The default option for the Tivoli Directory Server for IBM i is SHA-1, which is compatible with earlier releases and does not require setting an AES passphrase and salt.

In addition to `userPassword`, values of the `secretKey` attribute are always AES256 encrypted in the directory. Unlike `userPassword`, this encrypting is enforced for values of `secretKey`. No other option is provided. The `secretKey` attribute is an IBM defined schema. Applications may use this attribute to store sensitive data that need to be always encrypted in the directory and to retrieve the data in clear text format using the directory access control.

To change the type of encryption using the command line, for example changing to **crypt**, issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> -i <filename>
```

where <filename> contains:

```
dn: cn=configuration
changetype: modify
replace: ibm-slapdPWEncryption
ibm-slapdPWEncryption: crypt
```

To cause the updated settings to take effect dynamically, issue the following `ldapexop` command:

```
ldapexop -D <adminDN> -w <adminPW> -op readconfig -scope single
"cn=configuration" ibm-slapdPWEncryption
```

**Note:** To change the configuration, you must authenticate using a projected user DN and password for an IBM i user profile that has `*ALLOBJ` and `*IOSYSCFG` special authority. This is the same authority required to change the server configuration through other interfaces.

### Related tasks

[Setting password policy properties](#)

Use this information to set password policy properties.

### Groups and roles

Use groups and roles to organize and control the access or permissions of members.

A group is a list, a collection of names. A group can be used in **`aclentry`**, **`ibm-filterAclEntry`**, and **`entryowner`** attributes to control access or in application-specific uses such as a mailing list. Groups can be defined as either static, dynamic, or nested.

Roles are similar to groups in that they are represented in the directory by an object. Additionally, roles contain a group of DNs.

See the following for more information:

### Related concepts

[Access control lists](#)

Access control lists (ACLs) provide a means to protect information stored in a LDAP directory.

Administrators use ACLs to restrict access to different portions of the directory, or specific directory entries.

[User and group tasks](#)

Use this information to manage users and groups.

### Related tasks

[Adding groups](#)

Use this information to add groups.

[Creating groups](#)

Use this information to create groups.

### Static groups

A static group defines its members by listing them individually.

A static group defines each member individually using the structural objectclass **`groupOfNames`**, **`groupOfUniqueNames`**, **`accessGroup`**, or **`accessRole`**; or the auxiliary objectclass **`ibm-staticgroup`**. A static group using the **`groupOfNames`** or **`groupOfUniqueNames`** structural objectclasses must have at

least one member. A group using the **accessGroup** or **accessRole** structural objectclasses can be empty. A static group can also be defined using the auxiliary objectclass: **ibm-staticGroup**, which does not require the **member** attribute, and therefore can be empty.

A typical group entry is:

```
DN: cn=Dev.Staff,ou=Austin,c=US
   objectclass: accessGroup
   cn: Dev.Staff
   member: cn=John Doe,o=IBM,c=US
   member: cn=Jane Smith,o=IBM,c=US
   member: cn=James Smith,o=IBM,c=US
```

Each group object contains a multivalued attribute consisting of member DNs.

Upon deletion of an access group, the access group is also deleted from all ACLs to which it has been applied.

#### **Note:**

Referential integrity results in updates to the modifyTimeStamp of the group entry to which a member belongs. In a replication environment, ldap operations of type deletion, modrdn, or movement of a member entry from one tree to another invokes referential integrity on both Master (Supplier) and Replica (Consumer). To avoid any replication conflict that may arise because of group entries on master and replica bearing different time stamp values, the modifyTimeStamp of the affected group is set to the value of the modifyTimeStamp of the member entry that was affected in the last operation, subject to the modifyTimeStamp of the last operation being later than the existing modifyTimeStamp of the group.

#### **Dynamic groups**

A dynamic group defines its members using an LDAP search.

The dynamic group uses the structural objectclass **groupOfURLs** (or auxiliary objectclass **ibm-dynamicGroup**) and the attribute, **memberURL** to define the search using a simplified LDAP URL syntax.

```
ldap:///<base DN of search> ? ? <scope of search> ? <searchfilter>
```

**Note:** As the example illustrates, the host name must not be present in the syntax. The remaining parameters are just like normal ldap URL syntax. Each parameter field must be separated by a ?, even if no parameter is specified. Normally, a list of attributes to return would be included between the base DN and scope of the search. This parameter is also not used by the server when determining dynamic membership, and can be omitted, however, the separator ? must still be present.

where:

#### **base DN of search**

Is the point from which the search begins in the directory. It can be the suffix or root of the directory such as **ou=Austin**. This parameter is required.

#### **scope of search**

Specifies the extent of the search. The default scope is base.

##### **base**

Returns information only about the base DN specified in the URL

##### **one**

Returns information about entries one level below the base DN specified in the URL. It does not include the base entry.

##### **sub**

Returns information about entries at all levels below and includes the base DN.

#### **searchfilter**

Is the filter that you want to apply to the entries within the scope of the search. See the [ldapsearch filter option](#) for information about the syntax of the searchfilter. The default is `objectclass=*`

The search for dynamic members is always internal to the server, so unlike a full ldap URL, a host name and port number is never specified, and the protocol is always **ldap** (never **ldaps**). The **memberURL**

attribute can contain any kind of URL, but the server only uses **memberURLs** beginning with **ldap:///** to determine dynamic membership.

## Examples

A single entry in which the scope defaults to base and the filter defaults to `objectclass=*`:

```
ldap:///cn=John Doe, cn=Employees, o=Acme, c=US
```

All entries that are 1-level below `cn=Employees`, and the filter defaults to `objectclass=*`:

```
ldap:///cn=Employees, o=Acme, c=US??one
```

All entries that are under `o=Acme` with the `objectclass=person`:

```
ldap:///o=Acme, c=US??sub?objectclass=person
```

Depending on the object classes you use to define user entries, those entries might not contain attributes which are appropriate for determining group membership. You can use the auxiliary object class, **ibm-dynamicMember**, to extend your user entries to include the **ibm-group** attribute. This attribute allows you to add arbitrary values to your user entries to serve as targets for the filters of your dynamic groups. For example:

The members of this dynamic group are entries directly under the `cn=users,ou=Austin` entry that have an `ibm-group` attribute of `GROUP1`:

```
dn: cn=GROUP1,ou=Austin
objectclass: groupOfURLs
cn: GROUP1
memberURL: ldap:///cn=users,ou=Austin??one?(ibm-group=GROUP1)
```

Here is an example member of `cn=GROUP1,ou=Austin`:

```
dn: cn=Group 1 member, cn=users, ou=austin
objectclass: person
objectclass: ibm-dynamicMember
sn: member
userpassword: memberpassword
ibm-group: GROUP1
```

## Nested groups

The nesting of groups enables the creation of hierarchical relationships that can be used to define inherited group membership.

A nested group is defined as a child group entry whose DN is referenced by an attribute contained within a parent group entry. A parent group is created by extending one of the structural group object classes (**groupOfNames**, **groupOfUniqueNames**, **accessGroup**, **accessRole**, or **groupOfURLs**) with the addition of the **ibm-nestedGroup** auxiliary object class. After nested group extension, zero or more **ibm-memberGroup** attributes can be added, with their values set to the DNs of nested child groups. For example:

```
dn: cn=Group 2, cn=Groups, o=IBM, c=US
objectclass: groupOfNames
objectclass: ibm-nestedGroup
objectclass: top
cn: Group 2
description: Group composed of static, and nested members.
member: cn=Person 2.1, cn=Dept 2, cn=Employees, o=IBM, c=US
member: cn=Person 2.2, cn=Dept 2, cn=Employees, o=IBM, c=US
ibm-memberGroup: cn=Group 8, cn=Nested Static, cn=Groups, o=IBM, c=US
```

The introduction of cycles into the nested group hierarchy is not allowed. If it is determined that a nested group operation results in a cyclical reference, either directly or through inheritance, it is considered a constraint violation and therefore, the update to the entry fails.

## Hybrid groups

Hybrid group membership is described by a combination of static, dynamic, and nested member types.

For example:

```
dn: cn=Group 10, cn=Groups, o=IBM, c=US
objectclass: groupOfURLs
objectclass: ibm-nestedGroup
objectclass: ibm-staticGroup
objectclass: top
cn: Group 10
description: Group composed of static, dynamic, and nested members.
memberURL: ldap:///cn=Austin, cn=Employees, o=IBM, c=US??one?objectClass=person
ibm-memberGroup: cn=Group 9, cn=Nested Dynamic, cn=Groups, o=IBM, c=US
member: cn=Person 10.1, cn=Dept 2, cn=Employees, o=IBM, c=US
member: cn=Person 10.2, cn=Dept 2, cn=Employees, o=IBM, c=US
```

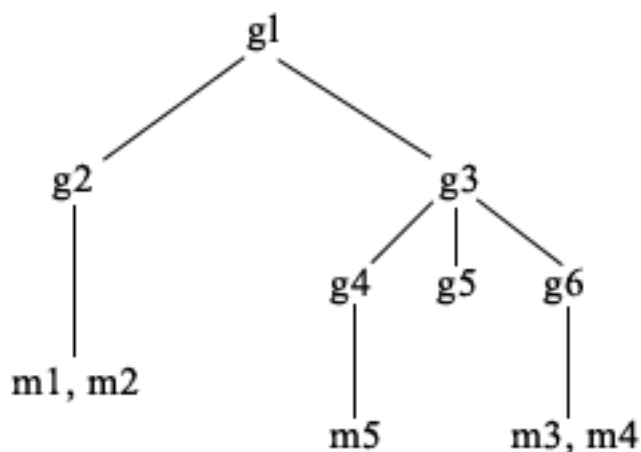
## Determining group membership

Two operational attributes can be used to query aggregate group membership.

For a given group entry, the **ibm-allMembers** operational attribute enumerates the aggregate set of group membership, including static, dynamic, and nested members, as described by the nested group hierarchy. For a given user entry, the **ibm-allGroups** operational attribute enumerates the aggregate set of groups, including ancestor groups, to which that user has membership.

A requester can only receive a subset of the total data requested, depending on how the ACLs have been set on the data. Anyone can request the **ibm-allMembers** and **ibm-allGroups** operational attributes, but the data set returned only contains data for the LDAP entries and attributes that the requester has access rights to. The user requesting the **ibm-allMembers** or **ibm-allGroups** attribute must have access to the **member** or **uniquemember** attribute values for the group and nested groups in order to see static members, and must be able to perform the searches specified in the **memberURL** attribute values in order to see dynamic members.

## Hierarchy examples



For this example, **m1** and **m2** are in the member attribute of **g2**. The ACL for **g2** allows **user1** to read the member attribute, but **user 2** does not have access to the member attribute. The entry LDIF for the **g2** entry is as follows:

```
dn: cn=g2, cn=groups, o=ibm, c=us
objectclass: accessGroup
cn: g2
member: cn=m1, cn=users, o=ibm, c=us
member: cn=m2, cn=users, o=ibm, c=us
aclentry: access-id: cn=user1, cn=users, o=ibm, c=us: normal: rsc
aclentry: access-id: cn=user2, cn=users, o=ibm, c=us: normal: rsc: at.member: deny: rsc
```

The **g4** entry uses the default aclentry, which allows both **user1** and **user2** to read its member attribute. The LDIF for the **g4** entry is as follows:

```
dn: cn=g4, cn=groups,o=ibm,c=us
objectclass: accessGroup
cn: g4
member: cn=m5, cn=users,o=ibm,c=us
```

The **g5** entry is a dynamic group, which gets its two members from the memberURL attribute. The LDIF for the **g5** entry is as follows:

```
dn: cn=g5, cn=groups,o=ibm,c=us
objectclass: container
objectclass: ibm-dynamicGroup
cn: g5
memberURL: ldap:///cn=users,o=ibm,c=us??sub?(|(cn=m3)(cn=m4))
```

The entries **m3** and **m4** are members of group **g5** because they match the **memberURL**. The ACL for the **m3** entry allows both **user1** and **user2** to search for it. The ACL for the **m4** entries doesn't allow **user2** to search for it. The LDIF for **m4** is as follows:

```
dn: cn=m4, cn=users,o=ibm,c=us
objectclass: person
cn: m4
sn: four
aclentry: access-id:cn=user1,cn=users,o=ibm,c=us:normal:rsc
aclentry: access-id:cn=user2,cn=users,o=ibm,c=us
```

#### Example 1:

User 1 does a search to get all the members of group **g1**. User 1 has access to all members, so they are all returned.

```
ldapsearch -D cn=user1,cn=users,o=ibm,c=us -w user1pwd -s base -b cn=g1,
           cn=groups,o=ibm,c=us objectclass=* ibm-allmembers

cn=g1,cn=groups,o=ibm,c=us
ibm-allmembers: CN=M1,CN=USERS,O=IBM,C=US
ibm-allmembers: CN=M2,CN=USERS,O=IBM,C=US
ibm-allmembers: CN=M3,CN=USERS,O=IBM,C=US
ibm-allmembers: CN=M4,CN=USERS,O=IBM,C=US
ibm-allmembers: CN=M5,CN=USERS,O=IBM,C=US
```

#### Example 2:

User 2 does a search to get all the members of group **g1**. User 2 does not have access to members **m1** or **m2** because they do not have access to the member attribute for group **g2**. User 2 has access to the member attribute for **g4** and therefore has access to member **m5**. User 2 can perform the search in the group **g5** memberURL for entry **m3**, so that member are listed, but cannot perform the search for **m4**.

```
ldapsearch -D cn=user2,cn=users,o=ibm,c=us -w user2pwd -s base -b cn=g1,
           cn=groups,o=ibm,c=us objectclass=* ibm-allmembers

cn=g1,cn=groups,o=ibm,c=us
ibm-allmembers: CN=M3,CN=USERS,O=IBM,C=US
ibm-allmembers: CN=M5,CN=USERS,O=IBM,C=US
```

#### Example 3:

User 2 does a search to see if **m3** is a member of group **g1**. User 2 has access to do this search, so the search shows that **m3** is a member of group **g1**.

```
ldapsearch -D cn=user2,cn=users,o=ibm,c=us -w user2pwd -s base -b cn=m3,
           cn=users,o=ibm,c=us objectclass=* ibm-allgroups

cn=m3,cn=users,o=ibm,c=us
ibm-allgroups: CN=G1,CN=GROUPS,O=IBM,C=US
```

#### Example 4:

User 2 does a search to see if **m1** is a member of group **g1**. User 2 does not have access to the member attribute, so the search does not show that **m1** is a member of group **g1**.

```
ldapsearch -D cn=user2,cn=users,o=ibm,c=us -w user2pwd -s base -b
cn=m1,cn=users,o=ibm,c=us objectclass=* ibm-allgroups

cn=m1,cn=users,o=ibm,c=us
```

#### Group object classes for nested and dynamic groups

A list of group object classes for nested and dynamic groups.

##### **ibm-dynamicGroup**

This auxiliary class allows the optional **memberURL** attribute. Use it with a structural class such as **groupOfNames** to create a hybrid group with both static and dynamic members.

##### **ibm-dynamicMember**

This auxiliary class allows the optional **ibm-group** attribute. Use it as a filter attribute for dynamic groups.

##### **ibm-nestedGroup**

This auxiliary class allows the optional **ibm-memberGroup** attribute. Use it with a structural class such as **groupOfNames** to enable sub-groups to be nested within the parent group.

##### **ibm-staticGroup**

This auxiliary class allows the optional **member** attribute. Use it with a structural class such as **groupOfURLs** to create a hybrid group with both static and dynamic members.

**Note:** The **ibm-staticGroup** is the only class for which **member** is *optional*, all other classes taking **member** require at least 1 member.

#### Group attribute types

A list of group attribute types.

##### **ibm-allGroups**

Shows all groups to which an entry belongs. An entry can be a member directly by the **member**, **uniqueMember**, or **memberURL** attributes, or indirectly by the **ibm-memberGroup** attribute. This **Read-only** operational attribute is not allowed in a search filter. The **ibm-allGroups** attribute can be used in a compare request to determine if an entry is a member of given group. For example, to determine if "cn=john smith,cn=users,o=my company" is a member of the group "cn=system administrators,o=my company":

```
rc = ldap_compare_s(ld, "cn=john smith,cn=users,o=my company", "ibm-allgroups",
"cn=system administrators,o=my company");
```

##### **ibm-allMembers**

Shows all members of a group. An entry can be a member directly by the **member**, **uniqueMember**, or **memberURL** attributes, or indirectly by the **ibm-memberGroup** attribute. This **Read-only** operational attribute is not allowed in a search filter. The **ibm-allMembers** attribute can be used in a compare request to determine if a DN is a member of given group. For example, to determine if "cn=john smith,cn=users,o=my company" is a member of the group "cn=system administrators,o=my company":

```
rc = ldap_compare_s(ld, "cn=system administrators,o=my company", "ibm-allmembers",
"cn=john smith,cn=users,o=my company");
```

##### **ibm-group**

Is an attribute taken by the auxiliary class **ibm-dynamicMember**. Use it to define arbitrary values to control membership of the entry in dynamic groups. For example, add the value "Bowling Team" to include the entry in any **memberURL** that has the filter "ibm-group=Bowling Team".

##### **ibm-memberGroup**

Is an attribute taken by the auxiliary class **ibm-nestedGroup**. It identifies sub-groups of a parent group entry. Members of all such sub-groups are considered members of the parent group when



processing ACLs or the **ibm-allMembers** and **ibm-allGroups** operational attributes. The sub-group entries themselves are *not* members. Nested membership is recursive.

#### **member**

Identifies the distinguished names for each member of the group. For example: `member: cn=John Smith, dc=ibm, dc=com.`

#### **memberURL**

Identifies a URL associated with each member of a group. Any type of labeled URL can be used. For example: `memberURL: ldap:///cn=jsmith,dc=ibm,dc=com.`

#### **uniquemember**

Identifies a group of names associated with an entry where each name was given a uniqueIdentifier to ensure its uniqueness. A value for the uniqueMember attribute is a DN followed by the uniqueIdentifier. For example: `uniqueMember: cn=John Smith, dc=ibm, dc=com 17.`

#### **Roles**

Role-based authorization is a conceptual complement to the group-based authorization.

As a member of a role, you have the authority to do what is needed for the role in order to accomplish a job. Unlike a group, a role comes with an implicit set of permissions. There is not a built-in assumption about what permissions are gained (or lost) by being a member of a group.

Roles are similar to groups in that they are represented in the directory by an object. Additionally, roles contain a group of DNs. Roles which are to be used in access control must have an objectclass of 'AccessRole'. The 'Accessrole' objectclass is a subclass of the 'GroupOfNames' objectclass.

For example, if there are a collection of DNs such as 'sys admin', your first reaction might be to think of them as the 'sys admin group' (since groups and users are the most familiar types of privilege attributes). However, since there are a set of permissions that you would expect to receive as a member of 'sys admin' the collection of DNs can be more accurately defined as the 'sys admin role'.

#### **Administrative access**

Use administrative access to control access to specific administrative tasks.

The IBM directory server allows the following types of administrative access:

- **Projected IBM i administrator:** A client authenticated as a projected user (an LDAP entry representing an operating system user profile) with \*ALLOBJ and \*IOSYSCFG special authorities has authority to change the directory configuration using LDAP interfaces (the cn=configuration subtree, or the Web administration tool "Server administration" tasks), as well as act as an LDAP administrator for other directory entries (entries stored in one of the DB2 suffixes or the schema). Only projected IBM i administrators can change the server configuration.
- **LDAP administrator:** The Directory Server allows a single user ID (DN) to be the primary LDAP server administrator. The Directory Server also allows projected operating system user profiles to be LDAP administrators. The LDAP server administrators can perform a long list of administrative tasks such as managing replication, schema, and directory entries.
- **Group of administrative users:** A projected IBM i administrator and LDAP administrator can appoint several users to be in the administrative group. Administrative group members are users that have been assigned a subset of administrative privileges. The administrative group is a way for the directory administrator to delegate a limited set of administrative tasks to one or more individual user accounts. Server administrative group members are explicitly assigned various roles that define the tasks that a group member is authorized to perform. These administrative roles include such specialized roles as Password Administrator and Replication Administrator. For more information, see "Adding, editing, and removing administrative group members".

#### **Related concepts**

[Administrative group tasks](#)

Use this information to manage administrative groups.

[Administrator and replica bind DNs](#)

You can specify a projected user profile as the configured administrator or replica bind DN. The password of the user profile is used.

### Related tasks

[Granting administrator access to projected users](#)

Use this information to grant administrator access to user profiles.

### Administrative Roles

While configuring an administrative group member, the root administrator has to explicitly assign an administrative role to the member.

The roles that can be assigned to an administrative member are given below:

- Audit administrator (AuditAdmin) - Members of the administrative group who are assigned the Audit Administrator role have unrestricted access to:
  - Audit log
  - All other server logs
  - Default log management settings (cn=Default, cn=Log Management, cn=Configuration)
- Directory Data Administrator (DirDataAdmin) - Members of the administrative group who are assigned this role will gain unrestricted access to all the entries in the RDBM back-end. However, for setting the password attribute of RDBM entries, members will still have to follow the usual password policy rules that are in effect.
- No administrator (NoAdmin) - If the root administrator assigns No Administrator role to the configuration file users, then the users will cease to have any administrative privileges. By defining this role the root administrator can revoke all the administrative privileges of an administrative group member
- Password administrator (PasswordAdmin) - Members of the administrative group who are assigned the Password Administrator role are authorized to unlock other user's accounts or change passwords of users in RDBM back-end. However they are not authorized to change passwords of Global Administrative Group Member accounts although they can unlock their accounts. Also, they are not restrained by password policy constraints that are set on the server. They can also add and delete the userpassword field of entries in RDBM back-end but are not allowed to make changes to users defined in the configuration file. The changes made by users who are assigned this role are not affected by ACLs. However, when users change their own password, the usual administration password policy rules will apply to the new password.
- Replication administrator (ReplicationAdmin) - Members of the administrative group who are assigned the Replication Administrator role are authorized to update replication topology objects. The changes made by members with this role are not affected by ACLs or any other configuration file settings.
- Schema administrator (SchemaAdmin) - Members of the administrative group who are assigned the Schema Administrator role have unrestricted access to schema back-end only.

The following table gives cross references of various extended operations that administrative group members are allowed to issue.

| Extended Operations  | Audit Admin | Directory Data Admin | Replication Admin | Schema Admin | Password Admin | No Admin |
|--|-------------|----------------------|-------------------|--------------|----------------|----------|
| Start TLS - Request to start Transport Layer Security. OID = 1.3.6.1.4.1.1466.20037  | Yes         | Yes                  | Yes               | Yes          | Yes            | Yes      |
| Event Registration - Request registration for events in SecureWay® V3.2 Event support. OID = 1.3.18.0.2.12.1                         | Yes         | Yes                  | Yes               | Yes          | Yes            | Yes      |
| Event Unregister - Request Unregister for events that were registered for using an Event Registration Request. OID = 1.3.18.0.2.12.3 | Yes         | Yes                  | Yes               | Yes          | Yes            | Yes      |

| <b>Extended Operations</b>  | <b>Audit Admin</b> | <b>Directory Data Admin</b> | <b>Replication Admin</b> | <b>Schema Admin</b> | <b>Password Admin</b> | <b>No Admin</b> |
|---|--------------------|-----------------------------|--------------------------|---------------------|-----------------------|-----------------|
| Begin Transaction - Begin a Transactional context for SecureWay V3.2. OID = 1.3.18.0.2.12.5   | Yes                | Yes                         | Yes                      | Yes                 | Yes                   | Yes             |
| End Transaction - End Transactional context (commit/rollback) for SecureWay V3.2. OID = 1.3.18.0.2.12.6   | Yes                | Yes                         | Yes                      | Yes                 | Yes                   | Yes             |
| Cascading Control Replication - This operation performs the requested action on the server it is issued to and cascades the call to all consumers beneath it in the replication topology. OID = 1.3.18.0.2.12.15  | No                 | Yes                         | Yes                      | No                  | No                    | No              |
| Control Replication - This operation is used to force immediate replication, suspend replication, or resume replication by a supplier. This operation is allowed only when the client has update authority to the replication agreement. OID = 1.3.18.0.2.12.16                                     | No                 | Yes                         | Yes                      | No                  | No                    | No              |
| Control Replication Queue - This operation marks items as "already replicated" for a specified agreement. This operation is allowed only when the client has update authority to the replication agreement. OID = 1.3.18.0.2.12.17  | No                 | Yes                         | Yes                      | No                  | No                    | No              |
| Quiesce or Unquiesce Server - This operation puts the subtree into a state where it does not accept client updates (or terminates this state), except for updates from clients authenticated as directory administrators where the Server Administration control is present. OID = 1.3.18.0.2.12.19 | No                 | Yes                         | Yes                      | No                  | No                    | No              |
| Clear Log Request - Request to Clear log file. OID = 1.3.18.0.2.12.20   | Yes                | No                          | No                       | No                  | No                    | No              |
| Get Lines Request - Request to get lines from a log file. OID = 1.3.18.0.2.12.22  | Yes                | Yes                         | Yes                      | Yes                 | Yes                   | No              |
| Number of Lines Request - Request number of lines in a log file. OID = 1.3.18.0.2.12.24   | Yes                | Yes                         | Yes                      | Yes                 | Yes                   | No              |
| Update Configuration Request - Request to update server configuration for IBM Directory Server. OID = 1.3.18.0.2.12.28  | Yes                | No                          | Yes                      | No                  | No                    | No              |
| DN Normalization Request - Request to normalize a DN or a sequence of DNs. OID = 1.3.18.0.2.12.30   | Yes                | Yes                         | Yes                      | Yes                 | Yes                   | Yes             |
| Kill Connection Request - Request to kill connections on the server. The request can be to kill all connections or kill connections by bound DN, IP, or a bound DN from a particular IP. OID = 1.3.18.0.2.12.35   | No                 | Yes                         | No                       | No                  | No                    | No              |
| User Type Request - Request to get the User Type of the bound user. OID = 1.3.18.0.2.12.37  | Yes                | Yes                         | Yes                      | Yes                 | Yes                   | Yes             |

| <b>Extended Operations</b>  | <b>Audit Admin</b> | <b>Directory Data Admin</b> | <b>Replication Admin</b> | <b>Schema Admin</b> | <b>Password Admin</b> | <b>No Admin</b> |
|---|--------------------|-----------------------------|--------------------------|---------------------|-----------------------|-----------------|
| Group Evaluation - This operation is used in a distributed directory environment to determine all groups that a particular DN is a member of. OID = 1.3.18.0.2.12.50  | No                 | Yes                         | No                       | No                  | No                    | No              |
| Topology Replication - This operation is used to replicate the objects that define the topology of a particular replication context, such as the replication agreements for that context. Any user with update rights to the Replication Group Entry of the context is allowed to issue this extended operation. OID = 1.3.18.0.2.12.54 | No                 | Yes                         | Yes                      | No                  | No                    | No              |
| Event Update - Request to reinitialize the event notification configuration (this operation can only be initiated by the server, not any user). OID = 1.3.18.0.2.12.31  | No                 | No                          | No                       | No                  | No                    | No              |
| Log Access Update - Request to reinitialize the log access plugin configuration (this operation can only be initiated by the server, not any user). OID = 1.3.18.0.2.12.32  | No                 | No                          | No                       | No                  | No                    | No              |
| Unique Attributes - Request to get the duplicate values for an attribute. OID = 1.3.18.0.2.12.44  | No                 | Yes                         | No                       | No                  | No                    | No              |
| Account Status - This operation is used to determine if an account is locked by password policy. OID = 1.3.18.0.2.12.58   | No                 | Yes                         | No                       | No                  | No                    | No              |
| Get Attributes Type - Request attributes types. OID = 1.3.18.0.2.12.46  | No                 | Yes                         | No                       | Yes                 | No                    | No              |

The following table gives cross references of various objects that different administrative group members are allowed to access.

*Table 3. Permissions assigned to Administrative roles for accessing various objects*

|                                     | <b>Audit Settings / Audit logs</b> |              | <b>RDBM Backend</b> |              | <b>Replication Objects</b> |              | <b>Schema Backend</b> |              | <b>Configuration Backend</b> |              |
|-------------------------------------|------------------------------------|--------------|---------------------|--------------|----------------------------|--------------|-----------------------|--------------|------------------------------|--------------|
|                                     | <b>Read</b>                        | <b>Write</b> | <b>Read</b>         | <b>Write</b> | <b>Read</b>                | <b>Write</b> | <b>Read</b>           | <b>Write</b> | <b>Read</b>                  | <b>Write</b> |
| <b>Audit Administrator</b>          | Yes                                | Yes          | No**                | No           | No**                       | No           | Yes                   | No           | Yes                          | No           |
| <b>Directory Data Administrator</b> | No                                 | No           | Yes                 | Yes          | Yes                        | Yes          | Yes                   | No           | Yes                          | No           |
| <b>Replication Administrator</b>    | No                                 | No           | No**                | No**         | Yes                        | Yes          | Yes                   | No           | Yes                          | No           |
| <b>Schema Administrator</b>         | No                                 | No           | No**                | No           | No**                       | No           | Yes                   | Yes          | Yes                          | No           |
| <b>Password Administrator</b>       | No                                 | No           | No**                | Yes**        | No**                       | No           | Yes                   | No           | Yes                          | No           |
| <b>No Administrator</b>             | No                                 | No           | No**                | No**         | No                         | No           | Yes                   | No           | Yes                          | No           |

- \*\* - For access to these objects the administrative roles give no special authority, but the user may still have access through normal ACL evaluation.

**Note:** Proxy will treat the admin group members having any administrative role as anonymous and will accordingly apply access rules.

### **Proxy authorization**

The proxy authorization is a special form of authentication. By using this proxy authorization mechanism, a client application can bind to the directory with its own identity but is allowed to perform operations on behalf of another user to access the target directory. A set of trusted applications or users can access the Directory Server on behalf of multiple users.

The members in the proxy authorization group can assume any authenticated identities except for the administrator or members of the administrative group.

The proxy authorization group can be stored under either localhost or IBMpolicies. A proxy authorization group under IBMpolicies is replicated; a proxy authorization group under localhost is not. You can store the proxy authorization group under both localhost and IBMpolicies. If the proxy group is not stored under one of these DNs, the server ignores the proxy part of the group and treats it as a normal group.

As an example, a client application, client1, can bind to the Directory Server with a high level of access permissions. UserA with limited permissions sends a request to the client application. If the client is a member of the proxy authorization group, instead of passing the request to the Directory Server as client1, it can pass the request as UserA using the more limited level of permissions. What this means is that instead of performing the request as client1, the application server can access only that information or perform only those actions that UserA is able to access or perform. It performs the request on behalf of or as a proxy for UserA.

**Note:** The attribute member must have its value in the form of a DN. Otherwise an Invalid DN syntax message is returned. A group DN is not permitted to be a member of the proxy authorization group.

Administrators and administrative group members are not permitted to be members of the proxy authorization group. The audit log records both the bind DN and the proxy DN for each action performed using proxy authorization.

### **Related concepts**

#### Proxy authorization group tasks

Use this information to manage proxy authorization groups.

### **Access control lists**

Access control lists (ACLs) provide a means to protect information stored in a LDAP directory. Administrators use ACLs to restrict access to different portions of the directory, or specific directory entries.

Changes to each entry and attribute in the directory can be controlled by using ACLs. An ACL for a given entry or attribute can be inherited from its parent entry or can be explicitly defined.

It is best to design your access control strategy by creating groups of users that you will use when setting the access for objects and attributes. Set ownership and access at the highest level in the tree possible and let the controls inherit down the tree.

The operational attributes associated with access control, such as entryOwner, ownerSource, ownerPropagate, aclEntry, aclSource and aclPropagate are unusual in that they are logically associated with each object, but can have values that depend on other objects higher in the tree. Depending on how they are established, these attribute values can be explicit to an object or inherited from an ancestor.

The access control model defines two sets of attributes: the Access Control Information (ACI) and the entryOwner information. The ACI defines the access rights given to a specified subject with respect to the operations they can perform on the objects to which they apply. The aclEntry and aclPropagate attributes apply to the ACI definition. The entryOwner information defines which subjects can define the ACI for the associated entry object. The entryOwner and ownerPropagate attributes apply to the entryOwner definition.

There are two kinds of access control lists that you can choose from: filter-based ACLs and non-filtered ACLs. Non-filtered ACLs apply explicitly to the directory entry that contains them, but can be propagated to none, or all of its descendant entries. Filter-based ACLs differ in that they employ a filter-based

comparison, using a specified object filter, to match target objects with the effective access that applies to them.

Using ACLs, administrators can restrict access to different portions of the directory, specific directory entries and, based on the attribute name or attribute access class, the attributes contained in the entries. Each entry within the LDAP directory has a set of associated ACI. In conformance with the LDAP model, the ACI and entryOwner information is represented as attribute-value pairs. Furthermore, the LDIF syntax is used to administer these values. The attributes are:

- aclEntry
- aclPropagate
- ibm-filterAclEntry
- ibm-filterAclInherit
- entryOwner
- ownerPropagate

For additional information, see the following:

### **Related concepts**

#### Groups and roles

Use groups and roles to organize and control the access or permissions of members.

#### Access control list (ACL) tasks

Use this information to manage access control lists (ACLs).

#### Operational attributes

There are several attributes that have special meaning to the Directory Server known as operational attributes. These are attributes that are maintained by the server and either reflect information the server manages about an entry or affect server operation.

#### Editing access control lists

Use this information to manage access control lists (ACLs).

#### Editing ACLs on the realm

Use this information to edit ACLs on the realm.

### **Related tasks**

#### Editing ACLs on the template

Use this information to edit ACLs on the template.

### ***Filtered access control lists***

Filter-based ACL (access control lists) employ a filter-based comparison, using a specified object filter, to match target objects with the effective access that applies to them.

Filter-based ACLs inherently propagate to any comparison matched objects in the associated subtree. For this reason, the aclPropagate attribute, which is used to stop propagation of non-filter ACLs, does not apply to the new filter-based ACLs.

The default behavior of filter-based ACLs is to accumulate from the lowest containing entry, upward along the ancestor entry chain, to the highest containing entry in the DIT. The effective access is calculated as the union of the access rights granted, or denied, by the constituent ancestor entries. There is an exception to this behavior. For compatibility with the subtree replication function, and to allow greater administrative control, a ceiling attribute is used as a means to stop accumulation at the entry in which it is contained.

A new set of access control attributes are used specifically for filter-based ACL support, rather than merging filter-based characteristics into the existing non-filter based ACLs. The attributes are:

- ibm-filterAclEntry
- ibm-filterAclInherit

The `ibm-filterAclEntry` attribute has the same format as `aclEntry`, with the addition of an object filter component. The associated ceiling attribute is `ibm-filterAclInherit`. By default it is set to true. When set to false, it terminates the accumulation.

## Related concepts

### Propagation

When an entry does not have `aclEntry` or `entryOwner` explicitly defined, it is inherited from an ancestor or propagated down the tree.

### The access control attribute syntax

The access control list (ACL) attributes can be managed using LDAP data interchange format (LDIF) notation. The syntax for the new filter-based ACL attributes are modified versions of the current non-filter-based ACL attributes.

The following defines the syntax for the access control information (ACI) and `entryOwner` attributes using baccus naur form (BNF).

```
<aclEntry> ::= <subject> [ ":" <rights> ]
<aclPropagate> ::= "true" | "false"
```

```
<ibm-filterAclEntry> ::= <subject> ":" <object filter> [ ":" <rights> ]
<ibm-filterAclInherit> ::= "true" | "false"
```

```
<entryOwner> ::= <subject>
<ownerPropagate> ::= "true" | "false"
<subject> ::= <subjectDnType> ':' <subjectDn> |
              <pseudoDn>
<subjectDnType> ::= "role" | "group" | "access-id"
<subjectDn> ::= <DN>
<DN> ::= distinguished name as described in RFC 2251, section 4.1.3.
<pseudoDn> ::= "group:cn=anybody" | "group:cn=authenticated" |
              "access-id:cn=this"
```

```
<object filter> ::= string search filter as defined in RFC 2254, section 4
                  (extensible matching is not supported).
```

```
<rights> ::= <accessList> [ ":" <rights> ]
<accessList> ::= <objectAccess> | <attributeAccess> |
                 <attributeClassAccess>
<objectAccess> ::= "object:" [<action> ":" ] <objectPermissions>
<action> ::= "grant" | "deny"
<objectPermissions> ::= <objectPermission> [ <objectPermissions> ]
<objectPermission> ::= "a" | "d" | ""
<attributeAccess> ::= "at." <attributeName> ":" [<action> ":" ]
                    <attributePermissions>
<attributeName> ::= attributeType name as described in RFC 2251, section 4.1.4.
                  (OID or alpha-numeric string with leading
                   alphabet, "-" and ";" allowed)
<attributePermissions> ::= <attributePermission>
                          [<attributePermissions>]
<attributePermission> ::= "r" | "w" | "s" | "c" | ""
<attributeClassAccess> ::= <class> ":" [<action> ":" ]
                          <attributePermissions>
```

```
<class> ::= "normal" | "sensitive" | "critical" | "system" | "restricted"
```

## Subject

A subject (the entity requesting access to operate on an object) consists of the combination of a DN (Distinguished Name) type and a DN. The valid DN types are: access-id, Group and Role.

The DN identifies a particular access-id, role or group. For example, a subject might be access-id: cn=personA, o=IBM or group: cn=deptXYZ, o=IBM.

Because the field delimiter is the colon (:), a DN containing colons must be surrounded by double-quotation marks ("" ). If a DN already contains characters with double-quotation marks, these characters must be escaped with a backslash (\).

All directory groups can be used in access control.

**Note:** Any group of **AccessGroup**, **GroupOfNames**, **GroupofUniqueNames**, or **groupOfURLs** structural objectclasses or the **ibm-dynamicGroup**, **ibm-staticGroup** auxiliary objectclasses can be used for access control.

Another DN type used within the access control model is role. While roles and groups are similar in implementation, conceptually they are different. When a user is assigned to a role, there is an implicit expectation that the necessary authority has already been set up to perform the job associated with that role. With group membership, there is no built in assumption about what permissions are gained (or denied) by being a member of that group.

Roles are similar to groups in that they are represented in the directory by an object. Additionally, roles contain a group of DNs. Roles that are used in access control must have an objectclass of **AccessRole**.

## Pseudo DN

The LDAP directory contains several pseudo DNs. These are used to refer to large numbers of DNs which at bind time share a common characteristic, in relation to either the operation being performed, or the target object on which the operation is being performed.

Currently, three pseudo DNs are defined:

### **group:cn=anybody**

Refers to all subjects, including those that are unauthenticated. All users belong to this group automatically.

### **group:cn=authenticated**

Refers to any DN which has been authenticated to the directory. The method of authentication is not considered.

### **access-id:cn=this**

Refers to the bind Dn which matches the target object's DN on which the operation is performed.

## Object filter

This parameter applies to filtered ACLs only. The string search filter as defined in RFC 2254, is used as the object filter format. Because the target object is already known, the string is not used to perform an actual search. Instead, a filter-based compare on the target object in question is performed to determine if a given set of `ibm-filterAclEntry` values apply to it.

## Rights

Access rights can apply to an entire object or to attributes of the object. The LDAP access rights are discrete. One right does not imply another right. The rights can be combined together to provide the desired rights list following a set of rules discussed later. Rights can be of an unspecified value, which indicates that no access rights are granted to the subject on the target object. The rights consist of three parts:



**Action:**

Defined values are **grant** or **deny**. If this field is not present, the default is set to **grant**.

**Permission:**

There are six basic operations that can be performed on a directory object. From these operations, the base set of ACI permissions are taken. These are: add an entry, delete an entry, read an attribute value, write an attribute value, search for an attribute, and compare an attribute value.

The possible attribute permissions are: read ( r ), write ( w ), search ( s ), and compare ( c ). Additionally, object permissions apply to the entry as a whole. These permissions are add child entries ( a ) and delete this entry ( d ).

The following table summarizes the permissions needed to perform each of the LDAP operations.

| Operation   | Permission Needed  |
|-------------|--|
| ldapadd     | add (on parent)  |
| ldapdelete  | delete (on object)   |
| ldapmodify  | write (on attributes being modified)   |
| ldapsearch  | <ul style="list-style-type: none"> <li>• search, read (on attributes in RDN)</li> <li>• search (on attributes specified in the search filter)</li> <li>• search (on attributes returned with just names)</li> <li>• search, read (on attributes returned with values)</li> </ul> |
| ldapmodrdn  | write (on RDN attributes)  |
| ldapcompare | compare (on compared attribute)  |

**Note:** For search operations, the subject is required to have search access to all the attributes in the search filter or no entries are returned. For returned entries from a search, the subject is required to have search and read access to all the attributes in the RDN of the returned entries or these entries are not returned.

**Access Target:**

These permissions can be applied to the entire object (add child entry, delete entry), to an individual attribute within the entry, or can be applied to groups of attributes (Attribute Access Classes) as described in the following.

Attributes requiring similar permissions for access are grouped together in classes. Attributes are mapped to their attribute classes in the directory schema file. These classes are discrete; access to one class does not imply access to another class. Permissions are set with regard to the attribute access class as a whole. The permissions set on a particular attribute class apply to all attributes within that access class unless the individual attribute access permissions are specified.

IBM defines three attribute classes that are used in evaluation of access to user attributes: **normal**, **sensitive**, and **critical**. For example, attribute **commonName** falls into the normal class, and attribute **userpassword** belongs to the critical class. User defined attributes belong to the normal access class unless otherwise specified.

Two other access classes are also defined: system and restricted. The system class attributes are:

- **creatorsName**
- **modifiersName**
- **createTimestamp**
- **modifyTimestamp**
- **ownerSource**

- **aclSource**

These are attributes maintained by the LDAP server and are read-only to the directory users. **OwnerSource** and **aclSource** are described in the Propagation topic.

The restricted class of attributes that define the access control are:

- **aclEntry**
- **aclPropagate**
- **entryOwner**
- **ownerPropagate**
- **ibm-filterAclEntry**
- **ibm-filterAclInherit**
- **ibm-effectiveAcl**

All users have read access to the restricted attributes but only **entryOwners** can create, change, and delete these attributes.

**Note:** The attribute, **ibm-effectiveAcl**, is read-only.

### **Related concepts**

#### Propagation

When an entry does not have **aclEntry** or **entryOwner** explicitly defined, it is inherited from an ancestor or propagated down the tree.

#### **EntryOwner**

The entry owners have complete permissions to perform any operation on the object regardless of the **aclEntry**.

Additionally, the entry owners are the only ones who are permitted to administer the **aclEntries** for that object. **EntryOwner** is an access control subject, it can be defined as individuals, groups or roles.

**Note:** The directory administrator is one of the **entryOwners** for all objects in the directory by default, and the directory administrator's **entryOwnership** cannot be removed from any object.

#### **Propagation**

When an entry does not have **aclEntry** or **entryOwner** explicitly defined, it is inherited from an ancestor or propagated down the tree.

Entries on which an **aclEntry** has been placed are considered to have an explicit **aclEntry**. Similarly, if the **entryOwner** has been set on a particular entry, that entry has an explicit owner. The two are not intertwined, an entry with an explicit owner may or may not have an explicit **aclEntry**, and an entry with an explicit **aclEntry** might have an explicit owner. If either of these values is not explicitly present on an entry, the missing value is inherited from an ancestor node in the directory tree.

Each explicit **aclEntry** or **entryOwner** applies to the entry on which it is set. Additionally, the value might apply to all descendants that do not have an explicitly set value. These values are considered propagated; their values propagate through the directory tree. Propagation of a particular value continues until another propagating value is reached.

**Note:** Filter-based ACLs do not propagate in the same way that non-filter-based ACLs do. They propagate to any comparison matched objects in the associated subtree.

**AclEntry** and **entryOwner** can be set to apply to just a particular entry with the propagation value set to "false", or an entry and its subtree with the propagation value set to "true". Although both **aclEntry** and **entryOwner** can propagate, their propagation is not linked in anyway.

The **aclEntry** and **entryOwner** attributes allow multi-values, however, the propagation attributes (**aclPropagate** and **ownerPropagate**) can only have a single value for all **aclEntry** or **entryOwner** attribute values within the same entry.

The system attributes **aclSource** and **ownerSource** contain the DN of the effective node from which the **aclEntry** or **entryOwner** are evaluated, respectively. If no such node exists, the value **default** is assigned.

An object's effective access control definitions can be derived by the following logic:

- If there is a set of explicit access control attributes at the object, then that is the object's access control definition.
- If there is no explicitly defined access control attributes, then traverse the directory tree upwards until an ancestor node is reached with a set of propagating access control attributes.
- If no such ancestor node is found, the default access described below is granted to the subject.

The directory administrator is the entry owner. The pseudo group `cn=anybody` (all users) is granted read, search, and compare access to attributes in the `normal` access class.

### Related concepts

[Filtered access control lists](#)

Filter-based ACL (access control lists) employ a filter-based comparison, using a specified object filter, to match target objects with the effective access that applies to them.

### Access evaluation

Access for a particular operation is granted or denied based on the subject's bind DN for that operation on the target object. Processing stops as soon as access can be determined.

The checks for access are done by first finding the effective **entryOwnership** and **ACI** definition, checking for entry ownership, and then by evaluating the object's ACI values.

Filter-based ACLs accumulate from the lowest containing entry, upward along the ancestor entry chain, to the highest containing entry in the DIT. The effective access is calculated as the union of the access rights granted, or denied, by the constituent ancestor entries. The existing set of specificity and combinatory rules are used to evaluate effective access for filter based ACLs.

Filter-based and non-filter-based attributes are mutually exclusive within a single containing directory entry. Placing both types of attributes into the same entry is not allowed, and is a constraint violation. Operations associated with the creation of, or updates to, a directory entry fail if this condition is detected.

When calculating effective access, the first ACL type to be detected in the ancestor chain of the target object entry sets the mode of calculation. In filter-based mode, non-filter-based ACLs are ignored in effective access calculation. Likewise, in non-filter-based mode, filter-based ACLs are ignored in effective access calculation.

To limit the accumulation of filter-based ACLs in the calculation of effective access, an **ibm-filterAclInherit** attribute set to a value of "false" can be placed in any entry between the highest and lowest occurrence of **ibm-filterAclEntry** in a given subtree. This causes the subset of **ibm-filterAclEntry** attributes above it in the target object's ancestor chain to be ignored.

In filter-based ACL mode, if no filter-based ACL applies, the default ACL applies (`cn=anybody` is granted read, search, and compare access to attributes in the `normal` access class). This situation can occur when the entry being accessed does not match any of the filters specified in the **ibm-filterAclEntry** values. You might want to specify a default filter ACL like the following if you do not want this default access control to apply:

```
ibm-filterAclEntry: group:cn=anybody:(objectclass=*):
```

This example grants no access. Change it to provide the access you want applied.

By default, the directory administrator and the master server or the peer server (for replication) get full access rights to all objects in the directory except write access to system attributes. Other **entryOwners** get full access rights to the objects under their ownership except write access to system attributes. All users have read access rights to system and restricted attributes. These predefined rights cannot be altered. If the requesting subject has **entryOwnership**, access is determined by the above default settings and access processing stops.

If the requesting subject is not an entryOwner, then the ACI values for the object entries are checked. The access rights as defined in the ACIs for the target object are calculated by the specificity and combinatory rules.

### Specificity rule

The most specific **aclEntry** definitions are the ones used in the evaluation of permissions granted/denied to a user. The levels of specificity are:

- Access-id is more specific than group or role. Groups and roles are on the same level.
- Within the same **dnType** level, individual attribute level permissions are more specific than attribute class level permissions.
- Within the same attribute or attribute class level, **deny** is more specific than **grant**.

### Combinatory rule

Permissions granted to subjects of equal specificity are combined. If the access cannot be determined within the same specificity level, the access definitions of lesser specific level are used. If the access is not determined after all defined ACIs are applied, the access is denied.

**Note:** After a matching access-id level **aclEntry** is found in access evaluation, the group level **aclEntries** are not included in access calculation. The exception is that if the matching access-id level **aclEntries** are all defined under **cn=this**, then all matching group level **aclEntries** are also combined in the evaluation.

In other words, within the object entry, if a defined ACI entry contains an access-id subject DN that matches the bind DN, then the permissions are first evaluated based on that **aclEntry**. Under the same subject DN, if matching attribute level permissions are defined, they supersede any permissions defined under the attribute classes. Under the same attribute or attribute class level definition, if conflicting permissions are present, denied permissions override granted permissions.

**Note:** A defined null value permission prevents the inclusion of less specific permission definitions.

If access still cannot be determined and all found matching **aclEntries** are defined under "cn=this", then group membership is evaluated. If a user belongs to more than one groups, the user receives the combined permissions from these groups. Additionally, the user automatically belongs to the **cn=Anybody** group and possibly the **cn=Authenticated** group if the user did an authenticated bind. If permissions are defined for those groups, the user receives the specified permissions.

**Note:** Group and Role membership is determined at bind time and last until either another bind takes place, or until an unbind request is received. Nested groups and roles, that is a group or role defined as a member of another group or role, are not resolved in membership determination nor in access evaluation.

For example, assume **attribute1** is in the sensitive attribute class, and user **cn=Person A, o=IBM** belongs to both **group1** and **group2** with the following **aclEntries** defined:

1. **aclEntry:** access-id: cn=Person A, o=IBM: at.attribute1:grant:rsc:sensitive:deny:rsc
2. **aclEntry:** group: cn=group1,o=IBM:critical:deny:rwc
3. **aclEntry:** group: cn=group2,o=IBM:critical:grant:r:normal:grant:rsc

This user gets:

- Access of 'rsc' to **attribute1**, (from 1. Attribute level definition supersedes attribute class level definition).
- No access to other sensitive class attributes in the target object, (from 1).
- No other rights are granted (2 and 3 are NOT included in access evaluation).

For another example, with the following **aclEntries**:

1. **aclEntry:** access-id: cn=this: sensitive
2. **aclEntry:** group: cn=group1,o=IBM:sensitive:grant:rsc:normal:grant:rsc

The user has:

- no access to sensitive class attributes, (from 1. Null value defined under access-id prevents the inclusion of permissions to sensitive class attributes from **group1**).
- and access of 'rsc' to normal class attributes (from 2).

### **Subtree replication considerations**

For filter-based access to be included in subtree replication, any `ibm-filterAclEntry` attributes must reside at, or below, the associated `ibm-replicationContext` entry.

Because effective access cannot be accumulated from an ancestor entry above a replicated subtree, the `ibm-filterAclInherit` attribute must be set to a value of **false**, and reside at the associated `ibm-replicationContext` entry.

### **Example of defining the ACIs and entry owners**

The following two examples show an administrative subdomain being established using the command line utilities.

The first example shows a single user being assigned as the `entryOwner` for the entire domain. The second example shows a group assigned as the `entryOwner`.

```
entryOwner: access-id:cn=Person A,o=IBM
ownerPropagate: true

entryOwner: group:cn=System Owners, o=IBM
ownerPropagate: true
```

The next example shows how an access-id "cn=Person 1, o=IBM" is being given permissions to read, search, and compare attribute1. The permission applies to any node in the entire subtree, at or below the node containing this ACI, that matches the "(objectclass=groupOfNames)" comparison filter. The accumulation of matching `ibm-filteraclentry` attributes in any ancestor nodes has been terminated at this entry by setting the `ibm-filterAclInherit` attribute to "false".

```
ibm-filterAclEntry: access-id:cn=Person 1,o=IBM:(objectclass=groupOfNames):
at.attribute1:grant:rsc

ibm-filterAclInherit: false
```

The next example shows how a group "cn=Dept XYZ, o=IBM" is being given permissions to read, search and compare attribute1. The permission applies to the entire subtree below the node containing this ACI.

```
aclEntry: group:cn=Dept XYZ,o=IBM:at.attribute1:grant:rsc
aclPropagate: true
```

The next example shows how a role "cn=System Admins,o=IBM" is being given permissions to add objects below this node, and read, search and compare attribute2 and the critical attribute class. The permission applies only to the node containing this ACI.

```
aclEntry: role:cn=System Admins,o=IBM:object:grant:a:at.
attribute2:grant:rsc:critical:grant:rsc
aclPropagate: false
```

### **Example of changing the ACI and entry owner values**

Several examples of changing the ACI and entry owner values using the command line utilities.

#### **Modify-replace**

Modify-replace works the same way as all other attributes. If the attribute value does not exist, create the value. If the attribute value exists, replace the value.

Given the following ACIs for an entry:

```
aclEntry: group:cn=Dept ABC,o=IBM:normal:grant:rsc
aclPropagate: true
```

perform the following change:

```
dn: cn=some entry
changetype: modify
replace: aclEntry
aclEntry: group:cn=Dept XYZ,o=IBM:normal:grant:rsc
```

The resulting ACI is:

```
ac1Entry: group:cn=Dept XYZ,o=IBM:normal:grant:rsc
ac1Propagate: true
```

ACI values for Dept ABC are lost through the replace.

Given the following ACIs for an entry:

```
ibm-filterAc1Entry: group:cn=Dept ABC,o=IBM:(cn=Manager ABC):normal
                    :grant:rsc
ibm-filterAc1Inherit: true
```

perform the following changes:

```
dn: cn=some entry
changetype: modify
replace: ibm-filterAc1Entry
ibm-filterAc1Entry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):normal
                  :grant:rsc

dn: cn=some entry
changetype: modify
replace: ibm-filterAc1Inherit
ibm-filterAc1Inherit: false
```

The resulting ACI is:

```
ibm-filterAc1Entry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):normal
                  :grant:rsc
ibm-filterAc1Inherit: false
```

ACI values for Dept ABC are lost through the replace.

### Modify-add

During an ldapmodify-add, if the ACI or entryOwner does not exist, the ACI or entryOwner with the specific values is created. If the ACI or entryOwner exists, then add the specified values to the given ACI or entryOwner. For example, given the ACI:

```
ac1Entry: group:cn=Dept XYZ,o=IBM:normal:grant:rsc
```

with a modification:

```
dn: cn=some entry
changetype: modify
add: ac1Entry
ac1Entry: group:cn=Dept ABC,o=IBM:at.attribute1:grant:rsc
```

would yield an multi-valued ac1Entry of:

```
ac1Entry: group:cn=Dept XYZ,o=IBM:normal:grant:rsc
ac1Entry: group:cn=Dept ABC,o=IBM:at.attribute1:grant:rsc
```

For example, given the ACI:

```
Ibm-filterAc1Entry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):normal
                  :grant:rsc
```

with a modification:

```
dn: cn=some entry
changetype: modify
add: ibm-filterAc1Entry
ibm-filterAc1Entry: group:cn=Dept ABC,o=IBM:(cn=Manager ABC)
                  :at.attribute1:grant:rsc
```

would yield an multi-valued aclEntry of:

```
Ibm-filterAclEntry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):normal
                   :grant:rsc
ibm-filterAclEntry: group:cn=Dept ABC,o=IBM:(cn=Manager ABC):at.attribute1
                   :grant:rsc
```

The permissions under the same attribute or attribute class are considered as the basic building blocks and the actions are considered as the qualifiers. If the same permission value is being added more than once, only one value is stored. If the same permission value is being added more than once with different action values, the last action value is used. If the resulting permission field is empty (""), this permission value is set to null and the action value is set to **grant**.

For example, given the following ACI:

```
aclEntry: group:cn=Dept XYZ,o=IBM:normal:grant:rsc
```

with a modification:

```
dn: cn=some entry
changetype: modify
add: aclEntry
aclEntry: group:cn=Dept XYZ,o=IBM:normal:deny:r:critical:deny::sensitive
         :grant:r
```

yields an aclEntry of:

```
aclEntry: group:cn=Dept XYZ,o=IBM:normal:grant:sc:normal:deny:r:critical
         :grant::sensitive:grant:r
```

For example, given the following ACI:

```
Ibm-filterAclEntry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):normal
                   :grant:rsc
```

with a modification:

```
dn: cn=some entry
changetype: modify
add: ibm-filterAclEntry
ibm-filterAclEntry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):normal
                  :deny:r:critical:deny::sensitive:grant:r
```

yields an aclEntry of:

```
ibm-filterAclEntry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):normal
                   :grant:sc:normal:deny:r:critical:grant::sensitive
                   :grant:r
```

## Modify-delete

To delete a particular ACI value, use the regular ldapmodify-delete syntax.

Given an ACI of:

```
aclEntry: group:cn=Dept XYZ,o=IBM:object:grant:ad
aclEntry: group:cn=Dept XYZ,o=IBM:normal:grant:rwc

dn: cn = some entry
changetype: modify
delete: aclEntry
aclEntry: group:cn=Dept XYZ,o=IBM:object:grant:ad
```

yields a remaining ACI on the server of :

```
aclEntry: group:cn=Dept XYZ,o=IBM:normal:grant:rwc
```

Given an ACI of:

```
ibm-filterAclEntry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):object
                   :grant:ad
ibm-filterAclEntry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):normal
                   :grant:rWSC

dn: cn = some entry
changetype: modify
delete: ibm-filterAclEntry
ibm-filterAclEntry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):object
                   :grant:ad
```

yields a remaining ACI on the server of:

```
ibm-filterAclEntry: group:cn=Dept XYZ,o=IBM:(cn=Manager XYZ):normal
                   :grant:rWSC
```

Deleting an ACI or entryOwner value that does not exist results in an unchanged ACI or entryOwner and a return code specifying that the attribute value does not exist.

### **Example of deleting the ACI and entry owner values**

An example of deleting the ACI and entry owner values using the command line utilities.

With the ldapmodify-delete operation, the entryOwner can be deleted by specifying

```
dn: cn = some entry
changetype: modify
delete: entryOwner
```

In this case, the entry would then have no explicit entryOwner. The ownerPropagate is also removed automatically. This entry would inherit its entryOwner from the ancestor node in the directory tree following the propagation rule.

The same can be done to delete aclEntry completely:

```
dn: cn = some entry
changetype: modify
delete: aclEntry
```

Deleting the last ACI or entryOwner value from an entry is not the same as deleting the ACI or entryOwner. It is possible for an entry to contain an ACI or entryOwner with no values. In this case, nothing is returned to the client when querying the ACI or entryOwner and the setting propagates to the descendent nodes until it is overridden. To prevent dangling entries that nobody can access, the directory administrator always has full access to an entry even if the entry has a null ACI or entryOwner value.

### **Example of retrieving the ACI and entry owner values**

An example of retrieving the ACI and entry owner values using the command line utilities.

The effective ACI or entryOwner values can be retrieved by simply specifying the desired ACL or entryOwner attributes in a search, for example,

```
ldapsearch -b "cn=object A, o=ibm" -s base "objectclass=*"
          aclentry aclpropagate aclsource entryowner ownerpropagate ownersource
          ibm-filterAclEntry ibm-filterAclInherit ibm-effectiveAcl
```

returns all ACL or entryOwner information that is used in access evaluation on object A. Note that the returned values might not look exactly the same as they are first defined. The values are the equivalent of the original form.

Searching on the ibm-filterAclEntry attribute alone only returns the values specific to the containing entry.

A read-only operational attribute, ibm-effectiveAcl, is used to show the accumulated effective access. A search request for ibm-effectiveAcl returns the effective access that applies to the target object based on: non-filter ACLs, or filter ACLs, depending on how they have been distributed in the DIT.



Because filter-based ACLs might come from several ancestor sources, a search on the `aclSource` attribute produces a list of the associated sources.

### Ownership of LDAP directory objects

Each object in your LDAP directory has at least one owner. Object owners have the power to delete the object. Owners and the server administrator are the only users that can change the ownership properties and the access control list (ACL) attributes of an object. Ownership of objects can be either inherited or explicit.

To assign ownership you can do one of the following:

- Explicitly set up ownership for a specific object.
- Specify that objects inherit their owners from objects higher up in the LDAP directory hierarchy.

Directory Server allows you to specify multiple owners for the same object. You can also specify that an object owns itself. To do this you include the special DN `cn=this` in the list of object owners. For example, assume that the object `cn=A` has the owner `cn=this`. Any user will have owner access to the `cn=A` object if he connects to the server as `cn=A`.

### Related concepts

[Directory entry tasks](#)

Use this information to manage directory entries.

### Password policy

With the use of LDAP servers for authentication, it is important that a LDAP server support policies regarding password expiration, failed login attempts, and password rules. Directory Server provides configurable support for all three of these kinds of policies.

Password policy is a set of rules that controls how passwords are used and administered in the IBM Directory. These rules are made to ensure that users change their passwords periodically, and that the passwords meet the organization's syntactic password requirements. These rules can also restrict the reuse of old passwords and ensure that users are locked out after a defined number of failed bind attempts.

When an administrator sends a request to turn on password policy, the `ibm-pwdPolicyStartTime` attribute is generated by the server. This attribute is an optional attribute which cannot be deleted or modified by a client request. Only administrators with administrative control can modify the `ibm-pwdPolicyStartTime` attribute. The value of this attribute is changed when the Password Policy is turned on and off by an administrator. When the `ibm-pwdPolicyStartTime` attribute is turned on and off, the value of the attribute gets reset and the user entry's last changed time which is evaluated based on the entry's `modifyTimestamp` and the `ibm-pwdPolicyStartTime` may get changed. As a result, some old passwords which would have expired may not expire when the password policy is turned off and on.

**Note:** It is essential to note that a password policy entry has to be created before it can be associated with a user or a group entry as an individual or a group password policy. If the referenced password policy entry does not exist, a message "unwilling to perform" is returned. Once a password policy entry has been referenced by a user or group entry, it cannot be renamed or deleted unless the association between the entry and the user or group entry has been removed.

For additional information about passwords see Password Guidelines.

Directory Server provides three types of password policies: individual, group, and global password policies.

### Global Password Policy

When a global password policy entry (`cn=pwdpolicy,cn=ibmpolicies`) is created by the server, the attribute `ibm-pwdPolicy` is set to `FALSE`, which is the default value. This means that all password policy entries will be ignored by the server. Only when the `ibm-pwdPolicy` attribute is set to `TRUE` the password rules are enforced by the server. When a global password policy is enforced and the `ibm-pwdGroupAndIndividualEnabled` attribute in `cn=pwdpolicy,cn=ibmpolicies` is set to `TRUE`, the group and individual password policies are also considered when evaluating the password policy.

## Group Password Policy

The group password policy enables members of a group to be controlled by a set of special password rules. For group password policy, `ibm-pwdGroupPolicyDN` attribute pointing to a password policy entry can be used in any user group objects such as `accessGroup`, `accessRole`, and `groupOfNames`.

Since a user entry may belong to more than one group, multiple group password policy entries will be evaluated before the user's group policy can be determined. In order to evaluate a composite group policy, group password policy entries are combined to form a union of attributes with the most restrictive attribute values taking precedence.

## Individual Password Policy

Individual password policy enables every user entry to have its own password policy. For individual password policy, attribute `ibm-pwdIndividualPolicyDN` pointing to a password policy entry can be used to extend a user to have its own password policy entry. By changing the attributes of the password policy entry, an administrator can effectively manage password policy for a set of users without modifying any of the user entries.

**Note:** By assigning a value of `cn=noPwdPolicy` to attribute `ibm-pwdIndividualPolicyDN` for a password policy extended user entry, an administrator may exempt a user from any password policy controls.

## Password Evaluation

To evaluate a user's effective password policy, all password policies associated with a user are taken into consideration starting with the individual password policy. Next, the group password policy is considered and finally the global password policy is taken into consideration. If an attribute is not defined in the individual password policy entry, it will be searched in the composite group password policy entry. If it is not found in the composite group policy entry, the attribute in the global password policy entry will be used. In case the attribute is not defined in the global password policy entry, then the default value will be assumed.

**Note:** The effective password policy extended operation (`effectpwdpolicy`) is used to display the effective password policy of a given user. Information about the password policy entries which are used to calculate the effective password policy is also displayed using this extended operation. For more information about this extended operation, see the IBM Tivoli Directory Server version 6.1 Command Reference.

## Evaluation of a user's Group Password Policy

Since a user entry may belong to more than one group, multiple group password policy entries may be evaluated to determine a user's composite group policy. Following are the rules for determining a user's composite group password policy:

1. If `ibm-pwdPolicy` is set to `False` in a Password policy entry, no attributes defined in the entry will be used to determine the composite group password policy. If the attribute is not set, then the default value of `False` is assumed for the attribute.
2. If `ibm-pwdGroupPolicyDN` has a value of `cn=noPwdPolicy` in all the groups that a user belongs to, no composite group password will be evaluated for the user. In this case, unless the user has an individual password policy defined, no policy (not even the global) will be applied.
3. An attribute defined with a non-default value is more restrictive than if defined with a default value which, in turn, is more restrictive than if it is not defined at all.
4. The password policy attributes `passwordMinAlphaChars`, `pwdMinLength`, and `passwordMinOtherChars` are interdependent. For instance, the value of `passwordMinAlphaChars` must be set to less than or equal to the value in `pwdMinLength` minus the value in `passwordMinOtherChars`. Due to this interdependency among attribute values, if one attribute is selected from a policy, then the other two attributes are also selected from the same policy.

The order of selection will be `pwdMinLength`, `passwordMinOtherChars`, and `passwordAlphaChars`. This means that the selection will be based on picking the largest value for `pwdMinLength`. In case of a

situation where two group policies have the same value for the pwdMinLength attribute, then the one with the largest value for passwordMinOtherChars will be selected. Once an attribute is selected, the other two attributes will be selected automatically.

- The passwordMaxConsecutiveRepeatedChars attribute is used to restrict the maximum successive repetitions of a given character in the password. Both passwordMaxRepeatedChars and passwordMaxConsecutiveRepeatedChars can be enabled or disabled independent of each other. However, if both these attributes are enabled, then the following rules are applicable:-

The value of passwordMaxRepeatedChars attribute must be greater than or equal to the value of passwordMaxConsecutiveRepeatedChars attribute.

In case multiple password policies are enabled, passwordMaxConsecutiveRepeatedChars is picked up from the same policy as was used to pick up passwordMaxRepeatedChars. If passwordMaxRepeatedChars is disabled in all policies, then the most restrictive value of passwordMaxConsecutiveRepeatedChars would be picked up.

If the passwordMaxConsecutiveRepeatedChars attribute is set to 0, then the number of consecutive repeated characters is not checked. If passwordMaxConsecutiveRepeatedChars is set to 1, then a given character cannot be immediately followed by another character of the same type. For instance, if the passwordMaxConsecutiveRepeatedChars attribute is set to 1 then 'aba' is a valid value for a password but 'aab' will be an invalid value.

Similarly, if the passwordMaxConsecutiveRepeatedChars attribute is set to 2, then the maximum number of times a character can occur consecutively in a password is 2.

- Attributes in all the group password policy entries are combined to form a union of attributes with the most restrictive attribute values taking precedence. Given below is a table that describes how the most restrictive attribute values are determined:

| Pwd Policy Attribute | More restrictive value | Valid values   | Default values                   |
|----------------------|------------------------|--|----------------------------------|
| pwdAttribute         | N/A                    | userPassword   | userPassword                     |
| pwdMinAge            | Greater                | Greater than or equal (GE) to 0  | 0 - no age limit                 |
| pwdMaxAge            | Less                   | GE 0   | 0 - password does not expire     |
| pwdInHistory         | Greater                | 0 to 10  | 0 - no password history          |
| pwdCheckSyntax       | Greater                | 0, 1, 2<br>1 - if server not able to check the syntax, then accept password<br>2 - if server is not able to check the syntax, then reject the password | 0                                |
| pwdMinLength         | Greater                | GE 0   | 0 - no minimum length            |
| pwdExpireWarning     | Greater                | GE 0   | 0 - no warnings will be sent     |
| pwdGraceLoginLimit   | Less                   | GE 0   | 0 - no grace login               |
| pwdLockout           | True                   | True/False   | False                            |
| pwdLockoutDuration   | Greater                | GE 0   | 0 - locked out until reset       |
| pwdMaxFailure        | Less                   | GE 0   | 0 - no failure count, no lockout |

| <i>Table 4. Determining the most restrictive attribute values (continued)</i> |                               |                     |   |
|---|-------------------------------|---------------------|---|
| <b>Pwd Policy Attribute</b>   | <b>More restrictive value</b> | <b>Valid values</b> | <b>Default values</b>   |
| pwdFailureCountInterval   | Greater                       | GE 0                | 0 - no count, reset by successfully authentication              |
| pwdMustChange   | True                          | True/False          | True/False if cn=noPwdPolicy                                    |
| pwdAllowUserChange  | True                          | True/False          | True  |
| pwdSafeMode   | True                          | True/False          | False   |
| Ibm-pwdPolicy   | True                          | True/False          | False   |
| passwordMinAlphaChars   | Greater                       | GE 0                | 0 - no min alpha will be enforced                               |
| passwordMinOtherChars   | Greater                       | GE 0                | 0 - no min other char   |
| passwordMaxRepeatedChars  | Less                          | GE 0                | 0 - no max repeated char  |
| passwordMaxConsecutiveRepeatedChars   | Less                          | GE 0                | 0 - no maximum consecutive repeated character                   |
| passwordMinDiffChars  | Greater                       | GE 0                | 0 - no minimum number of different characters between passwords |

Based on the rules defined above, a user's composite group policy is determined. To gain a better understanding of how a composite group policy is determined, consider some examples given in the table below:

| <i>Table 5. Determining the composite group policy</i>                               |   |  |   |
|--|---|--|---|
| <b>Group X password policy</b>   | <b>Group Y password policy</b>                                  | <b>Group Z password policy</b>             | <b>Composite group password policy</b>  |
| pwdMaxAge = 86400<br>pwdSafeMode = True  | pwdMaxAge = 43200<br>pwdSafeMode = False                        | pwdCheckSyntax = 1<br>ibm-pwdPolicy = True | pwdMaxAge = 43200<br>pwdSafeMod = True  |
| pwdMaxFailure = 5<br>ibm-pwdPolicy = True<br>ibm-pwdPolicyStarttime = 20060406200000 | ibm-pwdPolicy = True<br>ibm-pwdPolicyStarttime = 20060306200000 | ibm-pwdPolicyStarttime = 20060506200000    | pwdCheckSyntax = 1<br>pwdMaxFailure = 5<br>ibm-pwdPolicy = True<br>ibm-pwdPolicyStarttime = 20060306200000  |
| pwdMaxAge = 86400<br>ibm-pwdPolicy = True  | pwdMaxAge = 43200<br>pwdSafeMode = True                         | pwdMaxAge = 0<br>ibm-pwdPolicy = True      | pwdMaxAge = 86400<br>pwdSafeMode = False<br>ibm-pwdPolicy = True<br>Note: Group Y's password policy is not used in calculating composite group policy, since its ibm-pwdPolicy is not defined and therefore it defaults to FALSE. |

Table 5. Determining the composite group policy (continued)

| Group X password policy   | Group Y password policy   | Group Z password policy   | Composite group password policy   |
|---|---|---|---|
| pwdMinLength = 10<br>passwordMinOtherChars = 4<br>passwordMinAlphaChars = 6<br>ibm-pwdPolicy = True | pwdMinLength = 12<br>ibm-pwdPolicy = True   |   | pwdMinLength = 12<br>ibm-pwdPolicy = True   |
| pwdMinLength = 10<br>passwordMinOtherChars = 4<br>passwordMinAlphaChars = 6<br>ibm-pwdPolicy = True |   | pwdMinLength = 10<br>passwordMinOtherChars = 5<br>passwordMinAlphaChars = 3<br>ibm-pwdPolicy = True | pwdMinLength = 10<br>passwordMinOtherChars = 5<br>passwordMinAlphaChars = 3<br>ibm-pwdPolicy = True |
| passwordMaxConsecutiveRepeatedChars=0<br>passwordMaxRepeatedChars=5<br>ibm-pwdPolicy = True         | passwordMaxConsecutiveRepeatedChars=2<br>ibm-pwdPolicy = True                               | passwordMaxRepeatedChars=3<br>ibm-pwdPolicy = True  | passwordMaxRepeatedChars=3<br>passwordMaxConsecutiveRepeatedChars=0<br>ibm-pwdPolicy = True         |
| passwordMaxConsecutiveRepeatedChars=4<br>passwordMaxRepeatedChars=0<br>ibm-pwdPolicy = True         | passwordMaxConsecutiveRepeatedChars=1<br>passwordMaxRepeatedChars=0<br>ibm-pwdPolicy = True |   | passwordMaxConsecutiveRepeatedChars=1<br>passwordMaxRepeatedChars=0<br>ibm-pwdPolicy = True         |
| passwordMaxConsecutiveRepeatedChars=4<br>passwordMaxRepeatedChars=2<br>ibm-pwdPolicy = True         | passwordMaxConsecutiveRepeatedChars=2<br>passwordMaxRepeatedChars=3<br>ibm-pwdPolicy = True |   | passwordMaxConsecutiveRepeatedChars=4<br>passwordMaxRepeatedChars=2<br>ibm-pwdPolicy = True         |

### Evaluation of a user's Effective Password Policy

A user's effective password policy is evaluated only if the `ibm-pwdPolicy` attribute is set to `TRUE` in the global password policy entry. Other password policies, such as individual and group policy, can still be enabled when the global policy is disabled, but these policy rules will have no effect on the user.

The attribute `ibm-pwdPolicyStartTime` is set to the current system time when `ibm-pwdPolicy` is set to `TRUE`. This can be done even if the global password policy entry is set to `FALSE`. However, the `ibm-pwdPolicyStartTime` value will not be used for effective policy evaluation unless the global policy is enabled. Once the global policy is enabled, the value of this attribute will be selected from a user's individual, then group and then the global policy. Since `ibm-pwdPolicyStartTime` exists in every active password policy, the start time of an individual policy, if it exists, will always override any other policy start time as the start time of the user's effective password policy.

Given below is a set of examples that explain how a user's effective password policy is determined.

Table 6. Determining the effective password policy

| Individual password policy  | Group password policy   | Global password policy  | Effective password policy   |
|---|---|---|---|
| <p>pwdMaxAge = 86400<br/>                     ibm-pwdPolicy = True<br/>                     pwdMinAge = 21600<br/>                     pwdLockout = True<br/>                     ibm-pwdPolicyStarttime = 20060406200000</p>   | <p>pwdMaxAge = 43200<br/>                     ibm-pwdPolicy = True<br/>                     pwdInHistory = 5<br/>                     ibm-pwdPolicyStarttime = 20060306200000</p> | <p>ibm-pwdPolicy = True<br/>                     pwdMinAge = 43200<br/>                     pwdInHistory = 3<br/>                     pwdCheckSyntax = 0<br/>                     pwdMinLength = 0<br/>                     pwdExpireWarning = 0<br/>                     pwdGraceLoginLimit = 0<br/>                     pwdLockoutDuration = 0<br/>                     pwdMaxFailure = 0<br/>                     pwdFailureCountInterval = 0<br/>                     passwordMinAlphaChars = 0<br/>                     passwordMinOtherChars = 0<br/>                     passwordMaxRepeatedChars = 0<br/>                     passwordMinDiffChars = 0<br/>                     pwdLockout = False<br/>                     pwdAllowUserChange = True<br/>                     pwdMustChange = True<br/>                     pwdSafeModify = False<br/>                     ibm-pwdPolicyStarttime = 20060506200000</p> | <p>pwdMaxAge = 86400<br/>                     ibm-pwdPolicy = True<br/>                     pwdMinAge = 21600<br/>                     pwdInHistory = 5<br/>                     pwdCheckSyntax = 0<br/>                     pwdMinLength = 0<br/>                     pwdExpireWarning = 0<br/>                     pwdGraceLoginLimit = 0<br/>                     pwdLockoutDuration = 0<br/>                     pwdMaxFailure = 0<br/>                     pwdFailureCountInterval = 0<br/>                     passwordMinAlphaChars = 0<br/>                     passwordMinOtherChars = 0<br/>                     passwordMaxRepeatedChars = 0<br/>                     passwordMinDiffChars = 0<br/>                     pwdLockout = True<br/>                     pwdAllowUserChange = True<br/>                     pwdMustChange = True<br/>                     pwdSafeModify = False<br/>                     ibm-pwdPolicyStarttime = 20060406200000</p> |
| <p>pwdMaxAge = 86400<br/>                     ibm-pwdPolicy = True<br/>                     pwdMinAge = 21600<br/>                     pwdMinLength = 8<br/>                     pwdLockout = True<br/>                     ibm-pwdPolicyStarttime = 20060406200000</p> | <p>pwdMaxAge = 43200<br/>                     ibm-pwdPolicy = True<br/>                     pwdInHistory = 5<br/>                     ibm-pwdPolicyStarttime = 20060306200000</p> | <p>ibm-pwdPolicy = True<br/>                     pwdMinAge = 0<br/>                     pwdInHistory = 3</p>  | <p>pwdMaxAge = 86400<br/>                     ibm-pwdPolicy = True<br/>                     pwdMinAge = 21600<br/>                     pwdInHistory = 5<br/>                     pwdCheckSyntax = 0<br/>                     pwdMinLength = 8<br/>                     pwdExpireWarning = 0<br/>                     pwdGraceLoginLimit = 0<br/>                     pwdLockoutDuration = 0<br/>                     pwdMaxFailure = 0<br/>                     pwdFailureCountInterval = 0<br/>                     passwordMinAlphaChars = 0<br/>                     passwordMinOtherChars = 0<br/>                     passwordMaxRepeatedChars = 0<br/>                     passwordMinDiffChars = 0<br/>                     pwdLockout = True<br/>                     pwdAllowUserChange = True<br/>                     pwdMustChange = True<br/>                     pwdSafeModify = False<br/>                     ibm-pwdPolicyStarttime = 20060406200000</p> |

Table 6. Determining the effective password policy (continued)

| Individual password policy   | Group password policy   | Global password policy                          | Effective password policy  |
|--|---|---|--|
| passwordMaxConsecutiveRepeatedChars=1<br>passwordMaxRepeatedChars=0 ibm-pwdPolicy = True | passwordMaxConsecutiveRepeatedChars=1<br>passwordMaxRepeatedChars=10 ibm-pwdPolicy = True | passwordMaxRepeatedChars=4 ibm-pwdPolicy = True | passwordMaxConsecutiveRepeatedChars=1<br>passwordMaxRepeatedChars=0 ibm-pwdPolicy = True |

### Password policy attributes

The password policy feature provides several operational attributes containing the password policy state information for a given directory entry. These attributes can be used to query for entries in a particular state (password has expired) and by an administrator to override certain policy conditions (unlock a locked account). See Appendix H. Password policy operational attributes

### Summary of default settings

The following table shows default password policy settings for user passwords.

Table 7. User password policy settings

| Web Administration Tool parameter  | Default setting |
|--|-----------------|
| Password policy enabled: ibm-pwdPolicy   | false           |
| Password encryption: ibm-slappwEncryption:   | sha             |
| Users must specify old password when changing the password: pwdSafeModify  | false           |
| User must change password after reset: pwdMustChange   | true            |
| Password expiration: pwdMaxAge   | 0               |
| Number of grace logins after expiration: pwdGraceLoginLimit  | 0               |
| Account is locked out after a specified number of consecutive failed bind attempts: pwdLockout                                     | false           |
| Number of consecutive failed bind attempts before locking out the account: pwdMaxFailure   | 0               |
| Minimum time between password changes: pwdMinAge   | 0               |
| Amount of time before an account lockout expires or lockouts never expire: pwdLockoutDuration                                      | 0               |
| Amount of time before an incorrect login expires or incorrect login is cleared only with correct password: pwdFailureCountInterval | 0               |
| Minimum number of passwords before reuse: pwdInHistory   | 0               |
| Check password syntax: pwdCheckSyntax  | 0               |
| Minimum length: pwdMinLength   | 0               |
| Minimum number of alphabetic characters: passwordMinAlphaChars   | 0               |

| <i>Table 7. User password policy settings (continued)</i>                                       |                        |
|---|------------------------|
| <b>Web Administration Tool parameter</b>  | <b>Default setting</b> |
| Minimum number of numeric and special characters:<br>passwordMinOtherChars                      | 0                      |
| Maximum number of repeated characters:<br>passwordMaxRepeatedChars                              | 0                      |
| Minimum number of characters that must be different from the old password: passwordMinDiffChars | 0                      |
| Maximum number of consecutive repeated characters:<br>passwordMaxConsecutiveRepeatedChars       | 0                      |

All users except the directory administrator, members of the administrative group and the master server DN are forced to comply with the configured user password policy. The passwords for the administrator, members of the administrative group and the master server DN never expire. The directory administrator, members of the administrative group and the master server DN have sufficient access control privileges to modify users' passwords and the user password policy. Global administration group members are subject to user password policy and have the authority to modify the user password policy settings.

### **Configuration**

You can configure behavior of the server with respect to passwords in the following areas:

- A global "on/off" switch for enabling or disabling password policy
- Rules for changing passwords, including:
  - Users can change their own passwords. Note that this policy applies in addition to any access control. That is, access control must give a user authority to change the userPassword attribute, as well as password policy allowing users to change their own passwords. If this policy is disabled, users cannot change their own passwords. Only an administrator or other user with authority to change the userPassword attribute can change the password for an entry.
  - Passwords must be changed after reset. If this policy is enabled, when a password is changed by anybody other than that user, the password is marked as reset and must be changed by the user before he can perform other directory operations. A bind request with a reset password is successful. To be notified that the password must be reset, the application must be password policy aware.
  - Users must send old password when changing password. If this policy is enabled, a password can be changed only by a modify request that includes both a delete of the userPassword attribute (with the old value) and an add of the new userPassword value. This ensures that only a user who knows their password can change it. The administrator, or other users authorized to change the userPassword attribute can always set the password.
- Rules for password expiration, including:
  - Passwords never expire, or passwords expire a configurable time after they were last changed.
  - Do not warn users when a password expires, or warn users a configurable time before the password expires. To be warned of approaching password expiration, the application must be password policy aware.
  - Allow a configurable number of grace logins after the user's password has expired. A password policy aware application will be notified of the number of remaining grace logins. If no grace logins are allowed, a user cannot authenticate or change their own password once it has expired.
- Rules for password validation, including:
  - A configurable password history size, which tells the server to keep a history of the last N passwords and reject passwords that have been previously used.



- Password syntax checking, including a setting for how the server should behave when passwords are hashed. This setting affects whether the server should ignore the policy under either of the following conditions:

- The server is storing hashed passwords.
- A client presents a hashed password to the server (this can happen when transferring entries between servers using an LDIF file if the source server stores hashed passwords).

In either of these cases the server might not be able to apply all syntax rules. The following syntax rules are supported: Minimum length, minimum number of alphabetic characters, minimum number of numeric or special characters, number of repeated characters, and number of characters in which the password must differ from the previous password.

- Rules for failed logins, including:
  - A minimum time allowed between password changes, which prevents users from quickly cycling through a set of passwords to get back to their original password.
  - A maximum number of failed login attempts before the account is locked.
  - A configurable password lockout duration. After this time, a previous locked account can be used. This can help to lockout a hacker attempting to crack a password, while aiding a user that has forgotten their password.
  - A configurable time for which the server keeps track of failed login attempts. If the maximum number of failed login attempts occurs within this time, the account is locked. Once this time has expired, the server discards information about previous failed login attempts for the account.

The password policy settings for the directory server are stored in the object "cn=pwdpolicy, cn=ibmpolicies", which looks like this:

```
cn=pwdpolicy, cn=ibmpolicies
objectclass=container
objectclass=pwdPolicy
objectclass=ibm-pwdPolicyExt
objectclass=ibm-pwdGroupAndIndividualPolicies
objectclass=top
cn=pwdPolicy
pwdExpireWarning=0
pwdGraceLoginLimit=0
passwordMaxRepeatedChars=0
pwdSafeModify=false
pwdattribute=userpassword
pwdinhistory=0
pwdchecksyntax=0
passwordminotherchars=0
passwordminalphachars=0
pwdminlength=0
passwordmindiffchars=0
pwdminage=0
pwdmaxage=0
pwdallowuserchange=true
pwdlockoutduration=0
ibm-pwdpolicy=true
pwdlockout=true
pwdmaxfailure=2
pwdfailurecountinterval=0
pwdmustchange=false
ibm-pwdGroupAndIndividualEnabled=false
ibm-pwdPolicyStartTime=20071021141828Z
passwordMaxConsecutiveRepeatedChars=0
```

### Password policy aware applications

The Directory Server password policy support includes a set of LDAP controls which can be used by a password policy aware application to receive notification of additional password policy related conditions.

An application can be informed of the following warning conditions:

- Time remaining before password expiration
- Number of grace logins remaining after the password has expired

An application can also be informed of the following error conditions:

- Password has expired
- Account is locked
- Password has been reset and must be changed
- User is not allowed to change their password
- Old password must be supplied when changing password
- New password violates syntax rules
- New password is too short
- Password has been changed too recently
- New password is in history

Two controls are used. A password policy request control is used to inform the server that the application wishes to be informed of password policy related conditions. This control must be specified by the application on all operations for which it is interested, typically the initial bind request and any password change requests. If the password policy request control is present, a password policy response control is returned by the server when any of the above error conditions are present.

The Directory Server client APIs include a set of APIs which can be used by C applications to work with these controls. These APIs are:

- ldap\_parse\_pwdpolicy\_response
- ldap\_pwdpolicy\_err2string

For applications not using these APIs, the controls are defined below. You must use the capabilities provided by the LDAP client APIs being used to process the controls. For example, the Java™ Naming and Directory Interface (JNDI) has built-in support for some well-known controls, and also provides a framework for supporting controls that JNDI does not recognize.

### Password Policy Request Control

```
Control name: 1.3.6.1.4.1.42.2.27.8.5.1
Control criticality: FALSE
Control value: None
```

### Password Policy Response Control

```
Control name: 1.3.6.1.4.1.42.2.27.8.5.1 (same as the request control)
Control criticality: FALSE
Control value: A BER encoded value defined in ASN.1 as follows:
  PasswordPolicyResponseValue ::= SEQUENCE {
    warning    [0] CHOICE OPTIONAL {
      timeBeforeExpiration    [0] INTEGER (0 .. MaxInt),
      graceLoginsRemaining    [1] INTEGER (0 .. maxInt) }
    error      [1] ENUMERATED OPTIONAL {
      passwordExpired          (0),
      accountLocked            (1),
      changeAfterReset         (2),
      passwordModNotAllowed    (3),
      mustSupplyOldPassword    (4),
      invalidPasswordSyntax    (5),
      passwordTooShort         (6),
      passwordTooYoung         (7),
      passwordInHistory        (8) } }
```

Like other LDAP protocol elements, the BER encoding uses implicit tagging.

### Password policy operational attributes

The Directory Server maintains a set of operational attributes for each entry that has a userPassword attribute. These attributes can be searched by authorized users, either used in search filters, or returned by the search request. These attributes are:

- `pwdChangedTime` - A `GeneralizedTime` attribute containing the time the password was last changed.
- `pwdAccountLockedTime` - A `GeneralizedTime` attribute containing the time at which the account was locked. If the account is not locked, this attribute is not present.
- `pwdExpirationWarned` - A `GeneralizedTime` attribute containing the time at which the password expiration warning was first sent to the client.
- `pwdFailureTime` - A multi-valued `GeneralizedTime` attribute containing the times of previous consecutive login failures. If the last login was successful, this attribute is not present.
- `pwdGraceUseTime` - A multi-valued `GeneralizedTime` attribute containing the times of the previous grace logins.
- `pwdReset` - A Boolean attribute containing the value `TRUE` if the password has been reset and must be changed by the user.
- `ibm-pwdAccountLocked` - A Boolean attribute indicating that the account has been administratively locked.

### Replication of Password Policy

Password policy information is replicated by supplier servers to consumers. Changes to the entry `cn=pwdpolicy` are replicated as global changes, like changes to the schema. Password policy state information for individual entries is also replicated, so that, for example, if an entry is locked on a supplier server, that action will be replicated to any consumers. Password policy state changes on a read-only replica do not replicate to any other servers, however.

### Related concepts

#### Password tasks

Use this information to manage password tasks.

#### Operational attributes

There are several attributes that have special meaning to the Directory Server known as operational attributes. These are attributes that are maintained by the server and either reflect information the server manages about an entry or affect server operation.

### Password policy tips

Password policy may not always behave as expected.

There are two areas where the implementation of password policy may not behave as expected:

1. If the `pwdReset` attribute has been set for an entry, a client can bind indefinitely using the entry DN and the reset password. With the Password Policy Request Control present, this results in a successful bind with a warning in the response control. But if the client does not specify the request control, this "non-password policy aware" client sees a successful bind with no indication that the password must be changed. Subsequent operations under that DN will still fail with an "unwilling to perform" error; only the initial bind result might seem misleading. This could be an issue if the bind was done only for authentication, as might be the case with a web application using the directory for authentication.
2. The `pwdSafeModify` and `pwdMustChange` policies do not behave as you might expect with an application that changes passwords under an identity other than the DN of the entry for which the password is being changed. In this scenario, a safe password change done under an administrative identity, for example, will result in the `pwdReset` attribute being set. The application changing the password can use an administrator account and remove the `pwdReset` attribute as described earlier.

### Authentication

Use an authentication method to control access within the Directory Server.

Access control within the Directory Server is based on the distinguished name (DN) associated with a given connection. That DN is established as the result of a bind to (logging into) the Directory Server.

When the Directory Server is first configured, the following identities can be used to authenticate to the server:

- Anonymous

- The directory administrator (cn=admin administrator by default)
- A projected IBM i user profile

It is a good idea to create additional users that can be given authority to manage different parts of the directory without requiring that you share the directory administrator identity.

From an LDAP perspective, the frameworks for authenticating to LDAP follow:

- Simple bind, in which an application provides a DN and the clear text password for that DN.
- Simple Authentication and Security Layer (SASL), which provides several additional authentication methods, including CRAM-MD5, DIGEST-MD5, EXTERNAL, GSSAPI, and OS400-PRFTKN.

### Simple bind, DIGEST-MD5, and CRAM-MD5

To use a simple bind, the client must supply the DN of an existing LDAP entry and a password which matches the userPassword attribute for that entry. For example, you could create an entry for John Smith as follows:

```
sample.ldif:
dn: cn=John Smith,cn=users,o=acme,c=us
objectclass: inetorgperson
cn: John Smith
sn: smith
userPassword: mypassword

ldapadd -D cn=admin administrator -w secret -f sample.ldif
```

You can now use the DN "cn=John Smith,cn=users,o=acme,c=us" in access control, or make it a member of a group used in access control.

Several predefined objectclasses allow userPassword to be specified, including (but not limited to): person, organizationalperson, inetorgperson, organization, organizationalunit, and others.

The Directory Server passwords are case sensitive. If you create an entry with the userPassword value secret, a bind that specifies the password SECRET will fail.

When using a simple bind, the client sends the clear text password to the server as part of the bind request. This makes the password susceptible to protocol level snooping. An SSL connection could be used to protect the password (all information sent over an SSL connection is encrypted). Or the DIGEST-MD5 or CRAM-MD5 SASL methods can be used.

The CRAM-MD5 method requires that the server have access to the clear text password (password protection is set to none, which really means the password is stored in decryptable form and returned on searches as clear text), and the QRETSVRSEC (Retain server security data) system value must be 1 (Retain data). The client sends the DN to the server. The server retrieves the userPassword value for the entry and generates a random string. The random string is sent to the client. Both the client and the server hash the random string using the password as the key, and the client sends the result to the server. If the two hashed strings match, the bind request is successful, and the password was never sent to the server.

The DIGEST-MD5 method is similar to CRAM-MD5. It requires that the server have access to the clear text password (password protection is set to none) and that the QRETSVRSEC system value be set to 1. Instead of sending the DN to the server, DIGEST-MD5 requires that the client send a username value to the server. To be able to use DIGEST-MD5 for a regular user (not an admin) requires that no other entries in the directory have the same value for the username attribute. Other differences with DIGEST-MD5 include more configuration options: server realm, username attribute, and administrator password. Directory Server allows users to bind as projected or published users, where the server verifies the supplied password against a user profile's password on the system. Since the clear text password for user profiles is not available to the server, DIGEST-MD5 cannot be used with projected or published users.

### Binding as a published user

The Directory Server provides a means to have an LDAP entry whose password is that of an the operating system user profile on the same system. To do this, the entry must:

- Have a UID attribute, whose value is the name of an the operating system user profile
- Not have a userPassword attribute

When the server receives a bind request for an entry that has a UID value but no userPassword, the server calls the operating system security to validate that the UID is a valid user profile name and that the specified password is the correct password for that user profile. Such an entry is called a published user in reference to publishing of the system distribution directory (SDD) to LDAP, which creates such entries.

### Binding as a projected user

An LDAP entry representing an operating system user profile is referred to as a projected user. You can use the DN of a projected user along with the correct password for that user profile in a simple bind. For example, the DN for user JSMITH on system my-system.acme.com would be:

```
os400-profile=JSMITH,cn=accounts,os400-sys=my-system.acme.com
```

### SASL EXTERNAL bind

If an SSL or TLS connection is used with client authentication (for example, the client has a private certificate), the SASL EXTERNAL method can be used. This method tells the server to get the client's identity from an external source, in this case the SSL connection. The server gets the public portion of the client certificate (sent to the server as part of establishing the SSL connection) and extracts the subject DN. That DN is assigned by the LDAP server to the connection.

For example, given a certificate assigned to:

```
common name: John Smith
organization unit: Engineering
organization: ACME
locality: Minneapolis
state: MN
country: US
```

The subject DN would be:

```
cn=John Smith,ou=Engineering,o=acme,l=Minneapolis,st=MN,c=US
```

Note that the cn, ou, o, l, st, and c elements are used in the order shown to generate the subject DN.

### SASL GSSAPI bind

The SASL GSSAPI bind mechanism is used to authenticate to the server using a Kerberos ticket. This is useful when the client has done a KINIT or other form of Kerberos authentication (for example, Windows 2000 domain login). In this case, the server validates the client's ticket and then gets the Kerberos principal and realm names; for example, principal jsmith in realm acme.com, normally expressed as jsmith@acme.com. The server can be configured to map this identity to a DN in one of two ways:

- Generate a pseudo DN of the form ibm-kn=jsmith@acme.com.
- Search for an entry having the ibm-securityidentities auxiliary class and an altsecurityidentities value of the form KERBEROS:<principal>@<realm>.

An entry that could be used for jsmith@acme.com might look like:

```
dn: cn=John Smith,cn=users,o=acme,c=us
objectclass: inetorgperson
objectclass: ibm-securityidentities
cn: John Smith
sn: Smith
altsecurityidentities: kerberos:jsmith@acme.com
```

## OS400-PRFTKN bind

The OS400-PRFTKN SASL bind mechanism is used to authenticate to the server using a profile token (refer to the Generate Profile Token API). When this mechanism is used, the server validates the profile token and associates the DN of the projected user profile with the connection (for example, `os400-profile=JSMITH,cn=accounts,os400-system=my-as400.mycompany.com`). If the application already has a profile token, this mechanism avoids the need to get the user profile name and user password to perform a simple bind. To use this mechanism, use the `ldap_sasl_bind_s` API, specifying a null DN, OS400-PRFTKN for the mechanism, and a berval (binary data that is encoded using simplified basic encoding rules) containing the 32-byte profile token for the credentials. When using the LDAP APIs in IBM i or using the QSH command utilities (such as `ldapsearch`) to access the local directory server, you can omit the password, and the client APIs will authenticate to the server as the current user profile for the job. For example:

```
> ldapsearch -m OS400-PRFTKN -b "o=ibm,c=us" "(uid=johndoe)"
```

will perform the search under the authority of the current user profile as if you had used:

```
> ldapsearch -D os400-profile=myprofile,cn=accounts,os400-sys=mssystem -w mypassword -b "o=ibm,c=us" "(uid=johndoe)"
```

## LDAP as an authentication service

LDAP is commonly used to provide an authentication service. You can configure a Web server to authenticate to LDAP. By setting up multiple Web servers (or other applications) to authenticate to LDAP, you can establish a single user registry for those applications, rather than defining users over and over for each application or Web server instance.

How does this work? In short, the Web server prompts the user for a user name and password. The Web server takes this information and then does a search in the LDAP directory for an entry with that user name (for example, you might configure the Web server to map the user name to the LDAP 'uid' or 'mail' attributes). If it finds exactly one entry, the Web server then sends a bind request to the server using the DN of the entry it just found and the user provided password. If the bind is successful, the user is now authenticated. SSL connections can be used to protect the password information from protocol level snooping.

The Web server can also keep track of the DN that was used so that a given application can use that DN, perhaps by storing customization data in that entry, another entry associated with it, or in a separate database using the DN as a key to find the information.

A common alternative to using a bind request is to use the LDAP compare operation. For example `ldap_compare(ldap_session, dn, "userPassword", enteredPassword)`. This allows the application to use a single LDAP session, rather than starting and ending sessions for each authentication request.

### Related concepts

#### Operating system projected backend

The system projected backend has the ability to map IBM i objects as entries within the LDAP-accessible directory tree. The projected objects are LDAP representations of the operating system objects instead of actual entries stored in the LDAP server database.

#### User tasks

Use this information to manage users.

Lightweight Directory Access Protocol (LDAP) APIs See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

### Related tasks

#### Configuring DIGEST-MD5 authentication on the Directory Server

Use this information to configure DIGEST-MD5 authentication on the Directory Server.

#### Enabling Kerberos authentication on the Directory Server

Use this information to enable Kerberos authentication on the Directory Server.

### **Denial of service**

Use the denial of service configuration option to protect against denial of service attacks.

The directory server protects against the following types of denial of service attacks:

- Clients that send data slowly, send partial data, or send no data
- Clients that do not read data results or who read results slowly
- Clients that do not unbind
- Clients that make requests that produce long-running database requests
- Clients that bind anonymously
- Server loads that prevent the administrator from administering the server

The directory server gives an administrator several methods to prevent denial of service attacks. An administrator always has access to the server through the use of an emergency thread even if the server is busy with long-running operations. In addition, the administrator has control over server access including the ability to disconnect clients with a particular bind DN or IP address and configure the server to not allow anonymous access. Other configuration options can be activated to allow the server to actively prevent denial of service attacks.

### **Related tasks**

#### Managing server connections

Use this information to view the connections to the server and the operations performed by those connections.

#### Managing connection properties

Through the Web administration tool, you can manage connection properties to prevent clients from locking up the server. The ability to manage connection properties ensures that the administrator always has access to the server when the backend is kept busy with long-running tasks.

## **Operating system projected backend**

The system projected backend has the ability to map IBM i objects as entries within the LDAP-accessible directory tree. The projected objects are LDAP representations of the operating system objects instead of actual entries stored in the LDAP server database.

User profiles are the only objects being mapped or projected as entries within the directory tree. The mapping of user profile objects is referred to as the operating system user projected backend.

LDAP operations are mapped to the underlying operating system objects and LDAP operations perform operating system functions in order to access these objects. All LDAP operations performed on the user profiles are done under the authority of the user profile associated with the client connection.

### **Related tasks**

#### Granting administrator access to projected users

Use this information to grant administrator access to user profiles.

### **Related reference**

#### Authentication

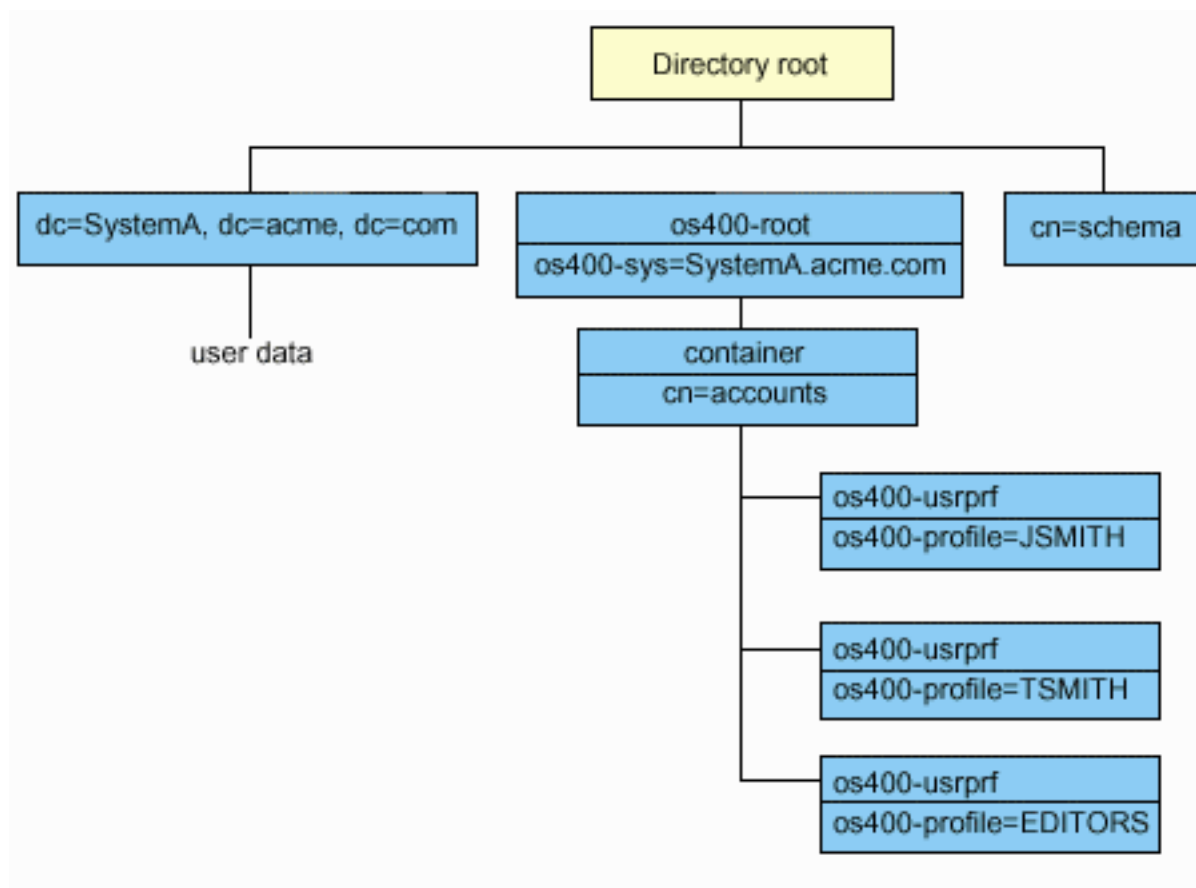
Use an authentication method to control access within the Directory Server.

### **User projected directory information tree**

Understand how the suffix and user profiles are represented in a user projected directory information tree.

The figure below shows a sample directory information tree (DIT) for the user projected backend. The figure shows both individual and group profiles. In the figure, JSMITH and TSMITH are user profiles, which is indicated internally by the group identifier (GID), GID=\*NONE (or 0); EDITORS is a group profile, which is indicated internally by a non-zero GID.

The suffix `dc=SystemA,dc=acme,dc=com` is included in the figure for reference. This suffix represents the current database backend which is managing other LDAP entries. The suffix `cn=schema` is the current server-wide schema being used.



The root of the tree is a suffix, which defaults to `os400-sys=SystemA.acme.com`, where `SystemA.acme.com` is the name of your system. The objectclass is `os400-root`. Although the DIT cannot be modified or deleted, you can reconfigure the system objects' suffix. However, you must ensure that the current suffix is not being used in ACLs or elsewhere on the system where entries would need to be modified should the suffix be changed.

In the previous figure, the container, `cn=accounts`, is shown below the root. This object cannot be modified. A container is placed at this level in anticipation of other kinds of information or objects that might be projected by the operating system in the future. Below the `cn=accounts` container are the user profiles that are projected as `objectclass=os400-usrprf`. The user profiles are referred to as projected user profiles and are known to LDAP in the form `os400-profile=JSMITH,cn=accounts,os400-sys=SystemA.acme.com`.

### LDAP operations

Understand what LDAP operations can be performed on the projected backend.

The following are the LDAP operations that can be performed using the projected user profiles.

#### Bind

An LDAP client can bind (authenticate) to the LDAP server using a projected user profile. This is accomplished by specifying the projected user profile distinguished name (DN) for the bind DN and the correct user profile password for authentication. An example of a DN used in a bind request would be `os400-profile=jsmith,cn=accounts,os400-sys=systemA.acme.com`.

A client must bind as a projected user to access information in the system projected backend.

Two additional mechanisms are available to authenticate to the directory server as a projected user:



- GSSAPI SASL bind. If the operating system is configured to use Enterprise Identity Mapping (EIM), the directory server queries EIM to determine if there is an association to a local user profile from the initial Kerberos identity. If there is such an association, the server will associate the user profile with the connection and it can be used to access the system projection backend.
- OS400-PRFTKN SASL bind. A profile token can be used to authenticate to the directory server. The server associates the profile token user profile with the connection.

The server performs all of the operations using the authority of that user profile. The projected user profile DN can also be used in LDAP ACLs like other LDAP entry DNs. The simple bind method is the only bind method that is allowed when a projected user profile is specified on a bind request.

## Search

The system projected backend supports some basic search filters. You can specify the objectclass, os400-profile, and os400-gid attributes in search filters. The os400-profile attribute supports wildcards. The os400-gid attribute is limited to specifying (os400-gid=0), which is an individual user profile, or !(os400-gid=0), which is a group profile. You can retrieve all attributes of a user profile except the password and similar attributes.

For certain filters, only the DN objectclass and os400-profile values are returned. However, subsequent searches can be conducted to return more detailed information.

LDAP administrators can prohibit all search operations directed to the user projected backend. For more information, refer the Read access to projected users topic in the related link below.

The following table describes the behavior of the system projected backend for search operations.

| Search requested  | Search base   | Search scope      | Search filter   | Comments  |
|---|---|-------------------|---|---|
| Return information for os400-sys=SystemA, (optionally) for the containers under it, and (optionally) for the objects in those containers. | os400-sys=SystemA.acme.com                                    | base, sub, or one | objectclass=* objectclass=os400-root<br>objectclass=container<br>objectclass=os400-usrprf | Return the appropriate attributes and their values based on the scope and filter specified. Hardcoded attributes and their values are returned for the system objects' suffix and the container under it.         |
| Return all user profiles.   | cn=accounts, os400-sys=SystemA.acme.com                       | one or sub        | os400-gid=0   | Only the distinguished name (DN), objectclass, and os400-profile values are returned for projected user profiles. If any other filter is specified, LDAP_UNWILLING_TO_PERFORM is returned.                        |
| Return all group profiles.  | cn=accounts, os400-sys=SystemA.acme.com                       | one or sub        | !(os400-gid=0)  | Only the distinguished name (DN), objectclass, and os400-profile values are returned for projected user profiles. If any other filter is specified, LDAP_UNWILLING_TO_PERFORM is returned.                        |
| Return all user and group profiles.   | cn=accounts, os400-sys=SystemA.acme.com                       | one or sub        | os400-profile=*   | Only the distinguished name (DN), objectclass, and os400-profile values are returned for projected user profiles. If any other filter is specified, LDAP_UNWILLING_TO_PERFORM is returned.                        |
| Return information for a specific user or group profile such as the user profile JSMITH.  | cn=accounts, os400-sys=SystemA.acme.com                       | one or sub        | os400-profile=JSMITH  | Other attributes to be returned can be specified.   |
| Return information for a specific user or group profile such as the user profile JSMITH.  | os400-profile=JSMITH, cn=accounts, os400-sys=SystemA.acme.com | bas, sub, or one  | objectclass=os400-usrprf<br>objectclass=* os400-profile=JSMITH                            | Other attributes to be returned can be specified. Even though a scope of one level can be specified, the search results would return no values because there is nothing below the user profile JSMITH in the DIT. |

Table 8. System projected backend behavior for search operations (continued)

| Search requested                                    | Search base                             | Search scope | Search filter                          | Comments   |
|---|---|--------------|--|--|
| Return all user and group profiles starting with A. | cn=accounts, os400-sys=SystemA.acme.com | one or sub   | os400-profile=A*                       | Only the distinguished name (DN), objectclass, and os400-profile values are returned for projected user profiles. If any other filter is specified, LDAP_UNWILLING_TO_PERFORM is returned. |
| Return all group profiles starting with G.          | cn=accounts, os400-sys=SystemA.acme.com | one or sub   | (&(!(os400-gid=0)) (os400-profile=G*)) | Only the distinguished name (DN), objectclass, and os400-profile values are returned for projected user profiles. If any other filter is specified, LDAP_UNWILLING_TO_PERFORM is returned. |
| Return all user profiles starting with A.           | cn=accounts, os400-sys=SystemA.acme.com | one or sub   | (&(os400-gid=0) (os400-profile=A*))    | Only the distinguished name (DN), objectclass, and os400-profile values are returned for projected user profiles. If any other filter is specified, LDAP_UNWILLING_TO_PERFORM is returned. |

## Compare

The LDAP compare operation can be used to compare an attribute value of a projected user profile. The os400-aut and os400-docpwd attributes cannot be compared.

LDAP administrators can prohibit all compare operations directed to the user projected backend. For more information, refer the Read access to projected users topic in the related link below.

## Add and modify

You can create user profiles using the LDAP add operation and you can also change user profiles using the LDAP modify operation.

## Delete

User profiles can be deleted using the LDAP delete operation. To specify the behavior of the DLTUSRPRF OWNBJOPT and PGPOPT parameters, two LDAP server controls are now provided. These controls can be specified on the LDAP delete operation. Refer to the Delete User Profile (DLTUSRPRF) command for more information about the behavior of these parameters.

The following are the controls and their object identifiers (OIDs) that can be specified on the LDAP delete client operation.

- os400-dltusrprf-ownobjopt 1.3.18.0.2.10.8

The control value is a string of the following form:

- controlValue ::= ownObjOpt [ newOwner]
- ownObjOpt ::= \*NODLT / \*DLT / \*CHGOWN

The ownObjOpt control value specifies the action to be taken if the user profile owns any objects. The value of \*NODLT indicates not to delete the user profile if the user profile owns any objects. The \*DLT value indicates to delete the owned objects and the \*CHGOWN value indicates to transfer ownership to another profile.

The newOwner value specifies the profile to which ownership is transferred. This value is required when ownObjOpt is set to \*CHGOWN.

Examples of the control value are the following:

- \*NODLT: specifies that the profile cannot be deleted if it owns any objects.
- \*CHGOWN SMITH: specifies to transfer the ownership of any objects to the SMITH user profile.
- The object identifier (OID) is defined in ldap.h as LDAP\_OS400\_OWNOBJOPT\_CONTROL\_OID.
  - os400-dltusrprf-pgpopt 1.3.18.0.2.10.9

The control value is defined as a string of the following form:

```
controlValue ::=pgpOpt [ newPgp [ newPgpAut ] ]
pgpOpt ::= *NOCHG / *CHGPGP
newPgp ::= *NONE / user-profile-name
newPgpAut ::= *OLDPGP / *PRIVATE / *ALL / *CHANGE / *USE / *EXCLUDE
```

The `pgpOpt` value specifies the action to be taken if the profile being deleted is the primary group for any objects. If `*CHGPGP` is specified, `newPgp` must also be specified. The `newPgp` value specifies the primary group profile name or `*NONE`. If a new primary group profile is specified, the `newPgpAut` value can also be specified. The `newPgpAut` value specifies the authority to the objects that the new primary group is given.

Examples of the control value are the following:

- `*NOCHG`: specifies that the profile cannot be deleted if it is the primary group for any objects.
- `*CHGPGP *NONE`: specifies to remove the primary group for the objects.
- `*CHGPGP SMITH *USE`: specifies to change the primary group to the SMITH user profile and to grant `*USE` authority to the primary group.

If either of these controls is not specified on the delete, the defaults currently in effect for the `QSYS/ DLTUSRPRF` command are used instead.

## ModRDN

You cannot rename projected user profiles because this is not supported by the operating system.

## Import and Export APIs

The `QgldImportLdif` and `QgldExportLdif` APIs do not support importing or exporting data within the system projected backend.

## Related concepts

[Enterprise Identity Mapping \(EIM\)](#)

[Read access to projected users](#)

By default, the system projection backend provides read access to user profile information to authorized users through LDAP search and compare operations. Read access to projected users can be enabled or disabled using IBM Navigator for i or by a configuration setting in the `/QIBM/UserData/OS400/DirSrv/idsslapd-instance/etc/ibmslapd.conf` file (`/QIBM/UserData/OS400/DirSrv/idsslapd-QUSRDIR/etc/ibmslapd.conf` file for the default server instance).

## Administrator and replica bind DNs

You can specify a projected user profile as the configured administrator or replica bind DN. The password of the user profile is used.

Projected user profiles can also become LDAP administrators if they are authorized to the Directory Server Administrator function identifier (`QIBM_DIRSRV_ADMIN`). Multiple user profiles can be granted administrator access.

## Related concepts

[Administrative access](#)

Use administrative access to control access to specific administrative tasks.

## User projected schema

The object classes and attributes from the projected backend can be found in the server-wide schema.

The names of the LDAP attributes are in the format `os400-nnn`, where *nnn* is typically the keyword of the attribute on the user profile commands. For example, the `os400-usrcls` attribute corresponds to the `USRCLS` parameter of the `CRTUSRPRF` command. The values of the attributes correspond to the parameter values accepted by the `CRTUSRPRF` and `CHGUSRPRF` commands, or the values displayed

when displaying a user profile. Use the Web administration tool or another application to view the definitions of the os400-usrprf objectclass and the associated os400-xxx attributes.

### Read access to projected users

By default, the system projection backend provides read access to user profile information to authorized users through LDAP search and compare operations. Read access to projected users can be enabled or disabled using IBM Navigator for i or by a configuration setting in the /QIBM/UserData/OS400/DirSrv/idsslapd-instance/etc/ibmslapd.conf file (/QIBM/UserData/OS400/DirSrv/idsslapd-QUSRDIR/etc/ibmslapd.conf file for the default server instance).

To disable the read access to user profile information, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.
3. Select the **Database/Suffixes** tab.
4. Uncheck the **Allow read access to user information** checkbox.

The following line can be changed in the cn=Front End, cn=Configuration stanza of the configuration file to disable search and compare operations to the user projected backend:

```
ibm-slapdOs400UsrprjRead: TRUE
```

Change the value from TRUE to FALSE to disable read access. If the value is TRUE or the setting is not present in the configuration file, read access to projected user information is enabled.

### Related tasks

[Enabling or disabling read access to projected users](#)

Use this information to prohibit search and compare operations to the user projected backend.

### Related reference

[LDAP operations](#)

Understand what LDAP operations can be performed on the projected backend.

## Directory Server and IBM i journaling support

Directory Server uses IBM i database support to store directory information. Directory Server uses commitment control to store directory entries in the database. This requires IBM i journaling support.

When the server or the LDIF import tool is started for the first time, the following are built:

- A journal
- A journal receiver
- Any database tables needed initially

The journal QSQJRN is built in the database library that you have configured. The journal receiver QSQJRN0001 is initially created in the database library that you have configured.

Your environment, directory size and structure, or save and restore strategy might dictate some differences from the defaults, including how these objects are managed and the size threshold used. You can change journaling command parameters if necessary. LDAP journaling is set up by default to delete old receivers. If the change log is configured and you want to keep old receivers, execute the following from a command line:

```
CHGJRN JRN(QUSRDIRCL/QSQJRN) DLTRCV(*NO)
```

If the change log is configured, you can delete its old journal receivers with the following command:

```
CHGJRN JRN(QUSRDIRCL/QSQJRN) DLTRCV(*YES)
```

### Related information

[Change Journal \(CHGJRN\)](#)

## Directory Server and IBM i IASP support

From i 7.1, the Directory Server on IBM i® begin to partly support Private IASP. Up to i 7.2, the Directory Server on IBM i® can fully support IASP including Private IASP and Switchable IASP.

An independent disk pool, or independent auxiliary storage pool (IASP), is a collection of disk units used by IBM i® that can be brought online or taken offline independent of the rest of the storage on a system, including the system ASP, user ASPs, and other independent disk pools.

An independent disk pool can be either:

- Private: Privately connected to a single system, also known as stand-alone IASPs
- Switchable: Switched between two systems or partitions in a clustered environment

In i 7.1, the Directory Server on IBM i® supports Private IASP:

- Database library located in IASP
- Change log library located in IASP

Because LDAP instance configuration files, schema files and configuration library cannot be located in IASP, an instance is not switchable in i 7.1. From i 7.2, all the data referred mentioned can be put into a single IASP, thus the whole LDAP instance is switchable. This is very important for applications that are based on HA(High Availability) technology.

You can follow the following procedures to create and configure LDAP server instance on IASP:

1. Before creating instance on an IASP, the IASP must be configured and available.
2. Use IBM Navigator for i Create Instance Wizard to create a new instance:
  - On System i Navigator, the ASP number and IASP number are both listed in Disk pool for the user to choose.
  - On IBM Navigator for i, the ASP Name and IASP Name are listed for the user to choose.

You can also configure an existing instance to use IASP by changes the configuration file:

- Change the value of attribute `ibm-slapdDbName` under `cn=Directory, cn=RDBM Backends, cn=IBM Directory, cn=Schemas, cn=Configuration` from `*SYSTEM` to an IASP device name.
- Change the value of attribute `ibm-slapdDbName` under `cn=CHANGE LOG, cn=RDBM Backends, cn=IBM Directory, cn=Schemas, cn=Configuration` from `*SYSTEM` to an IASP device name.

## Unique attributes

The unique attributes function ensures that specified attributes always have unique values within a directory.

These attributes can be specified in two entries only, `cn=uniqueattribute,cn=localhost` and `cn=uniqueattribute,cn=IBMpolicies`. Search results for unique attributes are unique for that server's database only. Search results that include results from referrals might not be unique.

**Note:** Binary attributes, operational attributes, configuration attributes, and the objectclass attribute cannot be designated as unique.

Not all attributes can be specified as unique. To determine if an attribute can be specified as unique, use the `ldapexop` command:

- For attributes that can be unique: `ldapexop -op getattributes -attrType unique -matches true`
- For attributes that cannot be unique: `ldapexop -op getattributes -attrType unique -matches false`

### Related concepts

[Unique attribute tasks](#)

Use this information to manage unique attributes.

## Operational attributes

There are several attributes that have special meaning to the Directory Server known as operational attributes. These are attributes that are maintained by the server and either reflect information the server manages about an entry or affect server operation.

These attributes have special characteristics:

- The attributes are not returned by a search operation unless they are specifically requested (by name) in the search request
- The attributes are not part of any object class. The server controls what entries have the attributes.

The following sets of operational attributes are some of the operational attributes supported by the Directory Server:

- `creatorsName`, `createTimestamp`, `modifiersName`, `modifyTimestamp` are present on every entry. These attributes show the bind DN and time when an entry was first created or last modified. You can use these attributes in search filters, for example, to find all entries modified after a specified time. These attributes cannot be modified by any user. These attributes are replicated to consumer servers and are imported and exported in LDIF files.
- `ibm-entryuuid`. Present on every entry that is created while the server is at V5R3 or later. This attribute is a universally unique string identifier assigned to each entry by the server when the entry is created. It is useful for applications that need to distinguish between identically named entries on different servers. The attribute uses the DCE UUID algorithm to generate an ID that is unique across all entries on all servers using a timestamp, adapter address, and other information.
- `entryowner`, `ownersource`, `ownerpropagate`, `aclentry`, `aclsource`, `aclpropagate`, `ibm-filteracl`, `ibm-filteraclinherit`, `ibm-effectiveAcl`.
- `hasSubordinates`. Present on every entry and has the value `TRUE` if the entry has subordinates.
- `numSubordinates`. Present on every entry and contains the number of entries which are children of this entry.
- `pwdChangedTime`, `pwdAccountLockedTime`, `pwdExpirationWarned`, `pwdFailureTime`, `pwdGraceUseTime`, `pwdReset`, `pwdHistory`.
- `subschemasubentry` - Present on every entry and identifies the location of the schema for that part of the tree. This is useful for servers with multiple schemas if you want to find the schema that you can use in that part of the tree.

For a complete list of operational attributes, use the following extended operation: `ldapexop -op getattributes -attrType operational -matches true`.

### Related concepts

#### Directories

The Directory Server allows access to a type of database that stores information in a hierarchical structure similar to the way that the IBM i integrated file system is organized.

#### Access control lists

Access control lists (ACLs) provide a means to protect information stored in a LDAP directory. Administrators use ACLs to restrict access to different portions of the directory, or specific directory entries.

#### Password policy

With the use of LDAP servers for authentication, it is important that a LDAP server support policies regarding password expiration, failed login attempts, and password rules. Directory Server provides configurable support for all three of these kinds of policies.

## Server caches

LDAP caches are fast storage buffers in memory used to store LDAP information such as queries, answers, and user authentication for future use. Tuning the LDAP caches is crucial to improving performance.

An LDAP search that accesses the LDAP cache can be faster than one that requires a connection to DB2®, even if the information is cached in DB2. For this reason, tuning LDAP caches can improve performance by avoiding calls to the database. The LDAP caches are especially useful for applications that frequently retrieve repeated cached information.

The following sections discuss each of the LDAP caches and demonstrate how to determine and set the best cache settings for your system.

### Related concepts

#### Performance tasks

Use this information to adjust performance settings.

### Attribute cache

The attribute cache has the advantage of being able to resolve filters in memory rather than in the database. It also has the advantage of being updated each time an LDAP add, delete, modify, or modrdn operation is performed.

In deciding which attributes you want to store in memory, you need to consider:

- The amount of memory available to the server
- The size of the directory
- The types of search filters the application typically uses

**Note:** The attribute cache manager can resolve the following types of simple filters: exact match filters and presence filters. It can resolve complex filters that are conjunctive or disjunctive, and the subfilters must be exact match, presence, conjunctive, or disjunctive.

Not all attributes can be added to the attribute cache. To determine whether or not an attribute can be added to the cache, use the `ldapexop` command:

- For attributes that can be added: `ldapexop -op getattributes -attrType attribute_cache -matches true`
- For attributes that cannot be added: `ldapexop -op getattributes -attrType attribute_cache -matches false`

Attribute caching can be configured two ways: manually or automatically. To manually configure attribute caching, the administrator should perform `cn=monitor` searches to understand how to make attribute caching most effective. These searches return current information listing which attributes are cached, the amount of memory used by each attribute cache, the total amount of memory used by attribute caching, the amount of memory configured for attribute caching, and a list of the attributes most often used in search filters. Using this information, an administrator can change the amount of memory that is allowed to be used for attribute caching, as well as which attributes to cache whenever necessary based on new `cn=monitor` searches.

Alternatively, an administrator can configure automatic attribute caching. When automatic attribute caching is enabled, the Directory Server tracks the combination of attributes that would be most useful to cache within the memory limits defined by the administrator. It then updates the attribute caching at a time and time interval configured by the administrator.

### Filter cache

When the client issues a query for data and the query cannot be resolved in memory by the attribute cache manager, the query goes to the filter cache. This cache contains cached entry IDs.

There are two things that can happen when a query arrives at the filter cache:

- **The IDs that match the filter settings used in the query are located in the filter cache.** If this is the case, the list of the matching entry IDs is sent to the entry cache.
- **The matching entry IDs are not cached in the filter cache.** In this case, the query must access DB2 in search of the desired data.

To determine how big your filter cache should be, run your workload with the filter cache set to different values and measure the differences in operations per second.

The filter cache bypass limit configuration variable limits the number of entries that can be added to the filter cache. For example, if the bypass limit variable is set to 1,000, search filters that match more than 1,000 entries are not added to the filter cache. This prevents large, uncommon searches from overwriting useful cache entries. To determine the best filter cache bypass limit for your workload, run your workload repeatedly and measure the throughput.

### Entry cache

The entry cache contains cached entry data. Entry IDs are sent to the entry cache.

If the entries that match the entry IDs are in the entry cache, then the results are returned to the client. If the entry cache does not contain the entries that correspond to the entry IDs, the query goes to DB2 in search of the matching entries.

To determine how big your entry cache should be, run your workload with the entry cache set to different sizes and measure the differences in operations per second.

### ACL cache

The ACL cache contains access control information such as entry owner and entry permissions for recently accessed entries. This cache is used to improve performance of evaluating access to add, delete, modify or search for entries.

If an entry is not found in the ACL cache, access control information is retrieved from the database. To determine an appropriate ACL cache size, measure server performance using a typical workload with various ACL cache sizes.

## Controls and extended operations

Controls and extended operations allow the LDAP protocol to be extended without changing the protocol itself.

### Controls

Controls provide additional information to the server to control how it interprets a given request. For example, a `delete subtree` control can be specified on a LDAP delete request, indicating that the server should delete the entry and all its subordinate entries, rather than deleting just the entry specified. A control consists of three parts:

- The control type, which is an OID identifying the control.
- A criticality indicator, which specifies how the server should behave if it does not support the control. This is a Boolean value. FALSE indicates the control is not critical, and the server should ignore it if it doesn't support it. TRUE indicates the control is critical and the entire request should be failed (with an unsupported critical extension error) if the server cannot honor the control.
- An optional control value, which contains other information specific to the control. The content of the control value is specified using ASN.1 notation. The value itself is the BER encoding of the control data.

### Extended operations

Extended operations are used to start additional operations beyond the core LDAP operations. For example, extended operations have been defined to group a set of operations into a single transaction. An extended operation consists of:

- The request name, an OID which identifies the specific operation.



- An optional request value, which contains other information specific to the operation. The content of the request value is specified using ASN.1 notation. The value itself is the BER encoding of the request data.

Extended operations typically have an extended response. The response consists of:

- The components of the standard LDAP result (error code, matched DN, and error message)
- The response name, an OID which identifies the type of response
- An optional value, which contains other information specific to the response. The content of the response value is specified using ASN.1 notation. The value itself is the BER encoding of the response data.

### **Related concepts**

#### Distinguished names (DNs)

Every entry in the directory has a distinguished name (DN). The DN is the name that uniquely identifies an entry in the directory. The first component of the DN is referred to as the Relative Distinguished Name (RDN).

### **Related reference**

#### Object identifiers (OIDs)

This information contains the object identifiers (OIDs) that are used in the Directory Server.

## **Save and restore considerations**

Directory Server stores data and configuration information in several locations.

Directory Server stores information in the following locations:

- The database library (QUSRDIRDB by default), which contains the directory servers contents.

**Note:** You can see which database library you are using on the **Database/Suffixes** tab of the IBM Directory Server Properties panel in the IBM Navigator for i. From IBM i 7.1, The library might reside in an IASP device.

- The QDIRSRV2 library, which is used to store publishing information.
- The QUSRSYS library, which stores various items in objects beginning with QGLD (specify QUSRSYS/QGLD\* to save them).
- If you configure the directory server to log directory changes, a database library called QUSRDIRCL that the change log uses. From IBM i 7.1, The library might reside in an IASP device.

If the contents of the directory change regularly, you should save your database library and the objects in it on a regular basis. Configuration data is also stored in the following directory:

```
/QIBM/UserData/OS400/Dirsrv/
```

You should also save the files in that directory whenever you change the configuration or apply PTFs.

### **Related information**

#### Backup and recovery

## **Getting started with Directory Server**

---

Get started installing, migrating, planning, customizing, and administering the Directory Server.

Directory Server is automatically installed when you install the IBM i operating system. The Directory Server includes a default configuration. To get started with the Directory Server, see the following:

## Migration considerations

If you are installing IBM i 5.4 and were using Directory Server on a previous release, then review the migration considerations.

Directory Server is automatically installed when you install IBM i. The first time the server is started, it automatically migrates any existing configuration and data. This can cause a long delay before the server is started the first time.

**Note:** Migration of the configuration and schema files is done during install and the first server startup. Once this first server startup is completed, if the configuration and schema files in /qibm/userdata/os400/dirsrv are restored from a backup of a previous release, the schema and configuration for the new release will be overlaid with the previous release's files which will not be migrated again. Restoring a previous release's schema and configuration after migration has occurred may cause your server not to start as well as other unpredictable errors. If a backup of the server configuration and schema are desired, this data should be saved after the server has been successfully started.

### Migrating to i 7.2 from 5.4, 6.1 or 7.1

Use this information if you have a Directory Server running under i 5.4, 6.1 or 7.1.

IBM i i 7.2 introduces new functions and capabilities to Directory Server. From I 7.2, the System i Navigator for windows is obsoleted. And a new Web console interface, IBM Navigator for i is provided to replace it. This Web application is part of the base IBM i operating system, and can be easily accessed by simply pointing your browser to <http://systemName:2001>.

IBM i 7.2 supports direct upgrades from i 5.4, 6.1 or 7.1. The Directory Server is upgraded to i 7.2 at the first time the server is started. The LDAP directory data and the directory schema files are automatically migrated to conform to i 7.1 formats.

To upgrade to i 7.2 , you can use either of the following procedures:

- Slip install to i 7.2.
- Saving the LDAP server objects and IFS file then installing i 7.2, you can migrate your Directory Server by saving the database libraries, IFS files and QGLDCFG, QGLDVLDL (IBM i 5.4) that Directory Server uses in i 5.4, i 6.1 or 7.1 and then restoring it after installing i 7.2.

When you upgrade to IBM i 7.2, you should be aware of some migration issues:

- When you upgrade to i 7.2 and start the directory server, Directory Server automatically migrates your schema files to i 7.2 and deletes the old schema files. However, if you have deleted or renamed the schema files, Directory Server cannot migrate them. You might receive an error or Directory Server might assume that the files have already been migrated.
- After you upgrade to i 7.2, you should first start your server once to migrate existing data before importing new data. If you try to import data before starting the server once and you do not have enough authority, the import might fail. Directory Server migrates directory data to the i 7.2 format the first time that you start the server or import an LDIF file. Plan to allow some time for this migration to complete.
- Since i 6.1, Directory Server has the ability to have multiple directory server instances on your IBM i system. If you were using the directory server prior to i 5.4 before upgrading to i 7.2, your directory server will be migrated to an instance. This includes moving the configuration and schema files from the /QIBM/UserData/OS400/DirSrv directory to the /QIBM/UserData/OS400/DirSrv/idsslapped-QUSRDIR directory. This is referred to as the default directory server instance and will be named the QUSRDIR instance. Also, two objects in the QUSRSYS library are moved to a new library, QUSRDIRCF. This migration will occur when the directory server is started for the first time after the upgrade to i 7.2.
- Since i 7.1, the password policy entry “cn=pwdPolicy” is moved to under “cn=ibmpolicies”, if you have application regards “cn=pwdPolicy” as password policy entry, you have to change the application to use “cn=pwdPolicy, cn=ibmpolicies” instead.
- Following migration, the LDAP directory server will automatically start when TCP/IP starts. If you do not want the directory server to start automatically, use IBM Navigator for i to change the setting.

## Migrating a network of replicating servers

Use this information if you have a network of replicating servers.

The first time that the master server is started, it migrates the information in the directory that controls replication. The entries with objectclass `replicaObject` under `cn=localhost` are replaced with entries used by the new replication model. The master server is configured to replicate all the suffixes in the directory. The agreement entries are created with the attribute `ibm-replicationOnHold` set to true. This allows updates made to the master to be accumulated for the replica until the replica is ready.

These entries are referred to as the replication topology. The new master can be used with replicas running prior versions; data related to the new functions will not be replicated to the back-level servers. It is necessary to export the replication topology entries from the master and add them to each replica after the replica server has been migrated. To export the entries, use the Qshell command line tool “`ldapsearch`” on page 253 and save the output to a file. The search command is similar to the following:

```
ldapsearch -h master-server-host-name -p master-server-port \  
-D master-server-admin-DN -w master-server-admin-password \  
-b ibm-replicagroup=default,suffix-entry-DN \  
-L "(|(objectclass=ibm-replicaSubEntry)(objectclass=ibm-replicationAgreement))" \  
> replication.topology.ldif
```

This command creates an output LDIF file named `replication.topology.ldif` in the current working directory. The file contains only the new entries.

**Note:** Do not include the following suffixes:

- `cn=changelog`
- `cn=localhost`
- `cn=schema`
- `cn=configuration`

Include only user-created suffixes.

Repeat the command for each suffix entry on the master, but replace “`>`” with “`>>`” to append the data to the output file for subsequent searches. After the file is complete, copy it to the replica servers.

Add the file to the replica servers after they have been successfully migrated; do not add the file to servers running previous versions of the directory server. You must start and stop the server before you add the file.

To start the server, use the **Start** option in IBM Navigator for i.

To stop the server, use the **Stop** option in IBM Navigator for i.

When you add the file to a replica server, be sure that the replica server is not started. To add the data, use the **Import File** option in IBM Navigator for i.

After the replication topology entries are loaded, start the replica server and resume replication. You can resume replication in one of the following ways:

- On the master server, use **Manage Queues in Replication Management** in the Web administration tool.
- Use the **ldapexop** command line utility. For example:

```
ldapexop -h master-server-host-name -p master-server-port \  
-D master-server-admin-DN -w master-server-admin-password \  
-op controlrepl -action resume -ra replica-agreement-DN
```

This command resumes replication for the server defined in the entry with the specified DN.

To determine which replica agreement DN corresponds to a replica server, look in the `replication.topology.ldif` file. The master server will log a message that replication has started for that replica and a warning that the replica server's ID in the agreement does not match the replica's server ID.

To update the replica agreement to use the correct server ID, use **Replication Management** in the Web administration tool, or the command line tool **ldapmodify**. For example:

```
ldapmodify -c -h master-server-host-name -p master-server-port \  
-D master-server-admin-DN -w master-server-admin-password \  
dn: replica-agreement-DN \  
changetype: modify \  
replace: ibm-replicaConsumerID \  
ibm-replicaConsumerID: replica-server-ID
```

You can enter these commands directly on the command line, or you can save the commands in an LDIF file and supply them to the command with the **-i file** option. Use **End Previous Request** to stop the command.

Migration for this replica is complete.

To continue to use a replica running a previous version, it is still necessary to resume replication using the command line tool **ldapexop** or **Replication Management** in the Web administration tool for that replica. If a replica running a previous version is migrated later, use the command line tool **ldapdiff** to synchronize the directory data. This will ensure that entries or attributes that were not replicated are updated on the replica.

### Related concepts

#### [Replication](#)

Replication is a technique used by directory servers to improve performance and reliability. The replication process keeps the data in multiple directories synchronized.

### Related tasks

#### [Starting the Directory Server](#)

Use this information to start the Directory Server.

## Planning your Directory Server

Before you begin to configure the Directory Server and create the structure of your LDAP directory, you should take a few minutes to create a plan.

Consider the following before you begin to configure the Directory Server and create the structure of your LDAP directory:

- **Organize the directory.** Plan the structure of your directory and determine what suffixes and attributes your server will require. For more information, see the Recommended practices for directory structure, Directories, Suffix, and Attributes topics.
- **Decide how large your directory will be.** You can then estimate how much storage you need. The size of the directory depends on the following:
  - The number of attributes in the servers schema.
  - The number of entries on the server.
  - The type of information that you store on the server.

For example, an empty directory that uses the default Directory Server schema requires approximately 10 MB of storage space. A directory that uses the default schema and which contains 1000 entries of typical employee information requires about 30 MB of storage space. This number will vary depending on the exact attributes that you used. It will also increase greatly if you stored large objects, such as pictures, in the directory.

- **Decide what security measures you will take.**

Directory server allows you to apply a password policy to ensure that users change their passwords periodically, and that the passwords meet the organization's syntactic password requirements.

Directory Server supports the use of Secure Sockets Layer (SSL) and Digital Certificates as well as Transport Layer Security (TLS) for communication security. Kerberos authentication is also supported.

Directory Server allows you to control access to directory objects with access control lists (ACLs). You can also use the operating system's security auditing to protect the directory.

Additionally decide what password policy to apply.

- **Choose an administrator DN and password.** The default administrator DN is `cn=administrator`. This is the only identity that authority to create or change directory entries when the server is initially configured. You can use the default administrator DN or select a different DN. You also need to create a password for the administrator DN.
- **Install prerequisite software for the Directory Server Web administration tool.** In order to use the Directory Server Web administration tool, the following prerequisite products must be installed.
  - Extended Base Directory Support (5770-SS1, option 3)
  - IBM HTTP Server for i (5770-DG1)
- **Plan a backup and recovery strategy.** Plan how you will save your data and configuration information.

### Related concepts

#### Recommended practices for directory structure

The Directory Server is often used as a repository for users and groups. This section describes some recommended practices for setting up a structure that is optimized for managing users and groups. This structure and associated security model can be extended to other uses of the directory.

#### Directories

The Directory Server allows access to a type of database that stores information in a hierarchical structure similar to the way that the IBM i integrated file system is organized.

#### Suffix (naming context)

A suffix (also known as a naming context) is a DN that identifies the top entry in a locally held directory hierarchy.

#### Attributes

Each directory entry has a set of attributes associated with it through its object class.

#### Save and restore considerations

Directory Server stores data and configuration information in several locations.

### Related information

IBM HTTP Server See the IBM HTTP Server topic for more information about IBM HTTP Server and IBM WebSphere Application Server.

## Configuring the Directory Server

Run the Directory Server Configuration wizard to customize the Directory Server settings.

To access the IBM i Navigator for LDAP GUI, see: Directory Server and IBM i Navigator

1. If your system has not been configured to publish information to another LDAP server and no LDAP servers are known to the TCP/IP DNS server, then Directory Server is automatically installed with a limited default configuration.

Directory Server provides a wizard to assist you in configuring the Directory Server for your specific needs. You can run the wizard later from IBM Navigator for i. Use this wizard when you initially configure the directory server. You can also use the wizard to reconfigure the directory server.

**Note:** When you use the wizard to reconfigure the directory server, you start configuring from scratch. The original configuration is deleted rather than changed. However, the directory data is not deleted, but instead remains stored in the library that you selected on installation (QUSRDIRDB by default). The change log also remains intact, in the QUSRDIRCL library by default.

If you want to start completely from scratch, clear those two libraries before starting the wizard.

If you want to change the directory server configuration, but not clear it completely, right-click **Directory** and select **Properties**. This does not delete the original configuration.

You must have \*ALLOBJ and \*IOSYSCFG special authorities to configure the server. If you want to configure security auditing, you must also have \*AUDIT special authority.

2. To start the Directory Server Configuration Wizard, take these steps:

- a) In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
- b) Right-click **IBM Tivoli Directory Server for IBM i** and select **Configure**.

**Note:** If you have already configured the directory server, click **Reconfigure** rather than **Configure**.

3. Follow the instructions in the Configure Directory Server wizard to configure your Directory Server.

**Note:** You might also want to put the library that stores the directory data in a user auxiliary storage pool (ASP) rather than the system ASP. However, this library cannot be stored in an Independent ASP and any attempt to configure, reconfigure, or start the server with a library that exists in an Independent ASP will fail.

4. When the wizard is finished, your Directory Server has a basic configuration.

If you are running Lotus Domino on your system, then port 389 (the default port for the LDAP server) might already be in use by the Domino LDAP function. You must do one of the following:

- Change the port that Lotus Domino uses. See [Host Domino LDAP and Directory Server on the same system](#) in the E-mail topic for more information.
- Change the port that Directory Server uses. See [“Changing the port or IP address” on page 127](#) for more information.
- Use specific IP addresses. See [“Changing the port or IP address” on page 127](#) for more information.

5. Create entries corresponding to the suffix or suffixes that you have configured.

For more information, see [“Adding and removing Directory Server suffixes” on page 128](#).

6. You might want to do some or all of the following before continuing:

- Enable Secure Sockets Layer (SSL) security, see [“Enabling SSL and Transport Layer Security on the Directory Server” on page 190](#).
- Enable Kerberos authentication, see [“Enabling Kerberos authentication on the Directory Server” on page 192](#).
- Set up a referral, see [“Specifying a server for directory referrals” on page 127](#).

7. Start the Directory Server.

For more information, see [“Starting the Directory Server” on page 120](#).

8. The existing directory server instance is referred to as the QUSRDIR instance. Its schema files and configuration file are in the /QIBM/UserData/OS400/DirSrv/idsslapd-QUSRDIR directory. The server instance can be automatically created if you attempt to start the default instance. No other instances will be automatically created.

### **Related concepts**

[Default configuration for Directory Server](#)

The Directory Server is automatically installed when you install IBM i. This installation includes a default configuration.

## **Populating the directory**

Populate the directory with data.

There are several ways to populate the directory with data:

- Publish information to the Directory Server.
- Import data from an LDIF file.
- Copy users from an HTTP server validation list to the Directory Server.

### **Related tasks**

[Publishing information to the Directory Server](#)

Use this information to publish information to the Directory Server.

[Importing an LDIF file](#)

Use this information to import an LDAP Data Interchange Format (LDIF) file.

[Copying users from an HTTP server validation list to the Directory Server](#)

Use this information to copy users from an HTTP server validation list to the Directory Server.

## Web administration

The Web administration console is a useful tool to help you administer directory servers.

One or more directory servers can be administered through the Web administration console. The Web administration console allows you to do the following tasks:

- Add or change the list of directory servers that can be administered.
- Administer a directory server using the Web administration tool.
- Change Web administration console properties.

To use the Web administration console, do the following steps:

1. If this is the first time that you are using Directory Server Web administration, set up Web administration (see [“Setting up Web administration for the first time” on page 108](#)) and then continue with the next step.
2. Log in to the IBM Tivoli Directory Server Web administration tool by doing one of the following steps.
  - From System i Navigator, select **your system** > **Network** > **Servers** > **TCP/IP**, right-click **IBM Tivoli Directory Server for i5/OS** and select **Server Administration**.
  - From IBM Navigator for i ([http://your\\_server:2001](http://your_server:2001)), from the IBM i Tasks page, click **IBM Tivoli Directory Server Web Administration Tool**.
3. Depending on your specific administration tasks, log in with the corresponding user ID and password on different login displays.

**Note:** You can switch between the **Directory server login** display and the **Console administration login** display by clicking the **Login to Console admin** link or the **Login to a registered directory server** link at the bottom right of the display.

- To administer a directory server, follow these steps:
  - a. In the **Directory server login** display, select the directory server that you want to administer from the **LDAP Hostname** list.
  - b. Enter the administrator user DN that you use to bind to the directory server.
  - c. Enter the administrator's password.
  - d. Click **Login**. The IBM Tivoli Directory Server Web Administration Tool page is displayed. For more information about the IBM Tivoli Directory Server Web Administration Tool page, see [“Web administration tool” on page 109](#).
  - e. Select specific options to administer the directory server.
- To add or change the list of directory servers that can be administered, or to change the Web administration console attributes, follow these steps:
  - a. On the **Console administration login** display, enter the console administrator user ID.
  - b. Enter the console administrator's password.
  - c. Click **Login**. The IBM Tivoli Directory Server Web Administration Tool page is displayed. For more information about the IBM Tivoli Directory Server Web Administration Tool page, see [“Web administration tool” on page 109](#).
  - d. Expand **Console administration** and select one of the following options to perform your specific task:
    - To change the name of the console administrator login, click **Change console administrator login**.
    - To change the console administrator's password, click **Change console administrator password**.

- To change which directory servers can be administered by the Web administration console, click **Manage console servers**.
- To change the properties of the Web administration console, click **Manage console properties**.
- To change the web administration search properties, click **Manage properties for webadmin searches**.

### Setting up Web administration for the first time

You need to set up the IBM Tivoli Directory Server Web Administration Tool before you can use it to administer your directory servers.

To set up Web administration, follow these steps:

1. Install IBM HTTP Server for IBM i (5770-DG1) and the associated prerequisite software if they are not already installed.
2. Start the HTTP ADMIN server.

The IBM Tivoli Directory Server Web Administration Tool will be installed automatically. The installation might take several minutes.

a) To start the HTTP ADMIN server instance, select one of the following methods:

- In System i Navigator, select **your system** > **Network** > **Servers** > **TCP/IP**, right-click **HTTP Administration**, and select **Start**.
- On a command line, type **STRTCPSVR SERVER(\*HTTP) HTTPSVR(\*ADMIN)**.

3. Log in to the Directory Server Web Administration Tool.

a) Open the **Login page** by selecting one of the following methods:

- From System i Navigator, select **your system** > **Network** > **Servers** > **TCP/IP**, right-click **IBM Tivoli Directory Server for IBM i**, and select **Server Administration**.
- From the IBM i Tasks page on your IBM Navigator for i ([http://your\\_server:2001](http://your_server:2001)), click **IBM Tivoli Directory Server Web Administration Tool**.

**Note:** If this is your first use of the Web Administration Tool, you will go to the **Console administration login** display. Otherwise, you can click **Login to Console admin** to go to this display.

b) In the **User ID** field, type *superadmin*, which is the default user ID on your system.

c) In the **Password** field, type *secret*, which is the default password associated with the default user ID.

d) Click **Login**.

The **IBM Tivoli Directory Server Web Administration Tool** page is displayed.

4. Change the console administration login:

a) Click **Console administration** in the left pane to expand the section.

b) Click **Change console administrator login**.

c) Type a new console administration login name in the **Console administrator login** field.

d) Type the current password (*secret*) in the **Current password** field, and click **OK**.

5. Change the console administration password:

a) Click **Change console administrator password** in the left pane.

b) Type the current password (*secret*) in the **Current password** field.

c) Type a new password in the **New password** field.

d) Repeat the new password in the **Confirm new password** field, and click **OK**.

6. Add the Directory Server that you want to administer:

a) Click **Manage console servers** in the left pane.

b) Click **Add** on the **Manage console servers** display.

c) Type the necessary information about the directory server in the **Add server** display, and click **OK**.



**Note:** When you add a directory server, the **Administration port** is not used and is ignored.

7. Optional: Change the console properties:

- a) Click **Manage console properties** in the left pane.
- b) Make the changes that you want in the **Manage console properties** display, and click **OK**.

8. Optional: Change the properties for web administration searches:

- a) Click **Manage properties for webadmin searches** in the left pane.
- b) Make the changes that you want in the **Manage properties for webadmin searches** display, and click **OK**.

9. Click **Logout**.

When the Logout successful display appears, click the link to return to the Web administration login page.

After you have configured the console for the first time, you can return to the console at any time to do the following tasks:

- Change the console administrator login and password.
- Change which Directory Servers that can be administered by the Web administration tool.
- Change Web administration console properties.

### **Related information**

[IBM HTTP Server](#)

### **Web administration tool**

Once you have logged on to the Web administration tool, you will find an application window consisting of five parts.

#### **Banner area**

The banner area is located at the top of the panel and contains the application name and IBM logo.

#### **Navigation area**

The navigation area, located on the left side of the panel, displays expandable categories for various server content tasks such as:

##### **User properties**

This task allows you to change the current user's password.

##### **Server administration**

This task allows you to change the current user's password.

##### **Schema management**

This task allows you to change server configuration and security settings.

##### **Directory management**

This task allows you to work with directory entries.

##### **Replication management**

This task allows you to work with credentials, topology, schedules, and queues.

##### **Realms and templates**

This task allows you to work with user templates and realms.

##### **Users and groups**

This task allows you to work with users and groups in the defined realms. For example, if you want to create a new Web user, the **Users and groups** task works with a single group objectclass, groupOfNames. You cannot tailor the group support.

#### **Work area**

The work area displays the tasks associated with the selected task in the navigation area. For example, if Managing server security is selected in the navigation area, the work area displays the Server Security page and the tabs containing tasks related to setting up server security.

### Server status area

The server status area, located at the top of the work area. The icon on the left-hand side of the server status area indicates the current status of the server. Next to the icon is the name of the server being administered. The icon on the right-hand side of the server status area provides a link to the online help.

### Task status area

The task area, located beneath the work area, displays the status of the current task.

## Directory Server and IBM i Navigator

IBM i Navigator can be used to create and configure directory server. LDAP supports three type of navigators: System Navigator for i Windows Client, IBM i Navigator Tasks for the Web and IBM Navigator for i.

Each Navigator provides equal management functions for LDAP management ; you can use either of them to manage Directory Server by following procedures:

- System Navigator for i Windows Client
  1. In System Navigator for i, expand **Network** .
  2. Expand **Servers**.
  3. Click **TCP/IP**.
  4. Select IBM Directory Server to Manage
- IBM i Navigator Tasks for the Web
  1. From IBM i Navigator Tasks for the web welcome page, click **View All Tasks**
  2. Input the system name you want to manage in **Target System** then click **OK**.
  3. Expand Network
  4. Expand Servers.
  5. Click **TCP/IP Servers**.
  6. Click the context button next to the IBM Tivoli Directory Server for IBM i for LDAP management.
- IBM Navigator for i
  1. From IBM Navigator for i ([http://your\\_server:2001](http://your_server:2001)) welcome page, expand **IBM i Management**.
  2. Expand **Network**
  3. Expand **TCP/IP Servers**
  4. Click the context button next to the IBM Tivoli Directory Server for IBM i to display LDAP management menus

For installation and use of System i Navigator , please refer to: [System i Navigator](#).

## Directory Server scenarios

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Use this information to review scenarios that illustrate examples of typical Directory Server tasks.

### Scenario: Setting up a Directory Server

An example of how to set up an LDAP directory on the Directory Server.

#### Situation

As the administrator of your company's computer systems, you would like to place employee information such as telephone numbers and e-mail addresses for your organization into a central LDAP repository.

## Objectives

In this scenario, MyCo, Inc. wants to configure a Directory Server and create a directory database that contains employee information such as name, e-mail address, and telephone number.

The objectives of this scenario are as follows:

- To make employee information available anywhere on the company network to employees using a Lotus Notes or Microsoft Outlook Express mail client.
- To allow managers to change employee data in the directory database, while not allowing non-managers to change employee data.
- To allow the system to be able to publish employee data into the directory database.

## Details

The Directory Server will run on the system called mySystem.

The following example illustrates the information that MyCo, Inc. wants to include into its directory database for each employee.

```
Name: Jose Alvarez
Department: DEPTA
Telephone number: 999 999 9999
Email address: jalvarez@my_co.com
```

The directory structure for this scenario might be visualized as something similar to the following:

```
/
|
+- my_co.com
|
|-- employees
|   |
|   +- Jose Alvarez
|   |   DEPTA
|   |   999-555-1234
|   |   jalvarez@my_co.com
|   |
|   +- John Smith
|   |   DEPTA
|   |   999-555-1235
|   |   jsmith@my_co.com
|   |
|   + Managers_group
|     Jose Alvarez
|     mySystem.my_co.com
|
|
|
|
```

All employees (managers and non-managers) exist in the employees directory tree. Managers also belong to the managers group. Members of the managers group have authority to change employee data.

The system (mySystem) also needs to have authority to change employee data. In this scenario, the system is placed in the employees directory tree and is made a member of the managers group.

If you want to keep the employee entries separate from the system entry, you can create another directory tree (for example: computers) and add the system there. The system will need to have the same authority as the managers.

## Prerequisites and assumptions

The Web Administration tool is properly configured and running. See [“Web administration” on page 107](#) for more information.

## Setup steps

Complete the following tasks:

### Scenario details: Set up the Directory Server

#### Step 1: Configure the Directory Server

##### Note:

- You must have \*ALLOBJ and \*IOSYSCFG special authorities to configure the server.
- You might see **Configure system as Directory server** in the disabled status. This is because you have already set up the default instance (QUSRDIR). In this case, you can change the settings by clicking **Manage Instances**, right-clicking the instance that you want to manage, and selecting **Properties**.

1. From System i Navigator, click **Network > Servers > TCP/IP**.
2. In the **Server Configuration tasks** pane, click **Configure system as Directory server**.  
The **IBM Tivoli Directory Server for IBM i Configuration Wizard** opens.
3. In the **IBM Tivoli Directory Server for IBM i Configuration - Welcome** window, type the following values, and click **Next**.

|                    |         |
|--------------------|---------|
| <b>Instance ID</b> | QUSRDIR |
| <b>Description</b> | QUSRDIR |

4. In the **IBM Tivoli Directory Server for IBM i Configuration - Specify Settings** window, select **No**.  
This enables you to configure the LDAP server without the default settings.
5. Click **Next**.
6. In the **IBM Tivoli Directory Server for IBM i Configuration - Specify Directory Library Names** window, click **Next**.
7. In the **IBM Tivoli Directory Server for IBM i Configuration - Specify Directory Storage Location** window, click **Next**.
8. In the **IBM Tivoli Directory Server for IBM i Configuration - Specify Administrator DN** window, clear **System-generated**, and type the following values.

|                         |                  |
|-------------------------|------------------|
| <b>Administrator DN</b> | cn=administrator |
| <b>Password</b>         | secret           |
| <b>Confirm password</b> | secret           |

**Note:** Any and all passwords specified in this scenario are for example purposes only. To prevent a compromise to your system or network security, never use these passwords as part of your own configuration.

9. In the **IBM Tivoli Directory Server for IBM i Configuration - Specify Administrator DN** window, click **Next**.
10. In the **IBM Tivoli Directory Server for IBM i Configuration - Specify Suffixes** window, type `dc=my_co,dc=com` in the **Suffix** field, and click **Add**.
11. Click **Next**.
12. In the **IBM Tivoli Directory Server for IBM i Configuration - Specify Port Information** window, type the following values, and click **Next**.

|                     |     |
|---------------------|-----|
| <b>Port:</b>        | 389 |
| <b>Secure Port:</b> | 636 |

13. In the **IBM Tivoli Directory Server for IBM i Configuration - Select IP Addresses** window, select **Yes, use all IP addresses**, and click **Next**.
14. In the **IBM Tivoli Directory Server for IBM i Configuration - Specify TCP/IP Preference** window, select **Yes**, and click **Next**.

15. In the **IBM Tivoli Directory Server for IBM i Configuration - Summary** window, click **Finish**.
16. Right-click **IBM Tivoli Directory Server for IBM i** and select **Start**.

### **Step 2: Configure the Directory Server Web Administration tool**

To configure the Web Administration tool to connect to the LDAP server on your system, follow these steps:

1. Point your browser to `http://mySystem.my_co.com:2001/IDSWebApp/IDSjsp/Login.jsp`, where `mySystem.my_co.com` is your system.  
A login page should be displayed.
2. Click **Login to Console admin**.
3. Type `superadmin` in the **User DN** field and `secret` in the **Password** field, and click **Login**.
4. From the left hand navigation, select **Console administration > Manage console servers**.
5. Click **Add**.
6. Type `mySystem.my_co.com` in the **Hostname** field and `389` in the **Port** field, and click **OK**.  
A confirmation page about adding the server connection is displayed.
7. On the confirmation page, click **OK**.  
The new server should be added to the list in the **Manage console servers** pane.
8. From the left hand navigation, click **Logout**.
9. On the **Directory server login** page of the Web Administration tool, click the **LDAP Hostname** list and select the server that you configured (**mySystem.my\_co.com:389**).
10. Type `cn=admin` in the **Username** field and `secret` in the **Password** field, and click **Login**.  
You should see the main page of the IBM Tivoli Directory Server Web Administration Tool.

### **Scenario details: Create the directory database**

Before you can begin to enter data, you must create a place for the data to be stored.

#### **Step 1: Create a base DN object**

1. In the Web administration tool, click **Directory management > Manage entries**.  
You see a listing of the objects in the base level of the directory. Since the server is new, you see only the structural objects which contain the configuration information.
2. You want to add a new object to contain the MyCo, Inc. data.  
First click **Add...** on the right side of the window. In the next window, scroll within the **Object class** list to select **domain** and click **Next**.
3. You do not want to add any auxiliary object classes, so click **Next** again.
4. In the **Enter the attributes** window, enter the data that corresponds with the suffix that you created earlier in the wizard.  
Leave the **Object class** drop down list on **domain**. Type `dc=my_co` in the **Relative DN** field. Type `dc=com` in the **Parent DN** field. Type `my_co` in the **dc** field.
5. Click **Finish** at the bottom of the window.  
Back in the base level you should see the new base DN.

#### **Step 2: Create a user template**

You will create a user template as an aid to adding the MyCo, Inc. employee data.

1. In the Web administration tool, click **Realms and templates > Add user template**.
2. In the **User template name** field, type `Employee`.
3. Click the **Browse...** button next to the **Parent DN** field.  
Click the base DN you created in the previous section, `dc=my_co,dc=com`, and click **Select**, on the right of the window.
4. Click **Next**.

5. In the **Structural object class** drop-down list, choose **inetOrgPerson** and click **Next**.
6. In the **Naming attribute** drop-down list, select **cn**.
7. In the **Tabs** list, select **Required** and click **Edit**.
8. The **Edit tab** window is where you choose which fields to include in the user template.  
**sn** and **cn** are required.
9. In the **Attributes** list, select **departmentNumber** and click **Add >>>**.
10. Select **telephoneNumber** and click **Add >>>**.
11. Select **mail** and click **Add >>>**.
12. Select **userPassword** and click **Add >>>**.
13. Click **OK** and then **Finish** to create the user template.

### **Step 3: Create a realm**

1. In the Web Administration tool, click **Realms and templates > Add realm**.
2. In the **Realm name** field, type **employees**.
3. Click **Browse...** to the right of the **Parent DN** field.
4. Select the parent DN you created, **dc=my\_co,dc=com**, and click **Select** on the right side of the window.
5. Click **Next**.
6. In the next window you only need to change the **User template** drop-down list.  
Select the user template you created, **cn=employees,dc=my\_co,dc=com**.
7. Click **Finish**.

### **Step 4: Create a manager group**

1. Create the manager group.
  - a) In the Web administration tool, click **Users and groups > Add group**.
  - b) In the **Group name** field, type **managers**.
  - c) Ensure that **employees** is selected in the **Realm** pull down list.
  - d) Click **Finish**.
2. Configure the manager group administrator for the **employees** realm.
  - a) Click **Realms and templates > Manage realms**.
  - b) Select the realm that you created, **cn=employees,dc=my\_co,dc=com**, and click **Edit**.
  - c) To the right of the **Administrator group** field, click **Browse....**
  - d) Select **dc=my\_co,dc=com** and click **Expand**.
  - e) Select **cn=employees** and click **Expand**.
  - f) Select **cn=managers** and click **Select**.
  - g) In the **Edit realm** window, click **OK**.
3. Give the manager group authority over the **dc=my\_co,dc=com** suffix.
  - a) Click **Directory management > Manage entries**.
  - b) Select **dc=my\_co,dc=com** and click **Edit ACL....**
  - c) In the **Edit ACL** window, click the **Owners** tab.
  - d) Select the **Propagate owner** check box.  
Everyone who is a member of the managers group will be made an owner of the **dc=my\_co,dc=com** data tree.
  - e) In the **Type** pull down list, select **Group**.
  - f) In the **DN (Distinguished name)** field, type **cn=managers,cn=employees,dc=my\_co,dc=com**.
  - g) Click **Add**.
  - h) Click **Ok**.

### **Step 5: Add a user as a manager**

1. In the Web Administration tool, click **Users and groups > Add user**.
2. Select the realm you created, **employees**, in the **Realm** drop-down menu, and click **Next**.
3. In the **cn** field, type Jose Alvarez.
4. In the **\*sn** (surname) field type Alvarez.
5. In the **\*cn** (complete name) field, type Jose Alvarez. cn is used to create the entry's DN. \*cn is an attribute of the object.
6. In the **telephoneNumber** field type 999 555 1234.
7. In the **departmentNumber** field type DEPTA.
8. In the **mail** field type jalvarez@my\_co.com.
9. In the **userPassword** field type secret.
10. Click the **User groups** tab.
11. In the **Available groups** list, select **managers** and click **Add →**.
12. At the bottom of the window, click **Finish**.
13. Log out of the Web administration tool by clicking **Log out** in the left hand navigation.

### **Scenario details: Publish the IBM i data to the directory database**

Configure publishing to allow your system to automatically enter user information into the LDAP directory. User information from the system distribution directory is published into the LDAP directory.

**Note:** Users created with System i Navigator are given both a user profile and an system distribution directory user entry. If you use CL commands to create users, you must create both a user profile (**CRTUSRPRF**) and a system distribution directory user entry (**WRKDIRE**). If your users exist only as user profiles and you want them to be published to the LDAP directory, you must create system distribution directory user entries for them.

### **Step 1: Make the system a Directory Server user**

1. Log in to the Web Administration tool ([http://mySystem.my\\_co.com:9080/IDSWebApp/IDSjsp/Login.jsp](http://mySystem.my_co.com:9080/IDSWebApp/IDSjsp/Login.jsp)) as the administrator.
  - a) Select **mySystem.my\_co.com** in the **LDAP Hostname** list.
  - b) Type `cn=administrator` in the **Username** field
  - c) Type `secret` in the **Password** field.
  - d) Click **Login**.
2. Select **Users and groups > Add user**.
3. Select **employees** in the **Realm** list.
4. Click **Next**.
5. Type `mySystem.my_co.com` in the **cn** field.
6. Type `mySystem.my_co.com` in the **\*sn** field.
7. Type `mySystem.my_co.com` in the **\*cn** field.
8. Type `secret` in the **userPassword** field.
9. Click the **User groups** tab.
10. Select the group **managers**.
11. Click **Add >**.
12. Click **Finish**.

### **Step 2: Configure the system to publish data**

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Configure Publishing**
3. Select **User**, then click **Details** button.

4. Select the **Publish user information** check box.
5. In the **Where to publish** section, click the **Edit** button.  
A window appears.
6. Type `mySystem.my_co.com`.
7. In the **Under DN** field, type `cn=employees,dc=my_co,dc=com`.
8. In the **Server connection** section, ensure that the default port number, **389**, is entered in the **Port** field.  
In the **Authentication method** drop-down list, choose **Distinguished name** and enter `cn=mySystem,cn=employees,dc=my_co,dc=com` in the **Distinguished name** field.
9. Click **Password**.
10. Type `secret` in the **Password** field.
11. Type `secret` in the **Confirm Password** field.
12. Click **OK**.
13. Click the **Verify** button.  
This ensures that you have entered all the information correctly and that the system can connect to the LDAP directory.
14. Click **OK**.
15. Click **OK**.

#### **Scenario details: Enter information into the directory database**

As the manager, Jose Alvarez now adds and updates data for individuals in his department. He needs to add some additional information about Jane Doe. Jane Doe is a user on the system and her information was published. Jose Alvarez also needs to add information about John Smith. John Smith is not a user on the system. Jose Alvarez does the following:

#### **Step 1: Log in to the Web Administration tool**

Log into the Web Administration tool. ([http://mySystem.my\\_co.com:9080/IDSWebApp/IDSjsp/Login](http://mySystem.my_co.com:9080/IDSWebApp/IDSjsp/Login).) by doing the following:

1. Select **mySystem.my\_co.com**, in the **LDAP Hostname** list.
2. Type `cn=Jose Alvarez,cn=myco employees,dc=my_co,dc=com` in the Username field.
3. Type `secret` in the password field.
4. Click **Logon**.

#### **Step 2: Change employee data**

1. Click **Users and groups > Manage users**.
2. Select **employees** in the **Realm** list and click **View users**.
3. Select **Jane Doe** in the users list and click **Edit**.
4. Type `DEPTA` in the **departmentNumber** field.
5. Click **OK**.
6. Click **Close**.

#### **Step 3: Add employee data**

1. Click **Users and groups > Add user**.
2. Select **employees** in the **Realm** pull down menu and click **Next**.
3. In the **cn** field, type `John Smith`.
4. In the **\*sn** field type `Smith`.
5. In the **\*cn** field, type `John Smith`.
6. In the **telephoneNumber** field type `999 555 1235`.
7. In the **departmentNumber** field type `DEPTA`.



8. In the **mail** field type `jsmith@my_co.com`.
9. Click **Finish** at the bottom of the window.

### **Scenario details: Test the directory database**

After you have entered the employee data into the directory database, test the directory database and Directory Server by doing one of the following:

#### ***Search the directory database using your e-mail address book***

Information in an LDAP directory can be easily searched by LDAP enabled programs. Many e-mail clients can search LDAP directory servers as part of their address book function. The following are example procedures to configure Lotus Notes® 6 and Microsoft Outlook Express 6. The procedure for most other e-mail clients will be similar.

##### *Lotus Notes*

1. Open your address book.
2. Click **Actions > New > Account**.
3. Type `mySystem` in the **Account name** field.
4. Type `mySystem.my_co.com` in the **Account server name** field.
5. Select **LDAP** in the **Protocol** field.
6. Click the **Protocol Configuration** tab.
7. Type `dc=my_co,dc=com` in the **Search base** field.
8. Click **Save and close**.
9. Click **Create > Mail > Memo**.
10. Click **Address...**
11. Select `mySystem` in the **Choose address book** field.
12. Type `Alvarez` in the **Search for** field.
13. Click **Search**.

The data for Jose Alvarez appears.

##### *Microsoft Outlook Express*

1. Click **Tools > Accounts**.
2. Click **Add > Directory Service**.
3. Type the Web address of the system in the **Internet Directory (LDAP) server** field (`mySystem.my_co.com`).
4. Uncheck the **My LDAP server requires me to log on** check box.
5. Click **Next**.
6. Click **Next**.
7. Click **Finish**.
8. Select `mySystem.my_co.com` (the directory service that you just configured) and click **Properties**.
9. Click **Advanced**.
10. Type `dc=my_co,dc=com` in the **Search base** field.
11. Click **Ok**.
12. Click **Close**.
13. Type `Ctrl+E` to open the **Find People** window.
14. Select `mySystem.my_co.com` from the **Look in** list.
15. Type `Alvarez` in the **Name** field.
16. Click **Find now**.

The data for Jose Alvarez appears.

## Search the directory database using the ldapsearch command line command

1. On the character-based interface enter the CL command **QSH** to open a Qshell session.
2. Enter the following to retrieve a list of all the LDAP entries in the database.

```
ldapsearch -h mySystem.my_co.com -b dc=my_co,dc=com objectclass=*
```

Where:

**-h**

is the name of the host machine running the LDAP server.

**-b**

is the base DN to search under.

**objectclass=\***

returns all of the entries in the directory.

This command returns something like the following:

```
dc=my_co,dc=com
dc=my_co
objectclass=domain
objectclass=top

cn=MyCo employee,dc=my_co,dc=com
.
.
.

cn=Jose Alvarez,cn=MyCo Employees,dc=my_co,dc=com

sn=Alvarez
departmentNumber=DEPTA
mail=jalvarez@my_co.com
telephoneNumber=999 999 9999
objectclass=top
objectclass=inetOrgPerson
objectclass=organizationalPerson
objectclass=person
cn=Jose Alvarez

.
.
.
```

The first line of each entry is called the distinguished name (DN). DNs are like the complete file name of each entry. Some of the entries do not contain data and are only structural. Those with the line **objectclass=inetOrgPerson** correspond to the entries you created for people. Jose Alvarez's DN is **cn=Jose Alvarez,cn=MyCo Employees,dc=my\_co,dc=com**.

## Scenario: Copying users from an HTTP server validation list to the Directory Server

An example of how to copy users from an HTTP server validation list to the Directory Server.

### Situation and overview

You currently have an application running in the HTTP Server (powered by Apache) using Internet users in the validation list MYLIB/HTTPVLDL. You would like use these same Internet users with the WebSphere Application Server (WAS) with LDAP authentication. To avoid duplicate maintenance of user information in the validation list and LDAP, you will also configure the HTTP server application to use LDAP authentication.

To accomplish this, these are the steps you need to take:

1. Copy the existing validation list users to the local directory server.
2. Configure the WAS server to use LDAP authentication.
3. Reconfigure the HTTP server to use LDAP authentication instead of the validation list.

## Step 1: Copy the existing validation list users to the local directory server

It is assumed that the directory server has previously been configured with the suffix "o=my company" and is running. LDAP users are to be stored in the directory subtree "cn=users,o=my company". The directory server administrator DN is "cn=administrator" and the administrator password is "secret".

Call the API from the command line as follows:

```
CALL PGM(QSYS/QGLDCPYVL) PARM('HTTPVLDL MYLIB ' 'cn=administrator' X'00000000' 'secret'
X'00000000' 'cn=users,o=my company' X'00000000' ' ' X'00000000' X'00000000')
```

When completed, the directory server will contain inetorgperson entries base on the validation list entries. For example, the validation list user:

```
User name:    jsmith
Description:  John Smith
Password:    *****
```

will result in the following directory entry:

```
dn: uid=jsmith,cn=users,o=my company
objectclass: top
objectclass: person
objectclass: organizationalperson
objectclass: inetorgperson
uid: jsmith
sn: jsmith
cn: jsmith
description: John Smith
userpassword: *****
```

This entry can now be used to authenticate to the directory server. For example, performing this QSH ldapsearch will read the root DSE entry of the server:

```
> ldapsearch -D "uid=jsmith,cn=users,o=my company" -w ***** -s base "(objectclass=*)"
```


Once created, you can edit the directory entries to contain further information. For example, you might want to change the cn and sn values to reflect the user's full name and last name, respectively, or add a telephone number and e-mail address.

## Step 2: Configure the WAS server to use LDAP authentication

The WAS LDAP security needs to be configured to look for entries under the dn "cn=users,o=my company", using a search filter that maps the entered user name to inetOrgPerson entries containing that uid attribute value. For example, authenticating to WAS using the user name jsmith will result in a search for entries matching the search filter "(uid=jsmith)". For more information, see [Configure LDAP search filters](#) in the Websphere Application Server for iSeries Information Center.

### Reconfigure the HTTP server to use LDAP authentication instead of the validation list

**Note:** The procedure described below is intended to help illustrate the examples in this scenario by presenting a high-level overview of configuring the HTTP server to use LDAP authentication. You may need more detailed information found in the IBM Redbooks publication [Implementation and Practical Use](#)

of LDAP on the IBM eServer™ iSeries Server, SG24-6193  Section 6.3.2 "Setting up LDAP authentication for the powered by Apache server" as well as [Set up password protection on HTTP Server \(powered by Apache\)](#).

1. Click **Basic Authentication** on the **Configuration** tab for your HTTP server in the HTTP Administration tool.
2. Under **User authentication method**, change **Use Internet users in validation lists** to **Use user entries in LDAP server** and click **OK**.
3. Return to the **Configuration** tab and click **Control Access**. Configure this as described in the Redbooks publication linked to above and click **OK**.
4. On the **Configuration** tab click **LDAP Authentication**.

- a) Enter the LDAP server host name and port. For the **User search base DN**, enter `cn=users,o=my company`.
  - b) Under **Create a unique LDAP DN for user authentication**, enter the filter `(&objectclass=person)(uid=%v1)`.
  - c) Enter group information and click **OK**.
5. Configure the connection to the LDAP server as described in the Redbooks publication linked to above.

## Administering Directory Server

---

Use this information to manage the Directory Server.

To administer the Directory Server, the user profile you are using must have the following authority:

- To configure the server or change the server configuration: All Object (\*ALLOBJ) and I/O System Configuration (\*IOSYSCFG) special authorities
- To start or stop the server: Job Control (\*JOBCTL) authority and object authority to the End TCP/IP (ENDTCP), Start TCP/IP (STRTCP), Start TCP/IP Server (STRTCPSVR), and End TCP/IP Server (ENDTCPSVR) commands
- To set auditing behavior for the directory server: Audit (\*AUDIT) special authority
- To view the server job log: Spool Control (\*SPLCTL) special authority

To manage directory objects (including access control lists, object ownership, and replicas), connect to the directory with either the administrator DN or another DN that has the proper LDAP authority. If authority integration is being used, an administrator can also be a projected user (see [“Operating system projected backend”](#) on page 91) that has authority to the Directory Server Administrator function ID. Most administrative tasks can also be performed by users in the administrative group (see [“Administrative access”](#) on page 61).

### General administration tasks

Use this information to manage general administration of the Directory Server.

#### Starting the Directory Server

Use this information to start the Directory Server.

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Start**.

The directory server might take several minutes to start, depending on the speed of your server and the amount of available memory. The first time you start the directory server might take several minutes longer than usual because the server must create new files. Similarly, when starting the directory server for the first time after upgrading from an earlier version of Directory Server, it might take several minutes longer than usual because the server must migrate files. You can check the status of the server periodically (see [“Checking the status of the Directory Server”](#) on page 121) to see if it has started yet.

The Directory Server can also be started from the character-base interface by entering the command `STRTCPSVR *DIRSRV`. Additionally, if you have your directory server configured to start when TCP/IP starts, you can also start it by entering the `STRTCP` command.

The directory server can be started in configuration only mode from the character-base interface by entering the command `TRCTCPAPP APP(*DIRSRV) ARGLIST(SAFEMODE)`.

Configuration only mode starts the server with only the `cn=configuration` suffix active and does not depend on successful initialization of the database backends.

#### Related tasks

[Stopping the Directory Server](#)

Use this information to stop the Directory Server.

#### Checking the status of the Directory Server

Use this information to check the status of the Directory Server.

### **Stopping the Directory Server**

Use this information to stop the Directory Server.

**Note:** Stopping the Directory Server affects all applications using the server at the time it is stopped. This includes Enterprise Identity Mapping (EIM) applications that are currently using the directory server for EIM operations. All applications are disconnected from the directory server, however, they are not prevented from attempting to reconnect to the server.

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Stop**.

The directory server might take several minutes to stop, depending on the speed of your system, the amount of server activity, and the amount of available memory. You can check the status of the server periodically (see [“Checking the status of the Directory Server”](#) on page 121) to see if it has started yet.

The Directory Server can also be stopped from the character-base interface by entering the command `ENDTCPSVR *DIRSRV`, `ENDTCPSVR *ALL`, or `ENDTCP`. `ENDTCPSVR *ALL` and `ENDTCP` also affect any other TCP/IP servers that run on your system. `ENDTCP` will also end TCP/IP itself.

### **Related tasks**

#### Starting the Directory Server

Use this information to start the Directory Server.

### **Checking the status of the Directory Server**

Use this information to check the status of the Directory Server.

Basic status information is found in the IBM Navigator for i. More advanced and complete status information is found using the Web administration tool.

IBM Navigator for i displays the status of the Directory Server in the **Status** column in the right frame.

To check the status of the Directory Server, take these steps:

In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**, IBM Navigator for i displays the status of all TCP/IP servers, including the directory server, in the **Status** column. To update the status of the servers, click the **Refresh**.

To view the status of the directory server using the Web administration tool, take these steps:

1. Expand the **Server administration** category in the navigation area.

**Note:** To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must authenticate to the server as an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities. This can be done by authenticating as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME,cn=accounts,os400-sys=MYSYSTEM.COM`, where MYUSERNAME and the MYSYSTEM.COM strings are replaced with your user profile name and the configured system projection suffix, respectively.

2. Click **View server status**.
3. On the **View server status** panel, select the various tabs to view status information.

### **Checking jobs on the Directory Server**

Use this information to monitor specific jobs on the Directory Server.

To check server jobs in the IBM Navigator for i, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Server Jobs**.

## Managing server connections

Use this information to view the connections to the server and the operations performed by those connections.

The administrator can make decisions to control access and prevent denial of service attacks based upon the connections. This is done through the Web administration tool.

**Note:** To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must authenticate to the server as an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities. This can be done by authenticating as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME,cn=accounts,os400-sys=MYSYSTEM.COM`, where MYUSERNAME and the MYSYSTEM.COM strings are replaced with your user profile name and the configured system projection suffix, respectively.

1. Expand the **Server administration** category in the navigation area.
2. Click **Manage server connections**.

A table containing the following information for each connection is displayed:

### **DN**

Specifies the DNs of a client connection to the server.

### **IP address**

Specifies the IP address of the client that has a connection to the server.

### **Start time**

Specifies the date and time (in the server's local time) when the connection was made.

### **Status**

Specifies whether the connection is active or idle. A connection is considered active if it has any operations in progress.

### **Ops initiated**

Specifies the number of operations requested since the connection was established.

### **Ops completed**

Specifies the number of operations that have been completed for each connection.

### **Type**

Specifies whether the connection is secured by SSL or TLS. Otherwise, the field is blank.

**Note:** This table displays up to 20 connections at a time.

You can specify to have this table displayed by either DN or IP address by expanding the drop-down menu at the top of the panel and making a selection. The default selection is by DN. Similarly you can also specify whether to display the table in ascending or descending order.

3. Click **Refresh** to update the current connection information.
4. If you are logged on as the administrator or as a member of the administration group, you have additional selections to disconnect server connections available on the panel. This ability to disconnect server connections enables you to stop denial of service attacks and to control server access. You can disconnect a connection by expanding the drop-down menus and selecting a DN, an IP address, or both and clicking **Disconnect**. To disconnect all server connections except for the one making this request click **Disconnect all**. A confirmation warning is displayed. Click **OK** to proceed with the disconnect action or click **Cancel** to end the action and return to the **Manage server connections** panel.

For more information on preventing denial of service attacks, see [Managing connection properties](#).

### **Related concepts**

#### Denial of service

Use the denial of service configuration option to protect against denial of service attacks.

### **Related tasks**

#### Managing connection properties

Through the Web administration tool, you can manage connection properties to prevent clients from locking up the server. The ability to manage connection properties ensures that the administrator always has access to the server when the backend is kept busy with long-running tasks.

### Managing connection properties

Through the Web administration tool, you can manage connection properties to prevent clients from locking up the server. The ability to manage connection properties ensures that the administrator always has access to the server when the backend is kept busy with long-running tasks.

To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must use an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities to authenticate to the server. You can do this by authenticating to the server as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME,cn=accounts,os400-sys=MYSYSTEM.COM`, where *MYUSERNAME* is your user profile name and *MYSYSTEM.COM* is the suffix of the configured system projection.

**Note:** These selections are displayed only if you are logged in as the administrator or a member of the administration group on a server that supports this function.

To set connection properties, perform the following steps:

1. Expand the **Server administration** category in the navigation area and click **Manage connection properties**.
2. Select the **General** tab.
3. Set the anonymous connection setting.

The **Allow anonymous connections** check box is already selected for you so that anonymous binds are allowed. This is the default setting. You can click the check box to deselect the **Allow anonymous connections** function. This action causes the server to unbind all anonymous connections.

**Note:** Some applications might fail if you disallow anonymous binds.

4. In the **Cleanup threshold for anonymous connections** field, set the threshold number to initiate the unbinding of anonymous connections.

You can specify a number between 0 and 65535 .

**Note:** The actual maximum number is limited by the number of files permitted per process. On UNIX systems you can use the `ulimit -a` command to determine the limits. On Windows systems this is a fixed number.

The default setting is 0. When this number of anonymous connections is exceeded, connections are cleaned up based on the idle timeout limit that you set in the **Idle time out** field.

5. In the **Cleanup threshold for authenticated connections** field, set the threshold number to initiate the unbinding of authenticated connections.

You can specify a number between 0 and 65535 .

**Note:** The actual maximum number is limited by the number of files permitted per process. On UNIX systems you can use the `ulimit -a` command to determine the limits. On Windows systems this is a fixed number.

The default setting is 1100. When this number of authenticated connections is exceeded, connections are cleaned up based on the idle timeout limit that you set in the **Idle time out** field.

6. In the **Cleanup threshold for all connections** field, set the threshold number to initiate the unbinding of all connections.

You can specify a number between 0 and 65535 .

**Note:** The actual maximum number is limited by the number of files permitted per process. On UNIX systems you can use the `ulimit -a` command to determine the limits. On Windows systems this is a fixed number.

The default setting is 1200. When this total number of connections is exceeded, connections are cleaned up based on the idle timeout limit that you set in the **Idle time out** field.

7. In the **Idle timeout limit** field, set the number of seconds that a connection can be idle before it is closed by a cleanup process.

You can specify a number between 0 and 65535 .

**Note:** The actual maximum number is limited by the number of files permitted per process. On UNIX systems you can use the `ulimit -a` command to determine the limits. On Windows systems this is a fixed number.

The default setting is 300. When a cleanup process is initiated, any connections, subject to the process, that exceed the limit are closed.

8. In the **Result timeout limit** field, set the number of seconds that are allowed between write attempts.

You can specify a number between 0 and 65535. The default setting is 120. Any connections that exceed this limit are ended.

**Note:** This applies to Windows systems only. A connection that exceeds 30 seconds is automatically dropped by the operating system. Therefore, this **Result timeout limit** setting is overridden by the operating system after 30 seconds.

9. Click the **Emergency thread** tab.

10. Set the emergency thread setting.

The **Enable emergency thread** check box is already selected for you so that the emergency thread can be activated. This is the default setting. You can click the check box to deselect the **Enable emergency thread** function. This action prevents the emergency thread from being activated.

11. In the **Pending request threshold** field, set the number limit for work requests that activate the emergency thread.

Specify a number between 0 and 65535 to set the limit of work requests that can be in the queue before activating the emergency thread. The default is 50. When the specified limit is exceeded, the emergency thread is activated.

12. In the **Time threshold** field, set the number of minutes that can elapse since the last work item was removed from the queue.

If there are work items in the queue and this time limit is exceeded, the emergency thread is activated. You can specify a number between 0 and 240 . The default setting is 5.

13. Select from the drop-down menu, the criteria to be used to activate the emergency thread.

You can select:

- **Size only:** The emergency thread is activated only when the queue exceeds the specified amount of pending work items.
- **Time only:** The emergency thread is activated only when the time limit between removed work items exceeds the specified amount.
- **Size or time:** The emergency thread is activated when either the queue size or time threshold exceeds the specified amounts.
- **Size and time:** The emergency thread is activated when both the queue size and the time threshold exceed the specified amounts.

Size and time is the default setting.

14. Click **OK**.

### **Related concepts**

#### Denial of service

Use the denial of service configuration option to protect against denial of service attacks.

### **Related tasks**

#### Managing server connections



Use this information to view the connections to the server and the operations performed by those connections.

### Persistent search

Use this information to use persistent search

Persistent search enables LDAP clients to receive notification of changes that occur in an LDAP server. The persistent search mechanism is available to all users. However, ACL checks are enforced on each entry that is returned. This means that users can retrieve only those entries or parts of entries that they have access to. Updates to the directory data that are a part of a transaction are also reported by persistent search. Since the persistent search mechanism is available to all users, it is mandatory to limit the number of concurrent persistent searches that the server will handle. This is done by setting the `ibm-slapdMaxPersistentSearches` option in the configuration file.

Although the persistent search mechanism can keep returning entries, the search size and time limits applicable for non-administrative users will be applicable for persistent search as well. The size and time limits will be applicable irrespective of whether the entries being returned are a part of the initial matching set or the updated ones. For instance, if the size limit is 500 and 450 entries have been sent as a part of the initial result set, then after 50 update notifications, the persistent search will return `LDAP_SIZELIMIT_EXCEEDED` error. Similarly, if the time limit is 10 seconds, then, irrespective of whether entries are returned from the initial matching set or update notifications, after 10 seconds an `LDAP_TIMELIMIT_EXCEEDED` error is returned.

When the persistent search mechanism is used along with paging or sorting, paging or sorting will be applicable only on the initial result set. Also, the change log plug-in will need to run before the persistent search plug-in, if change-log is enabled.

**Note:** The TDS server will return the OID 2.16.840.1.113730.3.4.3 for the attribute `ibm-supportedcontrol` in case of a root DSE search.

The following addition is made to the configuration file to support the persistent search mechanism:

```
dn: cn=Persistent Search, cn=Configuration
objectclass: top
objectclass: ibm-slapdConfigEntry
objectclass: ibm-slapdPersistentSearch
cn: Persistent Search
ibm-slapdEnablePersistentSearch: TRUE
ibm-slapdMaxPersistentSearches: 100
```

`ibm-slapdEnablePersistentSearch` is a Boolean type attribute that determines if persistent search is enabled. This attribute can be assigned a value of either `TRUE` or `FALSE`. The default value of this attribute is `TRUE`. The `ibm-slapdMaxPersistentSearches` attribute determines the maximum number of concurrent persistent searches allowed. The default value of this attribute is 100 and the maximum allowed value is 2000.

### How to enable persistent search

To enable persistent search, use one of the following methods.

#### Using Web Administration:

If you have not done so already, click **Server administration** in the Web Administration navigation area and then click **Manage server properties** in the expanded list. Next, click the **Persistent Search tab**.

1. Select the **Enable persistent search** check box to enable persistent search during server start-up.
2. In the **Number of concurrent persistent searches** field, enter the maximum number of concurrent persistent searches to be allowed. The default value is 100 and the maximum allowed value is 2000. The minimum allowed value is 1.
3. Click **OK** to save your changes.

#### Using the command line:

To enable persistent search using the command line, issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW>
dn: cn=Persistent Search, cn=Configuration
changetype: modify
replace: ibm-slapdEnablePersistentSearch
ibm-slapdEnablePersistentSearch: TRUE
```

### Enabling event notification

Use this information to enable Directory Server event notification.

Event notification allows clients to register with the Directory Server to be notified when a specified event, such as something being added to the directory, occurs.

To enable event notification for your server, follow these steps:

1. Expand the **Manage server properties** category in the navigation area of the Web Administration Tool, select the **Event notification** tab.
2. Select the **Enable event notification** check box to enable event notification.  
If **Enable event notification** is disabled, the server ignores all other options on this panel.
3. Set the **Maximum registrations per connection**.  
Click either the **Registrations** or the **Unlimited** radio button. If you select **Registrations**, you need to specify in the field the maximum number of registrations allowed for each connection. The maximum number of transactions is 2,147,483,647. The default setting is 100 registrations.
4. Set the **Maximum registrations total**.  
This selection sets how many registrations the server can have at any one time. Click either the **Registrations** or the **Unlimited** radio button. If you select **Registrations**, you need to specify in the field the maximum number of registrations allowed for each connection. The maximum number of transactions is 2,147,483,647. The default number of registrations is **Unlimited**.
5. When you are finished, click **Apply** to save your changes without exiting, or click **OK** to apply your changes and exit, or click **Cancel** to exit this panel without making any changes.
6. If you have enabled event notification, you must restart the server for the changes to take effect. If you were modifying only the settings, the server does not need to be restarted.

**Note:** To disable event notifications, deselect the **Enable event notifications** check box and restart the server.

For additional information about event notification, see the Event notification section of the IBM Tivoli Directory Server Version 6.0 Programming Reference.

### Related information

[IBM Tivoli software Information Center](#) See the IBM Tivoli software Information Center for IBM Tivoli Directory Server information.

### Specifying transaction settings

Use this information to configure the Directory Server transaction settings.

Directory Server transactions allow a group of LDAP directory operations to be treated as one unit.

To configure your servers transaction settings, follow these steps:

1. Expand the **Manage server properties** category in the navigation area of the Web Administration Tool, select the **Transactions** tab.
2. Select the **Enable transaction processing** check box to enable transaction processing.  
If **Enable transaction processing** is disabled, all other options on this panel, such as **Maximum number of operations per transaction** and **Pending time limit**, are ignored by the server.
3. Set the **Maximum number of transactions**.  
Click either the **Transactions** or the **Unlimited** radio button. If you select **Transactions**, you need to specify in the field the maximum number of transactions. The maximum number of transactions is 2,147,483,647. The default setting is 20 transactions.

4. Set the **Maximum number of operations per transaction**.

Click either the **Operations** or the **Unlimited** radio button. If you select **Operations**, you need to specify in the field the maximum number of operations allowed for each transaction. The maximum number of operations is 2,147,483,647. The smaller the number, the better the performance. The default is 5 operations.

5. Set the **Pending time limit**.

This selection sets the maximum timeout value of a pending transaction in seconds. Click either the **Seconds** or the **Unlimited** radio button. If you select **Seconds**, you need to specify in the field the maximum number of seconds allowed for each transaction. The maximum number of seconds is 2,147,483,647. Transactions left uncompleted for longer than this time are cancelled (rolled back). The default is 300 seconds.

6. When you are finished, click **Apply** to save your changes without exiting, or click **OK** to apply your changes and exit, or click **Cancel** to exit this panel without making any changes.

7. If you have enabled transaction support, you must restart the server for the changes to take effect. If you were modifying only the settings, the server does not need to be restarted.

**Note:** To disable transaction processing, deselect the **Enable transaction processing** check box and restart the server.

### Related concepts

#### [Transactions](#)

You can configure your Directory Server to allow clients to use transactions. A transaction is a group of LDAP directory operations that are treated as one unit.

### Changing the port or IP address

Use this procedure to change the ports that the Directory Server uses or the IP address on which the Directory Server accepts connections.

The Directory Server uses the following default ports:

- 389 for unsecured connections.
- 636 for secured connections (if you have used Digital Certificate Manager to enable Directory Server as an application that can use a secure port).

**Note:** By default, all IP addresses defined on the local system are bound to the server.

If you are already using these ports for another application, you can either assign a different port to Directory Server, or you can use different IP addresses for the two servers, if the applications support binding to a specific IP address.

To change the ports that the Directory Server uses or the IP address on which the Directory Server accepts connections, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.
3. Click the **Network** tab.
4. If you want to change the port number, enter the appropriate port numbers, then click **OK**.
5. If you want to change the IP address, click the **IP Addresses...** button. Then continue with the next step.
6. Select **Use selected IP addresses** and select the IP addresses for the server to use when accepting connections.

### Related information

[Host Domino LDAP and Directory Server on the same system](#)

### Specifying a server for directory referrals

Use this information to specify referral servers.

To assign referral servers for the Directory Server, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i**, then select **Properties**.
3. Select the **General** properties page.
4. In the **New referral** field, specify the URL of the referral server.
5. At the prompt, specify the name of the referral server in URL format. The following are examples of acceptable LDAP URLs:
  - ldap://test.server.com
  - ldap://test.server.com:400
  - ldap://9.9.99.255

**Note:** If the referral server does not use the default port, specify the correct port number as part of the URL, as port 400 is specified in the second example above.

6. Click **Add**.
7. Click **OK**.

### **Related concepts**

#### LDAP directory referrals

Referrals allow Directory Servers to work in teams. If the DN that a client requests is not in one directory, the server can automatically send (refer) the request to any other LDAP server.

### **Adding and removing Directory Server suffixes**

Use this information to add or remove a Directory Server suffix.

Adding a suffix to the Directory Server allows the server to manage that part of the directory tree.

**Note:** You cannot add a suffix that is under another suffix already on the server. For example, if o=ibm, c=us were a suffix on your server, you cannot add ou=rochester, o=ibm, c=us.

To add a suffix to the directory server, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.
3. Click the **Database/Suffixes** tab.
4. In the **New suffix** field, type the name of the new suffix.
5. Click **Add**.
6. Click **OK**.

**Note:** Adding a suffix points the server to a section of the directory, but does not create any objects. If an object that corresponds to the new suffix did not previously exist, you must create it just as you would any other object.

To remove a suffix from the Directory Server, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.
3. Click the **Database/Suffixes** tab.
4. Click the suffix that you want to remove to select it.
5. Click **Remove**.

**Note:** You can choose to delete a suffix without deleting the directory objects under it. This makes the data inaccessible from the directory server. However, you can later regain access to the data by adding back the suffix.

### **Related concepts**

#### Suffix (naming context)

A suffix (also known as a naming context) is a DN that identifies the top entry in a locally held directory hierarchy.

#### Back-end servers setup tasks

Back-end servers work with proxy servers to implement the distributed directories environment, which makes a distributed directory appear as a single directory to client applications. Each back-end server holds part of the data that is partitioned across multiple directory servers.

#### **Granting administrator access to projected users**

Use this information to grant administrator access to user profiles.

You can grant administrator access to user profiles that have been given access to the Directory Server Administrator (QIBM\_DIRSRV\_ADMIN) function identifier (ID).

For example, if the user profile JOHNSMITH is granted access to the Directory Server Administrator function ID and the Grant administrator access to authorized users option is selected from the Directory property dialog, the JOHNSMITH profile then has LDAP administrator authority. When this profile is used to bind to the directory server using the following DN, `os400-profile=JOHNSMTH,cn=accounts,os400-sys=systemA.acme.com`, the user has administrator authority. The system objects' suffix in this example is `os400-sys=systemA.acme.com`.

To select the Grant administrator access to authorized users option and the Directory Server Administrator function ID, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.
3. On the **General** tab under **Administrator information**, select the **Grant administrator access to authorized users** option.
4. In IBM Navigator for i, expand **System > Application Administration**.
5. Click the **Host Applications** tab.
6. Expand **IBM i**.
7. Click **IBM Tivoli Directory Server Administrator**, then click the popup menu.
8. Click the **Customize** button.
9. Expand **All Users, Groups, or Users not in a group**, whichever is appropriate for the user you want.
10. Select a user or group to be added to the **Access allowed** list.
11. Click the **Add** button.
12. Click **OK** to save the changes.
13. Click **OK** on the **Application Administration** dialog.

#### **Related concepts**

##### Administrative access

Use administrative access to control access to specific administrative tasks.

##### Operating system projected backend

The system projected backend has the ability to map IBM i objects as entries within the LDAP-accessible directory tree. The projected objects are LDAP representations of the operating system objects instead of actual entries stored in the LDAP server database.

#### **Enabling language tags**

Use this information to enable language tags.

To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must use an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities to authenticate to the server. You can do this by authenticating to the server as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME,cn=accounts,os400-sys=MYSYSTEM.COM`, where *MYUSERNAME* is your user profile name and *MYSYSTEM.COM* is the suffix of the configured system projection.

To enable language tags, follow these steps:

1. Click **Manage server properties** under the **Server administration** category in the navigation area.
2. The General tab is preselected.

Click the **Enable language tag support** check box to enable it.

**Note:** After enabling the language tag feature, if you associate language tags with the attributes of an entry, the server returns the entry with the language tags. This occurs even if you later disable the language tag feature. Because the behavior of the server might not be what the application is expecting and to avoid potential problems, do not disable the language tag feature after it has been enabled.

### Tracking access and changes to the LDAP directory

Use this information to track access and changes to your LDAP directory.

You can use the LDAP directories change log to keep track of changes to the directory. The change log is located under the special suffix `cn=changeLog`. It is stored in the QUSRDIRCL library.

To enable the change log, follow these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.
3. Click the **Change Log** tab.
4. Select **Log directory changes**.
5. Optional: In the **Maximum entries** field specify the maximum number of entries for the change log to keep.

In the **Maximum age** field specify how long change log entries are retained.

**Note:** Though these parameters are optional, you should strongly consider specifying either a maximum number of entries or maximum age. If you do not specify either, the change log will keep all entries and might become too large.

The `changeLogEntry` object class is used to represent the changes applied to the directory server. The set of changes is given by the ordered set of all entries within the change log container as defined by `changeNumber`. The change log information is read-only.

Any user who is on the access control list for the `cn=changeLog` suffix can search the entries in the change log. You should only execute searches on the change log suffix, `cn=changeLog`. Do not attempt to add, change, or delete the change log suffix, even if you have authority to do so. This will cause unpredictable results.

The following example uses the **ldapsearch** command line utility to retrieve all change log entries logged on the server:

```
ldapsearch -h ldaphost -D cn=admininistrator -w password -b cn=changeLog (changetype=*)
```

### Enabling object auditing for the Directory Server

Use this information to enable object auditing for the Directory Server.

Directory Server supports IBM i security auditing. If the QAUDCTL system value has \*OBJAUD specified, you can enable object auditing through IBM Navigator for i.

To enable object auditing for Directory Server, follow these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.
3. Click the **Auditing** tab.
4. Select the auditing setting that you want to use for your server.
5. Click **OK**.

Changes to auditing settings will take effect as soon as you click **OK**. There is no need to restart the Directory Server.

## Related concepts

### Auditing

Auditing allows you to track the details of certain Directory Server transactions.

### Directory Server security

Learn how a variety of functions can be used to secure your Directory Server secure.

## Adjusting search settings

Use this information to control users' search capabilities.

You can set search parameters to control users' search capabilities, such as paged and sorted searching, size and time limits, and alias dereferencing options, by using the Web administration tool.

Paged results allow a client to manage the amount of data returned from a search request. A client can request a subset of entries (a page) instead of receiving all the results at once. Subsequent search requests display the next page of results until the operation is cancelled or the last result is returned.

Sorted search allows a client to receive search results sorted by a list of criteria, where each criterion represents a sort key. This moves the responsibility of sorting from the client application to the server.

To adjust the search settings of the directory server, follow these steps:

1. Expand the **Server administration** category in the navigation area and select **Manage server properties**.

**Note:** To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must authenticate to the server as an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities. This can be done by authenticating as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME,cn=accounts,os400-sys=MYSYSTEM.COM`, where MYUSERNAME and the MYSYSTEM.COM strings are replaced with your user profile name and the configured system projection suffix, respectively.

2. Select the **Search settings** tab.
3. Set the **Search size limit**.

Click either the **Entries** or the **Unlimited** radio button. If you select **Entries**, you need to specify in the field the maximum number of entries a search returns. The default setting is 500. If more entries fit the search criteria, they are not returned. This limit does not apply to administrators or members of search limit groups who have been granted larger search size limits.

4. Set the **Search time limit**.

Click either the **Seconds** or the **Unlimited** radio button. If you select **Seconds**, you need to specify in the field the maximum amount of time the server spends processing the request. The default setting is 900. This limit does not apply to administrators or members of search limit groups who have been granted larger search time limits.

5. To restrict search sorting capabilities to administrators, select the **Only allow administrators to sort searches** checkbox.
6. To restrict search paging capabilities to administrators, select the **Only allow administrators to page searches** checkbox.
7. Expand the drop-down menu for **Alias dereferencing** and select one of the following.

The default setting is **Always**.

#### Never

Aliases are never dereferenced.

#### Find

Aliases are dereferenced when finding the starting point for the search, but not when searching under that starting entry.

## Search

Aliases are dereferenced when searching the entries beneath the starting point of the search, but not when finding the starting entry.

## Always

Aliases are always dereferenced, both when finding the starting point for the search, and also when searching the entries beneath the starting entry. Always is the default setting.

## Related tasks

### [Searching the directory entries](#)

Use this information to search the directory entries.

## Related reference

### Search parameters

To limit the amount of resources used by the server, an administrator can set search parameters to restrict users' search capabilities. Search capabilities can also be extended for special users.

## Enabling or disabling read access to projected users

Use this information to prohibit search and compare operations to the user projected backend.

To prohibit search and compare operations to the user projected backend, do the following:

1. End the directory server. Enter `ENDTCPSVR *DIRSRV`.
2. Edit the file `/QIBM/UserData/OS400/DirSrv/ibmslapd.conf`. For example, enter `EDTF ' /QIBM/UserData/OS400/DirSrv/ibmslapd.conf '`.
3. Search for the text `cn=Front End`.
4. Insert a new line containing the text `ibm-slapdSetEnv: IBMSLAPDOS400USRPRJREAD=FALSE` immediately after the line that contains the text `cn=Front End`. In the following example, the second line was inserted:

```
dn: cn=Front End, cn=Configuration
ibm-slapdSetEnv: IBMSLAPDOS400USRPRJREAD=FALSE
cn: Front End
```

5. Save the file and exit the editor. For example, press F2 to save the file, followed by F3 to exit the editor if using EDTF.
6. Restart the directory server. Enter `STRTCPSVR *DIRSRV`.

## Related concepts

### [Read access to projected users](#)

By default, the system projection backend provides read access to user profile information to authorized users through LDAP search and compare operations. Read access to projected users can be enabled or disabled using IBM Navigator for i or by a configuration setting in the `/QIBM/UserData/OS400/DirSrv/idsslapd-instance/etc/ibmslapd.conf` file (`/QIBM/UserData/OS400/DirSrv/idsslapd-QUSRDIR/etc/ibmslapd.conf` file for the default server instance).

## Publishing information to the Directory Server

Use this information to publish information to the Directory Server.

You can configure your system to publish certain information into a Directory Server on the same system or on a different system as well as user defined information. The operating system automatically publishes this information to the Directory Server when you use IBM Navigator for i to change this information on IBM i. Information that you can publish includes system (systems and printers), print shares, user information, and TCP/IP Quality of service policies.

If the parent DN to which the data is being published does not exist, Directory Server automatically creates it. You might have also installed other IBM i applications which publish information in an LDAP directory. Additionally, you can call application program interfaces (APIs) from your own programs to publish other types of information to the LDAP directory.

**Note:** You can also publish IBM i information to a directory server that is not running on IBM i if you configure that server to use the IBM schema.



To configure your system to publish IBM i information into a directory server, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers..**
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Configure Publishing**.
3. Select the types of information that you want to publish.  
Select the types of information that you want to publish.

**Tip:** If you plan to publish more than one type of information to the same location, you can save time by selecting multiple information types to configure at one time. Operations Navigator will then use the values you enter when you configure the one information type as default values when you configure subsequent information types.

4. Click **Details**.
5. Click the **Publish system information** check box.
6. Specify the **Authentication method** that you want the server to use, as well as the appropriate authentication information.
7. Click the **Edit** button next to the **(Active) Directory server** field.  
In the dialog that pops up, enter the name of the directory server where you want to publish IBM i information, then click **OK**.
8. In the **Under DN** field, enter the parent distinguished name (DN) where you want information added on the directory server.
9. Fill in the fields in the **Server connection** frame that are appropriate to your configuration.

**Note:** To publish IBM i information to the directory server using SSL or Kerberos, you need to first have your directory server configured to use the appropriate protocol. See [“Kerberos authentication with the Directory Server”](#) on page 52 for more information about SSL and Kerberos.

10. If your directory server does not use the default port, enter the correct port number in the **Port** field.
11. Click **Verify** to ensure that the parent DN exists on the server and that the connection information is correct.

If the directory path does not exist, a dialog will prompt you to create it.

**Note:** If the parent DN does not exist, and you do not create it, then publishing will not be successful.

12. Click **OK**.

**Note:** You can also publish IBM i information to a directory server that is on a different platform. You must publish user and system information to a directory server that uses a schema compatible with the IBM Directory Server schema. For more information about the IBM Directory Schema, see [“Directory Server schema”](#) on page 13.

You can also use LDAP server configuration and publishing APIs to enable the IBM i programs that you write to publish other types of information. These types of information then appear on the **Directory Server** page as well. Like users and systems, they are initially disabled, and you configure them using the same procedure. The program that adds the data to the LDAP directory is called the publishing agent. The type of information that is published, as it appears on the **Directory Server** page, is called the agent name.

The following APIs will allow you to incorporate publishing into your own programs:

#### **QgldChgDirSvrA**

An application uses the CSVRO500 format to initially add an agent name that is marked as a disabled entry. Instructions for users of the application should instruct them to use IBM Navigator for i to go to the Directory Server property page to configure the publishing agent. Examples of agent names are the systems and users agent names automatically available on the **Directory Server** page.

#### **QgldLstDirSvrA**

Use this API's LSVRO500 format to list what agents are currently available on your system.

#### **QgldPubDirObj**

Use this API to do the actual publishing of information.

## Related concepts

### [Publishing](#)

Directory Server provides the ability to have the system publish certain kinds of information to an LDAP directory. That is, the system will create and update LDAP entries representing various types of data.

### [Directory Server APIs](#)

## Importing an LDIF file

Use this information to import an LDAP Data Interchange Format (LDIF) file.

You can transfer information between different Directory Servers by using LDAP Data Interchange Format (LDIF) files. The import tool (and the corresponding `QgldImportLdif` API) are used to add new entries to the directory. The import tool cannot be used to change or delete entries, and the LDIF file must use the directory content style, rather than the change record style LDIF records. If the input LDIF file contains the `changetype` directives used in change record style LDIF records, the `changetype` line is interpreted as another attribute and the entry will not be added to the directory.

In typical usage, the entire directory, or a subtree of the directory, is exported from one server using the export tool (or the `QgldExportLdif` API), and then imported into another server.

The export and import tools are not equivalent to using the `ldapsearch` and `ldapadd` command line utilities. The export tool includes several operational attributes (such as access control information, and entry creation timestamps) not normally returned by `ldapsearch`, while the import tool can set attributes that cannot normally be set by a client application such as `ldapadd`. The `ldapadd` utility can be used with the `-k` option (server administration control) to load these files.

Before you begin this procedure, transfer the LDIF file to your system as a stream file.

To import an LDIF file to the Directory Server, take these steps:

1. If the directory server is started, stop it.  
See [“Starting the Directory Server” on page 120](#) for information about stopping the directory server.
2. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
3. Right-click **IBM Tivoli Directory Server for IBM i** and select **Tools**, then **Import File**.

Optionally you can have the server replicate the newly imported data when it is next started by selecting **Replicate imported data**. This is useful when adding new entries to an existing directory tree on a master server. If you are importing data to initialize a replica (or peer) server, typically you will not want to have the data replicated, as it might already exist on the servers for which this server is a supplier.

**Note:** You can also use the `ldapadd` utility to import LDIF files.

## Related reference

### [LDAP data interchange format \(LDIF\)](#)

LDAP Data Interchange Format is a standard text format for representing LDAP objects and LDAP updates (add, modify, delete, modify DN) in a textual form. Files containing LDIF records can be used to transfer data between directory servers or used as input by LDAP tools like **ldapadd** and **ldapmodify**.

### [ldapmodify and ldapadd](#)

The LDAP modify-entry and LDAP add-entry command line utilities.

## Exporting an LDIF file

Use this information to export an LDAP Data Interchange Format (LDIF) file

You can transfer information between different LDIF files. You can export all or part of your LDAP directory to an LDIF file.

To export an LDIF file from the directory server, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Tools**, then **Export File**.

**Note:** If you do not specify a fully qualified path for the LDIF file to export data into, the file will be created in the home directory specified in your operating system user profile.

3. Specify whether to **Export entire directory** or **Export selected subtree** as well as whether to **Export operational attributes**.

The operational attributes that are exported are creatorsName, createTimestamp, modifiersName, and modifyTimestamp.

#### Notes:

1. When exporting data for importation into V5R3 or earlier directory servers, do not select **Export operational attributes**. These operational attributes cannot be imported into V5R3 or earlier directory servers.
2. You can also create a full or partial LDIF file with the ldapsearch utility. Use the -L option and redirect the output to a file.
3. Be sure to set authority to the LDIF file to prevent unauthorized access to directory data. To do this, right-click on the file in IBM Navigator for i, then select **Permissions**.

#### Related reference

[LDAP data interchange format \(LDIF\)](#)

LDAP Data Interchange Format is a standard text format for representing LDAP objects and LDAP updates (add, modify, delete, modify DN) in a textual form. Files containing LDIF records can be used to transfer data between directory servers or used as input by LDAP tools like **ldapadd** and **ldapmodify**.

[ldapsearch](#)

The LDAP search command line utility.

#### Copying users from an HTTP server validation list to the Directory Server

Use this information to copy users from an HTTP server validation list to the Directory Server.

If you are using HTTP server currently or have used it in the past, you may have created validation lists to store internet users and their passwords. As you move to WebSphere Application Server, Portal Server, and other applications that support LDAP authentication, you may want to continue using these existing internet users and their passwords. This can be done using the "Copy Validation List to Directory" API, QGLDCPYVL.

QGLDCPYVL reads entries from a validation list and creates corresponding LDAP objects in the local directory server. The objects are skeletal inetOrgPerson entries with a userPassword attribute that contains a copy of the password information from the validation list entry. You can decide how and when this API is called. You might use it as a one time operation for a validation list that will not be changing, or as a scheduled job to update the directory server to reflect new validation list entries.

For example:

```
CALL PGM(QSYS/QGLDCPYVL) PARM('HTTPVLDL MYLIB ' 'cn=administrator' X'00000000' 'secret'  
X'00000000' 'cn=users,o=my company' X'00000000' ' ' X'00000000' X'00000000')
```

#### Related concepts

[Lightweight Directory Access Protocol \(LDAP\) APIs](#) See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

#### Related tasks

[Scenario: Copying users from an HTTP server validation list to the Directory Server](#)

An example of how to copy users from an HTTP server validation list to the Directory Server.

#### Managing instances

You can have multiple directory servers on your i5/OS system. Each server is known as an instance. If you were using the directory server on a previous release of i5/OS, it will be migrated to an instance with the name QUSRDIR. You can create multiple instances of the directory server to service your applications.

Uniqueness amongst directory server instances is defined by what IP address and/or port the instance is configured to listen on. Also, each running directory server instance must have a unique database, change log, and configuration file. You will be allowed to create and configure server instances with conflicts,

however, if you attempt to start a server instance that conflicts with another active server instance, the second instance will not start and an error message will be issued.

A directory server instance consists of all files that are required for a directory server to run on a computer.

Directory server instance files include:

- The `ibmslapd.conf` file (the configuration file)
- Schema files
- Log files
- Temporary status files

The files for a directory server instance are stored in a directory named `idsslapd-instance_name`, where *instance\_name* is the name of the directory server instance. The `idsslapd-instance_name` directory is in the `/QIBM/UserData/OS400/DirSrv` directory.

Each directory server instance, when created, registers a new application to the Digital Certificate Manager (DCM). New directory server instances have the name `QIBM_DIRECTORY_SERVER_<instance-name>`. You have to use DCM to associate a digital certificate with the directory server instance if you want to use SSL. When each directory server instance starts, it registers with IBM Navigator for i as a server so that it can be tracked with IBM Navigator for i.

The job for the directory server instance has its job name set to the instance name. So, for example, the QUSRDIR instance has a fully qualified job name of `xxxxxx/QDIRSRV/QUSRDIR`. The 'xxxxxx' is the job number which is determined when the job starts. This is a difference for users that currently use the directory server as its job name was `xxxxxx/QDIRSRV/QDIRSRV`.

To manage instances, do the following:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Manage Instances**.

If you periodically save the instances, you need to save the `<instance-name>CF` library along with the database directory.

## Administrative group tasks

Use this information to manage administrative groups.

The administrative group provides the ability to provide administrative capabilities without having to share a single ID and password among the administrators. Members of the administrative group have their own unique IDs and passwords. The administrative group member DNs must not match each other, and they must also not match the IBM Directory Server administrator's DN. Conversely, the IBM Directory Server administrator DN must not match the DN of any administrative group member.

This rule also applies to the Kerberos or Digest-MD5 IDs of the IBM Directory Server administrator and the administrative group members. These DNs must not match any of the IBM Directory Server's replication supplier DNs. This also means that IBM Directory Server's replication supplier DNs must not match any of the administrative group member DNs or the IBM Directory Server administrator DN.

**Note:** The IBM Directory Server's replication supplier DNs can match each other.

### Related concepts

#### [Administrative access](#)

Use administrative access to control access to specific administrative tasks.

### Enabling the administrative group

Use this information to enable the administrative group.

You must be the IBM Directory Server administrator to perform this operation.

1. Expand the **Server administration** category in the navigation area of the Web administration tool and click **Manage administrative group**.

**Note:** To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must authenticate to the server as root admin or an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities. This can be done by authenticating as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME,cn=accounts,os400-sys=MYSYSTEM.COM`, where MYUSERNAME and the MYSYSTEM.COM strings are replaced with your user profile name and the configured system projection suffix, respectively.

2. To enable or disable the administrative group, click the check box next to **Enable administrative group**.

If the box is checked, the administrative group is enabled.

3. Click **OK**.

**Note:** If you disable the administrative group, any member who is logged in can continue administrative operations until that member is required to rebind.

### **Adding, editing, and removing administrative group members**

Use this information to add, edit, or remove administrative group members.

**Prerequisite:** You must be the IBM Directory Server administrator to perform this operation.

1. Expand the **Server administration** category in the navigation area of the Web administration tool and click **Manage administrative group**.

**Note:** To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must authenticate to the server as root administrator or an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities. This can be done by authenticating as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME,cn=accounts,os400-sys=MYSYSTEM.COM`, where MYUSERNAME and the MYSYSTEM.COM strings are replaced with your user profile name and the configured system projection suffix, respectively.

2. On the **Manage administrative group** panel, click **Add**.

3. On the **Add administrative group member** panel:

- a) Enter the member's administrator DN (this must be a valid DN syntax).
- b) Enter the member's password.
- c) Enter the member's password again to confirm it.
- d) Optional: Enter the member's Kerberos ID.

The Kerberos ID must be in either `ibm-kn` or `ibm-KerberosName` format. The values are case not case sensitive, for example, `ibm-kn=root@TEST.ROCHESTER.IBM.COM` is equivalent to `ibm-kn=ROOT@TEST.ROCHESTER.IBM.COM`.

- e) Optional: enter the member's **Digest-MD5 user name**.

**Note:** The Digest-MD5 user name is case sensitive.

- f) Under the Administrative role section, select the Define roles for admin group member check box.
- g) Select the available administrative roles from the Available administrative role box and click **Add**; or select the selected administrative roles from the Selected administrative role box and click **Remove**.
- h) Click **OK**

4. Repeat this procedure for each member you want to add to the administrative group.

The member administrator DN, Digest-MD5 username, if specified, and Kerberos ID, if specified, are displayed in the Administrative group members list box.

To change or remove administrative group members, follow the same procedure as above but use the **Edit** and **Delete** buttons on the **Manage administrative group** panel.

The password for an administrator group member can also be changed using the Change Directory Server Attr (CHGDIRSVRA) command. To change the password for the administrative group member with bind DN cn=adminuser1 to newpassword, use this command:

```
CHGDIRSVRA INSTANCE(QUSRDIR) DN('cn=adminuser1' 'newpassword')
```

## Back-end servers setup tasks

Back-end servers work with proxy servers to implement the distributed directories environment, which makes a distributed directory appear as a single directory to client applications. Each back-end server holds part of the data that is partitioned across multiple directory servers.

**Note:** Before you work with user entries in a back-end server, add the corresponding suffixes to the back-end server because entries to be added to the directory must have a suffix that matches the DN value, such as 'ou=rochester,o=ibm,c=us'.

### Related concepts

[Distributed directories](#)

A distributed directory is directory environment in which data is partitioned across multiple directory servers. To make the distributed directory appear as a single directory to client applications, one or more proxy servers are provided which have knowledge of all the servers and the data they hold.

### Related tasks

[Adding and removing Directory Server suffixes](#)

Use this information to add or remove a Directory Server suffix.

### Creating a user entry for membership in the global administration group

Before you add a user entry into a global administration group, create the user entry in the entry list.

To create a user entry, for example *manager*, you can use either the Web administration tool or the command line.

### Related tasks

[Adding an entry](#)

Use this information to add an entry to the directory tree.

[Starting the Directory Server](#)

Use this information to start the Directory Server.

### Using the Web administration tool

Log on to the Systems Director Navigator for the server that you specified as the partition for cn=ibmpolicies, and make sure the Lightweight Directory Access Protocol (LDAP) server is started.

1. Log on to the Directory Server Web Administration Tool.
2. From the navigation on the left pane, expand **Directory management**.
3. Click **Add an entry**.
4. From the **Structural object classes** list box, select **person** and click **Next**.
5. Click **Next** to skip the **Select auxiliary object classes** tab.
6. On the **Required attributes** page, type the following information, and click **Next**.
  - *cn=manager* in the **Relative DN** field
  - *cn=ibmpolicies* in the **Parent DN** field
  - *manager* in the **cn** field
  - *manager* in the **sn** field
7. On the **Optional attributes** page, type a password in the **userPassword** field, for example *mysecret*, and click **Finish**.

You can specify the other fields or leave them as they are.

### Using the command line

You can create a user entry by issuing the following commands:

```
ldapadd -h <ServerA> -D <admin_dn> -w <admin_pw> -f <LDIF1>
ldapmodify -h <ServerA> -D <admin_dn> -w <admin_pw> -f <LDIF2>
```

<LDIF1> contains the following information:

```
dn: cn=manager,cn=ibmpolicies
objectclass: person
sn: manager
cn: manager
userpassword: secret
```

<LDIF2> contains the following information:

```
dn: globalGroupName=GlobalAdminGroup,cn=ibmpolicies
changetype: modify
add: member
member: cn=manager,cn=ibmpolicies
```

### Adding the user entry to the global administration group

Before you delegate administrative rights in a distributed environment to the database backend, add assigned users to the global administration group.

To add a user entry to the global administration group, for example *manager*, you can use either the Web administration tool or the command line.

#### Related tasks

[Starting the Directory Server](#)

Use this information to start the Directory Server.

### Using the Web administration tool

Log on to the Systems Director Navigator for the server that you specified as the partition for *cn=ibmpolicies*, and make sure the Lightweight Directory Access Protocol (LDAP) server is started.

1. Log on to the Directory Server Web Administration Tool.
2. From the navigation on the left pane, expand **Directory management**.
3. Click **Manage entries**.
4. Select **cn=ibmpolicies** and click **Expand**.
5. Select **globalGroupName=GlobalAdminGroup**, and from the **Select Action** menu, select **Manage Members**, and click **Go**.
6. Specify the maximum number of members to return for a group.
  - If you want to set a restriction on the number of members to return, select **Maximum number of members to return** and type a number.
  - If you have no such requirement, select **Unlimited**.
7. Type *cn=manager,cn=ibmpolicies* in the **member** field and click **Add**.  
The *cn=manager* should be displayed in the table.
8. Click **OK**.

The *cn=manager* is now a member of the global administration group.

### Using the command line

You can add a user entry by issuing the following commands:

```
ldapadd -h <ServerA> -D <admin_dn> -w <admin_pw> -f <LDIF1>
ldapmodify -h <ServerA> -D <admin_dn> -w <admin_pw> -f <LDIF2>
```

<LDIF1> contains the following information:

```
dn: cn=manager,cn=ibmpolicies
objectclass: person
sn: manager
cn: manager
userpassword: secret
```

<LDIF2> contains the following information:

```
dn: globalGroupName=GlobalAdminGroup,cn=ibmpolicies
changetype: modify
add: member
member: cn=manager,cn=ibmpolicies
```

## Search limit group tasks

Use this information to manage search limit groups.

In order to prevent a user's search requests from consuming too many resources and consequently impairing the server's performance, search limits are imposed on these requests for any given server. The administrator sets these search limits on the size and duration of searches when configuring the server.

Only the administrator and members of the administrative group are exempted from these search limits, which apply to all other users. However, depending on needs, an administrator can create search limit groups that can have more flexible search limits than the general user. In this way, the administrator can give special search privileges to a group of users.

The Web administration tool is used to manage search limit groups.

### Related reference

#### [Search parameters](#)

To limit the amount of resources used by the server, an administrator can set search parameters to restrict users' search capabilities. Search capabilities can also be extended for special users.

### Creating a search limit group

Use this information to create a search limit group.

To create a search limit group, a group entry must be created using the Web administration tool.

1. Expand the **Directory management** category in the navigation area and click **Add an entry**.  
Or, click **Manage entries** and select the location (cn=IBMpolicies or cn=localhost), then click **Add**. Entries under cn=IBMpolicies will be replicated, those under cn=localhost will not.
2. Select one of the group object classes from **Structural object class** menu.
3. Click **Next**.
4. Select an **ibm-searchLimits** auxiliary object class from the **Available** menu and click **Add**.  
Repeat this process for each additional auxiliary object class that needs to be added. An auxiliary object class from the **Selected** menu can be removed by selecting it and clicking **Remove**.
5. Click **Next**.
6. In the **Relative DN** field, enter the relative distinguished name (RDN) of the group being added. For example, cn=Search Group1.
7. In the **Parent DN** field, enter the distinguished name of the tree entry being selected.  
For example, cn=localhost. You can also click **Browse** to select the Parent DN from the list. Choose a choice and click **Select** to specify a Parent DN. The **Parent DN** defaults to the entry selected in the tree.  
**Note:** If you started this task from the **Manage entries** panel, this field is filled in for you. The **Parent DN** was selected before clicking **Add** to start the add entry process.
8. At the **Required attributes** tab, enter the values for the required attributes.
  - **cn** is the relative DN you specified earlier.



- In the **ibm-searchSizeLimit** field, specify the number of entries by which to limit the size of the search. This number can range between 0 and 2,147,483,647. A setting of 0 is the same as **Unlimited**.
  - In the **ibm-searchTimeLimit** field, specify the number of seconds by which to limit the duration of the search. This number can range between 0 and 2,147,483,647. A setting of 0 is the same as **Unlimited**.
  - Depending on the object class you selected, you might see a **Member** or **uniqueMember** field. These are the members of the group you are creating. The entry is in the form of a DN, for example, `cn=Bob Garcia,ou=austin,o=ibm,c=us`.
9. If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.  
Click **OK** when you have finished adding the multiple values. The values are added to an expandable menu displayed at the attribute.
  10. If your server has language tags enabled, click **Language tag value** to add or remove language tag descriptors.
  11. Click **Other attributes**.
  12. At the **Other attributes** tab, enter the values as appropriate for the attributes.  
See [“Changing binary attributes” on page 216](#) for more information.
  13. Click **Finish** to create the entry.

### Changing a search limit group

Use this information to change a search limit group.

You can change the size or time limit attributes of a search limit group. You can also add and delete members of the group. Use the Web administration tool to change a search limit group.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the entry that you want to work on. Click **Edit attributes** from the right-side tool bar.
2. At the **Required attributes** tab enter the values for the required attributes.  
See [“Changing binary attributes” on page 216](#) for information about adding binary values. If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.
3. Click **Optional attributes**.
4. At the **Optional attributes** tab enter the values as appropriate for the optional attributes.  
If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.
5. Click **Memberships**.
6. If you have created any groups, at the **Memberships** tab:
  - Select a group from **Available groups** and click **Add** to make the entry a member of the selected **Static group membership**.
  - Select a group from **Static group memberships** and click **Remove** to remove the entry from the selected group.
7. If the entry is a group entry, a **Members** tab is available.  
The **Members** tab displays the members of the selected group. You can add and remove members from the group.
  - To add a member to the group:
    - a. Either click **Multiple values** by the **Members** tab or at the **Members** tab, click **Members**.
    - b. In the Member field, enter the DN of the entry you want to add.
    - c. Click **Add**.
    - d. Click **OK**.

- To remove a member from the group:
    - a. Either click **Multiple values** by the **Members** tab or click the **Members** tab and click **Members**.
    - b. Select the entry you want to remove.
    - c. Click **Remove**.
    - d. Click **OK**.
  - To refresh the members list, click the **Update**.
8. Click **OK** to change the entry.

### **Copying a search limit group**

Use this information to copy a search limit group.

It is useful to copy a search limit group if you want to have the same search limit group under both localhost and IBMpolicies. It is also useful if you want to create a new group that has similar information to an existing group, but has minor differences.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the entry, such as John Doe, that you want to work on. Click **Copy** from the right-side tool bar.
2. Change the RDN entry in the DN field. For example change cn=John Doe to cn=Jim Smith.
3. On the required attributes tab, change the cn entry to the new RDN. In this example Jim Smith.
4. Change the other required attributes as appropriate. In this example change the sn attribute from Doe to Smith.
5. When you have finished making the necessary changes click **OK** to create the new entry. The new entry Jim Smith is added to the bottom of the entry list.

### **Removing a search limit group**

Use this information to remove a search limit group.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the subtree, the suffix, or the entry that you want to work on. Click **Delete** from the right-side tool bar.
2. You are requested to confirm the deletion. Click **OK**. The entry is deleted from the directory and you are returned to the list of entries.

## **Proxy authorization group tasks**

Use this information to manage proxy authorization groups.

Members in the proxy authorization group can access the Directory Server and perform many tasks on behalf of multiple users without having to rebind for each user. The members in the proxy authorization group can assume any authenticated identities except for the administrator or members of the administrative group.

The Web administration tool is used to manage proxy authorization.

### **Related concepts**

#### Proxy authorization

The proxy authorization is a special form of authentication. By using this proxy authorization mechanism, a client application can bind to the directory with its own identity but is allowed to perform operations on behalf of another user to access the target directory. A set of trusted applications or users can access the Directory Server on behalf of multiple users.

### **Creating a proxy authorization group**

Use this information to create a proxy authorization group.

1. Expand the **Directory management** category in the navigation area and click **Add an entry**. Or, click **Manage entries** and select the location (cn=ibmPolicies or cn=localhost), then click **Add**.
2. Select the **groupof Names** object classes from **Structural object class** menu.

3. Click **Next**.
4. Select **ibm-proxyGroup** auxiliary object class from the **Available** menu and click **Add**.  
Repeat this process for each additional auxiliary object class you want to add.
5. Click **Next**.
6. In the **Relative DN** field, type `cn=proxyGroup`.
7. In the **Parent DN** field, enter the distinguished name of the tree entry you are selecting, for example, `cn=localhost`.  
You can also click **Browse** to select the **Parent DN** from the list. Select your choice and click **Select** to specify the parent DN that you want. The default for Parent DN is the entry selected in the tree.  
**Note:** If you started this task from the Manage entries panel, this field is prefilled for you. You selected the Parent DN before clicking Add to start the add entry process.
8. On the **Required attributes** tab, type the values for the required attributes.
  - **cn** is `proxyGroup`.
  - **Member** is in the form of a DN, for example, `cn=Bob Garcia,ou=austin,o=ibm,c=us`.  
See [“Changing binary attributes” on page 216](#) for more information on adding binary values.
9. If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.  
**Note:** Do not create multiple values for a `cn` value. The proxy authorization group must have the well-known name, `proxyGroup`.  
Click **OK** when you have finished adding the multiple values. The values are added to an expandable menu displayed at the attribute.
10. If your server has language tags enabled, click **Language tag value** to add or remove language tag descriptors.
11. Click **Other attributes**.
12. On the **Other attributes** tab, enter the values as appropriate for the attributes.  
See [“Changing binary attributes” on page 216](#) for more information on adding binary values.
13. If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.  
Click **OK** when you have finished adding the multiple values. The values are added to an expandable menu displayed at the attribute.
14. If your server has language tags enabled, click **Language tag value** to add or remove language tag descriptors.
15. Click **Finish** to create the entry.

### Changing a proxy authorization group

Use this information to change a proxy group.

You can change the proxy authorization group, such as adding or deleting members of the group, by using the Web administration tool.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the entry that you want to work on. Click **Edit attributes** from the right-side tool bar.
2. At the **Required attributes** tab enter the values for the required attributes.  
See [“Changing binary attributes” on page 216](#) for information about adding binary values. If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.
3. Click **Optional attributes**.
4. At the **Optional attributes** tab enter the values as appropriate for the optional attributes.  
If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.

5. Click **Memberships**.
6. If you have created any groups, at the **Memberships** tab:
  - Select a group from **Available groups** and click **Add** to make the entry a member of the selected **Static group membership**.
  - Select a group from **Static group memberships** and click **Remove** to remove the entry from the selected group.
7. If the entry is a group entry, a **Members** tab is available.

The **Members** tab displays the members of the selected group. You can add and remove members from the group.

  - To add a member to the group:
    - a. Either click **Multiple values** by the **Members** tab or at the **Members** tab, click **Members**.
    - b. In the Member field, enter the DN of the entry you want to add.
    - c. Click **Add**.
    - d. Click **OK**.
  - To remove a member from the group:
    - a. Either click **Multiple values** by the **Members** tab or click the **Members** tab and click **Members**.
    - b. Select the entry you want to remove.
    - c. Click **Remove**.
    - d. Click **OK**.
  - To refresh the members list, click the **Update**.
8. Click **OK** to change the entry.

### **Copying a proxy authorization group**

Use this information to copy a proxy authorization group.

It is useful to copy a proxy authorization group if you want to have the same proxy authorization group under both localhost and IBMpolicies.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the entry, such as John Doe, that you want to work on. Click **Copy** from the right-side tool bar.
2. Change the RDN entry in the DN field. For example change cn=John Doe to cn=Jim Smith.
3. On the required attributes tab, change the cn entry to the new RDN. In this example Jim Smith.
4. Change the other required attributes as appropriate. In this example change the sn attribute from Doe to Smith.
5. When you have finished making the necessary changes click **OK** to create the new entry. The new entry Jim Smith is added to the bottom of the entry list.

### **Removing a proxy authorization group**

Use this information to remove a proxy authorization group.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the subtree, the suffix, or the entry that you want to work on. Click **Delete** from the right-side tool bar.
2. You are requested to confirm the deletion. Click **OK**.

The entry is deleted from the directory and you are returned to the list of entries.

## **Unique attribute tasks**

Use this information to manage unique attributes.

Managing unique attributes is accomplished through the **Server administration** category of the Web administration tool.

**Note:** On a per-attribute basis, language tags are mutually exclusive with unique attributes. If you designate a particular attribute as being a unique attribute, it cannot have language tags associated with it.

**Note:** To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must authenticate to the server as an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities. This can be done by authenticating as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME,cn=accounts,os400-sys=MYSYSTEM.COM`, where MYUSERNAME and the MYSYSTEM.COM strings are replaced with your user profile name and the configured system projection suffix, respectively.

### Related concepts

[Unique attributes](#)

The unique attributes function ensures that specified attributes always have unique values within a directory.

### Related tasks

[Creating a unique attributes list](#)

Use this information to create a unique attributes list.

[Removing an entry from the unique attributes list](#)

Use this information to remove an entry from the unique attributes list.

### Determining if an attribute can be specified as unique

Use this information to determine if an attribute can be specified as unique.

Not all attributes can be specified as unique. See the following for a list of conditions when an attribute cannot be designated as unique:

- Binary attributes, operational attributes, configuration attributes, and the objectclass attribute cannot be designated as unique.
- Attributes with existing conflicting values cannot be made unique.
- On a per-attribute basis, language tags are mutually exclusive with unique attributes. If you designate a particular attribute as being an unique attribute, it cannot have language tags associated with it.

The Web administration tool Manage unique attributes task shows you only those attributes which satisfy the first condition. You can get the same list of attributes by executing the `ldapexop` command after binding as administrator. To get a list of attributes that can be unique, specify the following:

```
ldapexop -op getattributes -attrType unique -matches true
```

To get a list of attributes that cannot be unique, specify the following:

```
ldapexop -op getattributes -attrType unique -matches false
```

Some of the attributes listed as allowed for unique attributes may have conflicting values and thus cannot be made unique. To determine if a specific attribute can be specified as unique, use the `ldapexop` command. For example, the command:

```
ldapexop -op uniqueattr -a uid
```

indicates if the uid attribute can be made unique. It also lists conflicting values, if any, for the attribute.

If the `ldapexop` command indicates there are conflicting values, the `ldapsearch` command can be used to find the entries having that value. For example, the following command lists all entries having `uid=jsmith`:

```
ldapsearch -b "" -s sub "(uid=jsmith)"
```

### Creating a unique attributes list

Use this information to create a unique attributes list.

1. Expand the **Server administration** category in the navigation area.

Click **Manage unique attributes**.

2. Select the attribute that you want to add as a unique attribute from the **Available attributes** menu.  
The available attributes listed are those that can be designated as unique; for example, sn.
3. Click either **Add to cn=localhost** or **Add to cn=IBMpolicies**.

The difference between these two containers is that cn=IBMpolicies entries are replicated and cn=localhost entries are not. The attribute is displayed in the appropriate list box. You can list the same attribute in both containers.

**Note:** If an entry is created under both cn=localhost and cn=IBMpolicies, the resultant union of these two entries is the unique attributes list. For example, if the attributes cn and employeeNumber are designated as unique in cn=localhost and the attributes cn and telephoneNumber are designated as unique in cn=IBMpolicies, the server treats the attributes cn, employeeNumber, and telephoneNumber as unique attributes.

4. Repeat this process for each attribute you want to add as a unique attribute.
5. Click **OK** to save your changes.

When adding or modifying a unique attribute entry, if establishing a unique constraint for any of the listed unique attribute types results in errors, the entry is not added or created in the directory. The problem must be resolved and the command to add or modify must be reissued before the entry can be created or modified. For example, while adding a unique attribute entry to the directory, if establishing a unique constraint on a table for one of the listed unique attribute types failed (that is, because of having duplicate values in the database), a unique attribute entry is not added to the directory. An error is issued.

When an application tries to add an entry to the directory with a value for the attribute that duplicates an existing directory entry, an error with result code 20 (LDAP: error code 20 - Attribute or Value Exists) from the LDAP server is issued.

When the server starts, it checks the list of unique attributes and determines if the DB2 constraints exist for each of them. If the constraint does not exist for an attribute because it was removed by the bulkload utility or because it was removed manually by the user, it is removed from the unique attributes list and an error message is logged in the error log, ibmslapd.log. For example, if the attribute cn is designated as unique in cn=uniqueattributes,cn=localhost and there is no DB2 constraint for it the following message is logged:

```
Values for the attribute CN are not unique.  
The attribute CN was removed from the unique attribute  
entry: CN=UNIQUEATTRIBUTES,CN=LOCALHOST
```

## Related concepts

### Unique attribute tasks

Use this information to manage unique attributes.

### **Removing an entry from the unique attributes list**

Use this information to remove an entry from the unique attributes list.

If a unique attribute exists in both cn=uniqueattribute,cn=localhost and cn=uniqueattribute,cn=IBMpolicies and it is removed from only one entry, the server continues to treat that attribute as a unique attribute. The attribute becomes nonunique when it has been removed from both entries.

1. Expand the **Server administration** category in the navigation area and click **Manage unique attributes**.
2. Select the attribute that you want to remove from the unique attributes list by clicking the attribute in the appropriate list box.
3. Click **Remove**.
4. Repeat this process for each attribute you want to remove from the list.
5. Click **OK** to save your changes.

**Note:** If you remove the last unique attribute from the cn=localhost or the cn=IBMpolicies list boxes, the container entry for that list box, cn=uniqueattribute,cn=localhost or cn=uniqueattribute,cn=IBMpolicies, is automatically deleted.

### Related concepts

#### Unique attribute tasks

Use this information to manage unique attributes.

## Performance tasks

Use this information to adjust performance settings.

You can adjust the performance settings of your Directory Server by changing any of the following:

- The ACL cache size, the entry cache size, the maximum number of searches to store in the filter cache, and the largest search to cache in the filter cache.
- The number of database connections and server threads
- The attribute cache settings
- The server's transaction settings

### Related concepts

#### Server caches

LDAP caches are fast storage buffers in memory used to store LDAP information such as queries, answers, and user authentication for future use. Tuning the LDAP caches is crucial to improving performance.

### Setting database connections and cache settings

Use this information to set database connections and cache settings.

To set the database connections and cache settings, do the following:

1. Expand the **Manage server properties** category in the navigation area of the Web administration tool, then click the **Performance** tab in the right pane.
2. Specify the **Number of database connections**.

This sets the number of DB2 connections used by the server. The minimum number you must specify is 4. The default setting is 15. If your LDAP server receives a high volume of client requests or clients are receiving "connection refused" errors, you might see better results by increasing the setting of the number of connections made to DB2 by the server. The maximum number of connections is determined by the setting on your DB2 database. While there are no server limitations upon the number of connections you specify, each connection does consume resources.

3. Specify the **Number of database connections for replication**.

This sets the number of DB2 connections used by the server for replication. The minimum number you must specify is 1. The default setting is 4.

**Note:** The total number of connections specified for database connections, including database connections for replication, cannot exceed the number of connections set in your DB2 database.

4. Select **Cache ACL information** to use the following ACL cache settings.

5. Specify the **Maximum number of elements in ACL cache**.

The default is 25 000.

6. Specify the **Maximum number of elements in entry cache**.

The default is 25 000.

7. Specify the **Maximum number of elements in search filter cache**.

The default is 25 000. The search filter cache consists of actual queries on the requested attribute filters and resulting entry identifiers that matched. On an update operation, all filter cache entries are invalidated.

8. Specify the **Maximum number of elements from a single search added to search filter cache**.

If you select **Elements**, you must enter a number. The default is 100. Otherwise, select **Unlimited**. Search entries that match more entries than the number specified here are not added to the search filter cache.

9. When you are finished, click **OK**.
10. If you are setting the number of database connections, restart the server for the changes to take effect. If you were modifying only the cache settings, the server does not need to be restarted.

### Configuring attribute cache

Use this information to set the attribute cache settings.

Settings for the attribute cache are configured in both Web administration tool and the System i Navigator.

To manually adjust the attribute cache settings in the Web administration tool, follow these steps:

1. Expand the **Manage server properties** category in the navigation area of the Web administration tool, and then select the **Attribute cache** tab in the right pane.

**Note:** To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must authenticate to the server as an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities. This can be done by authenticating as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME , cn=accounts , os400-sys=MYSYSTEM.COM`, where MYUSERNAME and the MYSYSTEM.COM strings are replaced with your user profile name and the configured system projection suffix, respectively.

2. Change the amount of memory in kilobytes available to the directory cache.  
The default is 16 384 kilobytes (16 MB).
3. Change the amount of memory in kilobytes available to the change log cache.  
The default is 16 384 kilobytes (16 MB).

**Note:** This selection is disabled if change log has not been configured. Attribute caching for change log should be set to 0 and no attributes should be configured unless you do frequent searches within the change log and the performance of these searches is critical.

4. Select the attribute that you want to cache from the **Available attributes** menu.  
Only those attributes that can be cached are displayed in this menu; for example, sn.

**Note:** An attribute remains in the list of available attributes until it has been placed in both the `cn=directory` and the `cn=changelog` containers.

5. Click either **Add to cn=directory** or **Add to cn=changelog**.  
The attribute is displayed in the appropriate list box. You can list the same attribute in both containers.

**Note:** **Add to cn=changelog** is disabled if change log has not been configured. Attribute caching for change log should be set to 0 and no attributes should be configured unless you do frequent searches within the change log and the performance of these searches is critical.

6. Repeat this process for each attribute you want to add to the attribute cache.
7. If you want to configure server to use automatic attribute caching for either Database or Change log, or both (Automatic attribute caching for change log should not be enabled unless you do frequent searches within the change log and the performance of these searches is critical):

Specify the Start time (in the server's local time) and Interval for each type of caching you choose to enable. For example, if you enable database caching and set the start time for 6.00 a.m. and the interval to be six hours, the cache will be automatically adjusted at 6 a.m., noon, 6 p.m., and midnight regardless of when the server was started or when the auto adjusting was configured.

**Note:** Automatic attribute caching will cache attributes up to the maximum amount of memory for caching as specified in the Web administration tool as described above.

8. When you are finished, click **OK**.

To enable automatic attribute caching in IBM Navigator for i, take these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.



2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.
3. Click the **Performance** tab.
4. Select **Enable automatic attribute caching** for either **Database** or **Change log**, or both. Automatic attribute caching for change log should not be enabled unless you do frequent searches within the change log and the performance of these searches is critical.
5. Specify the **Start time** (in the server's local time) and **Interval** for each type of caching you choose to enable. For example, if you enable database caching and set the start time for 6.00 a.m. and the interval to be six hours, the cache will be automatically adjusted at 6 a.m., noon, 6 p.m., and midnight regardless of when the server was started or when the auto adjusting was configured.

**Note:** Automatic attribute caching will cache attributes up to the maximum amount of memory for caching as specified in the Web administration tool as described above.

| <i>Table 9. Interaction of attribute cache settings</i>                                   |  |
|---|--|
| <b>Activity</b>   | <b>What occurs</b>   |
| Server startup  | If automatic attribute caching is currently enabled and automatic caching was enabled when the server was last stopped, the same attributes that were cached when the server stopped will be created when the server is restarted. If additional memory is still available for attribute caching, the attributes that were manually configured will be cached as well. If automatic attribute caching is currently enabled and was not enabled when the server was last stopped, the attributes that are manually configured for caching will be cached. In either case, the server will then automatically adjust the attribute caches based on the specified start time and time interval. If automatic caching is not enabled, the manually adjusted cache settings will take effect. |
| Enable automatic attribute caching after server startup                                   | Automatic attribute caching will occur as described for server startup. Any manually configured attribute caches that do not fit within the amount of memory configured for attribute caching will be deleted.   |
| Disable automatic attribute caching after server startup                                  | Only attributes that were manually configured will be cached.  |
| Modify manually cached attributes while automatic caching is enabled after server startup | Nothing will happen. The manual configuration will go into effect when automatic caching is disabled.  |
| Modify amount of memory available for caching after server startup                        | If automatic caching is enabled, the server will immediately re-cache based on the new size. If automatic caching is disabled, the server will cache the manually configured attributes up to the new size.  |
| Modify start time or interval after server startup  | If automatic caching is enabled, the new settings will take effect at the start time or interval specified. If automatic caching is disabled, the settings are stored and go into effect when automatic caching is enabled.  |

### **Configure group members' cache**

The group members' cache is an extension of the Entry cache. This cache stores member and unique member attribute values with their entries. To configure the group members' cache, perform either of the following tasks.

Using Web Administration

If you have not done so already, click **Server administration** in the Web Administration navigation area and then click **Manage cache properties** in the expanded list. Next, click the **Group members' cache** tab.

To configure group members' cache:

1. In the **Maximum number of groups in cache** field, enter a value for the maximum number of groups with members to be cached in the group members' cache.
2. In the **Maximum number of members in a group that can be cached** field, enter a value for the maximum number of members in a group to be cached in the group members' cache.
3. When you are finished, do one of the following:
  - Click **OK** to save your changes and exit this panel.
  - Click **Apply** to apply your changes and stay on this panel.
  - Click **Cancel** to exit this panel without making any changes.

Using command line

To configure group members' cache using the command line, issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> -i <filename>
```

where <filename> contains:

```
dn: cn=Directory, cn=RDBM Backends, cn=IBM Directory, cn=Schemas, cn=Configuration
changetype: modify
replace: ibm-slapdGroupMembersCacheSize
ibm-slapdGroupMembersCacheSize:25
-
replace: ibm-slapdGroupMembersCacheBypassLimit
ibm-slapdGroupMembersCacheBypassLimit: 50
```

### Configuring transaction settings

Use this information to set transaction settings.

To set transaction settings, do the following:

1. Expand the **Manage server properties** category in the navigation area of the Web administration tool, and then select the **Transactions** tab in the right pane.
2. Select the **Enable transaction processing** check box to enable transaction processing.  
If **Enable transaction processing** is disabled, all other options on this panel are ignored by the server.
3. Set the **Maximum number of transactions**.  
Click either the **Transactions** or the **Unlimited** radio button. If you select **Transactions**, specify the maximum number of transactions. The maximum number of transactions is 2 147 483 647. The default setting is 20 transactions.
4. Set the **Maximum number of operations per transaction**.  
Click either the **Operations** or the **Unlimited** radio button. If you select **Operations**, specify the maximum number of operations allowed for each transaction. The maximum number of operations is 2 147 483 647. The smaller the number, the better the performance. The default is 5 operations.
5. Set the **Pending time limit**.  
This selection sets the maximum timeout value of a pending transaction in seconds. Click either the **Seconds** or the **Unlimited** radio button. If you select **Seconds**, specify the maximum number of seconds allowed for each transaction. The maximum number of seconds is 2 147 483 647. Transactions left uncompleted for longer than this time are cancelled (rolled back). The default is 300 seconds.
6. When you are finished, click **OK**.
7. If you have enabled transaction support, restart the server for the changes to take effect.  
If you were modifying only the settings, the server does not need to be restarted.

## Replication tasks

Use this information to manage replication.

To manage replication, expand the **Replication management** category of the Web administration tool.

### Related concepts

#### Replication

Replication is a technique used by directory servers to improve performance and reliability. The replication process keeps the data in multiple directories synchronized.

### Creating a master-replica topology

Use this information to create a master-replica topology.

To define a basic master-replica topology, you must:

1. Create a master server and define what it contains.  
Select the subtree that you want to be replicated and specify the server as the master. See [“Creating a master server \(replicated subtree\)” on page 152.](#)
2. Create credentials to be used by the supplier.  
See [“Creating replication credentials” on page 153.](#)
3. Create a replica server.  
See [“Creating a replica server” on page 155.](#)
4. Export the topology from the master to the replica.  
See [“Copying data to the replica” on page 156.](#)
5. Change the replica's configuration to identify who is authorized to replicate changes to it, and add a referral to a master.  
See [“Adding supplier information to the new replica” on page 157.](#)

### Note:

If the entry at the root of the subtree that you want to be replicated is not a suffix in the server, before you can use the **Add subtree** function, you must ensure that its ACLs defined as follows:

#### For non-filtered ACLs:

```
ownsource: <same as the entry DN>
ownerpropagate: TRUE

aclsource: <same as the entry DN>
aclpropagate: TRUE
```

#### For filtered ACLs:

```
ibm-filteraclinherit: FALSE
```

To satisfy the ACL requirements, if the entry is not a suffix in the server, edit the ACL for that entry in the **Manage entries** panel. Select the entry and click **Edit ACL**. If you want to add Non-filtered ACLs, select that tab and select the checkbox to specify if the ACLs are explicit or not for both ACLs and owners. Ensure that **Propagate ACLs** and **Propagate owner** are checked. If you want to add Filtered ACLs select that tab and add an entry **cn=this** with the role **access-id** for both ACLs and owners. Ensure that **Accumulate filtered ACLs** is unchecked and that **Propagate owner** is checked. See [“Access control list \(ACL\) tasks” on page 228](#) for more detailed information.

Initially, the **ibm-replicagroup** object created by this process inherits the ACL of the root entry for the replicated subtree. These ACLs might be inappropriate for controlling access to the replication information in the directory.

### Creating a master-forwarder-replica topology

Use this information to create a master-forwarder-replica topology.

To define a master-forwarder-replica topology, you must:

1. Created a master server and a replica server.  
See [“Creating a master-replica topology” on page 151.](#)
2. Create a new replica server for the original replica.  
See [“Creating a new replica server” on page 152.](#)
3. Copy data to the replicas.  
See [“Copying data to the replica” on page 156.](#)

### Creating a master server (replicated subtree)

Use this information to create a master server replicated subtree.

**Note:** The server must be running to perform this task.

This task designates an entry as the root of an independently replicated subtree and creates a **ibm-replicasubentry** representing this server as the single master for the subtree. To create a replicated subtree, you must designate the subtree that you want the server to replicate.

Expand the Replication management category in the navigation area and click **Manage topology**.

1. Click **Add subtree**.
2. Enter the DN of the root entry of the subtree that you want to replicate or click **Browse** to expand the entries to select the entry that is to be the root of the subtree.
3. The master server referral URL is displayed in the form of an LDAP URL, for example:

```
ldap://<myservername>.<mylocation>.<mycompany>.com
```

**Note:** The master server referral URL is optional. It is used only:

- If server contains (or will contain) any read-only subtrees.
- To define a referral URL that is returned for updates to any read-only subtree on the server.

4. Click **OK**.
5. The new server is displayed on the Manage topology panel under the heading **Replicated subtrees**.

### Creating a new replica server

Use this information to create a new replica server.

If you have set up a replication topology (see [Creating a master server \(replicated subtree\)](#)) with a master (server1) and a replica (server2), you can change the role of server2 to that of a forwarding server. To do this you need to create a new replica (server3) under server2.

1. Connect Web Administration to the master (server1)
2. Expand the Replication management category in the navigation area and click **Manage topology**.
3. Select the subtree that you want to replicate and click **Show topology**.
4. Click the arrow next to the **Replication topology** selection to expand the list of supplier servers.
5. Click the arrow next to the **server1** selection to expand the list of servers.
6. Select server2 and click **Add replica**.
7. On the **Server** tab of the **Add replica** window:
  - Enter the host name and port number for the replica (server3) you are creating. The default port is 389 for non-SSL and 636 for SSL. These are required fields.
  - Select whether to enable SSL communications.
  - Enter the replica name or leave this field blank to use the host name.
  - Enter the replica ID. If the server on which you are creating the replica is running, click **Get replica ID** to automatically prefill this field. This is a required field, if the server you are adding is going to be a peer or forwarding server. It is recommended that all servers be at the same release.
  - Enter a description of the replica server.

On the **Additional** tab:

- Specify the credentials that the replica uses to communicate with the master.

**Note:** The Web administration tool allows you to define credentials in two places:

- **cn=replication,cn=localhost**, which keeps the credentials only on the server that uses them.
- Within the replicated subtree, in which case the credentials are replicated with the rest of the subtree.

Placing credentials in **cn=replication,cn=localhost** is considered more secure. Credentials placed in the replicated subtree are created beneath the **ibm-replicagroup=default** entry for that subtree.

- Click **Select**.
  - Select the location for the credentials you want to use. Preferably this is **cn=replication,cn=localhost**.
  - Click **Show credentials**.
  - Expand the list of credentials and select the one you want to use.
  - Click **OK**.

See [Creating replication credentials](#) for additional information on agreement credentials.

- Specify a replication schedule from the drop-down list or click **Add** to create one. See [Creating replication schedules](#).
- From the list of supplier capabilities, you can deselect any capabilities that you do not want replicated to the consumer.

If your network has a mix of servers at different releases, capabilities are available on later releases that are not available on earlier releases. Some capabilities, like filter ACLs and password policy, make use of operational attributes that are replicated with other changes. In most cases, if these functions are used, you want all servers to support them. If all of the servers do not support the capability, you do not want to use it. For example, you would not want different ACLs in effect on each server. However, there might be cases where you might want to use a capability on the servers that support it, and not have changes related to the capability replicated to servers that do not support the capability. In such cases, you can use the capabilities list to mark certain capabilities to not be replicated.

- Select the either Single threaded or Multi-threaded for the method of replication. If you specify multi-threaded, you must also specify the number (between 2 and 32) of connections to use for replication. The default number of connections is 2.
- Click **OK** to create the replica.

#### 8. Copy data from server2 to the new replica server3.

See [Coping data to the replica](#) for information on how to do that.

#### 9. Add the supplier agreement to server3 that makes server2 a supplier to server 3 and server 3 a consumer to server2.

See [Adding supplier information to the new replica](#) for information on how to do this.

The server roles are represented by icons in the Web administration tool. Your topology is now:

- server1 (master)
  - server2 (forwarder)
  - server3 (replica)

### Creating replication credentials

Use this information to create replication credentials.

Expand the Replication management category in the navigation area of the Web administration tool and click **Manage credentials**.

#### 1. Select the location that you want to use to store the credentials from the list of subtrees.

The Web administration tool allows you to define credentials in these locations:

- **cn=replication,cn=localhost**, which keeps the credentials only on the current server.

**Note:** In most replication cases, locating credentials in **cn=replication,cn=localhost** is preferred because it provides greater security than replicated credentials located on the subtree. However, there are certain situations in which credentials located on **cn=replication,cn=localhost** are not available.

If you are trying to add a replica under a server, for example serverA and you are connected to a different server with the Web administration tool, serverB, the **Select credentials** field does not display the option **cn=replication,cn=localhost**. This is because you cannot read the information or update any information under **cn=localhost** of the serverA when you are connected to serverB.

The **cn=replication,cn=localhost** option is only available when the server under which you are trying to add a replica is the same server that you are connected to with the Web administration tool.

- Within the replicated subtree, in which case the credentials are replicated with the rest of the subtree. Credentials placed in the replicated subtree are created beneath the **ibm-replicagroup=default** entry for that subtree.

**Note:** If no subtrees are displayed, go to [“Creating a master server \(replicated subtree\)” on page 152](#) for instructions about creating the subtree that you want to replicate.

2. Click **Add**.

3. Enter the name for the credentials you are creating, for example, **mycreds**, **cn=** is prefilled in the field for you.

4. Select the type of authentication method you want to use and click **Next**.

- If you selected simple bind authentication:

- Enter the DN that the master uses to bind to the replica, for example, **cn=any**
- Enter the password the master uses when it binds to the replica, for example, **secret**.
- Enter the password again to confirm that there are no typographical errors.
- If you want, enter a brief description of the credentials.
- Click **Finish**.

**Note:** You might want to record the credential's bind DN and password for future reference. You will need this password when you create the replica agreement.

- If you selected Kerberos authentication:

- Enter your Kerberos bind DN.
- Enter the key tab file name.
- If you want, enter a brief description of the credentials. No other information is necessary. See [“Enabling Kerberos authentication on the Directory Server” on page 192](#) for additional information.
- Click **Finish**.

The **Add Kerberos Credentials** panel takes an optional bind DN of the form **ibm-kn=user@realm** and an optional keytab file name (referred to as a key file). If a bind DN is specified, the server uses the specified principal name to authenticate to the consumer server. Otherwise the server's Kerberos service name (**ldap/host-name@realm**) is used. If a keytab file is used, the server uses it to obtain the credentials for the specified principal name. If no keytab file is specified, the server uses the keytab file specified in the server's Kerberos configuration. If there is more than one supplier, you must specify the principal name and keytab file to be used by all of the suppliers.

**On the server where you created the credentials:**

- Expand **Directory management** and click **Manage entries**.
- Select the subtree where you stored the credentials, for example **cn=localhost** and click **Expand**.
- Select **cn=replication** and click **Expand**.

- d. Select the kerberos credentials (ibm-replicationCredentialsKerberos) and click **Edit attributes**.
- e. Click the **Other attributes** tab.
- f. Enter the **replicaBindDN**, for example, **ibm-kn=myprincipal@SOME.REALM**.
- g. Enter the **replicaCredentials**. This is the key tab file name used for **myprincipal**.

**Note:** This principal and password should be the same as the ones you use to run **kinit** from the command line.

#### On the replica

- a. Click **Manage replication properties** in the navigation area.
  - b. Select a supplier from the **Supplier information** drop-down menu or enter the name of the replicated subtree for which you want to configure supplier credentials.
  - c. Click **Edit**.
  - d. Enter the replication bindDN. In this example, **ibm-kn=myprincipal@SOME.REALM**.
  - e. Enter and confirm the **Replication bind password**. This is the KDC password used for **myprincipal**.
- If you selected SSL with certificate authentication you do not need to provide any additional information, if you are using the server's certificate. If you choose to use a certificate other than the server's:
    - a. Enter the key file name.
    - b. Enter the key file password.
    - c. Reenter the key file password to confirm it.
    - d. Enter the key label.
    - e. If you want, enter a brief description.
    - f. Click **Finish**.

See [“Enabling SSL and Transport Layer Security on the Directory Server”](#) on page 190 for additional information.

5. On the server where you created the credentials, set the [Allow server security information to be retained \(QRETSVRSEC\)](#) system value to 1 (retain data).

Since the replication credentials are stored in a validation list, this allows the server to retrieve the credentials from the validation list when it connects to the replica.

#### Creating a replica server

Use this information to create a replica server.

**Note:** The server must be running to perform this task.

Expand the **Replication management** category in the navigation area and click **Manage topology**.

1. Select the subtree that you want to replicate and click **Show topology**.
2. Click the arrow next to the **Replication topology** selection to expand the list of supplier servers.
3. Select the supplier server and click **Add replica**.
4. On the **Server** tab of the **Add replica** window:
  - a) Enter the host name and port number for the replica you are creating. The default port is 389 for non-SSL and 636 for SSL. These are required fields.
  - b) Select whether to enable SSL communications.
  - c) Enter the replica name or leave this field blank to use the host name.
  - d) Enter the replica ID. If the server on which you are creating the replica is running, click **Get replica ID** to automatically prefill this field. This is a required field, if the server you are adding is going to be a peer or forwarding server. It is recommended that all servers be at the same release.

e) Enter a description of the replica server.

5. On the **Additional** tab,

- Specify the credentials that the replica uses to communicate with the master.

**Note:** The Web administration tool allows you to define credentials in these places:

- **cn=replication,cn=localhost**, which keeps the credentials only on the server that uses them
- Within the replicated subtree, in which case the credentials are replicated with the rest of the subtree. Credentials placed in the replicated subtree are created beneath the **ibm-replicagroup=default** entry for that subtree.

Placing credentials in **cn=replication,cn=localhost** is considered more secure. Credentials placed in the replicated subtree are created beneath the **ibm-replicagroup=default** entry for that subtree.

- Click **Select**.

- Select the location for the credentials you want to use. Preferably this is **cn=replication,cn=localhost**.
- Click **Show credentials**.
- Expand the list of credentials and select the one you want to use.
- Click **OK**.

See [Creating replication credentials](#) for additional information on agreement credentials.

- Specify a replication schedule from the drop-down list or click **Add** to create one. See [Creating replication schedules](#).
- From the list of supplier capabilities, you can deselect any capabilities that you do not want replicated to the consumer.

If your network has a mix of servers at different releases, capabilities are available on later releases that are not available on earlier releases. Some capabilities, like filter ACLs and password policy, make use of operational attributes that are replicated with other changes. In most cases, if these functions are used, you want all servers to support them. If all of the servers do not support the capability, you do not want to use it. For example, you would not want different ACLs in effect on each server. However, there might be cases where you might want to use a capability on the servers that support it, and not have changes related to the capability replicated to servers that do not support the capability. In such cases, you can use the capabilities list to mark certain capabilities to not be replicated.

- Select the either Single threaded or Multi-threaded for the method of replication. If you specify multi-threaded, you must also specify the number (between 2 and 32) of connections to use for replication. The default number of connections is 2.
- Click **OK** to create the replica.

6. A message is displayed noting that additional actions must be taken.

Click **OK**.

**Note:** If you are adding more servers as additional replicas or are creating a complex topology, do not proceed with [Coping data to the replica](#) or [Adding supplier information to the new replica](#) until you have finished defining the topology on the master server. If you create the *masterfile.ldif* after you have completed the topology, it contains the directory entries of the master server and a complete copy of the topology agreements. When you load this file on each of the servers, each server then has the same information.

### Copying data to the replica

Use this information to copy data to the replica.

After creating the replica, you must now export the topology from the master to the replica.

1. On the master server create an LDIF file for the data.

To copy all the data contained on the master server, do the following:



- a) In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
  - b) Right-click **IBM Tivoli Directory Server for IBM i** and select **Tools**, then **Export File**.
  - c) Specify the output LDIF file name (for example `masterfile.ldif`), optionally specify a subtree to export (for example `subtreeDN`), and click **OK**.
2. On the machine where you are creating the replica, do the following:
- a) Ensure that the replicated suffixes are defined in the replica server's configuration.
  - b) Stop the replica server.
  - c) Copy the LDIF file the replica and do the following:
    - 1) In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
    - 2) Right-click **IBM Tivoli Directory Server for IBM i** and select **Tools**, then **Import File**.
    - 3) Specify the input LDIF file name (for example `masterfile.ldif`), optionally specify if you want to replicate data, and click **OK**.

The replication agreements, schedules, credentials (if stored in the replicated subtree) and entry data are loaded on the replica.
  - d) Start the server.

### **Adding supplier information to the new replica**

Use this information to add supplier information to the new replica.

You need to change the replica's configuration to identify who is authorized to replicate changes to it, and add a referral to a master.

On the machine where you are creating the replica:

1. Expand **Replication management** in the navigation area and click **Manage replication properties**.

**Note:** You must log into the Web administration tool as a projected OS/400® user with \*ALLOBJ and \*IOSYSCFG special authorities to change settings in the **Manage replication properties** panels.

2. Click **Add**.
3. Select a supplier from the **Replicated subtree** drop-down menu or enter the name of the replicated subtree for which you want to configure supplier credentials.

If you are editing supplier credentials, this field is not editable.

4. Enter the replication bindDN. In this example, `cn=any`.

**Note:** You can use either of these two options, depending on your situation.

- Set the replication bind DN (and password) and a default referral for all subtrees replicated to a server using the 'default credentials and referral'. This might be used when all subtrees are replicated from the same supplier.
- Set the replication bind DN and password independently for each replicated subtree by adding supplier information for each subtree. This might be used when each subtree has a different supplier (that is, a different master server for each subtree).

5. Depending on the type of credential, enter and confirm the credential password. (You previously recorded this for future use.)

- **Simple Bind** - Specify the DN and password
- **Kerberos** - If the credentials on the supplier do not identify the principal and password, that is, the server's own service principal is to be used, then the bind DN is `ibm-kn=ldap/<yourservername@yourrealm>`. If the credentials has a principal name such as `<myprincipal@myrealm>`, use that as the DN. In either case a password is not needed.
- **SSL w/ EXTERNAL bind** - Specify the subject DN for the certificate and no password

See [“Creating replication credentials”](#) on page 153.

6. Click **OK**.
7. You must restart the replica for the changes to take effect.

See [“Changing replication properties”](#) on page 168 for additional information.

The replica is in a suspended state and no replication is occurring. After you have finished setting up your replication topology, you must click **Manage queues**, select the replica and click **Suspend/resume** to start replication. See [“Managing replication queues”](#) on page 172 for more detailed information. The replica now receives updates from the master.

### Creating a simple topology with peer replication

Peer replication is a replication topology in which multiple servers are masters. Use peer replication only in environments where the update vectors are well known.

Updates to particular objects within the directory must be done only by one peer server. This is intended to prevent a scenario in which one server deletes an object, followed by another server modifying the object. This scenario creates the possibility of a peer server receiving a delete command followed by a modify command for the same object, which creates a conflict. Replicated delete and rename request are accepted in the order received without conflict resolution. See the related links below to learn more about Replication conflict resolution.

Expand the **Replication management** category in the navigation area and click **Manage topology**.

1. Select the subtree that you want to replicate and click **Show topology**.
2. Click the box next to the existing servers to expand the list of supplier servers, if you want to view the existing topology.
3. Click **Add master**.

On the **Server** tab of the **Add master** window:

- Enter the host name and port number for the server you are creating. The default port is 389 for non-SSL and 636 for SSL. These are required fields.
- Select whether to enable SSL communications.
- Select whether you want to create the server as a gateway server.
- Enter the server name or leave this field blank to use the host name.
- Enter the server ID. If the server on which you are creating the peer-master is running, click **Get server ID** to automatically prefill this field. If you do not know the server ID, enter **unknown**.
- Enter a description of the server.
- You must specify the credentials that the server uses to communicate with the master server. Click **Select**

**Note:** The Web Administration Tool allows you to define credentials in the following places:

- **cn=replication,cn=localhost**, which keeps the credentials only on the server that uses them. Placing credentials in **cn=replication,cn=localhost** is considered more secure.
- **cn=replication,cn=IBMpolicies**, which is available even when the server under which you are trying to add a replica is not the same server that you are connected to with the Web Administration Tool. Credentials placed under this location are replicate to the servers.

**Note:** The location **cn=replication,cn=IBMpolicies** is only available, if the **IBMpolicies** support **OID, 1.3.18.0.2.32.18**, is present under the **ibm-supportedcapabilities** of the root DSE.

- Within the replicated subtree, in which case the credentials are replicated with the rest of the subtree. Credentials placed in the replicated subtree are created beneath the **ibm-replicagroup=default** entry for that subtree.

1. Select the location for the credentials you want to use. Preferably this is **cn=replication,cn=localhost**.
2. If you have already created a set of credentials, click **Show credentials**.
3. Expand the list of credentials and select the one you want to use.
4. Click **OK**.
5. If you do not have preexisting credentials, click **Add** to create the credentials.

On the **Additional** tab:

1. Specify a replication schedule from the drop-down list or click **Add** to create one. See [Creating replication schedules](#).
2. From the list of supplier capabilities, you can deselect any capabilities that you do not want replicated to the consumer.

If your network has a mix of servers at different releases, capabilities are available on later releases that are not available on earlier releases. Some capabilities, like filter ACLs and password policy, make use of operational attributes that are replicated with other changes. In most cases, if these features are used, you want all servers to support them. If all of the servers do not support the capability, you do not want to use it. For example, you would not want different ACLs in effect on each server. However, there might be cases where you might want to use a capability on the servers that support it, and not have changes related to the capability replicated to servers that do not support the capability. In such cases, you can use the capabilities list to mark certain capabilities to not be replicated.

3. Check the **Add credential information on consumer** check box, if you want to enable dynamic updates of the supplier credentials. This selection automatically updates the supplier credentials in the configuration file of the consumer server. This enables the topology information to be replicated to the server.
  - Type the Administration DN for the consumer server. For example `cn=root`.  
**Note:** If the administrator DN which was created during the server configuration process was `cn=root`, then enter the full administrator DN. Do not just use `root`.
  - Type the Administration password for the consumer server. For example `secret`.
4. Click **OK**.
5. Supplier and consumer agreements are listed between new master server and any existing servers. Uncheck any agreements that you do not want to be created. This is especially important if you are creating a gateway server.
6. Click **Continue**.
7. Messages might be displayed noting that additional actions must be taken. Perform or take note of the appropriate actions. When you are finished, click **OK**.
8. Add the appropriate credentials.  
**Note:** In some cases the Select credentials panel will pop up asking for a credential that is located in a place other than `cn=replication,cn=localhost`. In such situations you must provide a credential object that is located in a place other than `cn=replication,cn=localhost`. Select the credentials the subtree is going to use from the existing sets of credentials or create new credentials.
9. Click **OK** to create the peer-master.
10. Messages might be displayed noting that additional actions must be taken. Perform or take note of the appropriate actions. When you are finished, click **OK**.

### **Related reference**

#### [Replication overview](#)

Through replication, a change made to one directory is propagated to one or more additional directories. In effect, a change to one directory shows up on multiple different directories.

### **Creating a complex replication topology**

Use this high level overview as a guide for setting up a complex replication topology.

1. Start all peer server or replicas-to-be.  
This is required for the Web administration tool to gather information from the servers.
2. Start the 'first' master and configure it as a master for the context.
3. Load the data for the subtree to be replicated on the 'first' master, if the data is not already loaded.
4. Select the subtree to be replicated.
5. Add all of the potential peer masters as replicas of the 'first' master.
6. Add all of the other replicas.

7. Move the other peer masters to promote them.
8. Add replica agreements for the replicas to each of the peer masters.
 

**Note:** If the credentials are to be created in **cn=replication,cn=localhost**, the credentials must be created on each server after they are restarted. Replication by the peers fails until the credential objects are created.
9. Add replica agreements for the other masters to each of the peer masters.
 

The 'first' master already has that information.
10. Quiesce the replicated subtree.
 

This prevents updates from being made while copying data to the other servers.
11. Use Queue management to skip all for each queue.
12. Export the data for the replicated subtree from the 'first' master.
13. Unquiesce the subtree.
14. Stop the replica servers and import the data for the replicated subtree on to each replica and peer master.
 

Then restart the servers.
15. Manage the replication properties on each replica and peer master to set the credentials to be used by suppliers.

### Creating a complex topology with peer replication

Use this information to create a complex topology with peer replication.

Peer replication is a replication topology in which multiple servers are masters. However, unlike a multi-master environment, no conflict resolution is done among peer servers. LDAP servers accept the updates provided by peer servers, and update their own copies of the data. No consideration is given for the order the updates are received, or whether multiple updates conflict.

To add additional masters (peers), you first add the server as a read-only replica of the existing masters (see “Creating a replica server” on page 155), initialize the directory data, and then promote the server to be a master (see “Moving or promoting a server” on page 180).

Initially, the **ibm-replicagroup** object created by this process inherits the ACL of the root entry for the replicated subtree. These ACLs might be inappropriate for controlling access to the replication information in the directory.

For the Add subtree operation to be successful, the entry DN which you are adding must have correct ACLs, if it is not a suffix in the server.

#### For Non-filtered ACLs:

- ownersource : <the entry DN>
- ownerpropagate : TRUE
- aclsource : <the entry DN>
- aclpropagate: TRUE

#### Filtered ACLs :

- ownersource : <the entry DN>
- ownerpropagate : TRUE
- ibm-filteraclinherit : FALSE
- ibm-filteraclentry : <any value>

Use the **Edit ACLs** function of the Web administration tool to set ACLs for the replication information associated with the newly created replicated subtree (see “Editing access control lists ” on page 182).

The replica is in a suspended state and no replication is occurring. After you have finished setting up your replication topology, you must click **Manage queues**, select the replica and click **Suspend/resume** to

start replication. See [“Managing replication queues” on page 172](#) for more detailed information. The replica now receives updates from the master.

Use peer replication only in environments where the pattern of directory updates is well known. Updates to particular objects within the directory must be done only by one peer server. This is intended to prevent the scenario of one server deleting an object, followed by another server modifying the object. This scenario creates the possibility of a peer server receiving a delete command followed by a modify command; which creates a conflict.

To define a peer-forwarder-replica topology, consisting of two peer-master servers, two forwarding servers, and four replicas you must:

1. Created a master server and a replica server.  
See [“Creating a master-replica topology” on page 151](#).
2. Create two additional replica servers for the master server.  
See [“Creating a replica server” on page 155](#).
3. Create two replicas under each of the two newly created replica servers.
4. Promote the original replica to a master.  
See [“Promoting a server to be a peer” on page 161](#).

**Note:** The server that you want to promote to a master must be a leaf replica with no subordinate replicas.

5. Copy the data from the master to the new master and replicas.  
See [“Copying data to the replica” on page 156](#).

#### **Related tasks**

##### Moving or promoting a server

Use this information to move or promote a server.

##### **Promoting a server to be a peer**

Use this information to promote a server to be a peer.

Using the forwarding topology created in [“Creating a master-forwarder-replica topology” on page 151](#), you can promote a server to be a peer. In this example you are going to promote the replica (server3) to be a peer to the master server (server1).

1. Connect Web Administration to the master (server1).
2. Expand the Replication management category in the navigation area and click **Manage topology**.
3. Select the subtree that you want to replicate and click **Show topology**.
4. Click the arrow next to the **Replication topology** selection to expand the list of servers.
5. Click the arrow next to the **server1** selection to expand the list of servers.
6. Click the arrow next to the **server2** selection to expand the list of servers.
7. Click **server1** and click **Add replica**.

Create server4. See [“Creating a replica server” on page 155](#). Follow the same procedure to create server5. The server roles are represented by icons in the Web administration tool. Your topology is now:

- server1 (master)
  - server2 (forwarder)
  - server3 (replica)
  - server4 (replica)
  - server5 (replica)

8. Click **server2** and click **Add replica** to create server6.
9. Click **server4** and click **Add replica** to create server7.

Follow the same procedure to create server8. Your topology is now:

- server1 (master)
  - server2 (forwarder)
    - server3 (replica)
    - server6 (replica)
  - server4 (forwarder)
    - server7 (replica)
    - server8 (replica)
  - server5 (replica)

10. Select **server5** and click **Move**.

**Note:** The server you want to move must be a leaf replica with no subordinate replicas.

11. Select **Replication topology** to promote the replica to a master.

Click **Move**.

12. The **Create additional supplier agreements panel** is displayed.

Peer replication requires each master to be a supplier and consumer to each of the other masters in the topology and to each of the first level replicas, server2 and server4. Server5 already is a consumer of server1, it now needs to become a supplier to server1, server2, and server4. Ensure that the supplier agreement boxes are checked for:

*Table 10.*

|   | Supplier | Consumer |
|---|----------|----------|
| ✓ | server5  | server1  |
| ✓ | server5  | server2  |
| ✓ | server5  | server4  |

Click **Continue**.

**Note:** In some cases the Select credentials panel will pop up asking for a credential which is located in a place other than cn=replication,cn=localhost. In such situations you must provide a credential object which is located in a place other than cn=replication,cn=localhost. Select the credentials the subtree is going to use from the existing sets of credentials or create new credentials. See [“Creating replication credentials”](#) on page 153.

13. Click **OK**.

Your topology is now:

- server1 (master)
  - server2 (forwarder)
    - server3 (replica)
    - server6 (replica)
  - server4 (forwarder)
    - server7 (replica)
    - server8 (replica)
  - server5 (master)
- server5 (master)
  - server1 (master)
  - server2 (forwarder)
  - server4 (forwarder)

14. Copy data from server1 to the all the servers.

See [“Copying data to the replica”](#) on page 156 for information on how to do that.

### Setting up a gateway topology

Use this information to set up a gateway topology.

Before starting to set up your replication topology, make a backup copy of your original `ibmslapd.conf` file. You can use this backup copy to restore your original configuration if you encounter difficulties with replication.

To set up a gateway using the complex topology with peer replication from the procedure in [Promoting a server to be a peer](#), you must complete the following steps:

- Convert an existing peer server (peer 1) to a gateway server to create replication site 1.
- Create a new gateway server for replication site 2 and agreements with peer 1.
- Create the topology for replication site 2 (not illustrated in this example).
- Copy the data from the master to all the machines in the topology.
  1. Use the Web administration tool to log in to the master (server1).
  2. Expand the **Replication management** category in the navigation area and click **Manage topology**.
  3. Select the subtree that you want to replicate and click **Show topology**.
  4. To convert an existing server to a gateway server, select **Manage gateway servers**. Select **server1** or its peer **server5**. For this example use **server1** and click **Make gateway**.
  5. Click **OK**.

**Note:** If the server you want to use as a gateway is not already a master, it must be a leaf replica with no subordinate replicas that you can first promote to be a master and then designate as a gateway.

6. To create a new gateway server, click **Add server**.
7. Create the new server, **server9** as a gateway server.

See [“Adding a peer-master or gateway server”](#) on page 176 for information about how to do that.

8. The **Create additional supplier agreements** panel is displayed.

In this panel, ensure that the supplier agreement boxes are checked for server1 only. Deselect the other agreements.

|   | Supplier | Consumer |
|---|----------|----------|
| ✓ | server1  | server9  |
| ✓ | server9  | server1  |
|   | server2  | server9  |
|   | server9  | server2  |
|   | server4  | server9  |
|   | server9  | server4  |
|   | server9  | server5  |
|   | server5  | server9  |

9. Click **Continue**.
10. Click **OK**.
11. Add the appropriate credentials and consumer information.

**Note:** In some cases the **Select credentials** panel is shown asking for a credential that is located in a place other than `cn=replication,cn=localhost`. In such situations you must provide a credential object that is located in a place other than `cn=replication,cn=localhost`. Select the credentials the subtree is going to use from the existing sets of credentials or create new credentials. See [Creating replication credentials](#).

12. Click **OK**.

The server roles are represented by icons in the Web administration tool. Your topology is now:

- server1 (master-gateway for replication site1)
  - server2 (forwarder)
    - server3 (replica)
    - server6 (replica)
  - server4 (forwarder)
    - server7 (replica)
    - server8 (replica)
  - server5 (master)
  - server9 (master-gateway for replication site 2)
- server5 (master)
  - server1 (master)
  - server2 (forwarder)
    - server3 (replica)
    - server6 (replica)
  - server4 (forwarder)
    - server7 (replica)
    - server8 (replica)
- server9 (master-gateway)
  - server1 (master-gateway)

13. Add servers to **server9** to create the topology for replication site 2. Remember to deselect any agreement for the new servers to any servers outside of replication site 2.

14. Repeat this process to create additional replication sites.

Remember to create only one gateway server per replication site. However, each gateway server must be present in the topologies with agreements to the other gateway servers.

15. When you have finished creating the topology, copy the data from server1 to all the new servers in all the replication sites and add the supplier information to all the new servers.

See [Coping data to the replica](#) and [Adding supplier information to the new replica](#) for information on how to do that.

### **Related tasks**

#### Adding a replica

Use this information to create a replica.

#### Adding a peer-master or gateway server

This topic provides information about how to create a new peer-master or gateway server.

#### Managing gateway servers

This topic provides information about managing gateway servers. You can designate whether a master server is to have the role of a gateway server in the replication site.

### **Setting up a Partial Replication**

Use this information to set up a partial replication.

Partial replication is a replication feature that replicates only the specified entries and a subset of attributes for the specified entries within a subtree. The entries and attributes that are to be replicated are specified by the LDAP administrator. Using partial replication, an administrator can enhance the replication bandwidth depending on the deployment requirements. For instance, an administrator may choose the entries of the object class person with cn, sn, and userPassword attributes to be replicated and description attribute not to be replicated.



The attributes that are to be replicated are specified using a replication filter. A replication filter may be associated with a particular replication agreement and will be based on object classes. A set of attributes pertaining to an object class constitutes a replication filter. The list of attributes selected for an object class can either be a part of an inclusion list or an exclusion list. An inclusion list is list of attributes that will be selected for replication while an exclusion list is list of attributes that will not be selected for replication.

The following attributes are always replicated, irrespective of their presence in the exclusion list

- Object class attributes of an entry
- Naming attribute
- All operational attributes

For information about known limitations of partial replication, see Chapter 10, "General Information, Known Limitations and General Troubleshooting" in the IBM Tivoli Directory Server Version 6.1 Problem Determination Guide

The partial replication feature can be managed using the web administration tool or from the command line.

### Using Web Administration Tool

If you have not done so already, expand the **Replication management** category in the navigation area of the Web Administration Tool and click **Manage filters**. This panel is available only if the server supports the filter-based replication capability.

On this panel you can:

- View subtrees where replication filters are stored
- Add filters
- Edit filters
- Delete filters
- Copy filters
- View filters

#### Add filters

To add a replication filter, first select a subtree from the Select a subtree box on the Manage filters panel and then click **Add** to display the Add Replication Filter panel.

#### Add Replication Filter- General

This panel contains controls for adding details for a replication filter.

To add a replication filter:

1. In the Filter name box, enter a name for the filter. For example, myfilter1.
2. From the Available object classes box, select the object classes on which you want to create filter.
3. Click **Add** to populate the Selected object classes box with the object classes from the Available object classes box.
4. Select the **Define filter for remaining object classes** check box.
5. To continue with adding a replication filter for filtered attributes, click **Next**.

#### Add Replication Filter- Filtered Attributes

This panel provides the facility to choose the attributes to be replicated for the selected object classes. This panel is invoked on clicking the **Next** button on the Add Replication Filter- General panel.

To specify the attributes to be replicated for an object class:

1. Click the **Select** column of the object class row for which you want to specify attributes to be replicated.

2. Click the **Manage filter attribute** button or select Manage filter attribute from the Select Action list and then click **Go**.

### Manage filter attributes

The Manage filter attributes panel is used for specifying object class attributes for replication filter

To specify attributes for replication filter:

1. Click the **Select all attributes as filtered attributes** check box.

**Note:** If you want to specify all the attributes of the selected object class in a replication filter, select the **Select all attributes as filtered attributes** check box.

2. Select the required attributes in the Available attributes box
3. Click **Add** move the selected attributes from Available attributes to Filtered attributes.
4. To include the attributes in the Filtered attributes box in the replication filter, click **Include selected filtered attributes**.
5. To exclude the attributes in the Filtered attributes box from the replication filter, click **Exclude selected filtered attributes**.
6. Click **OK**
7. To save the replication filter, click **Finish** on the Add Replication Filter- Filtered Attributes panel.

### Delete filters

To delete a replication filter, select a replication filter in the Filters for selected subtree box on the Manage filters panel and then click **Delete**.

### Edit filters

To edit a replication filter, select a filter from the Filters for selected subtree box on the Manage filters panel and then click **Edit**.

#### Edit Replication Filter- General

This panel contains controls for modifying the content of a selected filter.

To edit a replication filter:

1. From the Available object classes box, select the object classes that you want to add to the filter.
2. To edit the existing filter:
  - a. Click **Add** to populate the Selected object classes box with the object classes from the Available object classes box.
  - b. Click **Remove** to remove a selected object class from the Selected object classes box.
3. Select the **filter for remaining object classes** check box.
4. To editing the replication filter for filtered attributes, click **Next**.

#### Edit Replication Filter- Filtered Attributes

This panel provides the facility to choose the attributes to be replicated, when the filter is selected. This panel is invoked on clicking the Next button on the Edit Replication Filter- General panel.

To specify the attributes to be replicated for an object class:

1. Click the **Select** column of the object class row for which you want to edit the existing attributes list for the selected object class in the replication filter.
2. Click the **Manage filter attribute** button or select Manage filter attribute from the Select Action list and then click **Go** to display the Manage filter attributes panel.
3. In the Manage filter attributes panel, specify the attributes that are to be included or excluded in the replication filter definition.

### Copy Filters

To copy the details of a replication filter to another replication filter, first select a subtree from the Select a subtree box and then select a filter stored under that subtree from Filters for selected subtree on the Manage filters panel and then click **Copy**.

#### Copy Replication Filter- General

To copy a replication filter:

1. From the Filter location box, select the subtree under which you want to copy the selected replication filter.
2. In the Filter name box, enter a name for the filter. For example, myfilter2.
3. From the Available object classes box, select the object classes that you want to add to the existing filter.
4. Click **Add** to populate the Selected object classes box with the object classes from the Available object classes box.
5. Select the **Define filter for remaining object classes** check box.
6. To continue with copying of the filter for filtered attributes, click **Next**.

#### Copy Replication Filter- Filtered Attributes

This panel provides the facility to choose the attributes to be replicated for the selected object classes. This panel is invoked on clicking the Next button on the Copy Replication Filter- General panel.

To specify the attributes to be replicated for an object class:

1. Click the **Select** column of the object class row for which you want to specify attributes to be replicated.
2. Click the **Manage filter attribute** button or select Manage filter attribute from the Select Action list and then click **Go** to display the Manage filter attributes panel.
3. In the Manage filter attributes panel, specify the attributes that are to be included or excluded in the replication filter definition.

#### Using command line

Issue the following command to add a replication filter:

```
ldapadd -D cn=root -w root

dn: cn=replicationfilter,cn=localhost
objectclass: ibm-replicationfilter
ibm-replicationFilterAttr: (objectclass=person):(cn,sn,description)
ibm-replicationFilterAttr: (objectclass=printer):!(cn,color)
ibm-replicationFilterAttr: (objectclass=*): (*)
```

The above example states that for entries of type "person", the attributes cn, sn, and description will be sent to the replica. The rest of the attributes present in the entry will not be sent. For entries of type "printer", all attributes except cn and color will be sent. For the remaining entries, all attributes will be sent.

Now, modify the replication agreement to add the DN of the filter entry. To do this, issue the following command:

```
ldapmodify -D cn=root -w root

dn: cn=replica1,ibm-replicaServerId=master-uuid,ibm-replicaGroup=default,o=sample
changetype: modify
add: ibm-replicationFilterDN
ibm-replicationFilterDN: cn=replicationfilter,cn=localhost
```

#### Examples of replication filter

Given below are some examples that explain the usage of replication filter.

## Example 1

```
dn: cn=replicationfilter, cn=localhost
objectclass: ibm-replicationFilter
ibm-replicationFilterAttr: (objectclass=person):(*)
ibm-replicationFilterAttr: (objectclass=*): !(*)
```

The first filter attribute in this example specifies that all attributes of entry type "person" will be replicated. The second filter attribute specifies that no other entries except those of type "person" will be replicated. This means that only entries of type "person" will be replicated and no other entries will be replicated.

## Example 2

```
objectclass: ibm-replicationFilter
ibm-replicationFilterAttr: (objectclass=person):(cn,sn,userPassword)
ibm-replicationFilterAttr: (objectclass=managerOf):(managerOfDept)
ibm-replicationFilterAttr: (objectclass=*): !(managerOfDept)
```

For this example, consider an entry "cn=Ricardo Garcia,o=sample" of type "person". A new auxiliary objectclass "managerOf" is attached to the above entry. Therefore the entry "cn=Ricardo Garcia,o=sample" will contain both "person" and "managerOf" object classes.

The first filter attribute specifies that attributes cn, sn, and userpassword of entry type "person" will be replicated. The second filter attribute specifies that attribute managerOfDept of entry type "managerOf" will be replicated. The third filter attribute specifies that attribute managerOfDept will not be replicated for any other entry except those of type "person" or "managerOf".

Therefore, for an entry type person, the attribute cn, sn, and userPassword will be replicated. For the entry "cn=Ricardo Garcia,o=sample", containing objectclass person and managerOf, the attributes cn, sn, userPassword, and managerOfDept will be replicated. For any other entry that is not of type "person" or "managerOf", all attributes except managerOfDept will be replicated.

## Example 3

```
dn: cn=replicationfilter, cn=localhost
objectclass: ibm-replicationFilter
ibm-replicationFilterAttr: (objectclass=person):(cn,sn,userPassword)
ibm-replicationFilterAttr: (objectclass=inetOrgPerson):!(userPassword,employeeNumber)
ibm-replicationFilterAttr: (objectclass=*): !(*)
```

For this example, consider an entry "cn=Ricardo Garcia,o=sample" of type "person" and another entry "cn=Jane Smith,o=sample" of type "inetOrgperson". The entry "cn=Jane Smith,o=sample" will contain both "person" and "inetOrgPerson" object classes

The first filter attribute specifies that attributes cn, sn, and userpassword of entry type "person" will be replicated. The second filter attribute specifies that attributes userPassword and employeeNumber of entry type "inetOrgPerson" will not be replicated. The third filter attribute specifies that any attribute for any other entry except that of type "person" or "inetOrgPerson" will not be replicated.

Therefore, for the entry "cn=Ricardo Garcia,o=sample", the attributes cn, sn, and userPassword will be replicated. For the entry "cn=Jane Smith,o=sample", which matches the first and second replication filters, only attributes cn and sn will be replicated. The attribute userPassword being present in both the inclusion and exclusion list, will be eliminated as exclusion takes precedence over inclusion. For any other entry, that is not of type "person" or "inetOrgPerson" no attributes will be replicated.

## Changing replication properties

Use this information to change replication properties.

You must log into the Web administration tool as a projected user with \*ALLOBJ and \*IOSYSCFG special authorities to change settings in the **Manage replication properties** panels.

1. Expand the **Replication management** category in the navigation area and click **Manage replication properties**
2. On this panel you can:

- a) Change the maximum number of pending changes to return from replication status queries. The default is 200.
- b) Set the maximum number of replication errors a server will log while replicating updates to a consumer. If the server is using single-threaded replication, and the maximum is exceeded, the update is retried periodically until it succeeds or until the administrator clears the log so the failure can be added. If the server is using multi-threaded replication, and the maximum is exceeded, any replication errors that occur for the updates in progress are logged and replication waits for the administrator to clear the log. The log can be cleared by retrying or removing the failed updates. Separate logs are maintained for each consumer. The default is zero as in none.

**Note:** Logging is enabled if a value greater than zero is specified.

- c) Change the size in bytes of the replication context cache. The default is 100,000 bytes.
- d) Set the replication conflict maximum entry size in bytes. If the total size of an entry in bytes exceeds the value in this field, the entry is not sent again by the supplier to resolve a replication conflict on the consumer. The default is 0 for unlimited.
- e) Select a value from the Restrict access to replication topology combo box to specify whether the access to replication topology is restricted or not.
- f) Add, edit, or delete supplier information.

**Note:** The supplier DN can be the DN of a projected IBM i user profile. The projected IBM i user profile must not have LDAP administrative authority. The user cannot be a user with \*ALLOBJ and \*IOSYSCFG special authorities and cannot have been granted administrative authority through the directory server administrator application ID.

For more information, see the following:

- [“Adding supplier information” on page 169](#)
- [“Editing supplier information” on page 170](#)
- [“Removing supplier information” on page 170](#)

### Adding supplier information

Use this information to add supplier information.

1. Click **Add**.
2. Select a supplier from the drop-down menu or enter the name of the replicated subtree that you want to add as a supplier.
3. Enter the replication bind DN for the credentials.

**Note:** You can use either of these two options, depending on your situation.

- Set the replication bind DN (and password) and a default referral for all subtrees replicated to a server using the 'default credentials and referral'. This might be used when all subtrees are replicated from the same supplier.
  - Set the replication bind DN and password independently for each replicated subtree by adding supplier information for each subtree. This might be used when each subtree has a different supplier (that is, a different master server for each subtree).
4. Depending on the type of credential, enter and confirm the credential password. (You previously recorded this for future use.)
    - **Simple Bind** - specify the DN and password
    - **Kerberos** - specify a pseudo DN of the form 'ibm-kn=LDAP-service-name@realm' without a password
    - **SSL w/ EXTERNAL bind** - specify the subject DN for the certificate and no password

See [“Creating replication credentials” on page 153](#).

5. Click **OK**.

The subtree of the supplier is added to the Supplier information list.

### Editing supplier information

Use this information to edit supplier information.

1. Select the supplier subtree that you want to edit.
2. Click **Edit**.
3. If you are editing **Default credentials and referral**, which is used to create the cn=Master Server entry under cn=configuration, enter the URL of the server from which the client wants to receive replica updates in the Default supplier's LDAP URL field.  
This needs to be a valid LDAP URL (ldap://). Otherwise, skip to step “4” on page 170.
4. To specify whether the server supports replication conflict resolution, select a value from the **Replication conflict resolution** combo box.
5. Enter the replication bind DN for the new credentials you want to use.
6. Enter and confirm the credential password.
7. Click **OK**.

The password for a replication supplier DN can also be changed using the Change Directory Server Attr (CHGDIRSVRA) command. To change the password for the replication bind DN cn=master to newpassword, use this command:

```
CHGDIRSVRA INSTANCE(QUSRDIR) DN('cn=master' 'newpassword')
```

### Removing supplier information

Use this information to remove supplier information.

1. Select the supplier subtree that you want to remove.
2. Click **Delete**.
3. When asked to confirm the deletion, click **OK**.

The subtree is removed from the Supplier information list.

### Creating replication schedules

Use this information to create replication schedules.

You can optionally define replication schedules to schedule replication for particular times, or to not replicate during certain times. If you do not use a schedule, the server schedules replication whenever a change is made. This is equivalent to specifying a schedule with immediate replication starting at 12:00 AM on all days.

Expand the **Replication management** category in the navigation area and click **Manage schedules**.

On the **Weekly schedule** tab, select the subtree for which you want to create the schedule and click **Show schedules**. If any schedules exist, they are displayed in the **Weekly schedules** box. To create or add a new schedule:

1. Click **Add**.
2. Enter a name for the schedule.  
For example **schedule1**.
3. For each day, Sunday through Saturday, the daily schedule is specified as **None**.  
This means that no replication update events are scheduled. The last replication event, if any, is still in effect. Because this is a new replica, there are no prior replication events, therefore, the schedule defaults to immediate replication.
4. You can select a day and click **Add a daily schedule** to create a daily replication schedule for it.  
If you create a daily schedule it becomes the default schedule for each day of the week. You can:
  - Keep the daily schedule as the default for each day or select a specific day and change the schedule back to none. Remember that the last replication event that occurred is still in effect for a day that has no replication events scheduled.

- Change the daily schedule by selecting a day and clicking **Edit a daily schedule**. Remember changes to a daily schedule affect all days using that schedule, not just the day you selected.
- Create a different daily schedule by selecting a day and clicking **Add a daily schedule**. After you have created this schedule it is added to the **Daily schedule** drop-down menu. You must select this schedule for each day that you want the schedule to be used.

See [“Creating a daily replication schedule” on page 171](#) for more information on setting up daily schedules.

5. When you are finished, click **OK**.

### Related tasks

#### Viewing replication schedule

To view the replication schedule using the Web Administration tool, follow these steps.

### Creating a daily replication schedule

Use this information to create a daily replication schedule.

Expand the **Replication management** category in the navigation area and click **Manage schedules**.

On the **Daily schedule** tab, select the subtree for which you want to create the schedule and click **Show schedules**. If any schedules exist, they are displayed in the **Daily schedules** box. To create or add a new schedule:

1. Click **Add**.
2. Enter a name for the schedule.  
For example **monday1**.
3. Select the time zone setting, either UTC or local.
4. Select a replication type from the drop-down menu:

#### **Immediate**

Performs any pending entry updates since the last replication event and then updates entries continuously until the next scheduled update event is reached.

#### **Once**

Performs all pending updates prior to the starting time. Any updates made after the start time wait until the next scheduled replication event.

5. Select a start time (in the server's local time) for the replication event.
6. Click **Add**.  
The replication event type and time are displayed.
7. Add or remove events to complete your schedule.  
The list of events is refreshed in chronological order.
8. When you are finished, click **OK**.

For example:

| Replication type | Start time |
|------------------|------------|
| Immediate        | 12:00 AM   |
| Once             | 10:00 AM   |
| Once             | 2:00 PM    |
| Immediate        | 4:00 PM    |
| Once             | 8:00 PM    |

In this schedule, the first replication event occurs at midnight and updates any pending changes prior to that time. Replication updates continue to be made as they occur until 10:00 AM. Updates made between 10:00 AM and 2:00 PM wait until 2:00 PM to be replicated. Any updates made between 2:00 PM and 4:00 PM wait the replication event scheduled at 4:00 PM, afterwards replication updates continue until the

next scheduled replication event at 8:00 PM. Any updates made after 8:00 PM wait until the next scheduled replication event.

**Note:** If replication events are scheduled too closely together, a replication event might be missed if the updates from the previous event are still in progress when the next event is scheduled.

### Managing replication queues

Use this information to monitor the status of replication for each replication agreement (queue) used by this server.

1. Expand the **Replication management** category in the navigation area and click **Manage queues**.
2. Select the replica for which you want to manage the queue.
3. Depending on the status of the replica, you can click **Suspend/resume** to stop or start replication.
4. Click **Force replication** to replicate all the pending changes regardless of when the next replication is scheduled.
5. Click **Queue details**, for more complete information about the replica's queue. You can also manage the queue from this selection.
6. Click **View Errors** to get to the replication error management panel. From here you can view the replication error log, retry failing changes, or remove entries from the log.
7. Click **Refresh** to update the queues and clear server messages.

If you clicked **Queue details**, three tabs are displayed:

- Status
- Last attempted details
- Pending changes

The **Status** tab displays the replica name, its subtree, its status, and a record of replication times. From this panel you can suspend or resume replication by clicking **Resume**. Click **Refresh** to update the queue information.

The **Last attempted details** tab gives information about the last update attempt. If an entry is not able to be loaded press **Skip blocking entry** to continue replication with the next pending entry. Click **Refresh** to update the queue information.

The **Pending changes** tab shows all the pending changes to the replica. If replication is blocked you can delete all the pending changes by clicking **Skip all**. Click **Refresh** to update the list of pending changes to reflect any new update or updates that have been processed.

**Note:** If you choose to skip blocking changes, you must ensure that the consumer server is eventually updated.

### Related concepts

#### Replication error table

The replication error table logs failing updates for later recovery. When replication starts, the number of failures logged for each replication agreement is counted. This count is increased if an update results in a failure, and a new entry is added into the table.

### Related reference

#### ldapdiff

The LDAP replica synchronization command line utility.

### Modifying lost and found log settings

The lost and found log (LostAndFound.log is the default file name) records errors that occur as a result of replication conflicts. There are settings to control the lost and found log including the location and maximum size of the file and archiving of old log files.

To modify the lost and found log settings, do the following:

1. In the IBM Tivoli Directory Server Web Administration Tool, expand **Server administration**, and then **Logs** in the navigation area, click **Modify log settings**.



2. Click **Lost and found log**.
3. Enter the path and file name for the error log. Ensure that the file exists on the ldap server and that the path is valid. The default log path is `<drive>|idsldapd-<instance-name>|logs`, where *drive* is the drive you specified when you created a directory server instance and *instance name* is the name of the directory server instance. If you specify a file that is not an acceptable file name (for example, invalid syntax or if the server does not have the rights to create and/or modify the file), the attempt fails with the following error: LDAP Server is unwilling to perform the operation.
4. If you want to log the entire entry, including the member information in the **lost and found log** when the archived entry is a group entry, select the **Log members for group entries involved in a conflict**.
5. Under **Log size threshold (MB)** select the first radio button and enter the maximum log size in Megabytes. If you do not want to limit log size, select the **Unlimited** radio button instead.
6. Under **Maximum log archives**, select one of the following:
  - If you want to specify a maximum number of archived logs, select the radio button with an edit window next to it. Enter the maximum number of archives you want to save. One archived log is an earlier log that reached its size threshold.
  - If you do not want to archive logs, select No archives.
  - If you do not want to limit the number of archived logs, select Unlimited.
7. Under **Log archive path**, do one of the following:
  - If you want to specify the path where archives are kept, select the radio button with an edit window next to it and enter the desired path.
  - If you want to keep the archives in the directory where the log file is located, select the **Same directory as log file** radio button.
8. Click **Apply** to apply your changes and continue working with logs, or click **OK** to save your changes and to return to the IBM Tivoli Directory Server Web Administration Introduction panel. Click **Cancel** to return to the IBM Tivoli Directory Server Web Administration Introduction panel without saving any changes.

### Related reference

#### [Replication overview](#)

Through replication, a change made to one directory is propagated to one or more additional directories. In effect, a change to one directory shows up on multiple different directories.

### Viewing the lost and found log file

The replication lost and found log file can be viewed using the IBM Tivoli Directory Server Web Administration Tool, using the log file options of the ldapexop utility, or viewing the file directly.

To view the lost and found log file using the web administration tool, expand **Server administration** in the Web Administration navigation area and then **Logs** in the expanded list.

1. Click **View log**.
2. In the **View logs** panel, select **Lost and found log** and click the **View** button.

**Note:** The directory administrator and administrative group members are the only users who can access this panel.

To view the Lost and found log using the ldapexop utility, do the following from Qshell:

```
ldapexop -D -w -op readlog -log LostAndFound -lines all
```

Do the following to clear the Lost and found log:

```
ldapexop -D -w -op clearlog -log LostAndFound
```

**Note:** If you are signed on to the i5/OS system as a user with \*ALLOBJ and \*IOSYSCFG special authority, or as a user that has been given administrator access to the directory server, you can use the ldapexop

utility using the -m OS400-PRFTKN option instead of supplying the administrator DN and password. For example,

```
ldapexop -m OS400-PRFTKN -op readlog -log LostAndFound -lines all
```

### Related reference

[ldapexop](#)

The LDAP extended operation command line utility.

### Setting up replication over a secure connection

Use this information to set up replication over a secure connection.

Replication over SSL should be set up in stages so that you can verify everything as you go through the process.

Before attempting to configure replication over a secure connection, you should complete the following tasks (in any order):

- Configure replication over a non-secure connection.
- Configure the consumer server to accept secure connections over the secure port. Verify that a client can use a secure connection to the consumer server, for example, by using the `ldapsearch` utility. If you want a supplier server to use a certificate for authentication, such as SASL external bind over SSL, you should first set up server authentication and then client and server authentication, where the "server" is the consumer server and the client is the supplier server.

**Note:** When the server is configured to use client and server authentication, all clients using SSL are required to have a client certificate.

- Configure the supplier server to trust the certificate authority that issued the consumer's certificate.
  1. In the Web administration tool, click **Manage topology** under the **Replication management** category.
  2. Choose one of the existing agreements that you want to make secure.
  3. Choose **Edit agreement...** and select to use SSL making sure to use the correct port number. 636 is the standard secure port number.
  4. Verify that replication over the agreement is working properly.

If you are only trying to set up replication to authenticate using a DN and a password over a secure connection, the preceding steps have done this for you. Authentication using a client certificate requires a different credentials object to be used by the supplier server in its agreement, as well as configuring the consumer server to accept that certificate as a supplier server.

## Replication topology tasks

Use this information to manage topologies of replicated subtrees.

Topologies are specific to the replicated subtrees.

### Viewing the topology

Use this information to view the subtree topology.

**Note:** The server must be running to perform this task.

Expand the **Replication management** category in the navigation area and click **Manage topology**.

Select the subtree that you want to view and click **Show topology**.

The topology is displayed in the Replication topology list. Expand the topologies by clicking the blue triangles. From this list you can:

- Add a replica.
- Edit information on an existing replica.
- Change to a different supplier server for the replica or promote the replica to a master server.
- Delete a replica.

- View replication schedule

### Adding a replica

Use this information to create a replica.

**Note:** The steps described here explain how to add a replica through the web administration task, and are part of an overall process that includes other steps required to properly initialize the new server. Refer the topic in the related links below.

**Note:** The server must be running to perform this task.

Expand the **Replication management** category in the navigation area and click **Manage topology**.

1. Select the subtree that you want to replicate and click **Show topology**.
2. Click the arrow next to the **Replication topology** selection to expand the list of supplier servers.
3. Select the supplier server and click **Add replica**.
4. On the **Server** tab of the **Add replica** window:
  - a) Enter the host name and port number for the replica you are creating. The default port is 389 for non-SSL and 636 for SSL. These are required fields.
  - b) Select whether to enable SSL communications.
  - c) Enter the replica name or leave this field blank to use the host name.
  - d) Enter the replica ID. If the server on which you are creating the replica is running, click **Get replica ID** to automatically prefill this field. This is a required field, if the server you are adding is going to be a peer or forwarding server. It is recommended that all servers be at the same release.
  - e) Enter a description of the replica server.
5. On the **Additional** tab,
  - Specify the credentials that the replica uses to communicate with the master.

**Note:** The Web administration tool allows you to define credentials in these places:

- **cn=replication,cn=localhost**, which keeps the credentials only on the server that uses them
- Within the replicated subtree, in which case the credentials are replicated with the rest of the subtree. Credentials placed in the replicated subtree are created beneath the **ibm-replicagroup=default** entry for that subtree.

Placing credentials in **cn=replication,cn=localhost** is considered more secure. Credentials placed in the replicated subtree are created beneath the **ibm-replicagroup=default** entry for that subtree.

- Click **Select**.
    - Select the location for the credentials you want to use. Preferably this is **cn=replication,cn=localhost**.
    - Click **Show credentials**.
    - Expand the list of credentials and select the one you want to use.
    - Click **OK**.
- See [Creating replication credentials](#) for additional information on agreement credentials.
- Specify a replication schedule from the drop-down list or click **Add** to create one. See [Creating replication schedules](#).
  - From the list of supplier capabilities, you can deselect any capabilities that you do not want replicated to the consumer.

If your network has a mix of servers at different releases, capabilities are available on later releases that are not available on earlier releases. Some capabilities, like filter ACLs and password policy, make use of operational attributes that are replicated with other changes. In most cases, if these functions are used, you want all servers to support them. If all of the servers do not support the capability, you do not want to use it. For example, you would not want different ACLs in effect on each server. However, there might be cases where you might want to use a capability on the servers

that support it, and not have changes related to the capability replicated to servers that do not support the capability. In such cases, you can use the capabilities list to mark certain capabilities to not be replicated.

- Select the either Single threaded or Multi-threaded for the method of replication. If you specify multi-threaded, you must also specify the number (between 2 and 32) of connections to use for replication. The default number of connections is 2.
- Click **OK** to create the replica.

6. A message is displayed noting that additional actions must be taken.

Click **OK**.

**Note:** If you are adding more servers as additional replicas or are creating a complex topology, do not proceed with [Coping data to the replica](#) or [Adding supplier information to the new replica](#) until you have finished [defining the topology on the master server](#). If you create the *masterfile.ldif* after you have completed the topology, it contains the directory entries of the master server and a complete copy of the topology agreements. When you load this file on each of the servers, each server then has the same information.

### Related tasks

[Setting up a gateway topology](#)

Use this information to set up a gateway topology.

### Adding a peer-master or gateway server

This topic provides information about how to create a new peer-master or gateway server.

**Note:** The steps described here explain how to add a peer-master or gateway server through the web administration task, and are part of an overall process that includes other steps required to properly initialize the new server. Refer the topic in the related links below.

Expand the **Replication management** category in the navigation area and click **Manage topology**.

1. Select the subtree that you want to replicate and click **Show topology**.
2. Click the box next to the **Replication Topology** to expand the list of supplier servers, if you want to view the existing topology.
3. Click **Add master**.

On the **Server** tab of the **Add master** window:

- Enter the host name and port number for the server you are creating. The default port is 389 for non-SSL and 636 for SSL. These are required fields.
- Select whether to enable SSL communications.
- Select whether you want to create the server as a gateway server.
- Enter the server name or leave this field blank to use the host name.
- Enter the **server ID**. If the server on which you are creating the peer-master is running, click Get server ID to automatically prefill this field.
- Enter a description of the server.
- You must specify the credentials that the server uses to communicate with the other master server. Click **Select**.

**Note:** The Web Administration Tool allows you to define credentials in the following places:

- **cn=replication,cn=localhost**, which keeps the credentials only on the server that uses them. Placing credentials in **cn=replication,cn=localhost** is considered more secure.
- **cn=replication,cn=IBMpolicies**, which is available even when the server under which you are trying to add a replica is not the same server that you are connected to with the Web Administration Tool. Credentials placed under this location are replicate to the servers.

**Note:** The location **cn=replication,cn=IBMpolicies** is only available, if the **IBMpolicies** support OID, 1.3.18.0.2.32.18, is present under the **ibm-supportedcapabilities** of the root DSE.

- Within the replicated subtree, in which case the credentials are replicated with the rest of the subtree. Credentials placed in the replicated subtree are created beneath the **ibm-replicagroup=default** entry for that subtree.
  1. Select the location for the credentials you want to use. Preferably this is cn=replication,cn=localhost.
  2. If you have already created a set of credentials, click Show credentials.
  3. Expand the list of credentials and select the one you want to use.
  4. Click OK.
  5. If you do not have preexisting credentials, click Add to create the credentials.

On the **Additional** tab:

1. Specify a replication schedule from the drop-down list or click **Add** to create one. See [Creating replication schedules](#).
2. From the list of supplier capabilities, you can deselect any capabilities that you do not want replicated to the consumer.

If your network has a mix of servers at different releases, capabilities are available on later releases that are not available on earlier releases. Some capabilities, like filter ACLs ([Filtered access control lists](#)) and password policy ([Setting password policy properties](#)), make use of operational attributes that are replicated with other changes. In most cases, if these features are used, you want all servers to support them. If all of the servers do not support the capability, you do not want to use it. For example, you would not want different ACLs in effect on each server. However, there might be cases where you might want to use a capability on the servers that support it, and not have changes related to the capability replicated to servers that do not support the capability. In such cases, you can use the capabilities list to mark certain capabilities to not be replicated.

3. Check the **Add credential information on consumer** check box, if you want to enable dynamic updates of the supplier credentials. This selection automatically updates the supplier information in the configuration file of the server you are creating. This enables the topology information to be replicated to the server.
  - Type the Administration DN for this, the consumer, server. For example cn=root.
 

**Note:** If the administrator DN which was created during the server configuration process was cn=root, then enter the full administrator DN. Do not just use root.
  - Type the Administration password for this, the consumer, server. For example secret.
4. Click **OK**.
5. Supplier and consumer agreements are listed between new master server and any existing servers. Uncheck any agreements that you do not want to be created. This is especially important if you are creating a gateway server.
6. Click **Continue**.
7. Messages might be displayed noting that additional actions must be taken. Perform or take note of the appropriate actions. When you are finished, click **OK**.
8. Add the appropriate credentials.

**Note:** In some cases the Select credentials panel will pop up asking for a credential that is located in a place other than cn=replication,cn=localhost. In such situations you must provide a credential object that is located in a place other than cn=replication,cn=localhost. Select the credentials the subtree is going to use from the existing sets of credentials or create new credentials.

9. Check the **Add credential information on consumer** check box, if you want to enable dynamic updates of the supplier credentials. This selection automatically updates the supplier information in the configuration file of the server you are creating. This enables the topology information to be replicated to the server.
  - Type the Administration DN for this, the consumer, server. For example cn=root.
 

**Note:** If the administrator DN which was created during the server configuration process was cn=root, then enter the full administrator DN. Do not just use root.

- Type the Administration password for this, the consumer, server. For example secret.
10. Click **OK** to create the peer-master.
  11. Messages might be displayed noting that additional actions must be taken. Perform or take note of the appropriate actions. When you are finished, click **OK**.

**Note:** If an external credential object is selected while you are adding credentials on consumers during an Add master operation using the Web Administration Tool, then the following settings need to be configured on the machine where the IBM WebSphere Application Server is running:

- The WAS\_HOME\java\jre\lib\ext\ has the following jar files:
  - ibmjceprovider.jar
  - ibmpkcs.jar
  - ibmjcefw.jar
  - local\_policy.jar
  - US\_export\_policy.jar
  - ibmjlog.jar
  - gsk7cls.jar
- The WAS\_HOME\java\jre\lib\security\java.security file must have the following two lines to register CMS provider and JCE provider:

```
security.provider.2=com.ibm.spi.IBMCMSProvider
security.provider.3=com.ibm.crypto.provider.IBMJCE
```

- Restart IBM WebSphere Application Server.
- Gskit must be installed and gsk7\lib must be in the system path.
- For the Web Administration Tool to read the keyfile containing credentials information that the master server uses to connect to the replica, and create credentials on replica, the keyfile must be present in C:\temp for Windows platforms, and in /tmp for UNIX.

## Related tasks

### [Setting up a gateway topology](#)

Use this information to set up a gateway topology.

## Managing gateway servers

This topic provides information about managing gateway servers. You can designate whether a master server is to have the role of a gateway server in the replication site.

To designate a master to be a gateway server, expand the **Replication management** category in the navigation area and click **Manage topology**.

1. Select the subtree that you want to view and click **Show topology**.
2. Click **Manage gateway servers**.
3. Select the server from the **Master servers** box that you want to make a gateway server.
4. Click **Make gateway**. The server is moved from the **Master servers** box to the **Gateway servers** box.
5. Click **OK**.

To remove the role of a gateway server from a master server.

1. Click **Manage gateway servers**.
2. Select the server from the **Gateway servers** box that you want to make a master server.
3. Click **Make master**. The server is moved from the **Gateway servers** box to the **Master servers** box.
4. Click **OK**.

**Note:** Remember that there can be only one gateway server per replication site. When you create additional gateway servers in your topology, the Web Administration Tool treats the gateway as a peer

server and creates agreements to all the servers in the topology. Ensure that you deselect any agreements that are not with the other gateway servers or not within the gateways own replication site.

See Setting up a gateway topology topic in the related links below for more information.

### Related tasks

#### [Setting up a gateway topology](#)

Use this information to set up a gateway topology.

### Viewing server information

You can view server name, host name, port, server ID, role, configuration mode, instance name, and security from the View server panel.

Expand the **Replication management** category in the navigation area of the Web Administration Tool and click **Manage topology**.

1. Select the subtree that you want to view and click **Show topology**.
2. Select the server that you want to view.
3. Click **View server** to display the view server panel.

The View Server panel displays the following information:

#### **Server name**

This field displays the name of the server on which the directory instance is running. This information is displayed in the hostname:port format.

#### **Host Name**

This field displays the host name of the machine on which the directory server instance is running.

#### **Port**

This field displays the nonsecure port on which the server is listening.

#### **Server ID**

This field displays the unique ID assigned to the server at the first startup of the server. This ID is used in replication topology to determine a server's role.

#### **Role**

This field displays the configured role of the server in a replication topology.

#### **Configuration mode**

This field identifies whether the server is running in configuration mode. If TRUE, the server is in configuration mode. If FALSE, the server is not in configuration mode.

#### **Instance name**

This field displays the name of the directory server instance running on the server.

#### **Security**

This field displays the secure SSL port the server is listening on.

The server name, ID and role and consumer information are displayed.

### Viewing replication schedule

To view the replication schedule using the Web Administration tool, follow these steps.

Expand the **Replication management** category in the navigation area of the Web Administration Tool and click **Manage topology**.

1. Select the subtree that you want to view and click **Show topology**.
2. Select the master or gateway server that you want to view.
3. Click **View schedule**.

If a replication schedule exists between the selected server and its consumers, they are displayed. You can modify or delete these schedules. If no schedules exist and you want to create one, you must use the **Manage schedules** function from the Web Administration Tool navigation area. See Creating replication schedules in the related links below for information about managing schedules.



## Related tasks

### [Creating replication schedules](#)

Use this information to create replication schedules.

### Editing an agreement

Use this information to edit a replication agreement.

You can change the following information for the replica:

1. On the **Server** tab you can only change:
  - Hostname
  - Port
  - Enable SSL
  - Description
2. On the **Additional** tab you can change:
  - Credentials - see [“Creating replication credentials” on page 153](#).
  - Replication schedules - see [“Creating replication schedules” on page 170](#).
  - Change the capabilities replicated to the consumer replica. From the list of supplier capabilities, you can deselect any capabilities that you do not want replicated to the consumer.
3. When you are finished, click **OK**.

### Moving or promoting a server

Use this information to move or promote a server.

1. Select the server that you want and click **Move**.
2. Select the server that you want to move the replica to, or select **Replication topology** to promote the replica to a master.  
Click **Move**.
3. In some cases the Select credentials panel will pop up asking for a credential which is located in a place other than cn=replication,cn=localhost.  
In such situations you must provide a credential object which is located in a place other than cn=replication,cn=localhost. Select the credentials the subtree is going to use from the existing sets of credentials or create new credentials. See [“Creating replication credentials” on page 153](#).
4. The **Create additional supplier agreements** is displayed.  
Select the supplier agreements appropriate for the role of the server. For example, if a replica server is being promoted to be a peer server, you must select to create supplier agreements with all the other servers and their first level replicas. These agreements enable the promoted server to act as a supplier to the other servers and their replicas. Existing supplier agreements from the other servers to the newly promoted server are still in effect and do not need to be recreated.
5. Click **OK**.

The change in the topology tree reflects the moving of the server.

## Related tasks

### [Creating a complex topology with peer replication](#)

Use this information to create a complex topology with peer replication.

### Demoting a master

Use this information to change the role of a server from a master to a replica.

To change the role of a server from a master to a replica do the following:

1. Connect the Web administration tool to the server that you want to demote.
2. Click **Manage topology**.
3. Select the subtree and click **Show topology**.
4. Delete all the agreements for the server you want to demote.



5. Select the server you are demoting and click **Move**.
6. Select the server under which you are going to place the demoted server and click **Move**.
7. Just as you would for a new replica, create new supplier agreements between the demoted server and its supplier.  
See [“Creating a replica server” on page 155](#) for instructions.

### Replicating a subtree

Use this information to replicate a subtree.

**Note:** The server must be running to perform this task.

Expand the **Replication management** category in the navigation area and click **Manage topology**.

1. Click **Add subtree**.
2. Enter the DN of the subtree that you want to replicate or click **Browse** to expand the entries to select the entry that is to be the root of the subtree.
3. Enter the master server referral URL.  
This must be in the form of an LDAP URL, for example:

```
ldap://<myservername>.<mylocation>.<mycompany>.com
```

4. Click **OK**.

The new server is displayed on the Manage topology panel under the heading **Replicated subtrees**

### Editing a subtree

Use this information to change the URL of the master server that this subtree and its replicas send updates to. You need do this if you change the port number or host name of the master server, or change the master to a different server.

1. Select the subtree you want to edit.
2. Click **Edit subtree**.
3. Enter the master server referral URL.  
This must be in the form of an LDAP URL, for example:

```
ldap://<mynewservername>.<mylocation>.<mycompany>.com
```

Depending on the role being played by the server on this subtree (whether it is a master, replica or forwarding), different labels and buttons appear on the panel.

- When the subtree's role is replica, a label indicating that the server acts as a replica or forwarder is displayed along with the button **Make server a master**. If this button is clicked then the server which the Web administration tool is connected to becomes a master.
- When the subtree is configured for replication only by adding the auxiliary class (no default group and subentry present), then the label **This subtree is not replicated** is displayed along with the button **Replicate subtree**. If this button is clicked, the default group and the subentry is added so that the server with which the Web administration tool is connected to becomes a master.
- If no subentries for the master servers are found, the label **No master server is defined for this subtree** is displayed along with the button titled **Make server a master**. If this button is clicked, the missing subentry is added so that the server with which the Web administration tool is connected to becomes a master.

### Removing a subtree

Use this information to remove a subtree.

1. Select the subtree you want to remove.
2. Click **Delete subtree**.
3. When asked to confirm the deletion, click **OK**.

The subtree is removed from the **Replicated subtree** list.

**Note:** This operation succeeds only if the `ibm-replicaGroup=default` entry is empty.

### Quiescing the subtree

Use this information to quiesce the subtree.

This function is useful when you want to perform maintenance on or make changes to the topology. It minimizes the number of updates that can be made to the server. A quiesced server does not accept client requests. It accepts requests only from an administrator using the Server Administration control.

This function is Boolean.

1. Click **Quiesce/Unquiesce** to quiesce the subtree.
2. When asked to confirm the action, click **OK**.
3. Click **Quiesce/Unquiesce** to unquiesce the subtree.
4. When asked to confirm the action, click **OK**.

### Editing access control lists

This topic provides information about the required authorities for editing access control lists (ACLs) and also provides information on working with ACLs.

Replication information (replica subentries, replication agreements, schedules, possibly credentials) are stored under a special object, **ibm-replicagroup=default**. The `ibm-replicagroup` object is located immediately beneath the root entry of the replicated subtree. By default, this subtree inherits ACL from the root entry of the replicated subtree. This ACL might not be appropriate for controlling access to replication information.

Required authorities:

- Control replication - You must have write access to the `ibm-replicagroup=default` object (or be the owner/administrator).
- Cascading control replication - You must have write access to the `ibm-replicagroup=default` object (or be the owner/administrator).
- Control queue - You must have write access to the replication agreement.

To view ACL properties using the Web administration tool and to work with ACLs, see [“Access control list \(ACL\) tasks”](#) on page 228.

See [“Access control lists”](#) on page 65 for additional information.

## Security property tasks

Use this information to manage security property tasks.

The Directory Server has many mechanisms to ensure the security of your data. They include password management, encryption using SSL and TLS, Kerberos authentication, and DIGEST-MD5 authentication. For more information on security concepts, see [“Directory Server security”](#) on page 50.

### Related concepts

[Directory Server security](#)

Learn how a variety of functions can be used to secure your Directory Server secure.

### Password tasks

Use this information to manage password tasks.

To manage passwords, expand the **Manage security properties** category in the navigation area of the Web administration tool and select the **Password policy** tab.

### Related concepts

[Password policy](#)

With the use of LDAP servers for authentication, it is important that a LDAP server support policies regarding password expiration, failed login attempts, and password rules. Directory Server provides configurable support for all three of these kinds of policies.

### **Setting password policy properties**

Use this information to set password policy properties.

To set the password policy, use one of the following procedures.

#### **Using Web Administration:**

If you have not done so already, click Server administration in the Web Administration navigation area and then click Manage password policies in the expanded list. On this panel, you can do the following:

- Add a new password policy in the DIT.
- Edit an existing password policy.
- Create a copy of an existing password policy by providing a new name and location of the policy.
- Delete an existing password policy.

**Note:** The global password policy cannot be deleted

- View the details of a selected password policy.

#### **To add a password policy**

To add a new password policy in the DIT, click the Add button or select Add from the Select Action list and then click Go on the Password policies table. This launches the Policy definition wizard in which the user can define a new password policy by providing a unique password policy name and the required attributes and their values.

#### **Attribute selection**

Attribute selection, Password policy settings 1, Password policy settings 2, and Password policy settings 3 panels make up the Policy definition wizard. Users can use these panels of the Policy definition wizard to add a new password policy, edit an existing password policy, and create a copy of an existing password policy.

While adding a new password policy or copying an existing password policy, user must provide a unique name for the password policy on the Attribute selection panel. Users can also provide values for the required attributes by selecting the attributes from the Attribute selection table. While editing an existing password policy, users are not allowed to modify the password policy name but can modify the values of the attributes of the selected password policy.

**Note:** Based on the selection of the attributes from the Attribute selection table on the Attribute selection panel, user may not be required to traverse through all the panels of the Policy definition wizard while adding a new password policy or editing or copying an existing password policy.

On this panel, you can do the following:

- Enter a unique password policy name in the Policy name field. For Add and Copy operations, users must provide a unique password policy name. In case of the Edit operation, the Policy name field is read-only.
- Select the attributes from the table that you want to include in the password policy overriding the values of these attributes that is in the global password policy.

#### **Password policy settings 1**

The controls on the Password policy settings 1 panel are displayed based on the selection of the attributes on the Attribute selection panel. On this panel, you can do the following:

1. To enable the password policy, select the **Enabled (ibm-pwdPolicy)** check box. To disable the password policy, clear the **Enabled (ibm-pwdPolicy)** check box. The attribute `ibm-pwdPolicy` is associated with this control.
2. To allow user to change their password, select the **User can change password (pwdAllowUserChange)** check box.

3. To ensure that the user change the password after it is reset by the administrator, select the **User must change password after reset (pwdMustChange)** check box.
4. To ensure that the user specify the current password while setting a new password, select the **User must specify current password while changing (pwdSafeModify)** check box.
5. to set the start date and time of password policy, enter date and time in the fields under Password policy start time (ibm-pwdPolicyStartTime). To set date, users can use the calendar by clicking the calendar icon.  
  
**Note:** Only administrators and the members of local administrative group can set the start date and time of the password policy.
6. In this group, you can set the number of days after which the password expires. If you select **Days**, you must enter the number of days in the field. Otherwise, to ensure that password never expires, select **Password never expires**.
7. In this group, you can set the minimum age of the password. If you select **Days**, you must enter the number of days in the field after which the password can be changed after the last password change. Otherwise, select **Password can be changed anytime**.
8. In this group, you can set the number of days before the password expires at which to display password expiry warning status. If you select **Days before expiration**, you must enter a value in the field for the number of days before the password expires, in order to warn the user about password expiration. Otherwise, select **Never warn**.
9. In the **Logins** field, enter the number of grace login attempts allowed after the password has expired.

After you have finished, do one of the following:

- Click **Back** to navigate to the Attribute selection panel.
- Click **Next** to navigate to continue with configuring of password policy.
- Click **Cancel** to discard all changes and navigate to the Manage password policies panel
- Click **Finish** to save all the changes and navigate to the Manage password policies panel.

### Password policy settings 2

The Password policy settings 2 panel and the controls on the Password policy settings 2 panel are displayed based on the selection of the attributes on the Attribute selection panel. On this panel, you can do the following:

1. Set the maximum number of failed bind attempts allowed by a user before password locks out. If you select **Attempts**, you must enter a value for maximum number of failed bind attempts allowed before password lockout. To specify the maximum number of failed bind attempts allowed before password lockout as unlimited, select **Unlimited**.
2. Set the duration for which the password authentication will remain locked. To specify the duration, you must select and then enter a value for the duration in the field and select the unit from the combo box. Otherwise, select **Infinite**.
3. Set 3. Set the duration after which failed bind attempts should be flushed. To specify the duration, you must select and then enter a value for the duration in the field and select the unit from the combo box. Otherwise, select **Infinite**.

### Password policy settings 3

The Password policy settings 3 panel and the controls on the Password policy settings 3 panel are displayed based on the selection of the attributes on the Attribute selection panel. On this panel, you can do the following:

1. In the **Minimum number of passwords before reuse (pwdInHistory)** field, enter a value for the minimum number of password to be stored before reusing the old password.
2. Select a check password syntax item from the **Check password syntax (pwdCheckSyntax)** list to specify whether the syntax of password should be checked or not. The items available in the **Check password syntax (pwdCheckSyntax)** list are Do not check syntax, Check syntax (two-way encrypted only), and Check syntax.

3. In the **Minimum length (pwdMinLength)** field, enter a value for the minimum length of the password to be used.
4. In the **number of alphabetic characters (passwordMinAlphaChars)** field, enter a value for the minimum numbers of alphabetic characters that a password should contain.
5. In the **Minimum number of numeric and special characters (passwordMinOtherChars)** field, enter a value for the minimum numbers of numeric and special characters that a password should contain.
6. In the **Maximum number of times a character can be used in password (passwordMaxRepeatedChars)** field, enter a value for the maximum numbers of repeated characters that is allowed in a password.
7. In the **Minimum number of characters different from previous password (passwordMinDiffChars)** field, enter a value for the minimum numbers of characters in a new password that should be different from the previous password.
8. In the **Maximum number of consecutive repeated characters (passwordMaxConsecutiveRepeatedChars)** field, enter a value for the maximum numbers of consecutive repeated characters that is allowed in a password.

### To edit a password policy

To edit an existing password policy, select the required row and click the **Edit** button or select **Edit** from the Select Action list and then click Go on the Password policies table. This launches the Policy definition wizard with the selected password policy. User can edit the selected password policy by modifying the required attributes and their values.

### To create a copy of an existing password policy

To create a copy of an existing password policy, select the required row and click the **Copy** button or select **Copy** from the Select Action list and then click Go on the Password policies table. This launches the Policy definition wizard with the selected password policy. To copy, user must provide a new password policy name and the location of the policy and is allowed to make changes to the attribute values.

### To delete a password policy

To delete an existing password policy, select the required row and click the **Delete** button or select **Delete** from the Select Action list and then click **Go** on the Password policies table.

**Note:** The global password policy cannot be deleted.

### Using the command line:

To enable the password policy, issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> -p <port> -k
dn: cn=pwdpolicy,cn=ibmpolicies
ibm-pwdpolicy:true
ibm-pwdGroupAndIndividualEnabled:true
```

To define group and individual password policies issue the following commands:

```
ldapadd -D <adminDN> -w <adminPW>
dn:cn=grp1_pwd_policy,cn=ibmpolicies
objectclass: container
objectclass: pwdPolicy
objectclass: ibm-pwdPolicyExt
objectclass: top
cn:grp_pwd_policy
pwdAttribute: userPassword
pwdGraceLoginLimit: 1
pwdLockoutDuration: 30
pwdMaxFailure: 2
pwdFailureCountInterval: 5
pwdMaxAge: 999
pwdExpireWarning: 0
pwdMinLength: 8
pwdLockout: true
pwdAllowUserChange: true
pwdMustChange: false
ibm-pwdpolicy:true
```

```

ldapadd -D <adminDN> -w <adminPW>
dn:cn=individual1_pwd_policy,cn=ibmpolicies
objectclass: container
objectclass: pwdPolicy
objectclass: ibm-pwdPolicyExt
objectclass: top
cn:grp_pwd_policy
pwdAttribute: userPassword
pwdGraceLoginLimit: 3
pwdLockoutDuration: 50
pwdMaxFailure: 3
pwdFailureCountInterval: 7
pwdMaxAge: 500
pwdExpireWarning: 0
pwdMinLength: 5
pwdLockout: true
pwdAllowUserChange: true
pwdMustChange: false
ibm-pwdpolicy:true

```

To associate the group and individual password policies with a group or a user, issue the following commands. For instance, to associate a group password policy with a group:

```

ldapmodify -D <adminDN> -w <adminPW> -k
dn:cn=group1,o=sample
changetype:modify
add:ibm-pwdGroupPolicyDN
ibm-pwdGroupPolicyDN:cn=grp1_pwd_policy,cn=ibmpolicies

```

To associate an individual password policy with a user:

```

ldapmodify -D <adminDN> -w <adminPW> -k
dn:cn=user1 ,o=sample
changetype:modify
add:ibm-pwdIndividualPolicyDN
ibm-pwdIndividualPolicyDN:cn= Individual1 _pwd_policy,cn=ibmpolicies

```

For more information about password policy, see [“Password policy”](#) on page 77.

## Related concepts

### [Password encryption](#)

The IBM Tivoli Directory Server enables you to prevent unauthorized access to user passwords. The administrator may configure the server to encrypt userPassword attribute values in either a one-way encrypting format or a two-way encrypting format. The encrypted passwords are tagged with the encrypting algorithm name so that passwords encrypted in different formats can coexist in the directory. When the encrypting configuration is changed, existing encrypted passwords remain unchanged and continue to work.

## Related tasks

### [Setting the administration password and lockout policy](#)

The administration password policy is set using the command line only. The Web administration tool does not support administration password policy.

### ***Setting the administration password and lockout policy***

The administration password policy is set using the command line only. The Web administration tool does not support administration password policy.

**Note:** You must authenticate as an i5/OS user with \*ALLOBJ and \*IOSYSCFG special authorities.

To turn on the administration password policy with an EAL4 secure configuration, issue the following command:

```

ldapmodify -D <adminDN> -w <adminPW> -i <filename>

```

where <filename> contains:

```

dn: cn=pwdPolicy Admin,cn=Configuration
changetype: modify

```

```
replace: ibm-slapdConfigPwdPolicyOn
ibm-slapdConfigPwdPolicyOn: true
```

To enable the administration password policy and modify the default settings, issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> -i <filename>
```

where <filename> contains:

```
dn: cn=pwdPolicyAdmin,cn=Configuration
changetype: modify
replace: ibm-slapdConfigPwdPolicyOn
ibm-slapdConfigPwdPolicyOn: TRUE
-
replace: pwdlockout
pwdlockout: TRUE
#select TRUE to enable, FALSE to disable
-
replace:pwdmaxfailure
pwdmaxfailure: 10
-
replace:pwdlockoutduration
pwdlockoutduration: 300
-
replace:pwdfailurecountinterval
pwdfailurecountinterval: 0
-
replace:pwdminlength
pwdminlength: 8
-
replace:passwordminalphachars
passwordminalphachars: 2
-
replace:passwordminotherchars
passwordminotherchars: 2
-
replace:passwordmaxrepeatedchars
passwordmaxrepeatedchars: 2
-
replace:passwordmindiffchars
passwordmindiffchars: 2
```

**Note:** Administrative accounts can be locked due to excessive authentication failures. This applies only to remote client connections. The account is reset at server startup.

### Related tasks

#### [Setting password policy properties](#)

Use this information to set password policy properties.

#### ***Setting password lockout properties***

Use this information to set password lockout properties.

1. Expand the **Manage security properties** category in the navigation area of the Web administration tool, then select the **Password lockout** tab.

**Note:** If password policy is not enabled on the server, the functions on this panel do not take effect.

2. Specify the number of seconds, minutes, hours or days that must expire before a password can be changed.
3. Specify whether incorrect logins lockout the password.
  - Select the **Passwords are never locked out** radio button if you want to allow unlimited log in attempts. This selection disables the password lockout function.
  - Select the **Attempts** radio button and specify the number of log in attempts that are allowed before locking out the password. This selection enables the password lockout function.
4. Specify the duration of the lockout.

Select the **Lockouts never expire** radio button to specify that the system administrator must reset the password, or select the **Seconds** radio button and specify the number of seconds before the lockout expires and log in attempts can resume.

5. Specify the expiration time for an incorrect login.

Click the **Incorrect logins only cleared with correct password** radio button to specify that incorrect logins are cleared only by a successful login, or click the **Seconds** radio button and specify the number of seconds before an unsuccessful login attempt is cleared from memory.

**Note:** This option works only if the password is not locked out.

6. When you are finished, click **Apply** to save your changes without exiting, or click **OK** to apply your changes and exit, or click **Cancel** to exit this panel without making any changes.

### ***Setting password validation properties***

Use this information to set password validation properties.

1. Expand the **Manage security properties** category in the navigation area of the Web administration tool, then select the **Password validation** tab.

**Note:** If password policy is not enabled on the server, the functions on this panel do not take effect.

2. Set the number of passwords that must be used before a password can be reused.  
Enter a number from 0 to 30. If you enter zero, a password can be reused without restriction.
3. From the drop-down menu, select whether the password is checked for the syntax defined in the following entry fields.

You can select:

#### **Do not check syntax**

No syntax checking is performed.

#### **Check syntax (except encrypted)**

The syntax checking is performed on all unencrypted passwords.

#### **Check syntax**

The syntax checking is performed on all passwords.

4. Specify a number value to set the minimum length of the password.

If the value is set to zero, no syntax checking is performed.

- Specify a number value to set the minimum number of alphabetic characters required for the password.
- Specify a number value to set the minimum number of numeric and special characters required for the password.

**Note:** The sum of the minimum number of alphabetic, numeric, and special characters must be equal to or less than the number specified as the minimum length of the password.

5. Specify the maximum number of characters that can be repeated in the password.  
This option limits the number of times a specific character can appear in the password. If the value is set to zero, the number of repeated characters is not checked.
6. Specify the minimum number of characters that must be different from the previous password and the number of previous passwords specified in the **Minimum number of passwords before reuse** field.  
If the value is set to zero, the number of different characters is not checked.
7. When you are finished, click **Apply** to save your changes without exiting, or click **OK** to apply your changes and exit, or click **Cancel** to exit this panel without making any changes.

### ***Viewing password policy attributes***

Use this information to view password policy attributes.

Operational attributes are returned on a search request only when specifically requested by the client. To use these attributes in search operations, you must have permission to critical attributes, or permission to the specific attributes used.



1. To view all password policy attributes for a given entry:

```
> ldapsearch -b "uid=user1,cn=users,o=ibm" -s base "(objectclass=*)"
pwdChangedTime pwdAccountLockedTime pwdExpirationWarned
pwdFailureTime pwdGraceUseTime pwdReset
```

2. To query for entries for which the password is about to expire, use the pwdChangedTime attribute.

For example, to find passwords which expire August 26, 2004, with a password expiration policy of 186 days, query for entries for which the password was changed at least 186 days ago (February 22, 2004):

```
> ldapsearch -b "cn=users,o=ibm" -s sub
"!(pwdChangedTime>20040222000000Z)" 1.1
```

where the filter is equivalent to pwdChangedTime of midnight, February 22, 2004.

3. To query for locked accounts, use the pwdAccountLockedTime attribute:

```
> ldapsearch -b "cn=users,o=ibm" -s sub "(pwdAccountLockedTime=*)" 1.1
```

where "1.1" indicates that only the entry DNs are to be returned.

4. To query for accounts for which the password must be changed because the password was reset, use the pwdReset attribute:

```
> ldapsearch -b "cn=users,o=ibm" -s sub "(pwdReset=TRUE)" 1.1
```

### ***Overriding password policy attributes***

Use this information to override password policy attributes.

You need to do this first.

A directory administrator can override normal password policy behavior for specific entries by modifying the password policy operational attributes and using the server administration control (-k option of the LDAP command line utilities).

1. You can prevent the password for a particular account from expiring by setting the pwdChangedTime attribute to a date far in the future when setting the userPassword attribute.

The following example sets the time to midnight, January 1, 2200.

```
> ldapmodify -D cn=root -w ? -k
dn: uid=wasadmin,cn=users,o=ibm
changetype: modify
replace: pwdChangedTime
pwdChangedTime: 22000101000000Z
```

2. You can unlock an account which has been locked due to excessive login failures by removing the pwdAccountLockedTime and pwdFailureTime attributes:

```
> ldapmodify -D cn=root -w ? -k
dn: uid=user1,cn=users,o=ibm
changetype: modify
delete: pwdAccountLockedTime
-
delete: pwdFailureTime
```

3. You can unlock an expired account by changing the pwdChangedTime and clearing the pwdExpirationWarned and pwdGraceUseTime attributes:

```
> ldapmodify -D cn=root -w ? -k
dn: uid=user1,cn=users,o=ibm
changetype: modify
replace: pwdChangedTime
pwdChangedTime: 20040826000000Z
-
delete: pwdExpirationWarned
-
delete: pwdGraceUseTime
```

4. You can clear or set the "password must be changed" status by setting the pwdReset attribute:

```
> ldapmodify -D cn=root -w ? -k
dn: uid=user1,cn=users,o=ibm
changetype: modify
delete: pwdReset

> ldapmodify -D cn=root -w ? -k
dn: uid=user2,cn=users,o=ibm
changetype: modify
replace: pwdReset
pwdReset: TRUE
```

5. An account can be administratively locked by setting the ibm-pwdAccountLocked operational attribute to TRUE.

The user setting this attribute must have permission to write is the ibm-pwdAccountLocked attribute, which is defined as being in the CRITICAL access class.

```
> ldapmodify -D uid=useradmin,cn=users,o=ibm -w ?
dn: uid=user1,cn=users,o=ibm
changetype: modify
replace: ibm-pwdAccountLocked
ibm-pwdAccountLocked: TRUE
```

6. The account can be unlocked by setting the attribute to FALSE.

Unlocking an account in this way does not affect the state of the account with respect to being locked due to excessive password failures or an expired password.

The user setting this attribute must have permission to write is the ibm-pwdAccountLocked attribute, which is defined as being in the CRITICAL access class.

```
> ldapmodify -D uid=useradmin,cn=users,o=ibm -w ?
dn: uid=user1,cn=users,o=ibm
changetype: modify
replace: ibm-pwdAccountLocked
ibm-pwdAccountLocked: FALSE
```

## Enabling SSL and Transport Layer Security on the Directory Server

Use this information to enable SSL and Transport Layer Security on the Directory Server.

If you have Digital Certificate Manager installed on your system, you can use Secure Sockets Layer (SSL) security to protect access to your Directory Server. Before enabling SSL on the directory server, you might find it helpful to read the Secure Sockets Layer (SSL) and Transport Layer Security (TLS) with the Directory Server topic.

To enable SSL on your LDAP server, do the following:

### 1. Associate a certificate with a Directory Server instance

- a) Start IBM Digital Certificate Manager.

See [Start Digital Certificate Manager](#) in the Digital Certificate Manager topic for more information.

- b) If you need to obtain or create certificates, or otherwise set up or change your certificate system, do so now.

See [Digital Certificate Manager](#) for information about setting up a certificate system. The following applications are associated with Directory Server:

#### Directory Server instance applications

Every Directory Server instance has a corresponding application ID, QIBM\_DIRECTORY\_SERVER\_INSTANCENAME. For example, the application ID of the default instance is QIBM\_DIRECTORY\_SERVER\_QUSRDIR.

**Note:** The Directory Server application "IBM Tivoli Directory Server" with ID QIBM\_GLD\_DIRECTORY\_SERVER is no longer bound to any Directory Server instance.

#### Directory Server publishing application

The Directory Server publishing application identifies the certificate used by publishing.

### Directory Server client application

The Directory Server client application identifies the default certificate used by applications using the LDAP client ILE APIs.

- c) Click the **Select a Certificate Store** button.
  - d) Select **\*SYSTEM**.  
Click **Continue**.
  - e) Enter the appropriate password for \*SYSTEM certificate store.  
Click **Continue**.
  - f) When the left navigational menu reloads, expand **Manage Applications**.
  - g) Click **Update Certificate Assignment**.
  - h) On the next screen, select **Server** application.  
Click **Continue**.
  - i) Select your Directory Server instance application.  
By default, the Directory Server instance applications do not have Application descriptions, so the application IDs are shown in the **Application** column of the application table. For example, the default instance is shown as "QIBM\_DIRECTORY\_SERVER\_QUSRDIR".
  - j) Click **Update Certificate Assignment** to assign a certificate to the Directory Server .  
**Note:** If you choose a certificate from a Certificate Authority (CA) whose CA certificate is not in your IBM i Access for Windows client's key database, you must add it to establish its identity to IBM i Access for Windows clients and to use SSL. Finish this procedure before beginning that one.
  - k) Select a certificate from the list to assign to the server.
  - l) Click **Assign New Certificate**.
  - m) DCM reloads to the **Update Certificate Assignment** page with a confirmation message.  
When you are finished setting up the certificates for the Directory Server, click **Validate** to validate your settings.
  - n) Restart your Directory Server instance for the changes to take effect.
2. Optional: **Associate a certificate for the Directory Server publishing.**
- If you also want to enable publishing from the system to a Directory Server through an SSL connection, you might want to also associate a certificate with the Directory Server publishing. This identifies the default certificate and trusted CAs for applications using the LDAP ILE APIs that do not specify their own application ID or an alternate key database.
- a) Start IBM Digital Certificate Manager.
  - b) Click the **Select a Certificate Store** button.
  - c) Select **\*SYSTEM**.  
Click **Continue**.
  - d) Enter the appropriate password for \*SYSTEM certificate store.  
Click **Continue**.
  - e) When the left navigational menu reloads, expand **Manage Applications**.
  - f) Click **Update certificate assignment**.
  - g) On the next screen, select **Client** application.  
Click **Continue**.
  - h) Select the **Directory Server publishing**.
  - i) Click **Update Certificate Assignment** to assign a certificate to the Directory Server publishing that will establish its identity.
  - j) Select a certificate from the list to assign to the server.
  - k) Click **Assign new certificate**.
  - l) DCM reloads to the **Update Certificate Assignment** page with a confirmation message.

**Note:** These steps assume that you are already publishing information to the Directory Server with a non-SSL connection. See [“Publishing information to the Directory Server”](#) on page 132 for complete information about setting up publishing.

3. Optional: **Associate a certificate for the Directory Server client.**

If you have other applications that use SSL connections to a Directory Server, you must also associate a certificate with the Directory Server client.

- a) [Start IBM Digital Certificate Manager.](#)
- b) Click the **Select a Certificate Store** button.
- c) Select **\*SYSTEM**.  
Click **Continue**.
- d) Enter the appropriate password for \*SYSTEM certificate store.  
Click **Continue**.
- e) When the left navigational menu reloads, expand **Manage Applications**.
- f) Click **Update certificate assignment**.
- g) On the next screen, select **Client** application.  
Click **Continue**.
- h) Select the **Directory Server client**.
  - i) Click **Update Certificate Assignment** to assign a certificate to the Directory Server client that will establish its identity.
  - j) Select a certificate from the list to assign to the server.
  - k) Click **Assign New Certificate**.
  - l) DCM reloads to the **Update Certificate Assignment** page with a confirmation message.

After SSL is enabled, you can [change the port](#) that your Directory Server instance uses for secured connections from IBM Navigator for i.

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Manage Instances**.
3. Right-click your Directory Server instance and select **Properties**.
4. On the **Network** tab, specify the port number that you want to make secure.

Notice that the **Secure** check box is checked. This indicates that an application can start an SSL or TLS connection over the secure port. It also indicates that an application can issue a StartTLS operation to allow a TLS connection over a port that is not secure. Alternatively, you can start TLS by using the -Y option from a client command-line utility. If you are using the command line, the `ibm-slapdSecurity` attribute must be equal to TLS or SSLTLS.

### Related concepts

[Secure Sockets Layer \(SSL\) and Transport Layer Security \(TLS\) with the Directory Server](#)

To make communications with your Directory Server more secure, Directory Server can use Secure Sockets Layer (SSL) security and Transport Layer Security (TLS).

### Enabling Kerberos authentication on the Directory Server

Use this information to enable Kerberos authentication on the Directory Server.

If you have [Network Authentication Service](#) configured on your system, you can set up your Directory Server to use Kerberos authentication. Kerberos authentication applies to the users and the administrator. Before enabling Kerberos on the directory server, you might find it helpful to read an [overview on using Kerberos with Directory Server](#).

To enable Kerberos authentication, follow these steps:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Properties**.

3. Click the **Kerberos** tab.
4. Check **Enable Kerberos authentication**.
5. Specify other settings on the **Kerberos** page as appropriate to your situation.  
See the page's online help for information about individual fields.

### Related concepts

[Kerberos authentication with the Directory Server](#)

Directory Server allows you to use Kerberos authentication. Kerberos is a network authentication protocol that uses secret key cryptography to provide strong authentication to client and server applications.

### Related reference

[Authentication](#)

Use an authentication method to control access within the Directory Server.

### Related information

[Network authentication service](#)

### Configuring DIGEST-MD5 authentication on the Directory Server

Use this information to configure DIGEST-MD5 authentication on the Directory Server.

DIGEST-MD5 is an SASL authentication mechanism. When a client uses DIGEST-MD5, the password is not transmitted in clear text and the protocol prevents replay attacks. The Web administration tool is used to configure DIGEST-MD5.

1. Under **Server administration**, expand the **Manage security properties** category in the navigation area and select the **DIGEST-MD5** tab.

**Note:** To change server configuration settings using the tasks in the Server administration category of the Web Administration tool, you must authenticate to the server as an IBM i user profile that has \*ALLOBJ and IOSYSCFG special authorities. This can be done by authenticating as a projected user with the password for that profile. To bind as a projected user from the Web administration tool, enter a username of the form `os400-profile=MYUSERNAME,cn=accounts,os400-sys=MYSYSTEM.COM`, where MYUSERNAME and the MYSYSTEM.COM strings are replaced with your user profile name and the configured system projection suffix, respectively.

2. Under **Server realm**, use the preselected **Default** setting, which is the fully qualified host name of the server, or you can click **Realm** and type the name of the realm that you want to configure the server as. This realm name is used by the client to determine which user name and password to use. When using replication, you want to have all the servers configured with the same realm.
3. Under **Username** attribute, use the preselected **Default** setting, which is uid, or you can click **Attribute** and type the name of the attribute that you want the server to use to uniquely identify the user entry during DIGEST-MD5 SASL binds.
4. If you are logged in as the directory administrator, under **Administrator username**, type the administrator username.

This field cannot be edited by members of the administrative group. If the username specified on a DIGEST-MD5 SASL bind matches this string, the user is the administrator.

**Note:** The administrator username is case-sensitive.

5. When you are finished, click **OK**.

### Related reference

[Authentication](#)

Use an authentication method to control access within the Directory Server.

### Configuring Pass-through authentication on the Directory Server

Use this information to configure Pass-Through authentication on the Directory Server.

Pass-through authentication (PTA) is a mechanism which the server uses to verify the credential from another external directory server or a pass-through server on behalf of the client if :

- a client attempts to bind to a directory server, **and**

- the user credential is not available locally

To gain a better understanding of the pass-through authentication mechanism, consider an example given below:

Let us assume there are two servers, say server X and server Y and a user entry `cn=Tom Brown,o=sample` stored on server Y. Now, if the user Tom Brown attempts to access server X to perform any operation it has to first bind to server X with its credential for authentication. Since the credential is not present on server X the user will be unable to bind to the server. However, using the pass-through authentication mechanism, server X can verify the credential by contacting server Y. After the credential is validated using server Y, server X presumes that the user is authenticated and hence returns success for the bind operation.

Alternatively, if a user is present on server X while its credential is available on server Y, again server X will contact server Y to verify the credential.

In the above cases it is assumed that the DNs on server Y and server X are identical. However, this may not be true always as the directory structure layout may differ on both the servers. This means that DN "`cn=Tom Brown,o=sample`" on server X may map to some other DN on server Y. In such situations it is possible that the entries on server X and server Y have some attribute whose value is unique for every entry, say for instance `uid`. Therefore, an attribute from the TDS server can be mapped to another attribute in the pass-through server. This information can then be used to query the pass-through server to fetch the required DN. A bind operation will then be performed for this DN to identify if the userpassword is correct.

**Note:**

- Any configuration changes done for pass through authentication are not dynamic in nature and hence require a restart.
- It is important to note that all the entries mentioned in the scenarios below must be within a configured subtree.

Let us consider a few scenarios pertaining to pass-through authentication:

**Scenario 1: Attribute mapping is configured and entry is present locally**

This is a scenario where an attribute in TDS will match some other attribute in pass-through server. It is not necessary that the name of an attribute is identical in both directories. For instance, `uid=Tom456` in TDS may map to `userPrincipalName=Tom456` in the pass-through server. In this scenario, all the entries in TDS can be directly mapped to the entries in the pass-through server. Now, a search can be performed on the pass-through server to get the actual DN which will then be used to perform a bind operation to verify the user credential. A sample entry in the configuration would look like:

```
ibm-slapdPtaAttrMapping : uid $ userPrincipalName
```

`uid` in TDS is mapped to `userPrincipalName` in pass-through server.

Let us assume that the following entry exists on TDS:

```
dn: cn=Tom Brown,o=sample
sn: tests
uid: Tom456
objectclass: organizationalPerson
objectclass: person
objectclass: top
objectclass: inetOrgPerson
```

Now, in case of a bind request with a DN "`cn=Tom Brown,o=sample`", the pass-through server will be searched using "`userPrincipalName=Tom456`" search filter. If only one entry is returned then the DN of that entry is used and a bind operation is performed to verify the password. However, if `uid` is multi-valued in the "`cn=Tom Brown,o=sample`" entry, the bind operation will fail.

Let us suppose another situation where there is no unique attribute that can be mapped between directories. In such a situation you must introduce an auxiliary class and attach it to the entry where the mapping is required. For instance:

```
dn: cn=Tom Brown,o=sample
sn: test
uid: Tom456
objectclass: organizationalPerson
objectclass: person
objectclass: top
objectclass: inetOrgPerson
objectclass: my-aux-class
uniqueValue: my_value
```

You can create a new auxiliary objectclass (my-aux-class) and associated attribute (uniqueValue) or use any existing objectclass and attribute. Finally, you set **ibm-slappTaAttrMapping** as:

```
ibm-slappTaAttrMapping : uniqueValue $ userPrincipalName
```

**Note:** If the mapping attribute `ibm-slappTaAttrMapping` is not set to a unique attribute then it is possible that the pass-through server will return more than one entry or a false entry and the PTA interface will return a bind failure and log a message.

### **Scenario 2: Attribute mapping is configured, entry is present locally, and password migration is enabled**

This is similar to scenario 1 except that after the result is sent to the client, the PTA interface will store the userpassword provided by the user during the bind operation in its local entry. Here, password will be stored in the TDS local directory after the first successful bind and will be present in the directory even after the server is down. Subsequent bind requests from this user will be served completely by local TDS directory and will not reach the pass-through server. The userpassword will be stored using the encryption scheme configured locally and adhere to the local password policy settings.

**Note:** The password will also be replicated as per the replication configuration in TDS.

In this kind of scenario it is important to maintain consistency between the passwords of the pass-through server and the local TDS directory. Inconsistencies between the passwords available at the pass-through server and the local TDS directory can pose a potential security threat. A system administrator needs to ensure that the integrity of passwords at both the directories is maintained.

### **Scenario 3: The attribute mapping is not configured and the entry is not present locally.**

In this kind of scenario after the bind request fails to locate the entry locally, the PTA interface will check if any pass-through server is configured to service the bind DN. If any pass-through server is configured, then using the bind DN and password supplied by the user, the bind request is sent to the pass-through server. If the bind succeeds, the server returns success, otherwise it returns `LDAP_INVALID_CREDENTIALS`. In this scenario since the entry is not present locally, enabling password migration will not have any effect.

### **Scenario 4: Auxiliary object class is set for attribute-linking**

Now, `uid=Tom456` can be easily mapped to `userPrincipal=Tom456`. However, there is no mapping between the second entry `uid=Tom396` and `userPrincipal=Tom456` since both the values differ even though they actually pertain to the same person. Therefore, if there is a bind request for `uid=Tom396` which has its credentials on the pass-through server, the bind will fail. To solve this problem, you must add an auxiliary class `ibm-ptareferral`. This will hold two MUST attributes `ibm-ptalinkattribute` and `ibm-ptalinkvalue`. This needs to be added to the entry that has no mapping in the pass-through server. Now, whenever there is a bind request for `uid=Tom396`, the PTA interface will first look if the `ibm-ptareferral` objectclass is present. If it is present then it will fetch the details for the MUST attribute and frame the required search query. The entry will look like:

```
dn: cn=Tom396,o=sample
objectclass: inetOrgPerson
objectclass: organizationalPerson
objectclass: person
objectclass: top
```

```
uid:Tom396
sn: test
objectclass: ibm-ptareferral
ibm-ptalinkattribute: userPrincipalName
ibm-ptalinkvalue: Tom456
```

Another case to be considered is when there is no mapping between TDS and the pass-through server but the administrator is aware of the DN that directly maps between both the directories. In such cases, `ibm-PtaLinkAttribute` must be set to "`_DN_`" and `ibm-PtaLinkValue` must be set to the actual DN of mapped entry. The entry will look like:

```
dn: cn=Tom396,o=sample
objectclass: inetOrgPerson
objectclass: organizationalPerson
objectclass: person
objectclass: top
uid:Tom396
sn: test
objectclass: ibm-ptareferral
ibm-ptalinkattribute: _DN_
ibm-ptalinkvalue: cn=Tom1000,o=sample
```

By setting these values in the entry, the PTA interface takes the specified DN value and binds using the user supplied credentials. The result will be returned accordingly. It is important to note that when computing the DN to be passed to the pass-through server, if it is found that an entry is set with `ibm-ptareferral` auxiliary class, then the attribute mapping configured for the entry will be ignored.

**Note:** In case you do not want pass-through authentication to be performed for a specific entry, you must set the `ibm-ptalinkattribute` to `_DISABLE_`.

To configure pass-through authentication, use one of the following methods:

- Using Web Administration Tool

If you have not done so already, expand the **Manage security properties** category under **Server administration** in the navigation area of the Web Administration Tool and click the **Pass-through authentication** tab.

On this panel, you can:

- Enable or disable pass-through authentication by selecting or clearing the Enable pass-through authentication check box.
- Configure a pass-through entry for a subtree for pass-through authentication. Clicking **Add** displays the Configure subtree for pass-through authentication wizard that can be used for configuring a pass-through entry for a subtree for pass-through authentication.
- Edit an existing pass-through entry of a subtree for pass-through authentication. Clicking **Edit** displays the Configure subtree for pass-through authentication wizard that can be used for modifying an existing pass-through entry of a subtree for pass-through authentication.
- Delete an existing pass-through entry of a subtree configured for pass-through authentication. For this, select a subtree from the Subtrees configured for pass-through authentication table and click the **Delete** button
- view pass-through entry details of a configured subtree for pass-through authentication. For this, select a subtree from the Subtrees configured for pass-through authentication table, select View from the Select Action list, and click **Go**.
- After you are finished, do one of the following:
  - Click **OK** to save changes and navigate to the "Introduction" panel.
  - Click **Apply** to save changes and to remain on this panel.
  - Click **Cancel** to discard changes made and navigate to the "Introduction" panel.

To configure a pass-through entry for a subtree for pass-through authentication follow the steps given below:

1. In the Pass-through authentication panel, click **Add**



2. Next , on the Subtree settings panel you can do the following:

- Enter a subtree DN in the field and click the **Add** button to add it to the list for storing subtree DN.
- Enter multiple subtree DNs by clicking the **Browse** button and then selecting the required rows from the Browse entries panel.
- Remove a subtree DN from the list for storing subtree DN by selecting the subtree DN and clicking the **Remove** button.
- Specify the host name of the pass-through server in the **Host name** field. This is a required field.
- Specify the port number of the pass-through server in the **Port** field. This is a required field.
- Enable SSL encryption on the pass-through server by selecting the **Enable SSL encryption** check box
- Specify whether to save the user password on the local directory for all successful bind request processed through the pass-through server by selecting a value from the **Migrate userpassword to this directory server** combo box. The default value of this control is "False".
- Specify the number of connections that is required for each pass-through server entry in the **Number of connections to the pass-through server to maintain for Pass-through authentication** field.
- Specify a timeout value in the **Pass-through authentication timeout** field. The pass-through authentication interface will wait for result from socket till the timeout period before it returns the client request.

**Note:** The attribute "ibm-slapdPtaResultTimeout" in the "cn=< pass-through server >, cn=Passthrough Authentication, cn=Configuration" entry is associated with this control.

- Click **Next**.

To configure attribute mapping, do the following:

1. Select the **Enable attribute mapping** check box to enable attribute mapping. Selecting the **Enable attribute mapping** check box also enables other controls on the Attribute mapping panel.
2. In the **Bind DN for pass-through server** field, enter a bind DN for binding to the pass-through server.
3. In the **Bind password for pass-through server** field, enter a bind password for binding to the pass-through server.
4. In the **Search base DN** field, enter the search base DN of pass-through server where the entry will be searched, or click the **Browse** button to display Browse entries panel from which the user can select the existing DN from the pass-through server.
5. From the **Attribute for this directory server** combo box, select an attribute that should be mapped to an attribute in pass-through server.
6. From the **Attribute for pass-through directory server** combo box, select an attribute that should be mapped to the TDS attribute
7. When you are finished, do one of the following:
  - click **Back** to navigate to the Subtree settings panel.
  - Click **Finish** to save the changes and to navigate to the Pass-through authentication.
  - Click **Cancel** to discard the changes and to navigate to the Pass-through authentication

### Using command line

To enable PTA using the command line you must modify the configuration file of the directory server. Issue the following command to enable PTA:

```
ldapmodify -h <hostname> -p <port> -D <adminDN> -w <adminpwd> -f <ldif file>
```

where the ldif file contains

```
dn: cn=Configuration
ibm-slapdPtaEnabled: true

dn: cn=Passthrough Server1, cn=Passthrough Authentication, cn=Configuration
changetype: add
```

```
cn: passthrough Server1
ibm-slapdPtaURL: ldap://<hostname>:<port>
ibm-slapdPtaSubtree: o=sample
ibm-slapdPtaMigratePwd: false
ibm-slapdPtaConnectionPoolSize: 6
objectclass: top
objectclass: ibm-slapdConfigEntry
objectclass: ibm-slapdPta
```

The above command enables PTA, configures a subtree for pass-through authentication, specifies the hostname and port number for a pass through server, and specifies the number of connections that is required for each pass-through server entry. Also, the above command specifies that the user password must not be saved to the local directory for all successful bind requests.

To enable PTA and configure attribute mapping, issue the following command:

```
ldapmodify -h <hostname> -p <port> -D <adminDN> -w <adminpwd> -f <ldif file>
```

where the ldif file contains:

```
dn: cn=Configuration
ibm-slapdPtaEnabled: true

dn: cn=Passthrough Server1, cn=Passthrough Authentication, cn=Configuration
changetype: add
cn: passthrough Server1
ibm-slapdPtaURL: ldap: //<hostname>:<port>
ibm-slapdPtaSubtree: o=sample
ibm-slapdPtaMigratePwd: true
ibm-slapdPtaAttrMapping: sn $ uid
ibm-slapdPtaSearchBase: ou=austin,o=sample
ibm-slapdPtaBindDN: <bind DN>
ibm-slapdPtabindPW: <bind password>
objectclass: top
objectclass: ibm-slapdConfigEntry
objectclass: ibm-slapdPta
objectclass: ibm-slapdPtaExt
```

In the above example attribute mapping is configured and password migration is also enabled. Here, the attribute 'sn' in the directory server is mapped to the attribute 'uid' in the pass-through server.

## Schema tasks

Use this information to manage the schema.

The schema can be managed using the Web administration tool, or an LDAP application like ldapmodify in combination with LDIF files. When you are first defining new objectclasses or attributes, it might be most convenient to use the Web administration tool. If you need to copy the new schema to other servers (perhaps as part of a product or tool you are deploying), the ldapmodify utility might be more useful, see [“Copying the schema to other servers” on page 209](#) for more information.

### Related concepts

#### [Suffix \(naming context\)](#)

A suffix (also known as a naming context) is a DN that identifies the top entry in a locally held directory hierarchy.

#### [Schema](#)

A schema is a set of rules that governs the way that data can be stored in the directory. The schema defines the type of entries allowed, their attribute structure and the syntax of the attributes.

### Viewing object classes

Use this information to view the object classes.

You can view the object classes in the schema using either the Web administration tool or using the command line.

1. Expand **Schema management** in the navigation area and click **Manage object classes**.  
A read-only panel is displayed that enables you to view the object classes in the schema and their characteristics. The object classes are displayed in alphabetical order. You can move one page

backwards or forward by clicking Previous or Next. The field next to these buttons identifies the page that you are on. You can also use the drop down menu of this field to skip to a specific page. The first object class listed on the page is displayed with the page number to help you locate the object class you want to view. For example, if you were looking for the object class **person**, you expand the drop down menu and scroll down until you see **Page 14 of 16 nsLiServer** and **Page 15 of 16 printerLPR**. Because **person** is alphabetically between **nsLiServer** and **printerLPR**, you select Page 14 and click **Go**.

You can also display the object classes sorted by type. Select **Type** and click **Sort**. The object classes are sorted alphabetically within their type, Abstract, Auxiliary, or Structural. Similarly you can reverse the list order by selecting **Descending** and clicking **Sort**.

2. After you have located the object class that you want, you can view its type, inheritance, required attributes, and optional attributes. Expand the drop down menus for inheritance, required attributes, and optional attributes to see the full listings for each characteristic.  
You can choose the object class operations you want to perform from the right-hand tool bar, such as:

- Add
- Edit
- Copy
- Delete

3. When you are finished click **Close** to return to the IBM Directory Server **Welcome** panel.

To view the object classes contained in the schema using the command line, enter:

```
ldapsearch -b cn=schema -s base objectclass=* objectclasses
```

### Adding an object class

Use this information to add an object class.

If you have not done so already, expand **Schema management** in the navigation area, then click **Manage object classes**. To create a new object class:

1. Click **Add**.

**Note:** You can also access this panel by expanding **Schema management** in the navigation area, then click **Add an object class**.

2. At the **General properties** tab:

- Enter the **Object class name**. This is a required field, and is descriptive of the function of the object class. For example, **tempEmployee** for an object class used to track temporary employees.
- Enter a **Description** of the object class, for example, **The object class used for temporary employees**.
- Enter the **OID** for the object class. This is a required field. See [“Object identifier \(OID\)”](#) on page 24. If you do not have an OID, you can use the **Object class name** appended with **-oid**. For example, if the object class name is **tempEmployee**, then the OID is **tempEmployee-oid**. You can change the value of this field.
- Select a **Superior object class** from the drop-down list. This determines the object class from which other attributes are inherited. Typically the **Superior object class** is **top**, however, it can be another object class. For example, a superior object class for **tempEmployee** might be **ePerson**.
- Select an **Object class type**. See [“Object classes”](#) on page 15 for additional information about object class types.
- Click the **Attributes** tab to specify the required and the optional attributes for the object class and view the inherited attributes, or click **OK** to add the new object class or click **Cancel** to return to **Manage object classes** without making any changes.

3. At the **Attributes** tab:

- Select an attribute from the alphabetical list of **Available attributes** and click **Add to required** to make the attribute required or click **Add to optional** to make the attribute optional for the object class. The attribute is displayed in the appropriate list of selected attributes.

- Repeat this process for all the attributes you want to select.
  - You can move an attribute from one list to another or delete the attribute from the selected lists by selecting it and clicking the appropriate **Move to** or **Delete** button.
  - You can view the lists of required and optional inherited attributes. Inherited attributes are based on the **Superior object class** selected on the **General** tab. You cannot change the inherited attributes. However, if you change the **Superior object class** on the **General** tab, a different set of inherited attributes is displayed.
4. Click **OK** to add the new object class or click **Cancel** to return to **Manage object classes** without making any changes.

**Note:** If you clicked **OK** on the **General** tab without adding any attributes, you can add attributes by editing the new object class.

To add an object class using the command line, issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> -i <filename>
```

where <filename> contains:

```
dn: cn=Schema
changetype: modify
add: objectclasses
objectclasses: ( <myobjectClass-oid> NAME '<myObjectClass>' DESC '<An object class
                I defined for my LDAP application>' SUP '<objectclassinheritance>'
                <objectclasstype> MAY (<attribute1> $ <attribute2>))
```

### Editing an object class

Use this information to edit an object class.

Not all schema changes are allowed. See [“Disallowed schema changes” on page 26](#) for change restrictions.

If you have not done so already, expand **Schema management** in the navigation area, then click **Manage object classes**. To edit an object class:

1. Click the radio button next to the object class that you want to edit.
2. Click **Edit**.
3. Select a tab:
  - Use the **General** tab to:
    - Change the **Description**.
    - Change the **Superior object class**. Select a Superior object class from the drop-down list. This determines the object class from which other attributes are inherited. Typically the **Superior object class is top**, however, it can be another object class. For example, a superior object class for **tempEmployee** might be **ePerson**.
    - Change the **Object class type**. Select an object class type. See [“Object classes” on page 15](#) for additional information about object class types.
    - Click the **Attributes** tab to change the required and the optional attributes for the object class and view the inherited attributes, or click **OK** to apply your changes or click **Cancel** to return to **Manage object classes** without making any changes.
  - Use the **Attributes** tab to:

Select an attribute from the alphabetical list of **Available attributes** and click **Add to required** to make the attribute required or click **Add to optional** to make the attribute optional for the object class. The attribute is displayed in the appropriate list of selected attributes.

Repeat this process for all the attributes you want to select.

You can move an attribute from one list to another or delete the attribute from the selected lists by selecting it and clicking the appropriate **Move to** or **Delete** button.

You can view the lists of required and optional inherited attributes. Inherited attributes are based on the **Superior object class** selected on the **General** tab. You cannot change the inherited attributes. However, if you change the **Superior object class** on the **General** tab, a different set of inherited attributes is displayed.

4. Click **OK** to apply the changes or click **Cancel** to return to **Manage object classes** without making any changes.

To view the object classes contained in the schema using the command line, issue the following command:

```
ldapsearch -b cn=schema -s base objectclass=* objectclasses
```

To edit an object class using the command line, issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> -i <filename>
```

where <filename> contains:

```
dn: cn=schema
changetype: modify
replace: objectclasses
objectclasses: ( <myobjectClass-oid> NAME 'myObjectClass' DESC 'An object class
I defined for my LDAP application' SUP 'newsuperiorclassobject'
<newobjectclasstype> MAY (attribute1 $ <attribute2>
$ <newattribute3> )
```

### Copying an object class

Use this information to copy an object class.

If you have not done so already, expand **Schema management** in the navigation area, then click **Manage object classes**. To copy an object class:

1. Click the radio button next to the object class that you want to copy.
2. Click **Copy**.
3. Select a tab:
  - Use the **General** tab to:
    - Change the **object class name**. The default name is the copied object class name appended with the word COPY. For example **tempPerson** is copied as **tempPersonCOPY**.
    - Change the **Description**.
    - Change the **OID**. The default OID is the copied object class OID appended with the word COPY. For example **tempPerson-oid** is copied as **tempPerson-oidCOPY**.
    - Change the **Superior object class**. Select a superior object class from the drop-down list. This determines the object class from which other attributes are inherited. Typically the **Superior object class** is **top**, however, it can be another object class. For example, a superior object class for **tempEmployeeCOPY** might be **ePerson**.
    - Change the **Object class type**. Select an object class type. See [“Object classes” on page 15](#) for additional information about object class types.
    - Click the **Attributes** tab to change the required and the optional attributes for the object class and view the inherited attributes, or click **OK** to apply your changes or click **Cancel** to return to **Manage object classes** without making any changes.

- Use the **Attributes** tab to:

Select an attribute from the alphabetical list of **Available attributes** and click **Add to required** to make the attribute required or click **Add to optional** to make the attribute optional for the object class. The attribute is displayed in the appropriate list of selected attributes.

Repeat this process for all the attributes you want to select.

You can move an attribute from one list to another or delete the attribute from the selected lists by selecting it and clicking the appropriate **Move to** or **Delete** button.

You can view the lists of required and optional inherited attributes. Inherited attributes are based on the **Superior object class** selected on the **General** tab. You cannot change the inherited attributes. However, if you change the **Superior object class** on the **General** tab, a different set of inherited attributes is displayed.

4. Click **OK** to apply the changes or click **Cancel** to return to **Manage object classes** without making any changes.

To view the object classes contained in the schema using the command line, issue the command:

```
ldapsearch -b cn=schema -s base objectclass=* objectclasses
```

Select the object class that you want to copy. Use an editor to change the appropriate information and save the changes to *<filename>*. Issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> -i <filename>
```

where *<filename>* contains:

```
dn: cn=schema
changetype: modify
add: objectclasses
objectclasses: ( <mynewobjectClass-oid> NAME '<mynewObjectClass>'
DESC '<A new object class
I copied for my LDAP application>'
SUP '<superiorclassobject>'<objectclasstype> MAY (attribute1)
$ <attribute2> $ <attribute3> )
```

### Deleting an object class

Use this information to delete an object class.

Not all schema changes are allowed. See [“Disallowed schema changes” on page 26](#) for change restrictions.

If you have not done so already, expand **Schema management** in the navigation area, then click **Manage object classes**. To delete an object class:

1. Click the radio button next to the object class that you want to delete.
2. Click **Delete**.
3. You are prompted to confirm deletion of the object class.  
Click **OK** to delete the object class or click **Cancel** to return to **Manage object classes** without making any changes.

View the object classes contained in the schema issue the command:

```
ldapsearch -b cn=schema -s base objectclass=* objectclasses
```

Select the object class you want to delete and issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> -i <filename>
```

where *<filename>* contains:

```
dn: cn=schema
changetype: modify
delete: objectclasses
objectclasses: (<myobjectClass-oid>)
```

## Viewing attributes

Use this information to view an attribute.

You can view the attributes in the schema using either the Web administration tool, the preferred method or using the command line.

1. Expand **Schema management** in the navigation area and click **Manage attributes**.

A read-only panel is displayed that enables you to view the attributes in the schema and their characteristics. The attributes are displayed in alphabetical order. You can move one page backwards or forward by clicking Previous or Next. The field next to these buttons identifies the page that you are on. You can also use the drop down menu of this field to skip to a specific page. The first object class listed on the page is displayed with the page number to help you locate the object class you want to view. For example, if you were looking for the attribute **authenticationUserID**, you expand the drop down menu and scroll down until you see **Page 3 of 62 applSystemHint** and **Page 4 of 62 authorityRevocatonList**. Because authenticationUserID is alphabetically between applSystemHint and authorityRevocatonList, you select Page 3 and click **Go**.

You can also display the attributes sorted by syntax. Select **Syntax** and click **Sort**. The attributes are sorted alphabetically within their syntax. See [“Attribute syntax”](#) on page 22 for a listing of the types of syntax. Similarly you can reverse the list order by selecting **Descending** and clicking **Sort**.

After you have located the attribute that you want, you can view its syntax, whether it is multi-valued, and the object classes that contain it. Expand the drop down menu for object classes to see the list of object classes for the attribute.

2. When you are finished click **Close** to return to the IBM Directory Server **Welcome** panel.

To view the attributes contained in the schema, issue the command:

```
ldapsearch -b cn=schema -s base objectclass=* attributeTypes IBMAttributeTypes
```

## Adding an attribute

Use this information to add an attribute.

Use either of the following methods to create a new attribute. The Web administration tool is the preferred method.

If you have not done so already, expand **Schema management** in the navigation area, then click **Manage attributes**. To create a new attribute:

1. Click **Add**.

**Note:** You can also access this panel by expanding **Schema management** in the navigation area, then click **Add an attribute**.

2. Enter the **Attribute name**, for example, **tempId**.

This is a required field and must begin with an alphabetical character.

3. Enter a **Description** of the attribute, for example, **The ID number assigned to a temporary employee**.

4. Enter the **OID** for the attribute.

This is a required field. See [“Object identifier \(OID\)”](#) on page 24. If you do not have an OID, you can use the attribute name appended with **-oid**. For example, if the attribute name is **tempID**, then the default OID is **tempID-oid**. You can change the value of this field.

5. Select a **Superior attribute** from the drop-down list.

The superior attribute determines the attribute from which properties are inherited.

6. Select a **Syntax** from the drop-down list.

See [“Attribute syntax”](#) on page 22 for additional information about syntax.

7. Enter an **Attribute length** that specifies the maximum length of this attribute.

The length is expressed as the number of bytes.

8. Select the **Allow multiple values** checkbox to enable the attribute to have multiple values.

9. Select a matching rule from the each of the drop-down menus for equality, ordering, and substring matching rules.

See the [“Matching rules”](#) on page 20 for a complete listing of matching rules.

10. Click the **IBM extensions** tab to specify additional extensions for the attribute, or click **OK** to add the new attribute or click **Cancel** to return to **Manage attributes** without making any changes.

11. At the **IBM extensions** tab:

- Change the **DB2 table name** . The server generates the DB2 table name if this field is left blank. If you enter a DB2 table name, you must also enter a DB2 column name.
- Change the **DB2 column name**. The server generates the DB2 column name if this field is left blank. If you enter a DB2 column name, you must also enter a DB2 table name.
- Set the **Security class** by selecting **normal**, **sensitive**, or **critical** from the drop-down list.
- Set the **Indexing rules** by selecting one or more indexing rules. See [“Indexing rules”](#) on page 21 for additional information about indexing rules.

**Note:** At a minimum, it is recommended that you specify Equality indexing on any attributes that are to be used in search filters.

12. Click **OK** to add the new attribute or click **Cancel** to return to **Manage attributes** without making any changes.

**Note:** If you clicked OK on the General tab without adding any extensions, you can add extensions by the editing the new attribute.

To add an attribute using the command line, issue the following command. The following example adds an attribute type definition for an attribute called "myAttribute", with Directory String syntax (see [“Attribute syntax”](#) on page 22) and Case Ignore Equality matching (see [“Matching rules”](#) on page 20). The IBM-specific part of the definition says that the attribute data is stored in a column named "myAttrColumn" in a table called "myAttrTable". If these names were not specified, both the column and table name would have defaulted to "myAttribute". The attribute is assigned to the "normal" access class, and values have a maximum length of 200 bytes.

```
ldapmodify -D <admindn> -w <adminpw> -i myschema.ldif
```

where the **myschema.ldif** file contains:

```
dn: cn=schema
changetype: modify
add: attributetypes
attributetypes: ( myAttribute-oid NAME ( 'myAttribute' )
DESC 'An attribute I defined for my LDAP application'
EQUALITY 2.5.13.2 SYNTAX 1.3.6.1.4.1.1466.115.121.1.15
USAGE userApplications )
-
add: ibmattributetypes
ibmattributetypes: ( myAttribute-oid DBNAME ( 'myAttrTable' 'myAttrColumn' )
ACCESS-CLASS normal LENGTH 200 )
```

### Editing an attribute

Use this information to edit an attribute.

Not all schema changes are allowed. See [“Disallowed schema changes”](#) on page 26 for change restrictions.

Any part of a definition can be changed before you have added entries that use the attribute. Use either of the following methods to edit an attribute. The Web administration tool is the preferred method.

If you have not done so already, expand **Schema management** in the navigation area, then click **Manage attributes**. To edit an attribute:

1. Click the radio button next to the attribute that you want to edit.
2. Click **Edit**.
3. Select a tab:



- Use the **General** tab to:
    - Select a tab, either:
      - **General** to:
        - Change the **Description**
        - Change the **Syntax**
        - Set the **Attribute length**
        - Change the **Multiple value** settings
        - Select a **Matching rule**
        - Change the **Superior attribute**
      - Click the **IBM extensions** tab to edit the extensions for the attribute, or click **OK** to apply your changes or click **Cancel** to return to **Manage attributes** without making any changes.
      - **IBM extensions**, if you are using the IBM Directory Server, to:
        - Change the **Security class**
        - Change the **Indexing rules**
    - Click **OK** to apply your changes or click **Cancel** to return to **Manage attributes** without making any changes.
4. Click **OK** to apply the changes or click **Cancel** to return to **Manage attributes** without making any changes.

To edit an attribute using the command line, issue the following command. This example adds indexing to the attribute, so that searching on it is faster. Use the `ldapmodify` command and the LDIF file to change the definition:

```
ldapmodify -D <admindn> -w <adminpw> -i myschemachange.ldif
```

Where the **myschemachange.ldif** file contains:

```
dn: cn=schema
changetype: modify
replace: attributetypes
attributetypes: ( myAttribute-oid NAME ( 'myAttribute' ) DESC 'An attribute
                 I defined for my LDAP application' EQUALITY 2.5.13.2
                 SYNTAX 1.3.6.1.4.1.1466.115.121.1.15 USAGE userApplications )
-
replace: ibmattributetypes
ibmattributetypes: ( myAttribute-oid DBNAME ( 'myAttrTable' 'myAttrColumn' )
                   ACCESS-CLASS normal LENGTH 200 EQUALITY SUBSTR )
```

**Note:** Both portions of the definition (**attributetypes** and **ibmattributetypes**) must be included in the replace operation, even though only the **ibmattributetypes** section is changing. The only change is adding "EQUALITY SUBSTR" to the end of the definition to request indexes for equality and substring matching.

### Copying an attribute

Use this information to copy an attribute.

Use either of the following methods to copy an attribute. The Web administration tool is the preferred method.

If you have not done so already, expand **Schema management** in the navigation area, then click **Manage attributes**. To copy an attribute:

1. Click the radio button next to the attribute that you want to copy.
2. Click **Copy**.
3. Change the **Attribute name**.

The default name is the copied attribute name appended with the word COPY. For example **tempID** is copied as **tempIDCOPY**.

4. Change a **Description** of the attribute, for example, **The ID number assigned to a temporary employee**.
5. Change the **OID**.  
The default OID is the copied attribute OID appended with the word COPYOID. For example **tempID-oid** is copied as **tempID-oidCOPYOID**.
6. Select a **Superior attribute** from the drop-down list.  
The superior attribute determines the attribute from which properties are inherited.
7. Select a **Syntax** from the drop-down list.  
See [“Attribute syntax” on page 22](#) for additional information about syntax.
8. Enter a **Attribute length** that specifies the maximum length of this attribute.  
The length is expressed as the number of bytes.
9. Select the **Allow multiple values** checkbox to enable the attribute to have multiple values.
10. Select a matching rule from the each of the drop-down menus for equality, ordering, and substring matching rules.  
See the [“Matching rules” on page 20](#) for a complete listing of matching rules.
11. Click the **IBM extensions** tab to change additional extensions for the attribute, or click **OK** to apply your changes or click **Cancel** to return to **Manage attributes** without making any changes.
12. At the **IBM extensions** tab:
  - Change the **DB2 table name** . The server generates the DB2 table name if this field is left blank. If you enter a DB2 table name, you must also enter a DB2 column name.
  - Change the **DB2 column name**. The server generates the DB2 column name if this field is left blank. If you enter a DB2 column name, you must also enter a DB2 table name.
  - Change the **Security class** by selecting **normal**, **sensitive**, or **critical** from the drop-down list.
  - Change the **Indexing rules** by selecting one or more indexing rules. See [“Indexing rules” on page 21](#) for additional information about indexing rules.

**Note:** At a minimum, it is recommended that you specify Equal indexing on any attributes that are to be used in search filters.
13. Click **OK** to apply your changes or click **Cancel** to return to **Manage attributes** without making any changes.

**Note:** If you clicked **OK** on the **General** tab without adding any extensions, you can add or change extensions by editing the new attribute.

To view the attributes contained in the schema, issue the command:

```
ldapsearch -b cn=schema -s base objectclass=* attributeTypes IBMAttributeTypes
```

Select the attribute that you want to copy. Use an editor to change the appropriate information and save the changes to *<filename>*. Then issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> -i <filename>
```

where *<filename>* contains:

```
dn: cn=schema
changetype: modify
add: attributetypes
attributetypes: ( <mynewAttribute-oid> NAME '<mynewAttribute>' DESC '<A new
attribute I copied for my LDAP application>' EQUALITY 2.5.13.2
SYNTAX 1.3.6.1.4.1.1466.115.121.1.15 USAGE userApplications )
-
add: ibmattributetypes
ibmattributetypes: ( myAttribute-oid DBNAME ( 'myAttrTable' 'myAttrColumn' )
ACCESS-CLASS normal LENGTH 200 )
```

## Deleting an attribute

Use this information to delete an attribute in the directory tree.

Not all schema changes are allowed. See [“Disallowed schema changes” on page 26](#) for change restrictions.

Use either of the following methods to delete an attribute. The Web administration tool is the preferred method.

If you have not done so already, expand **Schema management** in the navigation area, then click **Manage attributes**. To delete an attribute:

1. Click the radio button next to the attribute that you want to delete.
2. Click **Delete**.
3. You are prompted to confirm deletion of the attribute. Click **OK** to delete the attribute or click **Cancel** to return to **Manage attributes** without making any changes.

To delete an attribute using the command line, issue the following command:

```
ldapmodify -D <admin> -w <adminpw> -i myschemadelete.ldif
```

Where the **myschemadelete.ldif** file includes:

```
dn: cn=schema
changetype: modify
delete: attributetypes
attributetypes: (<myAttribute-oid>)
```

## Encrypting an Attributes

Use this information to encrypt an attribute in the directory tree.

Local Administrative group members who are assigned DirDataAdmin and SchemaAdmin roles can specify attributes that are to be encrypted in the directory database using a subset of the encryption schemes supported for password information. The attributes can be encrypted using either 2-way or 1-way encryption schemes. The supported encryption schemes include AES-256, AES-192, AES-128, and SSHA and the supported attribute syntaxes include directory string, IA5 string, distinguished name, and telephone number.

The encrypted attribute policy will allow local admin group members who are assigned DirDataAdmin and SchemaAdmin roles to specify access to encrypted attributes that will be limited to clients that use secure connections. Furthermore, the policy will allow group members to define specific attributes as being non-matchable. This means that such attributes can only be used in presence filters. Additionally, the policy also allows group members to specify if values to be returned on a search should be encrypted or if only attribute names should be returned.

**Note:** Search filter assertions for encrypted attributes can be exact match or presence. Substring matches, ordering, and approximate matching cannot be used.

After specifying the attributes that are to be encrypted, the existing server data will be encrypted only after the next server startup. The time taken for this operation will depend on the number of entries that are to be encrypted. The encrypted attribute policy can be managed using the web administration tool.

## Using Web Administration tool

If you have not done so already, expand **Schema management** in the navigation area and click **Manage encrypted attributes**.

The Manage encrypted attributes tab provides a way to manage encrypted attributes. Users can use this tab to manage and add existing encryptable attributes to encrypted attributes.

The Manage encrypted attributes tab will be available only if the server supports `ibm-supportedcapability` OID for encrypted attribute and returns the OID on rootDSE search.

To manage encryptable attributes:

1. To encrypt attributes, select the required encryptable attributes from the **Select attribute** list in the Attributes available for encryption section.
2. Select an encryption scheme from the **Select encryption scheme** box.
3. Select a search return type for the attribute value from the **Value to return on search** box.
4. Select the **Require secure connection to view or change values** check box to enable secure connection when accessing encrypted attributes.
5. Select the **Allow attributes in search filters** check box to specify whether the selected encryptable attributes are allowed in search filter.
6. Click the **Add to encrypted** button to populate the Encrypted attributes table with the selected encryptable attributes from the Select attribute box.
7. When you are finished, do one of the following:
  - Click **OK** apply your changes and exit this panel.
  - Click **Cancel** to exit this panel without making any changes.

To manage encrypted attributes:

1. To remove an attribute from the Encrypted attributes table, click the **Select** column of the required encrypted attribute, and then click the **Remove** button or select **Remove** from the Select Action box and click **Go**.
2. To edit the encryption settings for an attribute, click the **Select** column of the required encrypted attribute, and then click the **Edit encryption settings** button or select **Edit encryption settings** from the Select Action box and click **Go**.
3. To remove all the attributes from the Encrypted attributes table, click the **Remove all** button or select **Remove all** from the Select Action box and click **Go**.
4. When you are finished, do one of the following:
  - Click **OK** to apply your changes and exit this panel.
  - Click **Cancel** to exit this panel without making any changes.

### **Edit encryption settings**

This Edit encryption settings panel contains settings that are used for specifying and modifying the existing values of the encrypted attributes such as encryption type, search return type, type of connection for accessing attributes, and search filter.

To edit encrypted attributes:

1. Select an encryption scheme from the **Select encryption scheme** box.
2. Select a search return type for the attribute value from the **Value to return on search** box.
3. Select the **Required secure connection to view or change values** check box to enable secure connection when accessing the encrypted attribute.
4. Select the **Allow attributes in search filters** check box to specify whether the selected encrypted attribute is allowed in search filter.
5. When you are finished, do one of the following:
  - Click **OK** to save the changes made to the encrypted attribute values in the directory schema.
  - Click **Cancel** to exit this panel without making any changes.

### **Encrypted attributes in a replication environment**

During replication it is ensured that attributes are replicated over secure connections. The replication process also determines if any incompatible features are used between the supplier and the consumer. For instance, if the supplier has encrypted attributes while the consumer does not support encryption, then the replication process will not start. Also, if the network includes servers running at earlier releases, such as TDS version 6.0, replicated schema changes will fail.

It is recommended that servers share a crypto key, and that the administrator ensures that attributes are encrypted on all servers. If the crypto keys differ between supplier and consumer, changes will be decoded and replicated as clear text.

### Using command line

To encrypt an attribute, say for instance the uid attribute using the AES encryption scheme, issue the following command:

```
ldapmodify -D <adminDN> -w <adminPW> dn: cn=schema
changetype: modify
replace: attributetypes
attributetypes:( 0.9.2342.19200300.100.1.1 NAME 'uid' DESC 'Typically a user shortname or
userid.'
EQUALITY 1.3.6.1.4.1.1466.109.114.2 ORDERING 2.5.13.3 SUBSTR 2.5.13.4
SYNTAX 1.3.6.1.4.1.1466.115.121.1.15 USAGE userApplications )
-
replace: IBMAttributetypes
IBMAttributetypes:( 0.9.2342.19200300.100.1.1 DBNAME( 'uid' 'uid' )
ACCESS-CLASS normal LENGTH 256 EQUALITY ORDERING SUBSTR APPROX
ENCRYPT AES256 SECURE-CONNECTION-REQUIRED RETURN-VALUE encrypted))
```

### Copying the schema to other servers

Use this information to copy a schema to other servers.

To copy a schema to other servers, do the following:

1. Use the ldapsearch utility to copy the schema into a file:

```
ldapsearch -b cn=schema -L "(objectclass=*)" > schema.ldif
```

2. The schema file will include all objectclasses and attributes

Edit the LDIF file to include only the schema elements you want, or, you might be able to filter the ldapsearch output using a tool like grep. Be sure to put attributes before the objectclasses that reference them. For example, you might end up with the following file (note that each continued line has a single space at the end, and the continuation line has at least one space at the beginning of the line).

```
attributetypes: ( myattr1-oid NAME 'myattr1' DESC 'Some piece of
information.' SYNTAX 1.3.6.1.4.1.1466.115.121.1.15 EQUALITY 2.5.13.2
USAGE userApplications )
IBMAttributetypes: ( myattr1-oid DBNAME( 'myattr1' 'myattr1' )
ACCESS-CLASS normal LENGTH 500 )
attributetypes: ( myattr2-oid NAME 'myattr2' DESC 'Some piece of
information.' SYNTAX 1.3.6.1.4.1.1466.115.121.1.15 EQUALITY 2.5.13.2
USAGE userApplications )
IBMAttributetypes: ( myattr2-oid DBNAME( 'myattr2' 'myattr2' )
ACCESS-CLASS normal LENGTH 500 )
objectclasses: ( myobject-oid NAME 'myobject' DESC 'Represents
something.' SUP 'top' STRUCTURAL MUST ( cn ) MAY ( myattr1 $ myattr2 ) )
```

3. Insert lines before each objectclasses or attributetype line to construct LDIF directives to add these values to the entry cn=schema.

Each object class and attribute must be added as an individual modification.

```
dn: cn=schema
changetype: modify
add: attributetypes ibmattributetypes
attributetypes: ( myattr1-oid NAME 'myattr1' DESC 'Some piece of
information.' SYNTAX 1.3.6.1.4.1.1466.115.121.1.15 EQUALITY 2.5.13.2
USAGE userApplications )
IBMAttributetypes: ( myattr1-oid DBNAME( 'myattr1' 'myattr1' )
ACCESS-CLASS normal LENGTH 500 )

dn: cn=schema
changetype: modify
add: attributetypes ibmattributetypes
attributetypes: ( myattr2-oid NAME 'myattr2' DESC 'Some piece of
information.' SYNTAX 1.3.6.1.4.1.1466.115.121.1.15 EQUALITY 2.5.13.2
USAGE userApplications )
IBMAttributetypes: ( myattr2-oid DBNAME( 'myattr2' 'myattr2' )
ACCESS-CLASS normal LENGTH 500 )
```

```
dn: cn=schema
changetype: modify
add: objectclasses
objectclasses: ( myobject-oid NAME 'myobject' DESC 'Represents
something.' SUP 'top' STRUCTURAL MUST ( cn ) MAY ( myattr1 $ myattr2 ) )
```

4. Load that schema on other servers using the ldapmodify utility:

```
ldapmodify -D cn=administrator -w <password> -f schema.ldif
```

## Directory entry tasks

Use this information to manage directory entries.

To manage directory entries, expand the **Directory management** category in the navigation area of the Web administration tool.

### Related concepts

#### Suffix (naming context)

A suffix (also known as a naming context) is a DN that identifies the top entry in a locally held directory hierarchy.

#### Schema

A schema is a set of rules that governs the way that data can be stored in the directory. The schema defines the type of entries allowed, their attribute structure and the syntax of the attributes.

#### Ownership of LDAP directory objects

Each object in your LDAP directory has at least one owner. Object owners have the power to delete the object. Owners and the server administrator are the only users that can change the ownership properties and the access control list (ACL) attributes of an object. Ownership of objects can be either inherited or explicit.

### Browsing the directory tree

Use this information to browse the directory tree.

You need to do this first.

The stage needs to be set just so.

1. If you have not done so already, expand the **Directory management** category in the navigation area.
2. Click **Manage entries**.

You can expand the various subtrees and select the entry that you want to work on. You can choose the operation you want to perform from the right-side tool bar.

### Adding an entry

Use this information to add an entry to the directory tree.

1. If you have not done so already, expand the **Directory management** category in the navigation area.
2. Click **Add an entry**.
3. Select one **Structural object class** from the drop-down list.
4. Click **Next**.
5. Select any **Auxiliary object classes** you wish to use from the Available box and click **Add**.

Repeat this process for each auxiliary object class you want to add. You can also delete an auxiliary object class from the Selected box by selecting it and clicking **Remove**.

6. Click **Next**.
7. In the **Relative DN** field, enter the relative distinguished name (RDN) of the entry that you are adding, for example, cn=John Doe.
8. In the **Parent DN** field, enter the distinguished name of the tree entry you selected, for example, ou=Austin, o=IBM.

You can also click **Browse** to select the Parent DN from the list. You can also expand the selection to view other choices lower in the subtree. Specify your choice and click **Select** to specify the Parent DN that you want. The **Parent DN** defaults to the entry selected in the tree.

**Note:** If you started this task from the **Manage entries** panel, this field is prefilled for you.

9. At the **Required attributes** tab enter the values for the required attributes.  
If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.
10. Click **Optional attributes**.
11. At the **Optional attributes** tab enter the values as appropriate for the optional attributes.  
See [“Changing binary attributes”](#) on page 216 for information about adding binary values. If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.
12. Click OK to create the entry.
13. Click the **ACL** button to change the access control list for this entry.  
See [“Access control lists”](#) on page 65 for information about ACLs.
14. After completing at least the required fields, click **Add** to add the new entry or click **Cancel** to return to **Browse tree** without making changes to the directory.

### **Adding an entry containing attributes with language tags**

Use this information to create an entry containing attributes with language tags.

To create an entry containing attributes with language tags:

1. Enable language tags.  
See [“Enabling language tags”](#) on page 129.
2. From the **Directory management** category in the navigation area, click **Manage entries**.
3. Click the **Edit attributes** button.
4. Select the attribute for which you want to create the language tag.
5. Click the **Language tag value** button to access the **Language tag values** panel.
6. In the **Language tag** field, enter the name of the tag you are creating. The tag must begin with the suffix lang-.
7. Enter the value for the tag in the **Value** field.
8. Click **Add**.  
The language tag and its value are displayed in the menu list.
9. Create additional language tags or change existing language tags for the attribute by repeating steps [“4”](#) on page 211, [“5”](#) on page 211, and [“6”](#) on page 211.  
After you have created the language tags that you want, click **OK**.
10. Expand the **Display with language tag** menu and select a language tag.  
Click **Change view** and the attribute values that you have entered for that language tag is displayed. Any values that you add or edit in this view apply to the selected language tag only.
11. Click **OK** when you have finished.

### **Related reference**

#### Language tags

The term *language tags* defines a mechanism that enables the Directory Server to associate natural language codes with values held in a directory and enables clients to query the directory for values that meet certain natural language requirements.

### **Deleteing an entry**

Use this information to delete an entry from the directory tree.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the subtree, the suffix, or the entry that you want to work on. Click **Delete** from the right-side tool bar.

2. You are requested to confirm the deletion. Click **OK**.  
The entry is deleted from the directory and you are returned to the list of entries.

### Editing an entry

Use this information to edit an entry in the directory tree.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the entry that you want to work on. Click **Edit attributes** from the right-side tool bar.
2. At the **Required attributes** tab enter the values for the required attributes.  
See [“Changing binary attributes” on page 216](#) for information about adding binary values. If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.
3. Click **Optional attributes**.
4. At the **Optional attributes** tab enter the values as appropriate for the optional attributes.  
If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.
5. Click **Memberships**.
6. If you have created any groups, at the **Memberships** tab:
  - Select a group from **Available groups** and click **Add** to make the entry a member of the selected **Static group membership**.
  - Select a group from **Static group memberships** and click **Remove** to remove the entry from the selected group.
7. If the entry is a group entry, a **Members** tab is available.  
The **Members** tab displays the members of the selected group. You can add and remove members from the group.
  - To add a member to the group:
    - a. Either click **Multiple values** by the **Members** tab or at the **Members** tab, click **Members**.
    - b. In the Member field, enter the DN of the entry you want to add.
    - c. Click **Add**.
    - d. Click **OK**.
  - To remove a member from the group:
    - a. Either click **Multiple values** by the **Members** tab or click the **Members** tab and click **Members**.
    - b. Select the entry you want to remove.
    - c. Click **Remove**.
    - d. Click **OK**.
  - To refresh the members list, click the **Update**.
8. Click **OK** to change the entry.

### Copying an entry

Use this information to copy an entry in the directory tree.

This function is useful if you are creating similar entries. The copy inherits all the attributes of the original. You need to make some modifications to name the new entry.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the entry, such as John Doe, that you want to work on. Click **Copy** from the right-side tool bar.
2. Change the RDN entry in the DN field. For example change cn=John Doe to cn=Jim Smith.
3. On the required attributes tab, change the cn entry to the new RDN. In this example Jim Smith.



4. Change the other required attributes as appropriate. In this example change the sn attribute from Doe to Smith.
5. When you have finished making the necessary changes click **OK** to create the new entry.  
The new entry Jim Smith is added to the bottom of the entry list.

**Note:** This procedure copies only the attributes of the entry. The group memberships of the original entry are not copied to the new entry. Use the Edit attributes function to add memberships.

### Editing access control lists

Use this information to manage access control lists (ACLs).

To view ACL properties using the Web administration tool utility and to work with ACLs, see [“Access control list \(ACL\) tasks”](#) on page 228.

### Related concepts

#### [Access control lists](#)

Access control lists (ACLs) provide a means to protect information stored in a LDAP directory. Administrators use ACLs to restrict access to different portions of the directory, or specific directory entries.

### Adding an auxiliary object class

Use this information to add an auxiliary object class.

Use the **Add auxiliary class** button on the toolbar to add an auxiliary object class to an existing entry in the directory tree. An auxiliary object class provides additional attributes to the entry to which it is added.

If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**. You can expand the various subtrees and select the entry, such as John Doe, that you want to work on. Click **Add auxiliary class** from the right-side tool bar.

1. Select any **Auxiliary object classes** you wish to use from the Available box and click **Add**.  
Repeat this process for each auxiliary object class you want to add. You can also delete an auxiliary object class from the Selected box by selecting it and clicking **Remove**.
2. At the **Required attributes** tab enter the values for the required attributes.  
If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.
3. Click **Optional attributes**.
4. At the **Optional attributes** tab enter the values as appropriate for the optional attributes.  
If you want to add more than one value for a particular attribute, click **Multiple values** and then add the values one at a time.
5. Click **Memberships**.
6. If you have created any groups, at the **Memberships** tab:
  - Select a group from **Available groups** and click **Add** to make the entry a member of the selected **Static group membership**.
  - Select a group from **Static group memberships** and click **Remove** to remove the entry from the selected group.
7. Click **OK** to change the entry.

### Deleting an auxiliary class

Use this information to delete an auxiliary class.

Although you can delete an auxiliary class during the add auxiliary class procedure, it is easier to use the delete auxiliary class function if you are going to delete a single auxiliary class from an entry. However, it might be more convenient to use the add auxiliary class procedure if you are going to delete multiple auxiliary classes from an entry.

1. If you have not done so already, expand the **Directory management** category in the navigation area, then click **Manage entries**.

You can expand the various subtrees and select the entry, such as John Doe, that you want to work on. Click **Delete auxiliary class** from the right-side tool bar.

2. From the list of auxiliary classes select the one you want to delete and press **OK**.
3. You are asked to confirm the deletion, click **OK**.
4. The auxiliary class is deleted from the entry and you are returned to the list of entries.

Repeat these steps for each auxiliary class that you want to delete.

### Changing group membership

Use this information to change group membership.

If you have not done so already, expand the **Directory management** category in the navigation area.

1. Click **Manage entries**.
2. Select a user from the directory tree and click the **Edit attributes** icon on the toolbar.
3. Click the **Memberships** tab.
4. To change the memberships for the user.

The **Change memberships** panel displays the **Available groups** to which the user can be added, as well as the entry's **Static Group Memberships**.

- Select a group from **Available groups** and click **Add** to make the entry a member of the selected group.
  - Select a group from **Static Group Memberships** and click **Remove** to remove the entry from the selected group.
5. Click **OK** to save your changes or click **Cancel** to return to the previous panel without saving your changes.

### Searching the directory entries

Use this information to search the directory entries.

There are three options for searching the directory tree:

- A Simple search using a predefined set of search criteria
- An Advanced search using a user-defined set of search criteria
- A Manual search

The search options are accessible by expanding the **Directory management** category in the navigation area, click **Find entries**. Select either the **Search filters** or **Options** tab.

**Note:** Binary entries, for example passwords, are not searchable.

A simple search uses a default search criteria:

- Base DN is **All suffixes**
- Search scope is **Subtree**
- Search size is **Unlimited**
- Time limit is **Unlimited**
- Alias dereferencing is **never**
- Chase referrals is deselected (off)

An advanced search enables you to specify search constraints and enable search filters. Use the Simple search to use default search criteria.

1. To perform a simple search:
  - a) On the **Search filter** tab, click **Simple search**.
  - b) Select an object class from the drop-down list.
  - c) Select a specific attribute for the selected entry type. If you select to search on a specific attribute, select an attribute from the drop-down list and enter the attribute value in the **Is equal to** box. If

you do not specify an attribute, the search returns all the directory entries of the selected entry type.

2. To perform an advanced search:

- a) On the **Search filter** tab, click **Advanced search**.
- b) Select an **Attribute** from the drop-down list.
- c) Select a **Comparison** operator.
- d) Enter the **Value** for comparison.
- e) Use the search operator buttons for complex queries.
  - If you already added at least one search filter, specify the additional criteria and click **AND**. The **AND** command returns entries that match both sets of search criteria.
  - If you already added at least one search filter, specify the additional criteria and click **OR**. The **OR** command returns entries that match either set of search criteria.
  - Click **Add** to add the search filter criteria to the advanced search
  - Click **Delete** to remove the search filter criteria from the advanced search
  - Click **Reset** to clear all search filters.

3. To perform a manual search, create a search filter.

For example to search on surnames enter `sn=*` in the field. If you are searching on multiple attributes, you must use search filter syntax. For example to search for the surnames of a particular department you enter:

```
(&(sn=*)(dept=<departmentname>))
```

At the **Options** tab:

- **Search base DN** - Select suffix from the drop-down list to search only within that suffix.  
**Note:** If you started this task from the **Manage entries** panel, this field is filled in for you. You selected the **Parent DN** before clicking **Add** to start the add entry process.  
You can also select **All suffixes** to search the entire tree.  
**Note:** A subtree search with **All suffixes** selected will not return schema information, change log information, nor anything from the system-projected backend.
- **Search scope**
  - Select **Object** to search only within the selected object.
  - Select **Single level** to search only within the immediate children of the selected object.
  - Select **Subtree** to search all descendants of the selected entry.
- **Search size limit** - Enter the maximum number of entries to search or select **Unlimited**.
- **Search time limit** - Enter the maximum number of seconds for the search or select **Unlimited**.
- Select a type of **Alias dereferencing** from the drop-down list.
  - **Never** - If the selected entry is an alias, it is not dereferenced for the search, that is, the search ignores the reference to the alias.
  - **Finding** - If the selected entry is an alias, the search dereferences the alias and search from the location of the alias.
  - **Searching** - The selected entry is not dereferenced, but any entries found in the search are dereferenced.
  - **Always** - All aliases encountered in the search are dereferenced.
- Select the **Chase referrals** check box to follow referrals to another server if a referral is returned in the search. When a referral directs the search to another server, the connection to the server uses the current credentials. If you are logged in as Anonymous you might need to log in to the server using an authenticated DN.

## Related tasks

### Adjusting search settings

Use this information to control users' search capabilities.

## Related reference

### Search parameters

To limit the amount of resources used by the server, an administrator can set search parameters to restrict users' search capabilities. Search capabilities can also be extended for special users.

## Changing binary attributes

Use this information to import, export, or delete binary data.

If an attribute requires binary data, a **Binary data** button is displayed next to the attribute field. If the attribute has no data the field is blank. Because binary attributes cannot be displayed, if an attribute contains binary data, the field displays **Binary Data - 1**. If the attribute contains multiple values, the field displays as a drop-down list.

Click the **Binary data** button to work with binary attributes.

You can import, export, or delete binary data.

1. To add binary data to the attribute:
  - a) Click the **Binary data** button.
  - b) Click **Import**.
  - c) You can either enter the path name for the file you want or click **Browse** to locate and select the binary file.
  - d) Click **Submit file**.  
A File uploaded message is displayed.
  - e) Click **Close**.  
**Binary Data - 1** is now displayed under **Binary data entries**.
  - f) Repeat the import process for as many binary files as you want to add.  
The subsequent entries are listed as **Binary Data - 2**, **Binary Data -3**, and so on.
  - g) When you are finished adding binary data, click **OK**.
2. To export binary data:
  - a) Click the **Binary data** button.
  - b) Click **Export**.
  - c) Click the link **Binary data to download**.
  - d) Follow the directions of your wizard to either display the binary file or to save it to a new location.
  - e) Click **Close**.
  - f) Repeat the export process for as many binary files as you want to export.
  - g) When you are finished exporting data, click **OK**.
3. To delete binary data:
  - a) Click the **Binary data** button.
  - b) Check the binary data file you want to delete. Multiple files can be selected.
  - c) Click **Delete**.
  - d) When you are prompted to confirm the deletion, click **OK**.  
The binary data marked for deletion are removed from the list.
  - e) When you are finished deleting data, click **OK**.

**Note:** Binary attributes are only searchable for existence.

## User and group tasks

Use this information to manage users and groups.

To manage users and groups, expand the **Users and groups** category in the navigation area of the Web administration tool.

### Related concepts

[Groups and roles](#)

Use groups and roles to organize and control the access or permissions of members.

### User tasks

Use this information to manage users.

After you have set up your realms and templates, you can populate them with users.

### Related reference

[Authentication](#)

Use an authentication method to control access within the Directory Server.

### *Adding users*

Use this information to add users.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Add user** or click **Managing users** and click **Add**.
2. Select the realm that you want to add the user to from the drop-down menu.
3. Click **Next**.

The template that is associated with that realm, is displayed. Fill in the required fields, denoted by an asterisk (\*) and any of the other fields on the tabs. If you have already created groups within the realm, you can also add the user to one or more groups.

4. When you are done, click **Finish**.

### *Finding users within the realm*

Use this information to find users within the realm.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Find user** or click **Manage users** and click **Find**.
2. Select the realm that you want to search to from the **Select realm** field.
3. Enter the search string in the **Naming attribute** field.

Wildcards are supported, for example if you entered **\*smith**, the result is all entries that have the naming attribute ending with smith.

4. You can perform the following operations on a selected user:
  - **Edit** - See [“Editing a user's information” on page 217](#).
  - **Copy** - See [“Copying a user” on page 218](#).
  - **Delete** - See [“Removing a user” on page 218](#).
5. When you are done, click **OK**.

### *Editing a user's information*

Use this information to edit a user's information.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Manage users**.
2. Select a realm from the drop-down menu.

Click **View users**, if the users are not already displayed in the **Users** box.
3. Select the user you want to edit and click **Edit**.
4. Change the information on the tabs, change group membership.

5. When you are done click, **OK**.

### ***Copying a user***

Use this information to copy a user.

If you need to create a number of users that have mostly identical information, you can create the additional users by copying the initial user and modifying the information.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Manage users**.
2. Select a realm from the drop-down menu.  
Click **View users**, if the users are not already displayed in the **Users** box.
3. Select the user you want to copy and click **Copy**.
4. Change the appropriate information for the new user, for example the required information that identifies a specific user, such as sn or cn.  
Information that is common to both users need not be changed.
5. When you are done click, **OK**.

### ***Removing a user***

Use this information to remove a user.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Manage users**.
2. Select a realm from the drop-down menu.  
Click **View users**, if the users are not already displayed in the **Users** box.
3. Select the user you want to remove and click **Delete**.
4. When prompted to confirm the deletion, click **OK**.
5. The user is removed from the list of users.

### **Group tasks**

Use this information to manage groups.

After you have set up your realms and templates, you can create groups.

### ***Adding groups***

Use this information to add groups.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Add group** or click **Manage groups** and click **Add**.
2. Enter the name of the group that you want to create.
3. Select the realm that you want to add the group to from the drop-down menu.
4. Click **Finish** to create the group.  
If you already have users in the realm you can click **Next** and select users to add to the group. Then click **Finish**.

### **Related concepts**

#### Groups and roles

Use groups and roles to organize and control the access or permissions of members.

### ***Finding groups within the realm***

Use this information to find groups within the realm.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Find group** or click **Manage groups** and click **Find**.
2. Select the realm that you want to search to from the **Select realm** field.

3. Enter the search string in the **Naming attribute** field.  
Wildcards are supported, for example if you entered **\*club**, the result is all groups that have the naming attribute of club, for example, book club, chess club, garden club and so forth.
4. You can perform the following operations on a selected group:
  - **Edit** - See [“Editing a group's information”](#) on page 219.
  - **Copy** - See [“Copying a group”](#) on page 219.
  - **Delete** - See [“Removing a group”](#) on page 219.
5. When you are done, click **Close**.

### ***Editing a group's information***

Use this information to edit a group's information.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Manage groups**.
2. Select a realm from the drop-down menu.  
Click **View groups**, if the groups are not already displayed in the **Groups** box.
3. Select the group you want to edit and click **Edit**.
4. You can click **Filter** to limit the number of **Available users**.  
For example entering \*smith in the Last name field, limits the available users to those whose name ends in smith such as Ann Smith, Bob Smith, Joe Goldsmith, and so forth.
5. You can add or remove users from the group.
6. When you are done click, **OK**.

### ***Copying a group***

Use this information to copy a group.

If you need to create a number of groups that have mostly the same members, you can create the additional groups by copying the initial group and modifying the information.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Manage groups**.
2. Select a realm from the drop-down menu.  
Click **View groups**, if the users are not already displayed in the **Groups** box.
3. Select the group you want to copy and click **Copy**.
4. Change the group name in the **Group name** field.  
The new group has the same members as the original group.
5. You can change the group members.
6. When you are done click, **OK**.  
The new group is created and contains the same members as the original group with any addition or removal modifications you made during the copy procedure.

### ***Removing a group***

Use this information to remove a group.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Manage groups**.
2. Select a realm from the drop-down menu.  
Click **View groups**, if the groups are not already displayed in the **Groups** box.
3. Select the group you want to remove and click **Delete**.
4. When prompted to confirm the deletion, click **OK**.
5. The group is removed from the list of groups.

## Realm and user template tasks

Use this information to manage realms and user templates.

To manage realms and user templates click **Realms and templates** in the navigation area of the Web administration tool. Use realms and user templates to make it easier for others to enter data into the directory.

### Related concepts

[Realms and user templates](#)

The realm and user template objects found in the Web administration tool are used in order to relieve the user of the need to understand some of the underlying LDAP issues.

### Creating a realm

Use this information to create a realm.

To create a realm, do the following:

1. Expand the **Realms and templates** category in the navigation area of the Web administration tool.
2. Click **Add realm**.
  - Enter the name for the realm. For example **realm1**.
  - Enter the Parent DN that identifies the location of the realm. This entry is in the form of a suffix, for example **o=ibm,c=us**. This entry can be a suffix or an entry elsewhere in the directory. You can also click **Browse** to select the location of the subtree that you want.
3. Click **Next** to continue or click **Finish**.
4. If you clicked **Next**, review the information.

At this point you haven't actually created the realm, so **User template** and **User search filter** can be ignored.
5. Click **Finish** to create the realm.

### Related concepts

[Realms and user templates](#)

The realm and user template objects found in the Web administration tool are used in order to relieve the user of the need to understand some of the underlying LDAP issues.

### Creating a realm administrator

Use this information to create a realm administrator.

To create a realm administrator, you must first create an administration group for the realm by doing the following:

1. Create the realm administration group.
  - a) Expand the **Directory management** category in the navigation area of the Web administration tool.
  - b) Click **Manage entries**.
  - c) Expand the tree and select the realm you just created, **cn=realm1,o=ibm,c=us**.
  - d) Click **Edit ACL**.
  - e) Click the **Owners** tab.
  - f) Ensure that **Propagate owner** is checked.
  - g) Enter the DN for the realm, **cn=realm1,o=ibm,c=us**.
  - h) Change the **Type** to group.
  - i) Click **Add**.
2. Create the administrator entry.

If you do not already have a user entry for the administrator, you must create one.

  - a) Expand the **Directory management** category in the navigation area of the Web administration tool.
  - b) Click **Manage entries**.



- c) Expand the tree to the location where you want the administrator entry to reside.
 

**Note:** Locating the administrator entry outside of the realm avoids giving the administrator the ability to accidentally delete him or herself. In this example the location might be **o=ibm,c=us**.
  - d) Click **Add**.
  - e) Select the **Structural object class**, for example **inetOrgPerson**.
  - f) Click **Next**.
  - g) Select any auxiliary object class you want to add.
  - h) Click **Next**.
  - i) Enter the required attributes for the entry.
 

For example,

    - **RDN** cn=JohnDoe
    - **DN** o=ibm,c=us
    - **cn** John Doe
    - **sn** Doe
  - j) On the **Other attributes** tab ensure that you have assigned a password.
  - k) When you are done, click **Finish**.
3. Add the administrator to the administration group.
    - a) Expand the **Directory management** category in the navigation area of the Web administration tool.
    - b) Click **Manage entries**.
    - c) Expand the tree and select the realm you just created, **cn=realm1,o=ibm,c=us**.
    - d) Click **Edit attributes**.
    - e) Click the **Members** tab.
    - f) Click **Members**.
    - g) In the **Members** field enter the DN of the administrator, in this example **cn=John Doe,o=ibm,c=us**.
    - h) Click **Add**.
 

The DN is displayed in the **Members** list.
    - i) Click **OK**.
    - j) Click **Update**.
 

The DN is displayed in the **Current members** list.
    - k) Click **OK**.
  4. You have created an administrator that can manage entries within the realm.

### Creating a template

Use this information to create a template.

After you have created a realm, your next step is to create a user template. A template helps you to organize the information you want to enter. Expand the **Realms and templates** category in the navigation area of the Web administration tool.

1. Click **Add user template**.
  - Enter the name for the template, for example, **template1**.
  - Enter the location where the template is going to reside. For replication purposes, locate the template in the subtree of the realm that is going to use this template. For example, the realm created in the previous operations **cn=realm1,o=ibm,c=us**. You can also click **Browse** to select a different subtree for the location of the template.
2. Click **Next**.
 

You can click **Finish** to create an empty template. You can later add information to the template, see [“Editing a template” on page 227](#).

3. If you clicked **Next**, choose the structural object class for the template, for example **inetOrgPerson**.

You can also add any auxiliary object classes that you want.

4. Click **Next**.

5. A **Required** tab has been created on the template.

You can change the information contained on this tab.

a) Select **Required** in the tab menu and click **Edit**.

The **Edit tab** panel is displayed. You see the name of the tab **Required** and the selected attributes that are required by the object class, **inetOrgPerson**:

- \*sn - surname
- \*cn - common name

**Note:** The \* denotes required information.

b) If you want to add additional information to this tab, select the attribute from the **Attributes** menu.

For example, select **departmentNumber** and click **Add**. Select **employeeNumber** and click **Add**. Select **title** and click **Add**. The **Selected attributes** menu now reads:

- title
- employeeNumber
- departmentNumber
- \*sn
- \*cn

c) You can rearrange the way that these fields appear on the template by highlighting the selected attribute and clicking **Move up** or **Move down**.

This changes the position of the attribute by one position. Repeat this procedure until you have the attributes arranged in the order you want them. For example,

- \*sn
- \*cn
- title
- employeeNumber
- departmentNumber

d) You can also change each selected attribute.

1) Highlight the attribute in the **Selected attributes** box and click **Edit**.

2) You can change the display name of the field used on the template. For example, if you want **departmentNumber** to be displayed as **Department number** enter that into the **Display name** field.

3) You can also supply a default value to prefill the attribute field in the template. For example, if most of the users that are going to be entered are members of Department 789, you can enter 789 as the default value. The field on the template is prefilled with 789. The value can be changed when you add the actual user information.

4) Click **OK**.

e) Click **OK**.

6. To create another tab category for additional information, click **Add**.

• Enter the name for the new tab. For example, Address information.

• For this tab, select the attributes from the **Attributes** menu. For example, select **homePostalAddress** and click **Add**. Select **postOfficeBox** and click **Add**. Select **telephoneNumber** and click **Add**. Select **homePhone** and click **Add**. Select **facsimileTelephoneNumber** and click **Add**. The **Selected attributes** menu reads:

- homePostalAddress

- postOfficeBox
  - telephoneNumber
  - homePhone
  - facsimileTelephoneNumber
  - You can rearrange the way that these fields appear on the template by highlighting the selected attribute and clicking **Move up** or **Move down**. This changes the position of the attribute by one position. Repeat this procedure until you have the attributes arranged in the order you want them. For example,
    - homePostalAddress
    - postOfficeBox
    - telephoneNumber
    - facsimileTelephoneNumber
    - homePhone
  - Click **OK**.
7. Repeat this process for as many tabs as you want to create.  
When you are finished click **Finish** to create the template.

### Adding the template to a realm

Use this information to add a template to a realm.

After you have created a realm and a template, you need to add the template to the realm. Expand the **Realms and templates** category in the navigation area of the Web administration tool.

1. Click **Manage realms**.
2. Select the realm you want to add the template to, in this example, **cn=realm1,o=ibm,c=us** and click **Edit**.
3. Scroll down to **User template** and expand the drop down menu.
4. Select the template, in this example, **cn=template1,cn=realm1,o=ibm,c=us**.
5. Click **OK**.
6. Click **Close**.

### Creating groups

Use this information to create groups.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Add group**.
2. Enter the name of the group that you want to create.  
For example **group1**.
3. Select the realm that you want to add the user to from the drop-down menu.  
In this case **realm1**.
4. Click **Finish** to create the group.  
If you already have users in the realm you can click **Next** and select users to add to group1. Then click **Finish**.

### Related concepts

Groups and roles

Use groups and roles to organize and control the access or permissions of members.

### Adding a user to the realm

Use this information to add a user to the realm.

Expand the **Users and groups** category in the navigation area of the Web administration tool.

1. Click **Add user**.

2. Select the realm that you want to add the user to from the drop-down menu.

In this case **realm1**.

3. Click **Next**.

The template that you just created, **template1**, is displayed. Fill in the required fields, denoted by an asterisk (\*) and any of the other fields on the tabs. If you have already created groups within the realm, you can also add the user to one or more groups.

4. When you are done, click **Finish**.

### **Realm tasks**

Use this information to manage realms.

After you have set up and populated your initial realm, you can add more realms or change existing realms.

Expand the **Realms and templates** category in the navigation area and click **Manage realms**. A list of existing realms is displayed. From this panel you can add a realm, edit a realm, remove a realm or edit the access control list (ACLs) of the realm.

### **Adding a realm**

Use this information to add a realm.

Expand the **Realms and templates** category in the navigation area of the Web administration tool.

1. Click **Add realm**.

- Enter the name for the realm. For example **realm2**.
- If you have preexisting realms, for example **realm1**, you can select a realm to have its settings copied to the realm you are creating.
- Enter the Parent DN that identifies the location of the realm. This entry is in the form of a suffix, for example **o=ibm,c=us**. You can also click **Browse** to select the location of the subtree that you want.

2. Click **Next** to continue or click **Finish**.

3. If you clicked **Next**, review the information.

4. Select a **User template** from the drop-down menu.

If you copied the settings from a preexisting realm, its template is prefilled in this field.

5. Enter a **User search filter**.

6. Click **Finish** to create the realm.

### **Editing a realm**

Use this information to edit a realm.

Expand the **Realms and templates** category in the navigation area of the Web administration tool.

- Click **Manage realms**.
- Select the realm that you want to edit from the list of realms.
- Click **Edit**.
  - You can use the **Browse** buttons to change the
    - Administrator group
    - Group container
    - User container
  - You can select a different template from the drop-down menu.
  - Click **Edit** to change the **User search filter**.
- Click **OK** when you are finished.

### **Removing a realm**

Use this information to remove a realm.

Expand the **Realms and templates** category in the navigation area of the Web administration tool.

1. Click **Manage realms**.
2. Select the realm you want to remove.
3. Click **Delete**.
4. When prompted to confirm the deletion, click **OK**.
5. The realm is removed from the list of realms.

### **Editing ACLs on the realm**

Use this information to edit ACLs on the realm.

To view ACL properties using the Web administration tool utility and to work with ACLs, see [“Access control list \(ACL\) tasks” on page 228](#).

### **Related concepts**

#### Access control lists

Access control lists (ACLs) provide a means to protect information stored in a LDAP directory. Administrators use ACLs to restrict access to different portions of the directory, or specific directory entries.

### **Template tasks**

Use this information to manage templates.

After you have created your initial template, you can add more templates or change existing templates.

Expand the **Realms and templates** category in the navigation area and click **Manage user templates**. A list of existing templates is displayed. From this panel you can add a template, edit a template, remove a template or edit the access control list (ACLs) of the template.

### **Adding a user template**

Use this information to add a user template.

Expand the **Realms and templates** category in the navigation area of the Web administration tool.

1. Click **Add user template** or click **Manage user templates** and click **Add**.
  - Enter the name for the new template. For example **template2**.
  - If you have preexisting templates, for example **template1**, you can select a template to have its settings copied to the template you are creating.
  - Enter the Parent DN that identifies the location of the template. This entry is in the form of a DN, for example **cn=realm1,o=ibm,c=us**. You can also click **Browse** to select the location of the subtree that you want.
2. Click **Next**.

You can click **Finish** to create an empty template. You can later add information to the template, see [“Editing a template” on page 227](#).
3. If you clicked **Next**, choose the structural object class for the template, for example **inetOrgPerson**.

You can also add any auxiliary object classes that you want.
4. Click **Next**.
5. A **Required** tab has been created on the template.

You can change the information contained on this tab.

  - a) Select **Required** in the tab menu and click **Edit**.

The **Edit tab** panel is displayed. You see the name of the tab **Required** and the selected attributes that are required by the object class, **inetOrgPerson**:

    - \*sn - surname
    - \*cn - common name

**Note:** The \* denotes required information.

- b) If you want to add additional information to this tab, select the attribute from the **Attributes** menu. For example, select **departmentNumber** and click **Add**. Select **employeeNumber** and click **Add**. Select **title** and click **Add**. The **Selected attributes** menu now reads:
- title
  - employeeNumber
  - departmentNumber
  - \*sn
  - \*cn
- c) You can rearrange the way that these fields appear on the template by highlighting the selected attribute and clicking **Move up** or **Move down**. This changes the position of the attribute by one position. Repeat this procedure until you have the attributes arranged in the order you want them. For example,
- \*sn
  - \*cn
  - title
  - employeeNumber
  - departmentNumber
- d) You can also change each selected attribute.
- 1) Highlight the attribute in the **Selected attributes** box and click **Edit**.
  - 2) You can change the display name of the field used on the template. For example, if you want **departmentNumber** to be displayed as **Department number** enter that into the **Display name** field.
  - 3) You can also supply a default value to prefill the attribute field in the template. For example, if most of the users that are going to be entered are members of Department 789, you can enter 789 as the default value. The field on the template is prefilled with 789. The value can be changed when you add the actual user information.
  - 4) Click **OK**.
- e) Click **OK**.
6. To create another tab category for additional information, click **Add**.
- Enter the name for the new tab. For example, Address information.
  - To this tab, select the attribute from the **Attributes** menu. For example, select **homePostalAddress** and click **Add**. Select **postOfficeBox** and click **Add**. Select **telephoneNumber** and click **Add**. Select **homePhone** and click **Add**. Select **facsimileTelephoneNumber** and click **Add**. The **Selected attributes** menu reads:
    - homePostalAddress
    - postOfficeBox
    - telephoneNumber
    - homePhone
    - facsimileTelephoneNumber
  - You can rearrange the way that these fields appear on the template by highlighting the selected attribute and clicking **Move up** or **Move down**. This changes the position of the attribute by one position. Repeat this procedure until you have the attributes arranged in the order you want them. For example,
    - homePostalAddress
    - postOfficeBox

- telephoneNumber
  - facsimileTelephoneNumber
  - homePhone
  - Click **OK**.
7. Repeat this process for as many tabs as you want to create.  
When you are finished click **Finish** to create the template.

### ***Editing a template***

Use this information to edit a template.

Expand the **Realms and templates** category in the navigation area of the Web administration tool.

- Click **Manage user templates**.
- Select the realm that you want to edit from the list of realms.
- Click **Edit**.
- If you have preexisting templates, for example template1, you can select a template to have its settings copied to the template you are editing.
- Click **Next**.
  - You can use the drop-down menu to change the structural object class of the template.
  - You can add or remove auxiliary object classes.
- Click **Next**.
- You can change the tabs and attributes contained in the template. See [“5” on page 225](#) for information about how to change the tabs.
- When you are done, click **Finish**.

### ***Removing a template***

Use this information to remove a template.

Expand the **Realms and templates** category in the navigation area of the Web administration tool.

1. Click **Manage user templates**.
2. Select the template that you want to remove.
3. Click **Delete**.
4. When prompted to confirm the deletion, click **OK**.
5. The template is removed from the list of templates.

### ***Editing ACLs on the template***

Use this information to edit ACLs on the template.

Expand the **Realms and templates** category in the navigation area of the Web administration tool.

1. Click **Manage user templates**.
2. Select the template for which you want to edit the ACLs.
3. Click **Edit ACL**.

To view ACL properties using the Web administration tool utility and to work with ACLs, see [“Access control list \(ACL\) tasks” on page 228](#).

### **Related concepts**

[Access control lists](#)

Access control lists (ACLs) provide a means to protect information stored in a LDAP directory. Administrators use ACLs to restrict access to different portions of the directory, or specific directory entries.

## Access control list (ACL) tasks

Use this information to manage access control lists (ACLs).

### Related concepts

#### [Access control lists](#)

Access control lists (ACLs) provide a means to protect information stored in a LDAP directory. Administrators use ACLs to restrict access to different portions of the directory, or specific directory entries.

### Viewing access rights for a specific effective ACL

Use this information to view access rights for a specific effective access control list (ACL).

Effective ACLs are the explicit and inherited ACLs of the selected entry.

1. Select a directory entry.

For example, cn=John Doe,ou=Advertising,o=ibm,c=US.

2. Click **Edit ACL**.

The Edit ACL panel is displayed with the **Effective ACLs** tab preselected. The **Effective ACLs** tab contains read-only information about the ACLs.

3. Selecting the specific effective ACL and click the **View** button.

The **View access rights** panel opens.

4. Click **OK** to return to the Effective ACLs tab.

5. Click **Cancel** to return to the Edit ACL panel.

### Viewing effective owners

Use this information to display the effective owners.

Effective owners are the explicit and inherited owners of the selected entry.

1. Select a directory entry.

For example, cn=John Doe,ou=Advertising,o=ibm,c=US.

2. Click **Edit ACL**.

3. Click the **Effective owners** tab.

The **Effective owners** tab contains read-only information about the ACLs.

4. Click **Cancel** to return to the Edit ACL panel.

### Adding , editing. and removing nonfiltered ACLs

Use this information to manage nonfiltered access control lists (ACLs).

You can add new nonfiltered ACLs to an entry, or edit existing non-filtered ACLs.

Non-filtered ACLs can be propagated. This means that access control information defined for one entry can be applied to all of its subordinate entries. The ACL source is the source of current ACL for the selected entry. If the entry does not have an ACL, it inherits an ACL from parent objects based on the ACL settings of the parent objects.

Enter the following information on the **Non-filtered** ACLs tab:

- Propagate ACLs - Select the **Propagate** check box to allow descendants without an explicitly defined ACL to inherit from this entry. If the check box is selected, the descendent inherits ACLs from this entry and if the ACL is explicitly defined for the child entry, then the acl which was inherited from parent is replaced with the new ACL that was added. If the check box is not selected, descendant entries without an explicitly defined ACL will inherit ACLs from a parent of this entry that has this option enabled.
- DN (Distinguished Name) - Enter the **(DN) Distinguished name** of the entity requesting access to perform operations on the selected entry, for example, cn=Marketing Group.



- **Type** - Enter the **Type** of DN. For example, select access-id if the DN is a user.

Click either the **Add** button to add the DN in the DN (Distinguished Name) field to the ACL list or the **Edit** button to change the ACLs of an existing DN.

The **Add access rights** and **Edit access rights** panels allow you to set the access rights for a new or existing Access Control List (ACLs). The **Type** field defaults to the type you selected on the **Edit ACL** panel. If you are adding an ACL, all other fields default to blank. If you are editing an ACL, the fields contain the values set last time the ACL was modified.

You can:

- Change the ACL type
- Set addition and deletion rights
- Set permissions for security classes

To set access rights:

1. Select the **Type** of entry for the ACL.

For example, select access-id if the DN is a user.

2. The **Rights** section displays the addition and deletion rights of the subject.

- **Add child** grants or denies the subject the right to add a directory entry beneath the selected entry.
- **Delete entry** grants or denies the subject the right to delete the selected entry.

3. The **Security class** section defines permissions for attribute classes.

Attributes are grouped into security classes:

- **Normal** - Normal attribute classes require the least security, for example, the attribute `commonName`.
- **Sensitive** - Sensitive attribute classes require a moderate amount of security, for example `homePhone`.
- **Critical** - Critical attribute classes require the most security, for example, the attribute `userpassword`.
- **System** - System attributes are read only attributes that are maintained by the server.
- **Restricted** - Restricted attributes are used to define access control.

Each security class has permissions associated with it.

- **Read** - the subject can read attributes.
- **Write** - the subject can change the attributes.
- **Search** - the subject can search attributes.
- **Compare** - the subject can compare attributes.

Additionally, you can specify permissions based on the attribute instead of the security class to which the attribute belongs. The attribute section is listed below the **Critical security class**.

- Select an attribute from the **Define an attribute** drop-down list.
- Click **Define**. The attribute is displayed with a permissions table.
- Specify whether to grant or deny each of the four security class permissions associated with the attribute.
- You can repeat this procedure for multiple attributes.
- To remove an attribute, simply select the attribute and click **Delete**.
- When you are finished click **OK**.

You can remove ACLs in either of two ways:

- Select the radio button next to the ACL you want to delete. Click **Remove**.
- Click **Remove all** to delete all DNs from the list.

## Adding , editing. and removing filtered ACLs

Use this information to view access rights for a filtered access control list (ACL).

You can add new filtered ACLs to an entry, or edit existing filtered ACLs.

Filter-based ACLs employ a filter-based comparison, using a specified object filter, to match target objects with the effective access that applies to them.

The default behavior of filter-based ACLs is to accumulate from the lowest containing entry, upward along the ancestor entry chain, to the highest containing entry in the DIT. The effective access is calculated as the union of the access rights granted, or denied, by the constituent ancestor entries. There is an exception to this behavior. For compatibility with the subtree replication function, and to allow greater administrative control, a ceiling attribute is used as a means to stop accumulation at the entry in which it is contained.

Enter the following information on the Filtered ACLs tab:

- Accumulate filtered ACLs -
  - Select the **Not specified** radio button to remove the `ibm-filterACLInherit` attribute from the selected entry.
  - Select the **True** radio button to allow the ACLs for the selected entry to accumulate from that entry, upward along the ancestor entry chain, to the highest filter ACL containing entry in the DIT.
  - Select the **False** radio button to stop the accumulation of filter ACLs at the selected entry.
- DN (Distinguished Name) - Enter the **(DN) Distinguished name** of the entity requesting access to perform operations on the selected entry, for example, `cn=Marketing Group`.
- Type - Enter the **Type** of DN. For example, select `access-id` if the DN is a user.

Click either the **Add** button to add the DN in the DN (Distinguished Name) field to the ACL list or the **Edit** button to change the ACLs of an existing DN.

The **Add access rights** and **Edit access rights** panels allow you to set the access rights for a new or existing Access Control List (ACLs). The Type field defaults to the type you selected on the Edit ACL panel. If you are adding an ACL, all other fields default to blank. If you are editing an ACL, the fields contain the values set last time the ACL was modified.

You can:

- Change the ACL type
- Set addition and deletion rights
- Set the object filter for filtered ACLs
- Set permissions for security classes

To set access rights:

1. Select the **Type** of entry for the ACL.  
For example, select `access-id` if the DN is a user.
2. The **Rights** section displays the addition and deletion rights of the subject.
  - **Add child** grants or denies the subject the right to add a directory entry beneath the selected entry.
  - **Delete entry** grants or denies the subject the right to delete the selected entry.
3. Set the object filter for a filter based comparison.  
In the **Object filter** field, enter the desired object filter for the selected ACL. Click the **Edit filter** button for assistance in composing the search filter string. The current filtered ACL propagates to any descendant object in the associated subtree that matches the filter in this field.
4. The **Security class** section defines permissions for attribute classes.  
Attributes are grouped into security classes:
  - **Normal** - Normal attribute classes require the least security, for example, the attribute `commonName`.

- **Sensitive** - Sensitive attribute classes require a moderate amount of security, for example homePhone.
- **Critical** - Critical attribute classes require the most security, for example, the attribute userpassword.
- **System** - System attributes are read only attributes that are maintained by the server.
- **Restricted** - Restricted attributes are used to define access control.

Each security class has permissions associated with it.

- Read - the subject can read attributes.
- Write - the subject can change the attributes.
- Search - the subject can search attributes.
- Compare - the subject can compare attributes.

Additionally, you can specify permissions based on the attribute instead of the security class to which the attribute belongs. The attribute section is listed below the **Critical security class**.

- Select an attribute from the **Define an attribute** drop-down list.
- Click **Define**. The attribute is displayed with a permissions table.
- Specify whether to grant or deny each of the four security class permissions associated with the attribute.
- You can repeat this procedure for multiple attributes.
- To remove an attribute, simply select the attribute and click **Delete**.
- When you are finished click **OK**.

You can remove ACLs in either of two ways:

- Select the radio button next to the ACL you want to delete. Click **Remove**.
- Click **Remove all** to delete all DNs from the list.

### Adding or removing owners

Use this information to add or remove owners.

Entry owners have complete permissions to perform any operation on an object. Entry owners can be explicit or propagated (inherited).

Enter the following information on the **Owners** tab:

1. Select the **Propagate owners** check box to allow descendants without an explicitly defined owner to inherit from this entry. If the check box is not selected, descendant entries without an explicitly defined owner will inherit owner from a parent of this entry that has this option enabled.
2. DN (Distinguished Name) - Enter the **(DN) Distinguished name** of the entity requesting access to perform operations on the selected entry, for example, cn=Marketing Group.  
Using cn=this with objects that propagate their ownership to other objects makes it easy to create a directory subtree in which every object is owned by itself.
3. Type - Enter the **Type** of DN. For example, select access-id if the DN is a user.

To add an owner, click **Add** to add the DN in the **DN (Distinguished Name)** field to the list.

You can remove an owner in either of two ways:

- Select the radio button next to the owner's DN that you want to delete. Click **Remove**.
- Click **Remove all** to delete all owner DNs from the list.

## Tombstone - Record deleted entries

IBM i Directory Server provides the tombstone feature to record information, such as all the attributes, of a to-be deleted entry into a tombstone subtree before the entry gets deleted from the backend database.

Using the tombstone feature, you can move the to-be-deleted entries to the tombstone subtree, cn=Deleted Objects. Subsequently, the attribute table is updated for the entry to mark the entry as deleted by adding an attribute such as isDeleted.

### Note:

- This feature is supported only in the primary RDBM backend of the directory server.
- Tombstones are not supported in configuration, schema, or change log backend.
- Tombstone feature is disabled by default.

The tombstone feature is defined by the **ibm-slapdTombstoneEnabled** attribute in the cn=Directory, cn=RDBM Backends, cn=IBM Directory, cn=Schemas, cn=Configuration entry of the ibmslapd.conf file. Additionally, the **ibm-slapdTombstoneLifetime** attribute in the cn=Directory, cn=RDBM Backends, cn=IBM Directory, cn=Schemas, cn=Configuration entry of the configuration file defines the tombstone lifetime. The tombstone lifetime determines the time that deleted entries are retained, the default value is 7 days.

Use command line or WebAdmin to enable or disable tombstone.

### Enabling Tombstone by command line

Use this information to configure tombstone by command line.

To enable the tombstone feature, issue the following command:

```
ldapmodify -D <bindDN> -w <password> -f <file>
```

Where <file> contains:

```
dn:cn=Directory, cn=RDBM Backends, cn=IBM Directory, cn=Schemas,
cn=Configuration
changetype: modify
replace: ibm-slapdTombstoneEnabled
ibm-slapdTombstoneEnabled: true
```

To reread the configuration file, issue the following command:

```
ldapexop -D <bindDN> -w <password> -op readconfig -scope entire
```

To set the tombstone lifetime value, issue the following command:

```
ldapmodify -D <bindDN> -w <password>
```

```
dn: cn=Directory, cn=RDBM Backends, cn=IBM Directory,
cn=Schemas,cn=Configuration
changetype: modify
replace: ibm-slapdTombstoneLifeTime
ibm-slapdTombstoneLifeTime: <value to be set in hours>
```

To reread the configuration file, issue the following command:

```
ldapexop -D <bindDN> -w <password> -op readconfig -scope entire
```

You can use the -L parameter of the ldapdelete utility to delete entries under cn=Deleted Objects. To do this, you first display all tombstones under cn=Deleted Objects by issuing the following command:

```
ldapsearch -b "cn=Deleted Objects" -r -D <bindDN> -w <password> objectclass=*
dn
```

Next, you save the output in an ldif file and then use the ldif file as input to the ldapdelete command by issuing the following command:

```
ldapdelete -c -L -f <file> -D <bindDN> -w <password>
```

### Enabling Tombstone by WebAdmin

Use this information to configure Tombstone by Webadmin.

1. Click **Server administration** in the Web Administration navigation area and then click **Manage server properties** in the expanded list. Click the **Delete settings** tab.

**Note:** This panel allows you to control tombstone configuration parameters. This panel is displayed only to Primary admin or Server config group members.

2. To enable tombstones, click the **Record deleted entries** check box. This control is associated with the **ibm-slapdTombstoneEnabled** attribute.
3. Under the **Deleted entries lifetime** section, enter a value for tombstone lifetime. You can specify the value in either Days or Hours by selecting the wanted value from the combo box. The default value is 7 days. This control is associated with the **ibm-slapdTombstoneLifetime** attribute.

## Reference

Reference material related to Directory Server such as command line utilities and LDIF information.

See the following for additional reference information.

### Directory Server command line utilities

This section describes the Directory Server utilities that can be run from the Qshell command environment.

Note that some strings need to be contained in quotation marks in order to be processed correctly in the Qshell command environment. This generally pertains to strings that are DNs, search filters, and the list of attributes to be returned by ldapsearch. See the following list for some examples.

- Strings that contain spaces: "cn=John Smith,cn=users"
- Strings that contain wildcard characters: "\*"
- Strings that contain parentheses: "(objectclass=person)"

For more information about the Qshell command environment, see the "[Qshell](#)" topic.

See the following commands for more information:

#### ldapmodify and ldapadd

The LDAP modify-entry and LDAP add-entry command line utilities.

#### Synopsis

```
ldapmodify [-a] [-b] [-c] [-B] [-c] [-C charset] [-d debuglevel] [-D binddn] [-e errorfile]
[-f file] [-F] [-g] [-G realm] [-h ldaphost] [-i file] [-j] [-k] [-K keyfile]
[-m mechanism] [-M] [-n] [-N certificatename] [-O maxhops] [-p ldapport]
[-P keyfilepw] [-r] [-R] [-t] [-U username] [-v] [-V] [-w passwd | ?] [-y proxydn]
[-Y] [-Z]
```

```
ldapadd [-a] [-b] [-c] [-B] [-c] [-C charset] [-d debuglevel] [-D binddn] [-e errorfile]
[-g] [-f file] [-F] [-g] [-G realm] [-h ldaphost] [-i file] [-j] [-k] [-K keyfile]
[-m mechanism] [-M] [-n] [-N certificatename] [-O maxhops] [-p ldapport]
[-P keyfilepw] [-r] [-R] [-t] [-U username] [-v] [-V] [-w passwd | ?] [-y proxydn]
[-Y] [-Z]
```

#### Description

**ldapmodify** is a command-line interface to the ldap\_modify, ldap\_add, ldap\_delete, and ldap\_rename application programming interfaces (APIs). **ldapadd** is implemented as a renamed version of ldapmodify. When invoked as ldapadd, the **-a** (add new entry) flag is turned on automatically.

**ldapmodify** opens a connection to an LDAP server, and binds to the server. You can use **ldapmodify** to change or add entries. The entry information is read from standard input or from file through the use of the **-i** option.

To display syntax help for **ldapmodify** or **ldapadd**, type

```
ldapmodify -?
```

or

```
ldapadd -?
```

## Options

### **-a**

Add new entries. The default action for **ldapmodify** is to change existing entries. If invoked as **ldapadd**, this flag is always set.

### **-b**

Assume that any values that start with a ``/'` are binary values and that the actual value is in a file whose path is specified in place of the value.

### **-B**

Specifies that a transaction should be rolled back.

### **-c**

Continuous operation mode. Errors are reported, but **ldapmodify** continues with modifications. Otherwise the default action is to exit after reporting an error.

### **-C charset**

Specifies that strings supplied as input to the **ldapmodify** and **ldapadd** utilities are represented in a local character set as specified by `charset`, and must be converted to UTF-8. Use the **-C charset** option if the input string codepage is different from the job codepage value. Refer to the `ldap_set_iconv_local_charset()` API to see supported charset values.

### **-d debuglevel**

Set the LDAP debugging level to `debuglevel`.

### **-D binddn**

Use **binddn** to bind to the LDAP directory. **binddn** is a string-represented DN. When used with `-m DIGEST-MD5`, it is used to specify the authorization ID. It can either be a DN, or an `authzId` string starting with `"u:"` or `"dn:"`.

### **-e errorfile**

Specifies the file to which rejected entries are written. This option requires the `-c` continuous operation option. If the processing of an entry fails, that entry is written to the reject file and the count of rejected entries is increased. If the input to the `ldapmodify` or `ldapadd` command is from a file, when the file has been processed, the number of total entries written to the reject file is given.

### **-f file**

Read the entry modification information from an LDIF file instead of from standard input. If an LDIF file is not specified, you must use standard input to specify the update records in LDIF format. Either the `-i` or the `-f` option can be used to specify an input file; the behavior is identical.

### **-F**

Force application of all changes regardless of the contents of input lines that begin with `replica:` (by default, `replica:` lines are compared against the LDAP server host and port in use to decide if a replication log record should actually be applied).

### **-g**

Do not strip trailing spaces on attribute values.

### **-G**

Specify the realm. This parameter is optional. When used with `-m DIGEST-MD5`, the value is passed to the server during the bind.

**-h ldaphost**

Specify an alternate host on which the ldap server is running.

**-i file**

Read the entry modification information from an LDIF file instead of from standard input. If an LDIF file is not specified, you must use standard input to specify the update records in LDIF format. Either the -i or the -f option can be used to specify an input file; the behavior is identical.

**-j**

Specifies that a prepare should not be sent.

**-k**

Specifies to use server administration control.

**-K keyfile**

Specify the name of the SSL key database file with default extension of **kdb**. If the key database file is not in the current directory, specify the fully-qualified key database filename. If a key database filename is not specified, this utility will first look for the presence of the SSL\_KEYRING environment variable with an associated filename. If the SSL\_KEYRING environment variable is not defined, the system keyring file will be used, if present.

This parameter effectively enables the **-Z** switch. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

**-l**

Do not replicate the change. The Do Not Replicate control is used to request that a given change not be replicated. This is intended to be used by the Replication Topology to prevent the target server from replicating the changes made to get the replication topology in synch, so as to not cause changes to other servers. This control can also be used by an administrative client.

**-m mechanism**

Use **mechanism** to specify the SASL mechanism to be used to bind to the server. The ldap\_sasl\_bind\_s() API is used. The **-m** parameter is ignored if **-V 2** is set. If **-m** is not specified, simple authentication is used. Valid mechanisms are:

- CRAM-MD5 - protects the password sent to the server.
- EXTERNAL - uses the SSL certificate. Requires **-Z**.
- GSSAPI - uses the user's Kerberos credentials.
- DIGEST-MD5 - requires that the client send a username value to the server. Requires **-U**. The **-D** parameter (usually the bind DN) is used to specify the authorization ID. It can be a DN, or an authzId string starting with u: or dn:.
- OS400\_PRFTKN - authenticates to the local LDAP server as the current IBM i user using the DN of the user in the system projected backend. The **-D** (bind DN) and **-w** (password) parameters should not be specified.

**-M**

Manage referral objects as regular entries.

**-n**

Specify the no operation option to enable you to preview the result of the command you are issuing without actually performing the action on the directory. The changes that would be made are preceded by an exclamation mark and printed to standard output. Any syntax errors that are found in the processing of the input file, before the calling of the functions that perform the changes to the directory, are displayed to standard error. This option is especially useful with the **-v** option for debugging operations, if errors are encountered.

**-N certificatename**

Specify the label associated with the client certificate in the key database file. If the LDAP server is configured to perform server authentication only, a client certificate is not required. If the LDAP server is configured to perform client and server authentication, a client certificate might be required.

**certificatename** is not required if a certificate/private key pair has been designated as the default for the key database file. Similarly, **certificatename** is not required if there is a single certificate/private key pair in the designated key database file. This parameter is ignored if neither **-Z** nor **-K** is specified.

For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

**-O maxhops**

Specify **maxhops** to set the maximum number of hops that the client library takes when chasing referrals. The default hopcount is 10.

**-p ldapport**

Specify an alternate TCP port where the ldap server is listening. The default LDAP port is 389. If **-p** is not specified and **-Z** is specified, the default LDAP SSL port 636 is used.

**-P keyfilepw**

Specify the key database password. This password is required to access the encrypted information in the key database file, which might include one or more private keys. If a password stash file is associated with the key database file, the password is obtained from the password stash file, and the **-P** parameter is not required. This parameter is ignored if neither **-Z** nor **-K** is specified.

**-r**

Replace existing values by default.

**-R**

Specifies that referrals are not to be automatically followed.

**-t**

Performs the modify in a transaction.

**-U**

Specify the username. Required with **-m DIGEST-MD5** and ignored with any other mechanism.

**-v**

Use verbose mode, with many diagnostics written to standard output.

**-V version**

Specifies the LDAP version to be used by **ldapmodify** when it binds to the LDAP server. By default, an LDAP V3 connection is established. To explicitly select LDAP V3, specify **-V 3**. Specify **-V 2** to run as an LDAP V2 application.

**-w passwd | ?**

Use **passwd** as the password for authentication. Use the **?** to generate a password prompt.

**-y proxydn**

Set proxied ID for proxied authorization option.

**-Y**

Use a secure LDAP connection (TLS).

**-Z**

Use a secure SSL connection to communicate with the LDAP server. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

## Input format

The contents of file (or standard input if no **-i** flag is given on the command line) should conform to the LDIF format.

## Examples

Assuming that the file `/tmp/entrymods` exists and has the following contents:

```
dn: cn=Modify Me, o=University of Higher Learning, c=US
changetype: modify
replace: mail
mail: modme@student.of.life.edu
-
add: title
title: Grand Poobah
-
add: jpegPhoto
jpegPhoto: /tmp/modme.jpeg
```



```
-  
delete: description  
-
```

the command:

```
ldapmodify -b -r -i /tmp/entrymods
```

will replace the contents of the Modify Me entry's mail attribute with the value modme@student.of.life.edu, add a title of Grand Poobah, and the contents of the file /tmp/modme.jpeg as a jpegPhoto, and completely remove the description attribute. These same modifications can be performed using the older ldapmodify input format:

```
cn=Modify Me, o=University of Higher Learning, c=US  
mail=modme@student.of.life.edu  
+title=Grand Poobah  
+jpegPhoto=/tmp/modme.jpeg  
-description
```

and the command:

```
ldapmodify -b -r -i /tmp/entrymods
```

Assuming that the file /tmp/newentry exists and has the following contents:

```
dn: cn=John Doe, o=University of Higher Learning, c=US  
objectClass: person  
cn: John Doe  
cn: Johnny  
sn: Doe  
title: the world's most famous mythical person  
mail: johndoe@student.of.life.edu  
uid: jdoe
```

the command:

```
ldapadd -i /tmp/entrymods
```

adds a new entry for John Doe, using the values from the file /tmp/newentry.

## Notes

If entry information is not supplied from file through the use of the **-i** option, the **ldapmodify** command will wait to read entries from standard input.

## Diagnostics

Exit status is 0 if no errors occur. Errors result in a non-zero exit status and a diagnostic message being written to standard error.

## Related concepts

[Suffix \(naming context\)](#)

A suffix (also known as a naming context) is a DN that identifies the top entry in a locally held directory hierarchy.

[Lightweight Directory Access Protocol \(LDAP\) APIs](#) See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

[Directory Server configuration schema](#)

This information describes the Directory Information Tree (DIT) and the attributes that are used to configure the ibmslapd.conf file.

## Related reference

[LDAP data interchange format \(LDIF\)](#)

LDAP Data Interchange Format is a standard text format for representing LDAP objects and LDAP updates (add, modify, delete, modify DN) in a textual form. Files containing LDIF records can be used to transfer data between directory servers or used as input by LDAP tools like **ldapadd** and **ldapmodify**.

## ldapdelete

The LDAP delete-entry command line utility.

### Synopsis

```
ldapdelete [-c] [-C charset] [-d debuglevel][-D binddn] [-f file]
[-G realm] [-h ldaphost] [-i file] [-k] [-K keyfile] [-m mechanism]
[-M] [-n] [-N certificatename] [-O maxops] [-p ldapport]
[-P keyfilepw] [-R] [-s][-U username} [-v] [-V version]
[-w passwd | ?] [-y proxydn][-Y] [-Z] [dn].....
```

### Description

**ldapdelete** is a command-line interface to the ldap\_delete application programming interface (API).

**ldapdelete** opens a connection to an LDAP server, binds, and deletes one or more entries. If one or more Distinguished Name (DN) arguments are provided, entries with those DN's are deleted. Each DN is a string-represented DN. If no DN arguments are provided, a list of DN's is read from standard input, or from a file if the **-i** flag is used.

To display syntax help for **ldapdelete**, type:

```
ldapdelete -?
```

### Options

#### **-c**

Continuous operation mode. Errors are reported, but **ldapdelete** continues with deletions. Otherwise the default action is to exit after reporting an error.

#### **-C charset**

Specifies that the DN's supplied as input to the **ldapdelete** utility are represented in a local character set, as specified by charset. Use the **-C charset** option if the input string codepage is different from the job codepage value. Refer to the ldap\_set\_iconv\_local\_charset() API to see supported charset values.

#### **-d debuglevel**

Set the LDAP debugging level to debuglevel.

#### **-D binddn**

Use **binddn** to bind to the LDAP directory. **binddn** is a string-represented DN. When used with -m DIGEST-MD5, it is used to specify the authorization ID. It can either be a DN, or an authzId string starting with "u:" or "dn:".

#### **-f file**

Read a series of lines from file, performing one LDAP delete for each line in the file. Each line in the file should contain a single distinguished name (DN).

#### **-G realm**

Specify the realm. This parameter is optional. When used with -m DIGEST-MD5, the value is passed to the server during the bind.

#### **-h ldaphost**

Specify an alternate host on which the LDAP server is running.

#### **-i file**

Read a series of lines from file, performing one LDAP delete for each line in the file. Each line in the file should contain a single distinguished name.

#### **-k**

Specifies to use the server administration control.

**-K keyfile**

Specify the name of the SSL key database file. If the key database file is not in the current directory, specify the fully-qualified key database filename.

If the utility cannot locate a key database, it will use a hard-coded set of default trusted certificate authority roots. The key database file typically contains one or more certificates of certification authorities (CAs) that are trusted by the client. These types of X.509 certificates are also known as trusted roots.

This parameter effectively enables the **-Z** switch. For Directory Server on i5/OS if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

**-m mechanism**

Use **mechanism** to specify the SASL mechanism to be used to bind to the server. The `ldap_sasl_bind_s()` API is used. The **-m** parameter is ignored if **-V 2** is set. If **-m** is not specified, simple authentication is used. Valid mechanisms are:

- CRAM-MD5 - protects the password sent to the server.
- EXTERNAL - uses the SSL certificate. Requires **-Z**.
- GSSAPI - uses the user's Kerberos credentials.
- DIGEST-MD5 - requires that the client send a username value to the server. Requires **-U**. The **-D** parameter (usually the bind DN) is used to specify the authorization ID. It can be a DN, or an authzId string starting with u: or dn:.
- OS400\_PRFTKN - authenticates to the local LDAP server as the current IBM i user using the DN of the user in the system projected backend. The **-D** (bind DN) and **-w** (password) parameters should not be specified.

**-M**

Manage referral objects as regular entries.

**-n**

Show what would be done, but don't actually change entries. Useful for debugging in conjunction with **-v**.

**-N certificatename**

Specify the label associated with the client certificate in the key database file. If the LDAP server is configured to perform server authentication only, a client certificate is not required. If the LDAP server is configured to perform client and server authentication, a client certificate might be required.

**certificatenam**e is not required if a default certificate/private key pair has been designated as the default. Similarly, **certificatenam**e is not required if there is a single certificate/private key pair in the designated key database file. This parameter is ignored if neither **-Z** nor **-K** is specified. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

**-O maxhops**

Specify **maxhops** to set the maximum number of hops that the client library takes when chasing referrals. The default hopcount is 10.

**-p ldapport**

Specify an alternate TCP port where the LDAP server is listening. The default LDAP port is 389. If **-p** is not specified and **-Z** is specified, the default LDAP SSL port 636 is used.

**-P keyfilepw**

Specify the key database password. This password is required to access the encrypted information in the key database file, which can include one or more private keys. If a password stash file is associated with the key database file, the password is obtained from the password stash file, and the **-P** parameter is not required. This parameter is ignored if neither **-Z** nor **-K** is specified.

**-R**

Specifies that referrals are not to be automatically followed.

- s**  
Use this option to delete the subtree rooted at the specified entry.
- U *username***  
Specify the username. Required with -m DIGEST-MD5 and ignored with any other mechanism.
- v**  
Use verbose mode, with many diagnostics written to standard output.
- V *version***  
Specifies the LDAP version to be used by **ldapdelete** when it binds to the LDAP server. By default, an LDAP V3 connection is established. To explicitly select LDAP V3, specify **-V 3**. Specify **-V 2** to run as an LDAP V2 application.
- w *passwd* | ?**  
Use ***passwd*** as the password for authentication. Use the ? to generate a password prompt.
- y *proxydn***  
Set proxied ID for proxied authorization operation.
- Y**  
Use a secure LDAP connection (TLS).
- Z**  
Use a secure SSL connection to communicate with the LDAP server. For Directory Server on IBM i if you use -Z and do not use -K or -N, the certificate associated with the Directory Services Client application ID will be used.
- dn**  
Specifies one or more DN arguments. Each DN should be a string-represented DN.

## Examples

The following command,

```
ldapdelete -D cn=administrator -w secret "cn=Delete Me, o=University of Life, c=US"
```

attempts to delete the entry named with commonName "Delete Me" directly below the University of Life organizational entry.

## Notes

If no DN arguments are provided, the **ldapdelete** command waits to read a list of DN's from standard input.

## Diagnostics

Exit status is 0 if no errors occur. Errors result in a non-zero exit status and a diagnostic message being written to standard error.

## Related concepts

[Directory Server APIs](#)

## ldapexop

The LDAP extended operation command line utility.

## Synopsis

```
ldapexop [-C charset] [-d debuglevel][-D binddn][-e] [-G realm]
[-h ldaphost][-help][-K keyfile] [-m mechanism] [-N certificatename]
[-p ldapport] [-P keyfilepw] [-?] [-U] [-v] [-w passwd | ?] [-x] [-y proxyDN]
[-Y] [-Z]
-op {acctstatus | cascrepl | clearlog | controlqueue | controlrepl |
controlreplerr | evaluategroups | effectpwdpolicy | getAttributes |
getlogsize | getusertype | locateEntry | onlineBackup |
```

```
quiesce | readconfig | readlog | repltopology | resumeroles | stopserver | unbind |  
uniqueattrib}
```

## Description

The **ldapexop** utility is a command-line interface that provides the capability to bind to a directory server and issue a single extended operation along with any data that makes up the extended operation value.

The **ldapexop** utility supports the standard host, port, SSL, and authentication options used by all of the LDAP client utilities. In addition, a set of options is defined to specify the operation to be performed, and the arguments for each extended operation.

To display syntax help for **ldapexop**, type:

```
ldapexop -?
```

or

```
ldapexop -help
```

## Options

The options for the `ldapexop` command are divided into two categories:

1. General options that specify how to connect to the directory server. These options must be specified before operation specific options.
2. Extended operation option that identifies the extended operation to be performed.

### General Options

These options specify the methods of connecting to the server and must be specified before the **-op** option.

#### **-C charset**

Specifies that the DNs supplied as input to the **ldapexop** utility are represented in a local character set, as specified by `charset`. Use the **-C charset** option if the input string codepage is different from the job codepage value. Refer to the `ldap_set_iconv_local_charset()` API to see supported charset values.

#### **-d debuglevel**

Set the LDAP debugging level to `debuglevel`.

#### **-D binddn**

Use **binddn** to bind to the LDAP directory. **binddn** is a string-represented DN. When used with **-m DIGEST-MD5**, it is used to specify the authorization ID. It can either be a DN, or an `authzId` string starting with "u:" or "dn:".

#### **-e**

Displays the LDAP library version information and then exits.

#### **-G**

Specify the realm. This parameter is optional. When used with **-m DIGEST-MD5**, the value is passed to the server during the bind.

#### **-h ldaphost**

Specify an alternate host on which the LDAP server is running.

#### **-help**

Displays the command syntax and usage information.

#### **-K keyfile**

Specify the name of the SSL key database file. If the key database file is not in the current directory, specify the fully-qualified key database filename.

If the utility cannot locate a key database, the system key database is used. The key database file typically contains one or more certificates of certification authorities (CAs) that are trusted by the client. These types of X.509 certificates are also known as trusted roots.

This parameter effectively enables the **-Z** switch. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

#### **-m mechanism**

Use **mechanism** to specify the SASL mechanism to be used to bind to the server. The `ldap_sasl_bind_s()` API is used. The **-m** parameter is ignored if **-V 2** is set. If **-m** is not specified, simple authentication is used. Valid mechanisms are:

- CRAM-MD5 - protects the password sent to the server.
- EXTERNAL - uses the SSL certificate. Requires **-Z**.
- GSSAPI - uses the user's Kerberos credentials.
- DIGEST-MD5 - requires that the client send a username value to the server. Requires **-U**. The **-D** parameter (usually the bind DN) is used to specify the authorization ID. It can be a DN, or an authzId string starting with `u:` or `dn:`.
- OS400\_PRFTKN - authenticates to the local LDAP server as the current IBM i user using the DN of the user in the system projected backend. The **-D** (bind DN) and **-w** (password) parameters should not be specified.

#### **-N certificatename**

Specify the label associated with the client certificate in the key database file. If the LDAP server is configured to perform server authentication only, a client certificate is not required. If the LDAP server is configured to perform client and server authentication, a client certificate might be required.

**certificatename** is not required if a default certificate/private key pair has been designated as the default. Similarly, **certificatename** is not required if there is a single certificate/private key pair in the designated key database file. This parameter is ignored if neither **-Z** nor **-K** is specified. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

#### **-p ldapport**

Specify an alternate TCP port where the LDAP server is listening. The default LDAP port is 389. If **-p** is not specified and **-Z** is specified, the default LDAP SSL port 636 is used.

#### **-P keyfilepw**

Specify the key database password. This password is required to access the encrypted information in the key database file, which can include one or more private keys. If a password stash file is associated with the key database file, the password is obtained from the password stash file, and the **-P** parameter is not required. This parameter is ignored if neither **-Z** nor **-K** is specified.

#### **-?**

Displays the command syntax and usage information.

#### **-U**

Specify the username. Required with **-m** DIGEST-MD5 and ignored with any other mechanism.

#### **-v**

Use verbose mode, with many diagnostics written to standard output.

#### **-w passwd | ?**

Use **passwd** as the password for authentication. Use the `?` to generate a password prompt.

#### **-x**

Use FIPS mode processing (SSL/TLS only).

#### **-y <proxyDN>**

Sets a proxied ID for proxied authorization operation.

#### **-Y**

Use a secure LDAP connection (TLS).

## **-Z**

Use a secure SSL connection to communicate with the LDAP server. For Directory Server on IBM i if you use -Z and do not use -K or -N, the certificate associated with the Directory Services Client application ID will be used.

## **Extended operations option**

The **-op** extended-op option identifies the extended operation to be performed. The extended operation can be one of the following values:

- **acctstatus**: Account status extended operation. Displays the status of the specified account.

```
ldapexop -op acctstatus -d <DN>
```

**-d DN**

Identifies the DN of the entry for which the account status is to be retrieved.

The account status can be open, locked or expired.

- **cascrepl**: cascading control replication extended operation. The requested action is applied to the specified server and also passed along to all replicas of the given subtree. If any of these are forwarding replicas, they pass the extended operation along to their replicas. The operation cascades over the entire replication topology.

### **-action quiesce | unquiesce | replnow | wait**

This is a required attribute that specifies the action to be performed.

#### **quiesce**

No further updates are allowed, except by replication.

#### **unquiesce**

Resume normal operation, client updates are accepted.

#### **replnow**

Replicate all queued changes to all replica servers as soon as possible, regardless of schedule.

#### **wait**

Wait for all updates to be replicated to all replicas.

### **-rc contextDn**

This is a required attribute that specifies the root of the subtree.

### **-timeout secs**

This is an optional attribute that if present, specifies the timeout period in seconds. If not present, or 0, the operation waits indefinitely.

### **Example:**

```
ldapexop -op cascrepl -action -quiesce -rc "o=acme,c=us" -timeout 60
```

- **clearlog | getlogsize | readlog -log ...**

These three operations support a new log file:

LostAndFound

These operations can be used with the IBM idirectory server (IBM i 6.1 and later), but only certain log files are supported:

LostAndFound – the replication conflict log file

- **controlqueue**: control queue replication extended operation. This operation allows you to delete or remove pending changes from the list of replication changes that have queued up and were not run because of replication failures. This operation is useful when the replica data is manually fixed. You would then use this operation to skip doing some of the queued up failures.

### **-skip all | change-id**

This is a required attribute.

- **-skip all** indicates to skip all pending changes for this agreement.
- **change-id** identifies the single change to be skipped. If the server is not currently replicating this change, the request fails.

**-ra agreementDn**

This is a required attribute that specifies the DN of the replication agreement.

**Examples:**

```
ldapexop -op controlqueue -skip all -ra "cn=server3,
ibm-replicaSubentry=master1-id,ibm-replicaGroup=default,
o=acme,c=us"

ldapexop -op controlqueue -skip 2185 -ra "cn=server3,
ibm-replicaSubentry=master1-id,ibm-replicaGroup=default,
o=acme,c=us"
```

- **controlrepl:** control replication extended operation

**-action suspend | resume | replnow**

This is a required attribute that specifies the action to be performed.

**-rc contextDn | -ra agreementDn**

The **-rc contextDn** is the DN of the replication context. The action is performed for all agreements for this context. The **-ra agreementDn** is the DN of the replication agreement. The action is performed for the specified replication agreement.

**Example:**

```
ldapexop -op controlrepl -action suspend -ra "cn=server3,
ibm-replicaSubentry=master1-id,ibm-replicaGroup=default,
o=acme,c=us"
```

- **controlreplerr**

The controlreplerr extended operation allows you to manage the replication error table on an i5/OS IBM i 6.1(or IBM Tivoli Directory Server v6.0) or later server. The options are:

```
ldapexop -op controlreplerr -show <failure_ID> -ra <agreementDN>
```

It allows you to view entries in the replication error table

**<failure\_ID>**

The ID of the failure. Specify 0 to show all entries.

**<agreementDN>**

The replication agreement which the entry is associated with.

```
ldapexop -op controlreplerr -delete <failure_ID> -ra <agreementDN>
```

It allows you to delete entries from the replication error table

**<failure\_ID>**

The ID of the failure. Specify 0 to show all entries.

**<agreementDN>**

The replication agreement which the entry is associated with.

```
ldapexop -op controlreplerr -retry <failure_ID> -ra <agreementDN>
```

It allows you to retry an entry in the replication error table

**<failure\_ID>**

The ID of the failure. Specify 0 to show all entries.

**<agreementDN>**

The replication agreement which the entry is associated with.

- **effectpwdpolicy**



A new effectpwdpolicy operation is supported by the ldapexop utility:

```
ldapexop -op effectpwdpolicy -d < user DN or a group DN>
```

This extended operation queries the effective password policy of a user or a group.

**Examples:**

```
ldapexop -D <adminDN> -w <adminPW> -op effectpwdpolicy -d cn=Bob Garcia,ou=austin,
o=sample
```

- **evaluateGroups**

A new evaluateGroups operation is supported by the ldapexop utility:

```
ldapexop -op evaluateGroups -d userDN -a <list of attribute and value pairs each
separated by a space>
```

It displays a list of groups the specified userDN belongs to.

The "-a" option is used to specify attribute values for the entry and retrieve dynamic groups which match this entry. If the "-a" option is not specified the request will be sent to the server for only the static groups. This extended operation is used to retrieve group membership information for a userDN which does not exist on the server (For example, the userDN represents a remote group member). The ibm-allGroups operational attribute should be used to list group memberships for the server containing the userDN.

**Example:**

To evaluate the group membership for entry uid=sample,cn=users,o=ibm based on the *departmentnumber* and *objectclass* attribute values of the entry:

```
ldapexop -op evaluateGroups -d uid=sample,cn=users,o=ibm -a objectclass=person
departmentnumber=abc
```

**Note:** Typically this extended operation would be given all the attribute values for the entry of interest.

- **getattributes -attrType<type> -matches bool<value>**

**-attrType {operational | language\_tag | attribute\_cache | unique | configuration}**

This is a required attribute that specifies type of attribute being requested.

**-matches bool {true | false}**

Specifies whether the list of attributes returned matches the attribute type specified by the -attrType< option.

**Example:**

```
ldapexop -op getattributes -attrType unique -matches bool true
```

Returns a list of all attributes that have been designated as unique attributes.

```
ldapexop -op getattributes -attrType unique -matches bool false
```

Returns a list of all attributes that have been not been designated as unique attributes.

- **getusertype:** request user type extended operation

This extended operation returns the user type based on the bound DN.

**Example:**

```
ldapexop -D <AdminDN> -w <Adminpw> -op
```

getusertype returns:

```
User : root_administrator
Role(s) : audit_administrator directory_data_administrator password_administrator
```

```
replication_administrator schema_administrator server_config_administrator
server_start_stop_administrator
```

For an administrative group member who is assigned "ReplicationAdmin" and "ServerStartStopAdmin" roles , the output of the extended operation will be:

```
User : admin_group_member
Role(s) : replication_administrator server_start_stop_administrator
```

If "No Administrator" role is assigned for an administrative group member, the output of this extended operation will be:

```
User : admin_group_member
Role(s) : no_administrator
```

- **locateEntry**

A new locateEntry operation is supported by the ldapexop utility: ldapexop -op locateEntry -d "DN" | -f "<file Name containing DN list> " [ -c ] This extended operation is used to extract the back-end server details of a given set of entry DNs and provide the details to the client. To extract the details of a single entry DN the -d option is used. To extract details of a set of DNs, place the entire set of DNs in a file and use the -f option to pass the file to ldapexop operation.

**Example**

```
ldapexop -D <binddn> -w <bindpw> -op locateEntry -d "cn=user,o=sample"
```

- **onlineBackup**

A new onlineBackup operation is supported by the ldapexop utility:

```
ldapexop -op onlineBackup -path <directoryPath>
```

This extended operation performs an online backup of the directory server instance's DB2 database.

**Example**

```
ldapexop -D <bindDN> -w <bindpw> -op onlineBackup -path <directoryPath>
```

**Note:** This operation is supported by the command line utility on IBM i, but are not supported by the Directory Server on IBM i

- **resumerole**

A new resumerole operation is supported by the ldapexop utility:

```
ldapexop -op resumerole -type <typeValue>
```

This extended operation enables the proxy server to resume the configured role of a back-end server in the distributed directory environment.

```
-type {all | partition <partitionName> | server <serverName> |
serverinapartition <serverName> <partitionName>}
```

Options are:

**all**

resumes roles for all back-end servers

**partition <partitionName>**

resumes the role of all back-end servers in the partition

**server <serverName>**

resumes the role of the back-end server for all partitions that it is in

**serverinapartition <serverName> <partitionName>**

resumes the role of the back-end server in the specified partition

**Example:**

```
ldapexop -op resumeroles -type all
```

**Note:** This operation is supported by the command line utility on IBM i, but are not supported by the Directory Server on IBM i

- **stopserver**

A new stopserver operation is supported by the ldapexop utility:

```
ldapexop -op stopserver -type <typeValue>
```

**Example:**

```
ldapexop -D <adminDn> -w <adminpw> -op stopserver
```

**Note:** This operation is supported by the command line utility on IBM i, but is not supported by the Directory Server on IBM i

- **quiesce:** quiesce or unquiesce subtree replication extended operation

**-rc contextDn**

This is a required attribute that specifies the DN of the replication context (subtree) to be quiesced or unquiesced.

**-end**

This is an optional attribute that if present, specifies to unquiesce the subtree. If not specified the default is to quiesce the subtree.

**Examples:**

```
ldapexop -op quiesce -rc "o=acme,c=us"
```

```
ldapexop -op quiesce -end -rc "o=ibm,c=us"
```

- **readconfig:** reread configuration file extended operation

**-scope entire | single<entry DN> <attribute>**

This is a required attribute.

- **entire** indicates to reread the entire configuration file.
- **single** means to read the single entry and attribute specified.

**Examples:**

```
ldapexop -op readconfig -scope entire
```

```
ldapexop -op readconfig -scope single "cn=configuration" ibm-slapdAdminPW
```

**Note:** The following entries marked with:

- <sup>1</sup> take effect immediately after a readconfig
- <sup>2</sup> take effect on new operations
- <sup>3</sup> take effect as soon as the password is changed (no readconfig required)
- <sup>4</sup> are supported by the command line utility on IBM i, but are not supported by the Directory Server on IBM i

```
cn=Configuration
ibm-slapdadminDn2
ibm-slapdadminpw2, 3
ibm-slapderrorlog1, 4
ibm-slapdpwencryption1
ibm-slapdsizelimit1
ibm-slapdsysloglevel1, 4
ibm-slapdtimelimit1
```

```
cn=Front End, cn=Configuration
```

```

ibm-slapdaclcache1
ibm-slapdaclcachesize1
ibm-slapdentrycachesize1
ibm-slapdfiltercachebypasslimit1
ibm-slapdfiltercachesize1
ibm-slapdidletimeout1

cn=Event Notification, cn=Configuration
ibm-slapdmaxeventsperconnection2
ibm-slapdmaxeventstotal2

cn=Transaction, cn=Configuration
ibm-slapdmaxnumoftransactions2
ibm-slapdmaxoppertransaction2
ibm-slapdmaxtimelimitoftransactions2

cn=ConfigDB, cn=Config Backends, cn=IBM SecureWay, cn=Schemas, cn=Configuration
ibm-slapdreadonly2

cn=Directory, cn=RDBM Backends, cn=IBM SecureWay, cn=Schemas, cn=Configuration
ibm-slapdbulkloadererrors1, 4
ibm-slapdclierrors1, 4
ibm-slapdpagedresallownonadmin2
ibm-slapdpagedreslmt2
ibm-slapdpagesizelmt2
ibm-slapdreadonly2
ibm-slapdsortkeylimit2
ibm-slapdsortsrchallownonadmin2
ibm-slapdsuffix2

```

- **repltopology -rc [options]:**

The repltopology extended operation is used to make the replication topology information on a consumer server match the topology on the supplier server.

```
ldapexop -op repltopology -rc [-timeout secs] [-ra agreementDn]
```

where

- **-rc contextDn**

This is a required attribute that specifies the root of the subtree.

- **-timeout secs**

This is an optional attribute that if present, specifies the timeout period in seconds. If not present, or 0, the operation waits indefinitely.

- **-ra agreementDn**

The **-ra** agreementDn is the DN of the replication agreement. The action is performed for the specified replication agreement. If the **-ra** option is not specified, the action is performed for all the replication agreements defined under the context.

- **Example:**

```
ldapexop -op repltopology -rc "o=acme,c=us" -ra "cn=server3,
ibm-replicaSubentry=master1-id,ibm-replicaGroup=default,
o=acme,c=us"-timeout 60
```

The supplier server binds to the consumer server using the configured replication credentials. Supplier DNs have authority to add suffixes to a consumer (replica) server's configuration supplier. This is used by a supplier server as part of the Replication Topology extended operation to add missing suffixes to the consumer server. For suffixes for which the contextDN entry does not yet exist, supplier DNs have authority to create a new replicated subtree. If the contextDN entry already exists, it must already be defined as the root of a replicated subtree; i.e. it must have the `ibm-replicationcontext` object class.

- **unbind {-dn<specificDN>| -ip<sourceIP> | -dn<specificDN> -ip<sourceIP> | all}:**

disconnect connections based on DN, IP, DN/IP or disconnect all connections. All connections without any operations and all connections with operations on the work queue are ended immediately. If a worker is currently working on a connection, it is ended as soon as the worker completes that one operation.

**-dn<specificDN>**

Issues a request to end a connection by DN only. This request results in the purging of all the connections bound on the specified DN.

**-ip<sourceIP>**

Issues a request to end a connection by IP only. This request results in the purging of all the connections from the specified IP source.

**-dn<specificDN> -ip<sourceIP>**

Issues a request to end a connection determined by a DN/IP pair. This request results in the purging of all the connections bound on the specified DN and from the specified IP source.

**-all**

Issues a request to end all the connections. This request results in the purging of all the connections except the connection from where this request originated. This attribute cannot be used with the -D or -IP. attributes

**Examples:**

```
ldapexop -op unbind -dn cn=john
ldapexop -op unbind -ip 9.182.173.43
ldapexop -op unbind -dn cn=john -ip 9.182.173.43
ldapexop -op unbind -all
```

- **uniqueattr -a <attributeType>**: identify all nonunique values for a particular attribute.

**-a <attribute>**

Specify the attribute for which all conflicting values are listed.

**Note:** Duplicate values for binary, operational, configuration attributes, and the objectclass attribute are not displayed. These attributes are not supported extended operations for unique attributes.

**Example:**

```
ldapexop -op uniqueattr -a "uid"
```

The following line is added to the configuration file under the "cn=Directory,cn=RDBM Backends,cn=IBM Directory,cn=schema,cn=Configuration" entry for this extended operation:

```
ibm-slapdPlugin: extendedop /QSYS.LIB/QGLDRDBM.SRVPGM initUniqueAttr
```

**Diagnostics**

Exit status is 0 if no errors occur. Errors result in a non-zero exit status and a diagnostic message being written to standard error.

**Related concepts**

[Directory Server APIs](#)

[Replication error table](#)

The replication error table logs failing updates for later recovery. When replication starts, the number of failures logged for each replication agreement is counted. This count is increased if an update results in a failure, and a new entry is added into the table.

**Related tasks**

[Viewing the lost and found log file](#)

The replication lost and found log file can be viewed using the IBM Tivoli Directory Server Web Administration Tool, using the log file options of the `ldapexop` utility, or viewing the file directly.

## ldapmodrdn

The LDAP modify-entry RDN command line utility.

### Synopsis

```
ldapmodrdn [-c] [-C charset] [-d debuglevel] [-D binddn]
[-f file] [-G realm] [-h ldaphost] [-i file] [-k] [-K keyfile]
[-m mechanism] [-M] [-n] [-N certificatename] [-O hopcount]
[-p ldapport] [-P keyfilepw] [-r] [-R] [-U username] [-v] [-V version]
[-w passwd | ?] [-y proxydn] [-Y] [-Z] [dn newrdn | [-i file]]
```

### Description

**ldapmodrdn** is a command-line interface to the `ldap_rename` application programming interface (API).

**ldapmodrdn** opens a connection to an LDAP server, binds, and moves or renames entries. The entry information is read from standard input, from file through the use of the **-f** option, or from the command-line pair `dn` and `rdn`. When using the **-s** option to move entries, the **-s** option applies to all the entries acted on by the command.

To display syntax help for **ldapmodrdn**, type:

```
ldapmodrdn -?
```

### Options

#### **-c**

Continuous operation mode. Errors are reported, but **ldapmodrdn** continues with modifications. Otherwise the default action is to exit after reporting an error.

#### **-C charset**

Specifies that the strings supplied as input to the **ldapmodrdn** utility are represented in a local character set, as specified by `charset`. Use the **-C charset** option if the input string codepage is different from the job codepage value. Refer to the `ldap_set_iconv_local_charset()` API to see supported charset values. Note that the supported values for `charset` are the same values supported for the `charset` tag that is optionally defined in Version 1 LDIF files.

#### **-d debuglevel**

Set the LDAP debugging level to `debuglevel`.

#### **-D binddn**

Use **binddn** to bind to the LDAP directory. **binddn** should be a string-represented DN. When used with **-m DIGEST-MD5**, it is used to specify the authorization ID. It can either be a DN, or an `authzId` string starting with "u:" or "dn:".

#### **-f file**

Read the entry modification information from an LDIF file instead of from standard input or the command-line (by specifying `dn` and the new `rdn`). Standard input can also be supplied from a file (`<file`).

#### **-G realm**

Specify the realm. This parameter is optional. When used with **-m DIGEST-MD5**, the value is passed to the server during the bind.

#### **-h ldaphost**

Specify an alternate host on which the ldap server is running.

#### **-i file**

Read the entry modification information from file instead of from standard input or the command-line (by specifying `rdn` and `newrdn`). Standard input can be supplied from a file, as well ("`<file`").

**-k**

Specifies to use server administration control.

**-K *keyfile***

Specify the name of the SSL key database file. If the key database file is not in the current directory, specify the fully-qualified key database filename.

If the utility cannot locate a key database, it will use a hard-coded set of default trusted certificate authority roots. The key database file typically contains one or more certificates of certification authorities (CAs) that are trusted by the client. These types of X.509 certificates are also known as trusted roots.

This parameter effectively enables the **-Z** switch. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

**-m *mechanism***

Use ***mechanism*** to specify the SASL mechanism to be used to bind to the server. The `ldap_sasl_bind_s()` API is used. The **-m** parameter is ignored if **-V 2** is set. If **-m** is not specified, simple authentication is used. Valid mechanisms are:

- CRAM-MD5 - protects the password sent to the server.
- EXTERNAL - uses the SSL certificate. Requires **-Z**.
- GSSAPI - uses the user's Kerberos credentials.
- DIGEST-MD5 - requires that the client send a username value to the server. Requires **-U**. The **-D** parameter (usually the bind DN) is used to specify the authorization ID. It can be a DN, or an authzId string starting with u: or dn:.
- OS400\_PRFTKN - authenticates to the local LDAP server as the current IBM i user using the DN of the user in the system projected backend. The **-D** (bind DN) and **-w** (password) parameters should not be specified.

**-M**

Manage referral objects as regular entries.

**-n**

Show what would be done, but don't actually change entries. Useful for debugging in conjunction with **-v**.

**-N *certificatename***

Specify the label associated with the client certificate in the key database file. Note that if the LDAP server is configured to perform server authentication only, a client certificate is not required. If the LDAP server is configured to perform client and server authentication, a client certificate might be required. ***certificatename*** is not required if a default certificate/private key pair has been designated as the default. Similarly, ***certificatename*** is not required if there is a single certificate/private key pair in the designated key database file. This parameter is ignored if neither **-Z** nor **-K** is specified. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

**-O *hopcount***

Specify ***hopcount*** to set the maximum number of hops that the client library takes when chasing referrals. The default hopcount is 10.

**-p *ldapport***

Specify an alternate TCP port where the ldap server is listening. The default LDAP port is 389. If not specified and **-Z** is specified, the default LDAP SSL port 636 is used.

**-P *keyfilepw***

Specify the key database password. This password is required to access the encrypted information in the key database file (which can include one or more private keys). If a password stash file is associated with the key database file, the password is obtained from the password stash file, and the **-P** parameter is not required. This parameter is ignored if neither **-Z** nor **-K** is specified.

**-r**

Remove old RDN values from the entry. Default action is to keep old values.

**-R**

Specifies that referrals are not to be automatically followed.

**-s newSuperior**

Specifies the DN of the new superior entry under which the renamed entry is relocated. The newSuperior argument may be the zero-length string (-s "").

**Note:** The new superior option is not supported when connecting to a server at a release prior to V6R1 (ITDS v6.0). The option is now only allowed on a leaf entry.

**-U username**

Specify the username. Required with -m DIGEST-MD5 and ignored with any other mechanism.

**-v**

Use verbose mode, with many diagnostics written to standard output.

**-V version**

Specifies the LDAP version to be used by **ldapmodrdn** when it binds to the LDAP server. By default, an LDAP V3 connection is established. To explicitly select LDAP V3, specify **-V 3**. Specify **-V 2** to run as an LDAP V2 application. An application, like **ldapmodrdn**, selects LDAP V3 as the preferred protocol by using `ldap_init` instead of `ldap_open`.

**-w passwd | ?**

Use **passwd** as the password for authentication. Use the ? to generate a password prompt.

**-y proxydn**

Set proxied ID for proxied authorization operation.

**-Y**

Use a secure LDAP connection (TLS).

**-Z**

Use a secure SSL connection to communicate with the LDAP server. For Directory Server on IBM i if you use -Z and do not use -K or -N, the certificate associated with the Directory Services Client application ID will be used.

**dn newrdn**

See the following section, "Input format for dn newrdn" for more information.

**Input format for dn newrdn**

If the command-line arguments *dn* and *newrdn* are given, *newrdn* replaces the RDN of the entry specified by the DN, *dn*. Otherwise, the contents of file (or standard input if no **-i** flag is given) consist of one or more entries:

```
Distinguished Name (DN)
Relative Distinguished Name (RDN)
```

One or more blank lines can be used to separate each DN and RDN pair.

**Examples**

Assuming that the file `/tmp/entrymods` exists and has the contents:

```
cn=Modify Me, o=University of Life, c=US
cn=The New Me
```

the command:

```
ldapmodrdn -r -i /tmp/entrymods
```

changes the RDN of the Modify Me entry from Modify Me to The New Me and the old cn, Modify Me is removed.



## Notes

If entry information is not supplied from file through the use of the **-i** option (or from the command-line pair *dn* and *rdn*), the **ldapmodrdn** command waits to read entries from standard input.

## Diagnostics

Exit status is 0 if no errors occur. Errors result in a non-zero exit status and a diagnostic message being written to standard error.

## Related concepts

[Directory Server APIs](#)

Distinguished names (DNs)

Every entry in the directory has a distinguished name (DN). The DN is the name that uniquely identifies an entry in the directory. The first component of the DN is referred to as the Relative Distinguished Name (RDN).

## ldapsearch

The LDAP search command line utility.

## Synopsis

```
ldapsearch [-a deref] [-A] [-b searchbase] [-B] [-c pattern] [-C charset]
[-d debuglevel] [-D binddn] [-e] [-f file] [-F sep] [-G realm] [-h ldaphost]
[-i file] [-j limit] [-J limit] [-k] [-K keyfile]
[-l timelimit] [-L] [-m mechanism] [-M] [-n] [-N certificatename]
[-o attr_type] [-O maxhops] [-p ldapport] [-P keyfilepw] [-q pagesize]
[-R] [-s scope] [-t] [-T seconds] [-U username] [-v] [-V version]
[-w passwd | ?] [-x] [-y proxydn] [-Y] [-z sizelimit] [-Z]
filter [-9 p] [-9 s] [attrs...]
```

## Description

**ldapsearch** is a command-line interface to the `ldap_search` application programming interface (API).

**ldapsearch** opens a connection to an LDAP server, binds, and performs a search using the filter. The filter should conform to the string representation for LDAP filters (see `ldap_search` in the Directory Server APIs for more information about filters).

If **ldapsearch** finds one or more entries, the attributes specified by `attrs` are retrieved and the entries and values are printed to standard output. If no `attrs` are listed, all attributes are returned.

To display syntax help for **ldapsearch**, type `ldapsearch -?`.

## Options

### -a deref

Specify how aliases dereferencing is done. `deref` should be one of `never`, `always`, `search`, or `find` to specify that aliases are never dereferenced, always dereferenced, dereferenced when searching, or dereferenced only when locating the base object for the search. The default is to never dereference aliases.

### -A

Retrieve attributes only (no values). This is useful when you just want to see if an attribute is present in an entry and are not interested in the specific values.

### -b searchbase

Use `searchbase` as the starting point for the search instead of the default. If **-b** is not specified, this utility will examine the `LDAP_BASEDN` environment variable for a `searchbase` definition. If neither is set, the default base is set to "".

### -B

Do not suppress display of non-ASCII values. This is useful when dealing with values that appear in alternate character sets such as ISO-8859.1. This option is implied by the **-L** option.

**-c pattern**

Performs a persistent search. The pattern format should be `ps:changeType[:changesOnly[:entryChangeControls]]`, where `changeType` can be `add`, `delete`, `modify`, `moddn`, and `any`. The `changesOnly` and `entryChangeControls` parameters are Boolean parameters and can be set to `TRUE` or `FALSE`.

**Note:** When alias dereferencing option is 'find', then only the search base object needs to be de-referenced if it is an alias. This means that even if it is a one-level or sub-tree search, the subordinate alias entries under the base are not expected to be de-referenced. However, if it is a persistent search that is reporting changed entries and a changed entry happens to be an alias, then it is de-referenced even though it is subordinate to the search base.

**-C charset**

Specifies that strings supplied as input to the `ldapsearch` utility are represented in a local character set (as specified by `charset`). String input includes the filter, the bind DN and the base DN. Similarly, when displaying data, **ldapsearch** converts data received from the LDAP server to the specified character set. Use the **-C charset** option if the input string codepage is different from the job codepage value. Refer to the `ldap_set_iconv_local_charset()` API to see supported `charset` values. Also, if the **-C** option and the **-L** option are both specified, input is assumed to be in the specified character set, but output from **ldapsearch** is always preserved in its UTF-8 representation, or a base-64 encoded representation of the data when non-printable characters are detected. This is the case because standard LDIF files only contain UTF-8 (or base-64 encoded UTF-8) representations of string data. Note that the supported values for `charset` are the same values supported for the `charset` tag that is optionally defined in Version 1 LDIF files.

**-d debuglevel**

Set the LDAP debugging level to `debuglevel`.

**-D binddn**

Use `binddn` to bind to the LDAP directory. **binddn** should be a string-represented DN (see LDAP Distinguished Names). When used with `-m DIGEST-MD5`, it is used to specify the authorization ID. It can either be a DN, or an `authzId` string starting with "u:" or "dn:".

**-e**

Display the LDAP library version information and exit.

**-f**

Perform sequence of searches using filters in 'file', "%s" must be substituted for the filter.

**-F sep**

Use `sep` as the field separator between attribute names and values. The default separator is '=', unless the **-L** flag has been specified, in which case this option is ignored.

**-G realm**

Specify the realm. This parameter is optional. When used with `-m DIGEST-MD5`, the value is passed to the server during the bind.

**-g before:after:index:count| before:after:value**

'before' and 'after' are the number of entries surrounding 'index', 'count' is the content count, and 'value' is the assertion value for the primary sort key.

**-h ldaphost**

Specify an alternate host on which the ldap server is running.

**-i file**

Read a series of lines from file, performing one LDAP search for each line. In this case, the filter given on the command line is treated as a pattern where the first occurrence of %s is replaced with a line from file. If file is a single "-" character, then the lines are read from standard input.

For example, in the command, **ldapsearch -V3 -v -b "o=sample" -D "cn=admin" -w ldap -i filter.input %s dn**, the **filter.input** file might contain the following filter information:

```
(cn=*Z)
(cn=*Z*)
(cn=Z*)
(cn=*Z*)
(cn~=A)
```

(cn>=A)  
(cn<=B)

**Note:** Each filter must be specified on a separate line.

The command performs a search of the subtree **o=sample** for each of the filters beginning with **cn=\*Z.** When that search is completed, the search begins for the next filter **cn=\*Z\*** and so forth until the search for the last filter **cn<=B** is completed.

**Note:** The *-i <file>* option replaces the *-f <file>* option. The *-f* option is still supported, although it is deprecated.

**-j limit**

Maximum number of values that can be returned for an attribute within an entry. The default value is 0 which means unlimited.

**-J limit**

Maximum number of total attribute values that can be returned for an entry. The default value is 0 which means unlimited.

**-k**

Use server administration control on bind.

**-K keyfile**

Specify the name of the SSL key database file. If the key database file is not in the current directory, specify the fully-qualified key database filename.

If the utility cannot locate a key database, it will use a hard-coded set of default trusted certificate authority roots. The key database file typically contains one or more certificates of certification authorities (CAs) that are trusted by the client. These types of X.509 certificates are also known as trusted roots.

This parameter effectively enables the **-Z** switch. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

**-l timelimit**

Wait at most timelimit seconds for a search to complete.

**-L**

Display search results in LDIF format. This option also turns on the **-B** option, and causes the **-F** option to be ignored.

**-m mechanism**

Use **mechanism** to specify the SASL mechanism to be used to bind to the server. The `ldap_sasl_bind_s()` API is used. The **-m** parameter is ignored if **-V 2** is set. If **-m** is not specified, simple authentication is used. Valid mechanisms are:

- CRAM-MD5 - protects the password sent to the server.
- EXTERNAL - uses the SSL certificate. Requires **-Z**.
- GSSAPI - uses the user's Kerberos credentials.
- DIGEST-MD5 - requires that the client send a username value to the server. Requires **-U**. The **-D** parameter (usually the bind DN) is used to specify the authorization ID. It can be a DN, or an authzId string starting with `u:` or `dn:`.
- OS400\_PRFTKN - authenticates to the local LDAP server as the current IBM i user using the DN of the user in the system projected backend. The **-D** (bind DN) and **-w** (password) parameters should not be specified.

**-M**

Manage referral objects as regular entries.

**-n**

Show what would be done, but don't actually change entries. Useful for debugging in conjunction with **-v**.

### **-N certificatename**

Specify the label associated with the client certificate in the key database file.

**Note:** If the LDAP server is configured to perform server authentication only, a client certificate is not required. If the LDAP server is configured to perform client and server authentication, a client certificate might be required. *certificatename* is not required if a default certificate/private key pair has been designated as the default. Similarly, *certificatename* is not required if there is a single certificate/private key pair in the designated key database file. This parameter is ignored if neither **-Z** nor **-K** is specified.

For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

### **-o attr\_type**

To specify an attribute to use for sort criteria of search results, you can use the **-o** (order) parameter. You can use multiple **-o** parameters to further define the sort order. In the following example, the search results are sorted first by surname (sn), then by given name, with the given name (givenname) being sorted in reverse (descending) order as specified by the prefixed minus sign (-):

```
-o sn -o -givenname
```

Thus, the syntax of the sort parameter is as follows:

```
[-]<attribute name>[:<matching rule OID>]
```

where

- **attribute name** is the name of the attribute you want to sort by.
- **matching rule OID** is the optional OID of a matching rule that you want to use for sorting. The matching rule OID attribute is not supported by the Directory Server, however other LDAP servers might support this attribute.
- The minus sign (-) indicates that the results must be sorted in reverse order.
- The criticality is always critical.

The default ldapsearch operation is not to sort the returned results.

### **-O maxhops**

Specify maxhops to set the maximum number of hops that the client library takes when chasing referrals. The default hopcount is 10.

### **-p ldapport**

Specify an alternate TCP port where the ldap server is listening. The default LDAP port is 389. If not specified and **-Z** is specified, the default LDAP SSL port 636 is used.

### **-P keyfilepw**

Specify the key database password. This password is required to access the encrypted information in the key database file (which can include one or more private keys). If a password stash file is associated with the key database file, the password is obtained from the password stash file, and the **-P** parameter is not required. This parameter is ignored if neither **-Z** nor **-K** is specified.

### **-q pagesize**

To specify paging of search results, two parameters can be used: **-q** (query page size), and **-T** (time between searches in seconds). In the following example, the search results return a page (25 entries) at a time, every 15 seconds, until all the results for that search are returned. The ldapsearch client handles all connection continuation for each paged results request for the life of the search operation.

These parameters can be useful when the client has limited resources or when it is connected through a low-bandwidth connection. In general, it allows you to control the rate at which data is returned from a search request. Instead of receiving all of the results at once, you can get them a few entries (a

page) at a time. In addition, you can control the duration of the delay taken between each page request, giving the client time to process the results.

```
-q 25 -T 15
```

If the **-v** (verbose) parameter is specified, `ldapsearch` lists how many entries have been returned so far, after each page of entries returned from the server, for example, **30 total entries have been returned**.

Multiple **-q** parameters are enabled such that you can specify different page sizes throughout the life of a single search operation. In the following example, the first page is 15 entries, the second page is 20 entries, and the third parameter ends the paged result/search operation:

```
-q 15 -q 20 -q 0
```

In the following example, the first page is 15 entries, and all the rest of the pages are 20 entries, continuing with the last specified **-q** value until the search operation completes:

```
-q 15 -q 20
```

The default `ldapsearch` operation is to return all entries in a single request. No paging is done for the default `ldapsearch` operation.

#### **-R**

Specifies that referrals are not to be automatically followed.

#### **-s scope**

Specify the scope of the search. `scope` should be one of `base`, `one`, or `sub` to specify a base object, one-level, or subtree search. The default is `sub`.

#### **-t**

Write retrieved values to a set of temporary files. This is useful for dealing with non-ASCII values such as `jpegPhoto` or `audio`.

#### **-T seconds**

Time between searches (in seconds). The **-T** option is only supported when the **-q** option is specified.

#### **-U username**

Specify the username. Required with `-m DIGEST-MD5` and ignored with any other mechanism.

#### **-v**

Use verbose mode, with many diagnostics written to standard output.

#### **-V**

Specifies the LDAP version to be used by `ldapmodify` when it binds to the LDAP server. By default, an LDAP V3 connection is established. To explicitly select LDAP V3, specify `"-V 3"`. Specify `"-V 2"` to run as an LDAP V2 application. An application, like `ldapmodify`, selects LDAP V3 as the preferred protocol by using `ldap_init` instead of `ldap_open`.

#### **-w passwd | ?**

Use ***passwd*** as the password for authentication. Use the `?` to generate a password prompt.

#### **-x**

Use FIPS mode processing (SSL/TLS only)

#### **-y proxydn**

Set proxied ID for proxied authorization operation.

#### **-Y**

Use a secure LDAP connection (TLS).

#### **-z sizelimit**

Limit the results of the search to at most `sizelimit` entries. This makes it possible to place an upper bound on the number of entries that are returned for a search operation.

## -Z

Use a secure SSL connection to communicate with the LDAP server. For Directory Server on IBM i if you use -Z and do not use -K or -N, the certificate associated with the Directory Services Client application ID will be used.

## -9 p

Sets criticality for paging to false. The search is handled without paging.

## -9 s

Sets criticality for sorting to false. The search is handled without sorting.

## filter

Specifies a string representation of the filter to apply in the search. Simple filters can be specified as `attributetype=attributevalue`. More complex filters are specified using a prefix notation according to the following Backus Naur Form (BNF):

```
<filter> ::= '(' <filtercomp> ')'  
<filtercomp> ::= <and> | <or> | <not> | <simple>  
<and> ::= '&' <filterlist>  
<or> ::= '|' <filterlist>  
<not> ::= '!' <filter>  
<filterlist> ::= <filter> | <filter> <filterlist>  
<simple> ::= <attributetype> <filtertype>  
<attributevalue>  
<filtertype> ::= '=' | '~=' | '<=' | '>='
```

The '~=' construct is used to specify approximate matching. The representation for `<attributetype>` and `<attributevalue>` are as described in RFC 2252, LDAP V3 Attribute Syntax Definitions. In addition, if the filtertype is '=' then `<attributevalue>` can be a single \* to achieve an attribute existence test, or can contain text and asterisks ( \*) interspersed to achieve substring matching.

For example, the filter "mail=\*" finds any entries that have a mail attribute. The filter "mail=\*@student.of.life.edu" finds any entries that have a mail attribute ending in the specified string. To put parentheses in a filter, escape them with a backslash (\) character.

**Note:** A filter like "cn=Bob \*", where there is a space between Bob and the asterisk ( \* ), matches "Bob Carter" but not "Bobby Carter" in IBM Directory. The space between "Bob" and the wildcard character ( \* ) affects the outcome of a search using filters.

See RFC 2254, A String Representation of LDAP Search Filters for a more complete description of allowable filters.

## Output format

If one or more entries are found, each entry is written to standard output in the form:

```
Distinguished Name (DN)  
attributename=value  
attributename=value  
attributename=value  
...
```

Multiple entries are separated with a single blank line. If the **-F** option is used to specify a separator character, it will be used instead of the '=' character. If the **-t** option is used, the name of a temporary file is used in place of the actual value. If the **-A** option is given, only the "attributename" part is written.

## Examples

The following command:

```
ldapsearch "cn=john doe" cn telephoneNumber
```

performs a subtree search (using the default search base) for entries with a commonName of "john doe". The commonName and telephoneNumber values are retrieved and printed to standard output. The output might look something like this if two entries are found:

```
cn=John E Doe, ou="College of Literature, Science, and the Arts",
ou=Students, ou=People, o=University of Higher Learning, c=US

cn=John Doe

cn=John Edward Doe

cn=John E Doe 1

cn=John E Doe

telephoneNumber=+1 313 555-5432

cn=John B Doe, ou=Information Technology Division,
ou=Faculty and Staff, ou=People, o=University of Higher Learning, c=US

cn=John Doe

cn=John B Doe 1

cn=John B Doe

telephoneNumber=+1 313 555-1111
```

The command:

```
ldapsearch -t "uid=jed" jpegPhoto audio
```

performs a subtree search using the default search base for entries with user id of "jed". The jpegPhoto and audio values are retrieved and written to temporary files. The output might look like this if one entry with one value for each of the requested attributes is found:

```
cn=John E Doe, ou=Information Technology Division,
ou=Faculty and Staff,
ou=People, o=University of Higher Learning, c=US
audio=/tmp/ldapsearch-audio-a19924
jpegPhoto=/tmp/ldapsearch-jpegPhoto-a19924
```

The command:

```
ldapsearch -L -s one -b "c=US" "o=university*" o description
```

performs a one-level search at the c=US level for all organizations whose organizationName begins with university. Search results will be displayed in the LDIF format (see LDAP Data Interchange Format). The organizationName and description attribute values will be retrieved and printed to standard output, resulting in output similar to this:

```
dn: o=University of Alaska Fairbanks, c=US
o: University of Alaska Fairbanks
description: Preparing Alaska for a brave new tomorrow
description: leaf node only

dn: o=University of Colorado at Boulder, c=US
o: University of Colorado at Boulder
```

```

description: No personnel information
description: Institution of education and research

dn: o=University of Colorado at Denver, c=US
o: University of Colorado at Denver
o: UCD
o: CU/Denver
o: CU-Denver
description: Institute for Higher Learning and Research

dn: o=University of Florida, c=US
o: University of Florida
o: UF1
description: Shaper of young minds

...

```

The command:

```
ldapsearch -b "c=US" -o ibm-slapdDN "objectclass=person" ibm-slapdDN
```

performs a subtree level search at the c=US level for all persons. This special attribute (ibm-slapdDN), when used for sorted searches, sorts the search results by the string representation of the Distinguished Name (DN). The output might look something like this:

```

cn=Al Edwards,ou=Widget Division,ou=Austin,o=IBM,c=US
cn=Al Garcia,ou=Home Entertainment,ou=Austin,o=IBM,c=US
cn=Amy Nguyen,ou=In Flight Systems,ou=Austin,o=IBM,c=US
cn=Arthur Edwards,ou=Widget Division,ou=Austin,o=IBM,c=US
cn=Becky Garcia,ou=In Flight Systems,ou=Austin,o=IBM,c=US
cn=Ben Catu,ou=In Flight Systems,ou=Austin,o=IBM,c=US
cn=Ben Garcia Jr,ou=Home Entertainment,ou=Austin,o=IBM,c=US
cn=Bill Keller Jr.,ou=In Flight Systems,ou=Austin,o=IBM,c=US
cn=Bob Campbell,ou=In Flight Systems,ou=Austin,o=IBM,c=US

```

The command:

```
ldapsearch -h hostname -o sn -b "o=ibm,c=us" "title=engineer"
```

returns all entries in an IBM employee directory whose title is "engineer", with the results sorted by surname.

The command:

```
ldapsearch -h hostname -o -sn -o cn -b "o=ibm,c=us" "title=engineer"
```

returns all entries in an IBM employee directory whose title is "engineer", with the results sorted by surname (in descending order) and then by common name (in ascending order).



The command:

```
ldapsearch -h hostname -q 5 -T 3 -b o=ibm,c=us "title=engineer"
```

returns five entries per page, with a delay of 3 seconds between pages for all entries in an IBM employee directory whose title is "engineer".

This example demonstrates searches where a referral object is involved. Directory Server LDAP directories can contain referral objects, provided that they contain only the following:

- A distinguished name (dn).
- An objectClass (objectClass).
- A referral (ref) attribute.

Assume that 'System\_A' holds the referral entry:

```
dn: cn=Barb Jensen, ou=Rochester, o=Big Company, c=US
ref: ldap://System_B:389/cn=Barb Jensen,
    ou=Rochester, o=Big Company, c=US
objectclass: referral
```

All attributes associated with the entry should reside on 'System\_B'.

System\_B contains an entry:

```
dn: cn=Barb Jensen, ou=Rochester, o=Big Company, c=US
cn: Barb Jensen
objectclass: organizationalPerson
sn: Jensen
telephonenumber: (800) 555 1212
```

When a client issues a request to 'System\_A', the LDAP server on System\_A responds to the client with the URL:

```
ldap://System_B:389/cn=Barb Jensen,
ou=Rochester, o=Big Company, c=US
```

The client uses this information to issue a request to System\_B. If the entry on System\_A contains attributes in addition to dn, objectclass, and ref, the server ignores those attributes (unless you specify the **-R** flag to indicate not to chase referrals).

When the client receives a referral response from a server, it issues the request again, this time to the server to which the returned URL refers. The new request has the same scope as the original request. The results of this search vary depending on the value you specify for the scope of the search (**-b**).

If you specify **-s base**, as shown here:

```
ldapsearch -h System_A -b 'ou=Rochester, o=Big Company, c=US'
-s base 'sn=Jensen'
```

the search returns all attributes for all entries with 'sn=Jensen' that reside in 'ou=Rochester, o=Big Company, c=US' on both System\_A and System\_B.

If you specify **-s sub**, as shown here:

```
ldapsearch -s sub "cn=John"
```

the server would search all suffixes and return all entries with "cn=John". This is known as a subtree search on a null base. The entire directory is searched with one search operation instead of doing multiple searches each with a different suffix as the search base. This type of search operation takes longer and consumes more system resources because it is searching the entire directory (all suffixes).

**Note:** A subtree search on a null base does not return schema information, change log information, nor anything from the system-projected backend.

If you specify `-s sub`, as shown here:

```
ldapsearch -h System_A -b 'ou=Rochester, o=Big Company, c=US'
-s sub 'sn=Jensen'
```

the search returns all attributes for all entries with 'sn=Jensen' that reside in or below 'ou=Rochester, o=Big Company, c=US' on both System\_A and System\_B.

If you specify `-s one`, as shown here:

```
ldapsearch -h System_A -b 'ou=Rochester, o=Big Company, c=US'
-s one 'sn=Jensen'
```

the search returns no entries on either system. Instead, the server returns the referral URL to the client:

```
ldap://System_B:389/cn=Barb Jensen,
ou=Rochester, o=Big Company, c=US
```

The client in turn submits a request:

```
ldapsearch -h System_B -b 'ou=Rochester, o=Big Company, c=US'
-s one 'sn=Jensen'
```

This does not give any results either, because the entry

```
dn: cn=Barb Jensen, ou=Rochester, o=Big Company, c=US
```

resides at

```
ou=Rochester, o=Big Company, c=US
```

A search with `-s one` attempts to find entries in the level immediately below

```
ou=Rochester, o=Big Company, c=US
```

## Diagnostics

Exit status is 0 if no errors occur. Errors result in a non-zero exit status and a diagnostic message being written to standard error.

### Related concepts

[Directory Server APIs](#)

[LDAP directory referrals](#)

Referrals allow Directory Servers to work in teams. If the DN that a client requests is not in one directory, the server can automatically send (refer) the request to any other LDAP server.

### Related reference

[LDAP data interchange format \(LDIF\)](#)

LDAP Data Interchange Format is a standard text format for representing LDAP objects and LDAP updates (add, modify, delete, modify DN) in a textual form. Files containing LDIF records can be used to transfer data between directory servers or used as input by LDAP tools like **ldapadd** and **ldapmodify**.

### Related information

[RFC 2252, LDAP V3 Attribute Syntax Definitions](#)

[RFC 2254, A String Representation of LDAP Search Filters](#)

## ldapchangepwd

The LDAP modify password command line utility.

### Synopsis

```
ldapchangepwd -D binddn -w passwd | ? -n newpassword | ?  
[-C charset] [-d debuglevel] [-G realm] [-h ldaphost]  
[-K keyfile] [-m mechanism] [-M] [-N certificatename]  
[-O maxhops] [-p ldapport] [-P keyfilepw] [-R]  
[-U username] [-v] [-V version] [-y proxydn] [-Y] [-Z] [-?]
```

### Description

Sends modify password requests to an LDAP server. Allows the password for a directory entry to be changed.

### Options

#### **-C charset**

Specifies that the DN's supplied as input to the **ldapdelete** utility are represented in a local character set, as specified by charset. Use the **-C charset** option if the input string codepage is different from the job codepage value. Refer to the `ldap_set_iconv_local_charset()` API to see supported charset values.

#### **-d debuglevel**

Set the LDAP debugging level to debuglevel.

#### **-D binddn**

Use **binddn** to bind to the LDAP directory. **binddn** is a string-represented DN. When used with **-m DIGEST-MD5**, it is used to specify the authorization ID. It can either be a DN, or an authzId string starting with "u:" or "dn:".

#### **-G realm**

Specify the realm. This parameter is optional. When used with **-m DIGEST-MD5**, the value is passed to the server during the bind.

#### **-h ldaphost**

Specify an alternate host on which the ldap server is running.

#### **-K keyfile**

Specify the name of the SSL key database file. If the key database file is not in the current directory, specify the fully-qualified key database filename.

If the utility cannot locate a key database, it will use a hard-coded set of default trusted certificate authority roots. The key database file typically contains one or more certificates of certification authorities (CAs) that are trusted by the client. These types of X.509 certificates are also known as trusted roots.

This parameter effectively enables the **-Z** switch. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.

#### **-m mechanism**

Use **mechanism** to specify the SASL mechanism to be used to bind to the server. The `ldap_sasl_bind_s()` API is used. The **-m** parameter is ignored if **-V 2** is set. If **-m** is not specified, simple authentication is used. Valid mechanisms are:

- CRAM-MD5 - protects the password sent to the server.
- EXTERNAL - uses the SSL certificate. Requires **-Z**.
- GSSAPI - uses the user's Kerberos credentials.
- DIGEST-MD5 - requires that the client send a username value to the server. Requires **-U**. The **-D** parameter (usually the bind DN) is used to specify the authorization ID. It can be a DN, or an authzId string starting with u: or dn:.

- M**  
Manage referral objects as regular entries.
- n *newpassword* | ?**  
Specifies the new password. Use the ? to generate a password prompt.
- N *certificatename***  
Specify the label associated with the client certificate in the key database file. If the LDAP server is configured to perform server authentication only, a client certificate is not required. If the LDAP server is configured to perform client and server authentication, a client certificate might be required. ***certificatename*** is not required if a default certificate/private key pair has been designated as the default. Similarly, ***certificatename*** is not required if there is a single certificate/private key pair in the designated key database file. This parameter is ignored if neither **-Z** nor **-K** is specified. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.
- O *maxhops***  
Specify ***maxhops*** to set the maximum number of hops that the client library takes when chasing referrals. The default hopcount is 10.
- p *ldapport***  
Specify an alternate TCP port where the ldap server is listening. The default LDAP port is 389. If **-p** is not specified and **-Z** is specified, the default LDAP SSL port 636 is used.
- P *keyfilepw***  
Specify the key database password. This password is required to access the encrypted information in the key database file, which can include one or more private keys. If a password stash file is associated with the key database file, the password is obtained from the password stash file, and the **-P** parameter is not required. This parameter is ignored if neither **-Z** nor **-K** is specified.
- R**  
Specifies that referrals are not to be automatically followed.
- U *username***  
Specify the username. Required with **-m DIGEST-MD5** and ignored with any other mechanism.
- v**  
Use verbose mode, with many diagnostics written to standard output.
- V *version***  
Specifies the LDAP version to be used by **ldapdchangepwd** when it binds to the LDAP server. By default, an LDAP V3 connection is established. To explicitly select LDAP V3, specify **-V 3**. Specify **-V 2** to run as an LDAP V2 application. An application, like **ldapdchangepwd**, selects LDAP V3 as the preferred protocol by using `ldap_init` instead of `ldap_open`.
- w *passwd* | ?**  
Use ***passwd*** as the password for authentication. Use the ? to generate a password prompt.
- y *proxydn***  
Set proxied ID for proxied authorization operation.
- Y**  
Use a secure LDAP connection (TLS).
- Z**  
Use a secure SSL connection to communicate with the LDAP server. For Directory Server on IBM i if you use **-Z** and do not use **-K** or **-N**, the certificate associated with the Directory Services Client application ID will be used.
- 1 *sec:usec***  
Specifies timeout for the connect() function in seconds and microseconds. The values provided for seconds and microseconds must be positive integers.
- ?**  
Displays the syntax help for `ldapchangepwd`.

## Examples

The following command,

```
ldapchangepwd -D cn=John Doe -w a1b2c3d4 -n wxyz9876
```

changes the password for the entry named with commonName "John Doe" from a1b2c3d4 to wxyz9876

## Diagnostics

Exit status is 0 if no errors occur. Errors result in a non-zero exit status and a diagnostic message being written to standard error.

## Related concepts

[Lightweight Directory Access Protocol \(LDAP\) APIs](#) See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

## ldapcompare

The LDAP compare command line utility.

## Synopsis

The ldapcompare utility sends a compare request to an LDAP server.

```
ldapcompare | ldapcompare [-c] [-d level] [-D dn] [-f file]
                  [-G realm] [-h host] [-m mechanism] [-n] [-p port]
                  [-P on|off] [-R] [-U username] [-v] [-V version]
                  [-w password|?] [-y proxyDN]
```

## Description

The ldapcompare utility compares the attribute value of an entry with a user provided value.

The syntax of the ldapcompare command is:

```
ldapcompare [options] [dn attr=value]
```

where:

- dn: The dn entry for compare
- attr: The attribute to be used in the compare.
- value: The value to be used in the compare.

## Options

### -c

Specifies to perform the operation in continuous mode. In this mode even after the error is reported, the compare operation is continued. The default action is to exit the operation on an error.

### -d <level>

Sets the debug level to <level> in the LDAP library.

### -D <dn>

Specifies the bind dn used to bind to a directory server.

### -f <file>

Specifies to perform sequence of compares using the values in the file.

### -G <realm>

Specifies the realm used for DIGEST-MD5 bind mechanism.

### -h <host>

Specifies the LDAP server host name.

**-v**  
Use verbose mode, with many diagnostics written to standard output.

### Options for a replication supplier

The following options apply to the consumer server and are denoted by an initial 's' in the option name.

**-m** <mechanism>

Specifies the mechanism to be used with the SASL bind to bind to a server.

**-n**

Demonstrates what action would be performed without actually performing it.

**Note:** This option is useful for debugging when used in conjunction with -v.

**-p** <port>

Specifies the port number on which the LDAP server listens.

**-P** <on/off>

Specifies whether to send password policy controls. The parameter along with -P can be either "on" or "off", which implies:

- on = send the password policy controls
- off = do not send password policy controls

**-R**

Specifies not to chase referrals automatically.

**-U** <username>

Specifies the user name for DIGEST-MD5 bind mechanism. .

**-v**

Specifies to run the command in the verbose mode.

**-V** <version>

Specifies the LDAP protocol version. The default version is 3.

**-w** <password>

Specifies the bind password.

**-y** <proxydn>

Specifies to set a proxied id for the proxied authorization operation.

### Examples

Consider an example given below:

```
ldapcompare -D <adminDN> -w <adminPWD> -h <localhost> -p <port> "cn=Bob Campbell, ou=In Flight Systems, ou=Austin, o=sample" postalcode=4502
```

This command compares the entry with an entry existing in the DIT. Now, if the entry cn=Bob Campbell has its postal code as 4502 in the DIT, the above command will return true. Otherwise it returns false.

The same result can be achieved by using an ldif file with the -f option as shown below:

```
ldapcompare -D <adminDN> -w <adminPWD> -h <localhost> -p <port> -f myfile
```

where myfile contains the following

```
cn=Bob Campbell, ou=In Flight Systems, ou=Austin, o=sample  
postalcode: 4502
```

The -f option is useful when you need to compare more than one entry using a single command.

## ldapdiff

The LDAP replica synchronization command line utility.

**Note:** This command could run for a long time depending on the number of entries (and attributes for those entries) that are replicated.

## Synopsis

(Compares and synchronizes data entries between two servers within a replication environment.)

```
ldapdiff -b baseDN -sh host -ch host [-a] [-C countnumber]
[-cD dn] [-cK keyStore] [-cw password] [-cN keyLabel]
[-cp port] [-cP keyStorePwd] [-cZ] [-F] [-L filename] [-sD dn] [-sK keyStore]
[-sw password] [-sN keyLabel] [-sp port] [-sP keyStorePwd]
[-sZ] [-v]
```

or

(Compares the schema between two servers.)

```
ldapdiff -S -sh host -ch host [-a] [-C countnumber] [-cD dn]
[-cK keyStore] [-cw password] [-cN keyLabel] [-cp port]
[-cP keyStorePwd] [-cZ] [-L filename] [-sD dn]
[-sK keyStore] [-sw password] [-sN keyLabel] [-sp port]
[-sP keyStorePwd] [-sZ] [-v]
```

## Description

This tool synchronizes a replica server with its master. To display syntax help for **ldapdiff**, type:

```
ldapdiff -?
```

## Options

The following options apply to the **ldapdiff** command. There are two subgroupings that apply specifically to either the supplier server or the consumer server.

### -a

Specifies to use server administration control for writes to a read-only replica.

### -b *baseDN*

Use searchbase as the starting point for the search instead of the default. If **-b** is not specified, this utility examines the LDAP\_BASEDN environment variable for a searchbase definition.

### -C *countnumber*

Counts the number of entries to fix. If more than the specified number of mismatches are found, the tool exits.

### -F

This is the fix option. If specified, content on the consumer replica is modified to match the content of the supplier server. This cannot be used if the **-S** is also specified.

### -L

If the **-F** option is not specified, use this option to generate an LDIF file for output. The LDIF file can be used to update the consumer to eliminate the differences.

### -S

Specifies to compare the schema on both of the servers.

### -v

Use verbose mode, with many diagnostics written to standard output.

## Options for a replication supplier

The following options apply to the consumer server and are denoted by an initial 's' in the option name.

**-sD dn**

Use **dn** to bind to the LDAP directory. **dn** is a string-represented DN.

**-sh host**

Specifies the host name.

**-sK keyStore**

Specify the name of the SSL key database file with default extension of **kdb**. If this parameter is not specified, or the value is an empty string (-sK"") the system keystore is used. If the key database file is not in the current directory, specify the fully-qualified key database filename.

**-sN keyLabel**

Specify the label associated with the client certificate in the key database file. If a label is specified without specifying a keystore, the label is an application identifier in the Digital Certificate Manager (DCM). The default label (application id) is QIBM\_GLD\_DIRSrv\_CLIENT. If the LDAP server is configured to perform server authentication only, a client certificate is not required. If the LDAP server is configured to perform client and server authentication, a client certificate is required. **keyLabel** is not required if a default certificate/private key pair has been designated. Similarly, **keyLabel** is not required if there is a single certificate/private key pair in the designated key database file. This parameter is ignored if neither **-sZ** nor **-sK** is specified.

**-sp ldapport**

Specify an alternate TCP port where the ldap server is listening. The default LDAP port is 389. If **-sp** is not specified and **-sZ** is specified, the default LDAP SSL port 636 is used.

**-sP keyStorePwd**

Specify the key database password. This password is required to access the encrypted information in the key database file, which can include one or more private keys. If a password stash file is associated with the key database file, the password is obtained from the password stash file, and the **-sP** parameter is not required. This parameter is ignored if neither **-sZ** nor **-sK** is specified. The password is not used if there is a stash file for the keystore being used.

**-st trustStoreType**

Specify the label associated with the client certificate in the trust database file. If the LDAP server is configured to perform server authentication only, a client certificate is not required. If the LDAP server is configured to perform client and server authentication, a client certificate might be required. **trustStoreType** is not required if a default certificate/private key pair has been designated as the default. Similarly, **trustStoreType** is not required if there is a single certificate/private key pair in the designated key database file. This parameter is ignored if neither **-sZ** nor **-sT** is specified.

**-sZ**

Use a secure SSL connection to communicate with the LDAP server.

**Options for a replication consumer**

The following options apply to the consumer server and are denoted by an initial 'c' in the option name. For convenience, if **-cZ** is specified without specifying values for **-cK**, **-cN** or **-cP**, these options use the same value specified for the supplier SSL options. To override the supplier options and use the defaults setting, specify **-cK "" -cN "" -cP ""**.

**-cD dn**

Use **dn** to bind to the LDAP directory. **dn** is a string-represented DN.

**-ch host**

Specifies the host name.

**-cK keyStore**

Specify the name of the SSL key database file with default extension of **kdb**. If the value is an empty string (-sK"") the system keystore is used. If the key database file is not in the current directory, specify the fully-qualified key database filename.

**-cN keyLabel**

Specify the label associated with the client certificate in the key database file. If the LDAP server is configured to perform server authentication only, a client certificate is not required. If a label is specified without specifying a keystore, the label is an application identifier in the Digital Certificate



Manager (DCM). The default label (application id) is QIBM\_GLD\_DIRSRV\_CLIENT. If the LDAP server is configured to perform client and server authentication, a client certificate is required. **keyLabel** is not required if a default certificate/private key pair has been designated. Similarly, **keyLabel** is not required if there is a single certificate/private key pair in the designated key database file. This parameter is ignored if neither **-cZ** nor **-cK** is specified.

**-cp ldapport**

Specify an alternate TCP port where the ldap server is listening. The default LDAP port is 389. If **-cp** is not specified and **-cZ** is specified, the default LDAP SSL port 636 is used.

**-cP keyStorePwd**

Specify the key database password. This password is required to access the encrypted information in the key database file, which can include one or more private keys. If a password stash file is associated with the key database file, the password is obtained from the password stash file, and the **-cP** parameter is not required. This parameter is ignored if neither **-cZ** nor **-cK** is specified.

**-cw password | ?**

Use **password** as the password for authentication. Use the ? to generate a password prompt.

**-cZ**

Use a secure SSL connection to communicate with the LDAP server.

**Examples**

```
ldapdiff -b <baseDN> -sh <supplierhostname> -ch <consumerhostname> [options]
```

or

```
ldapdiff -S -sh <supplierhostname> -ch <consumerhostname> [options]
```

**Diagnostics**

Exit status is 0 if no errors occur. Errors result in a non-zero exit status and a diagnostic message being written to standard error.

**Related tasks**

[Managing replication queues](#)

Use this information to monitor the status of replication for each replication agreement (queue) used by this server.

**Related reference**

[Replication overview](#)

Through replication, a change made to one directory is propagated to one or more additional directories. In effect, a change to one directory shows up on multiple different directories.

**Using SSL with the LDAP command line utilities**

Use this information to understand how to use SSL with the LDAP command line utilities.

“[Secure Sockets Layer \(SSL\) and Transport Layer Security \(TLS\) with the Directory Server](#)” on page 51 discusses using SSL with the Directory Server LDAP server. This information includes managing and creating trusted Certificate Authorities with Digital Certificate Manager.

Some of the LDAP servers accessed by the client use server authentication only. For these servers, you only need to define one or more trusted root certificates in the certificate store. With server authentication, the client can be assured that the target LDAP server has been issued a certificate by one of the trusted Certificate Authorities (CAs). In addition, all LDAP transactions that flow over the SSL connection with the server are encrypted. This includes the LDAP credentials that are supplied on application program interfaces (APIs) that are used to bind to the directory server. For example, if the LDAP server is using a high-assurance Verisign certificate, you should do the following:

1. Obtain a CA certificate from Verisign.
2. Use DCM to import it into your certificate store.

3. Use DCM to mark it as trusted.

If the LDAP server is using a privately issued server certificate, the servers administrator can supply you with a copy of the servers certificate request file. Import the certificate request file into your certificate store and mark it as trusted.

If you use the shell utilities to access LDAP servers that use both client authentication and server authentication, you must do the following:

- Define one or more trusted root certificates in the system certificate store. This allows the client to be assured that the target LDAP server has been issued a certificate by one of the trusted CAs. In addition, all LDAP transactions that flow over the SSL connection with the server are encrypted. This includes the LDAP credentials that are supplied on application program interfaces (APIs) that are used to bind to the directory server.
- Create a key pair and request a client certificate from a CA. After receiving the signed certificate from the CA, receive the certificate into the key ring file on the client.

### Related concepts

[Secure Sockets Layer \(SSL\) and Transport Layer Security \(TLS\) with the Directory Server](#)

To make communications with your Directory Server more secure, Directory Server can use Secure Sockets Layer (SSL) security and Transport Layer Security (TLS).

## LDAP data interchange format (LDIF)

LDAP Data Interchange Format is a standard text format for representing LDAP objects and LDAP updates (add, modify, delete, modify DN) in a textual form. Files containing LDIF records can be used to transfer data between directory servers or used as input by LDAP tools like **ldapadd** and **ldapmodify**.

LDIF content records are used to represent LDAP directory content and consist of a line identifying the object, followed by lines containing the attribute-value pairs for the object. This type of file is used by the **ldapadd** Qshell utility as well the directory import and export tools in IBM Navigator for i and the CPYFRMLDIF (LDIF2DB) and CPYTOLDIF (DB2LDIF) CL commands.

**Note:** It is recommended to run the DB2LDIF command in one standalone job.

LDIF change records are used to represent directory updates. These records consist of a line identifying the directory object, followed by lines describing the changes to the object. The changes include adding, deleting, renaming, or moving objects as well as modifying existing objects.

There are two input styles for both of these records: A standard LDIF style defined by RFC 2849: The LDAP Data Interchange Format (LDIF) - Technical Specification; and an older non-standard modify style. Use of the standard LDIF style is recommended; the older style is documented here for use with older tools that produce or use that style.

### Input styles

The **ldapmodify** and **ldapadd** Qshell utilities accept two forms of input. The type of input is determined by the format of the first input line supplied to **ldapmodify** or **ldapadd**.

The first line of input to the **ldapmodify** or **ldapadd** command must denote the distinguished name of a directory entry to add or modify. This input line must be of the form:

```
dn: distinguished_name
```

or

```
distinguished_name
```

where `dn:` is a literal string and `distinguished_name` is the distinguished name of the directory entry to modify (or add). If `dn:` is found, the input style is set to RFC 2849 LDIF style. If it is not found, the input style is set to modify style.

**Note:**

1. The **ldapadd** command is equivalent to invoking the **ldapmodify -a** command.
2. The **ldapmodify** and **ldapadd** utilities do not support base64 encoded distinguished names.

### Related reference

#### ldapmodify and ldapadd

The LDAP modify-entry and LDAP add-entry command line utilities.

#### ldapsearch

The LDAP search command line utility.

### RFC 2849 LDIF input

A standard LDIF style defined by RFC 2849: The LDAP Data Interchange Format (LDIF) is recommended. A LDIF file can start with optional `version` and `charset` directives: `version: 1` and `charset: ISO-8859-1`.

The `charset` directive is useful when using file systems on other platforms that do not support tagging a file with a CCSID. On i5/OS, the standard behavior is to open LDIF files in UTF-8 (CCSID 1208) and allow the file system to convert data from the CCSID of the file to UTF-8 and the `charset` directive is usually not needed.

Following the optional `version` and `charset` lines is a series of change records as described below.

When using RFC 2849 LDIF input, attribute types and values are delimited by a single colon (:), or a double colon (::). Furthermore, individual changes to attribute values are delimited with a `changetype:` input line. The general form of input lines for RFC 2849 LDIF is:

```
change_record
<blank line>
change_record
<blank line>
.
.
.
```

An input file in RFC 2849 LDIF style consists of one or more `change_record` sets of lines that are separated by a single blank line. Each `change_record` has the following form:

```
dn: <distinguished name>
[changetype: {modify|add|modrdn|moddn|delete}]
change_clause
change_clause
.
.
.
```

Thus, a `change_record` consists of a line indicating the distinguished name of the directory entry to be modified, an optional line indicating the type of modification to be performed against the directory entry, and one or more `change_clause` sets of lines. If the `changetype:` line is omitted, the change type is assumed to be `modify` unless the command invocation was `ldapmodify -a` or `ldapadd`, in which case the `changetype` is assumed to be `add`.

When the change type is `modify`, each `change_clause` is defined as a set of lines of the form:

```
add: {attrtype}
{attrtype}{sep}{value}
.
.
.
```

or

```
replace: {attrtype}
{attrtype}{sep}{value}
.
.
.
```

or

```
delete: {attrtype}  
[ {attrtype}{sep}{value} ]  
.  
.  
-
```

or

```
{attrtype}{sep}{value}  
.  
.
```

Specifying `replace` replaces all existing values for the attribute with the specified set of attribute. Specifying `add` adds to the existing set of attribute values. Specifying `delete` without any attribute-value pair records removes all the values for the specified attribute. Specifying `delete` followed by one or more attribute-value pair records removes only those values specified in the attribute-value pair records.

If any of the `add: attrtype`, `replace: attrtype`, or `delete: attrtype` lines (change indicator) is specified, a line containing a hyphen (-) is expected as a closing delimiter for the changes for that `attrtype`. Attribute-value pairs are expected on the input lines that are found between the change indicator and hyphen line. If the `changetype` line is omitted, the `changetype` is assumed to be `add` for `ldapadd` and `replace` for `ldapmodify`.

The attribute value can be specified as a text string, a base-64 encoded value, or a file URL according to the separator, `sep`, used.

**attrtype: value**

a single colon (:) specifies that the value is the string *value*.

**attrtype:: base64string**

a double colon (::) specifies that *base64string* is the base 64 encoded string representation of a binary value or a UTF-8 string that contains multi-byte characters.

**attrtype:< fileURL**

a colon and left angle bracket (:<) specifies that the value is to be read from the file identified by fileURL. An example of a file URL line specifying that the value for `jpegPhoto` attribute is in the file `/tmp/photo.jpg` is

```
jpegphoto:< file:///tmp/photo.jpg
```

Any whitespace characters between the separator and the attribute value are ignored. Attribute values can be continued across multiple lines by using a single space character as the first character of the next line of input. If a double colon is used as the separator, the input is expected to be in base64 format. This format is an encoding that represents every three binary bytes with four text characters.

Multiple attribute values are specified using multiple `(attrtype){sep}{value}` specifications.

When the change type is `add`, each `change_clause` is defined as a set of lines of the form:

```
{attrtype}{sep}{value}
```

As with change type of `modify`, the separator, `sep`, and value can be either a single colon (:), a double colon (::), or colon and left angle bracket (:<). Any whitespace characters between the separator and the attribute value are ignored. Attribute values can be continued across multiple lines by using a single space character as the first character of the next line of input. If a double colon is used as the separator, the input is expected to be in base64 format.

When the change type is `modrdn` or `moddn`, each `change_clause` is defined as a set of lines of the form:

```
newrdn: value  
deleteoldrdn: {0|1}  
[newsuperior: newSuperiorDn]
```

These are the parameters you can specify on a modify RDN (rename) or modifyDN (move) LDAP operation. The value for the newrdn setting is the new RDN to be used when performing the modify RDN operation. Specify 0 for the value of the deleteoldrdn setting in order to save the attribute in the old RDN and specify 1 to remove the attribute values in the old RDN. The value for the newsuperior setting is the DN of the new superior (parent) when moving an entry.

When the change type is delete, no change\_clause is specified.

### **LDIF style examples**

This topic provides examples of valid input for the **ldapmodify** command using the RFC 2849 LDIF style.

### **Adding a new entry**

The following example adds a new entry into the directory using name cn=Tim Doe, ou=Your Department, o=Your Company, c=US, assuming **ldapadd** or **ldapmodify -a** is invoked:

```
dn:cn=Tim Doe, ou=Your Department, o=Your Company, c=US
changetype:add
cn: Tim Doe
sn: Doe
objectclass: organizationalperson
objectclass: person
objectclass: top
```

The following example adds a new entry into the directory using name cn=Tim Doe, ou=Your Department, o=Your Company, c=US, assuming **ldapadd** or **ldapmodify -a** is invoked. Note that the jpegphoto attribute is loaded from the file /tmp/timdoe.jpg.

```
dn:cn=Tim Doe, ou=Your Department, o=Your Company, c=US
changetype:add
cn: Tim Doe
sn: Doe
jpegphoto:< file:///tmp/timdoe.jpg
objectclass: inetorgperson
objectclass: organizationalperson
objectclass: person
objectclass: top
```

### **Adding attribute types**

The following example adds two new attribute types to the existing entry. Note that the registeredaddress attribute is assigned two values:

```
dn:cn=Tim Doe, ou=Your Department, o=Your Company, c=US
changetype:modify
add:telephonenumber
telephonenumber: 888 555 1234
-
add: registeredaddress
registeredaddress: td@yourcompany.com
registeredaddress: ttd@yourcompany.com
```

### **Changing the entry name**

The following example changes the name of the existing entry to cn=Tim Tom Doe, ou=Your Department, o=Your Company, c=US. The old RDN, cn=Tim Doe, is retained as an additional attribute value of the cn attribute. The new RDN, cn=Tim Tom Doe, is added automatically by the LDAP server to the values of the cn attribute in the entry:

```
dn: cn=Tim Doe, ou=Your Department, o=Your Company, c=US
changetype:modirdn
newrdn: cn=Tim Tom Doe
deleteoldrdn: 0
```

The following example moves `cn=Tim Doe` to `ou=New Department`; the RDN (`cn=Tim Doe`) is not changed.

```
dn: cn=Tim Doe, ou=Your Department, o=Your Company, c=US
changetype:moddn
newrdn: cn=Tim Doe
deleteoldrdn: 0
newsuperior: ou=New Department, o=Your Company, c=US
```

### Replacing attribute values

The following example replaces the attribute values for the `telephonenumber` and `registeredaddress` attributes with the specified attribute values.

```
dn: cn=Tim Tom Doe, ou=Your Department, o=Your Company, c=US
changetype:modify
replace: telephonenumber
telephonenumber: 888 555 4321
-
replace: registeredaddress
registeredaddress: tim@yourcompany.com
registeredaddress: timtd@yourcompany.com
```

### Deleting and adding attributes

The following example deletes the `telephonenumber` attribute, deletes a single `registeredaddress` attribute value, and adds a `description` attribute:

```
dn:cn=Tim Tom Doe, ou=Your Department, o=Your Company, c=US
changetype:modify
add: description
description: This is a very long attribute
             value that is continued on a second line.
             Note the spacing at the beginning of the
             continued lines in order to signify that
             the line is continued.
-
delete: telephonenumber
-
delete: registeredaddress
registeredaddress: tim@yourcompany.com
```

### Deleting an entry

The following example deletes the directory entry with name `cn=Tim Tom Doe, ou=Your Department, o=Your Company, c=US`:

```
dn:cn=Tim Tom Doe, ou=Your Department, o=Your Company, c=US
changetype:delete
```

### Modify style LDIF Input

The older non-standard modify style of input to the `ldapmodify` or `ldapadd` commands is not as flexible as the RFC 2849 LDIF style. However, it is sometimes easier to use than the LDIF style.

When using modify style input, attribute types and values are delimited by an equal sign (=). The general form of input lines for modify style is:

```
change_record
<blank line>
change_record
<blank line>
.
.
.
```

An input file in modify style consists of one or more *change\_record* sets of lines separated by a single blank line. Each *change\_record* has the following form:

```
distinguished_name
[+|-]{attrtype} = {value_line1[\
value_line2[\
...value_lineN]]}
.
.
.
```

Thus, a *change\_record* consists of a line indicating the distinguished name of the directory entry to be modified along with one or more attribute modification lines. Each attribute modification line consists of an optional add or delete indicator (+ or -), an attribute type, and an attribute value. If a plus sign (+) is specified, the modification type is set to **add**. If a hyphen (-) is specified, the modification type is set to **delete**. For a delete modification, the equal sign (=) and *value* should be omitted to remove an entire attribute. If the add or delete indicator is not specified, the modification type is set to **add** unless the **-r** option is used, in which case the modification type is set to **replace**. Any leading or trailing whitespace characters are removed from attribute values. If trailing whitespace characters are required for attribute values, the RFC 2849 LDIF style of input must be used. Lines are continued using a backslash (\) as the last character of the line. If a line is continued, the backslash character is removed and the succeeding line is appended directly after the character preceding the backslash character. The new-line character at the end of the input line is not retained as part of the attribute value.

Multiple attribute values are specified using multiple *attrtype=value* specifications.

If the support binary values from files option (**-b**) is specified, a *value* starting with '/' indicates that the value is a file name. For example, the following line indicates that the *jpegphoto* attribute is to be read from the file */tmp/photo.jpg*:

```
jpegphoto=/tmp/photo.jpg
```

### Modify style examples

This topic provides some examples of valid input for the **ldapmodify** command using modify style.

### Adding a new entry

The following example adds a new entry into the directory using name *cn=Tim Doe, ou=Your Department, o=Your Company, c=US*:

```
cn=Tim Doe, ou=Your Department, o=Your Company, c=US
cn=Tim Doe
sn=Doe
objectclass=organizationalperson
objectclass=person
objectclass=top
```

### Adding a new attribute type

The following example adds two new attribute types to the existing entry. Note that the *registeredaddress* attribute is assigned two values:

```
cn=Tim Doe, ou=Your Department, o=Your Company, c=US
+telephonenumber=888 555 1234
+registeredaddress=td@yourcompany.com
+registeredaddress=ttd@yourcompany.com
```

### Replacing attribute values

Assuming that the command invocation was:

```
ldapmodify -r ...
```

The following example replaces the attribute values for the `telephonenumber` and `registeredaddress` attributes with the specified attribute values. If the `-r` command line option was not specified, the attribute values are added to the existing set of attribute values.

```
cn=Tim Doe, ou=Your Department, o=Your Company, c=US
telephonenumber=888 555 4321
registeredaddress: tim@yourcompany.com
registeredaddress: timtd@yourcompany.com
```

### Deleting an attribute type

The following example deletes a single `registeredaddress` attribute value from the existing entry.

```
cn=Tim Doe, ou=Your Department, o=Your Company, c=US
-registeredaddress=tim@yourcompany.com
```

### Adding an attribute

The following example adds a `description` attribute. The `description` attribute value spans multiple lines:

```
cn=Tim Doe, ou=Your Department, o=Your Company, c=US
+description=This is a very long attribute \
value that is continued on a second line. \
Note the backslash at the end of the line to \
be continued in order to signify that \
the line is continued.
```

## Directory Server configuration schema

This information describes the Directory Information Tree (DIT) and the attributes that are used to configure the `ibmslapd.conf` file.

In previous releases, the directory configuration settings were stored in a proprietary format in the configuration file. The directory settings are now stored using the LDIF format in the configuration file.

The configuration file is named `ibmslapd.conf`. The schema used by the configuration file is also now available. Attribute types can be found in the `v3.config.at` file, and object classes are in the `v3.config.oc` file. Attributes can be modified using the `ldapmodify` command.

### Related concepts

[Schema checking](#)

When the server is initialized, the schema files are read and checked for consistency and correctness.

### Related reference

[ldapmodify](#) and [ldapadd](#)

The LDAP modify-entry and LDAP add-entry command line utilities.

### Directory information tree

This information describes the Directory Server directory information tree (DIT).

[cn=Configuration](#)

- [cn=Admin](#)
- [cn=Event Notification](#)
- [cn=Front End](#)
- [cn=Kerberos](#)
- [cn=Master Server](#)
- [cn=Referral](#)
- [cn=Schema](#)
- [cn=IBM Directory](#)



- [cn=Config Backends](#)
  - [cn=ConfigDB](#)
- [cn=RDBM Backends](#)
  - [cn=Directory](#)
  - [cn=ChangeLog](#)
- [cn=LDCF Backends](#)
  - [cn=SchemaDB](#)
- [cn=SSL](#)
  - [cn=CRL](#)
- [cn=Transaction](#)

## **cn=Configuration**

### **DN**

cn=Configuration

### **Description**

This is the top-level entry in the configuration DIT. It holds data of global interest to the server, although in practice it also contains miscellaneous items. Every attribute in the this entry comes from the first section (global stanza) of `ibmslapd.conf`.

### **Number**

1 (required)

### **Object Class**

ibm-slapdTop

### **Mandatory Attributes**

- [cn](#)
- [ibm-slapdAdminDN](#)
- [ibm-slapdAdminPW](#)
- [ibm-slapdErrorLog](#)
- [ibm-slapdPort](#)
- [ibm-slapdPwEncryption](#)
- [ibm-slapdSizeLimit](#)
- [ibm-slapdSysLogLevel](#)
- [ibm-slapdTimeLimit](#)
- [objectClass](#)

### **Optional Attributes**

- [ibm-slapdACLAccess](#)
- [ibm-slapdACIMechanism](#)
- [ibm-slapdConcurrentRW](#) (Deprecated)
- [ibm-slapdMaxPendingChangesDisplayed](#)
- [ibm-slapdServerId](#)
- [ibm-slapdSupportedWebAdmVersion](#)
- [ibm-slapdVersion](#)

**cn=Admin****DN**

cn=Admin, cn=Configuration

**Description**

Global configuration settings for IBM Admin Daemon

**Number**

1 (required)

**Object Class**

ibm-slapdAdmin

**Mandatory Attributes**

- [cn](#)
- [ibm-slapdErrorLog](#)
- [ibm-slapdPort](#)

**Optional Attributes**

- [ibm-slapdSecurePort](#)

**cn=Event Notification****DN**

cn=Event Notification, cn=Configuration

**Description**

Global event notification settings for Directory Server

**Number**

0 or 1 (optional; needed only if you want to enable event notification)

**Object Class**

ibm-slapdEventNotification

**Mandatory Attributes**

- [cn](#)
- [ibm-slapdEnableEventNotification](#)
- [objectClass](#)

**Optional Attributes**

- [ibm-slapdMaxEventsPerConnection](#)
- [ibm-slapdMaxEventsTotal](#)

**cn=Front End****DN**

cn=Front End, cn=Configuration

**Description**

Global environment settings that the server applies at startup.

**Number**

0 or 1 (optional)

**Object Class**

ibm-slapdFrontEnd

**Mandatory Attributes**

- [cn](#)

- [objectClass](#)

### **Optional Attributes**

- [ibm-slapdACLCache](#)
- [ibm-slapdACLCacheSize](#)
- [ibm-slapdDB2CP](#)
- [ibm-slapdEntryCacheSize](#)
- [ibm-slapdFilterCacheBypassLimit](#)
- [ibm-slapdFilterCacheSize](#)
- [ibm-slapdPlugin](#)
- [ibm-slapdSetenv](#)
- [ibm-slapdIdleTimeOut](#)

### **cn=Kerberos**

#### **DN**

cn=Kerberos, cn=Configuration

#### **Description**

Global Kerberos authentication settings for Directory Server.

#### **Number**

0 or 1 (optional)

#### **Object Class**

ibm-slapdKerberos

#### **Mandatory Attributes**

- [cn](#)
- [ibm-slapdKrbEnable](#)
- [ibm-slapdKrbRealm](#)
- [ibm-slapdKrbKeyTab](#)
- [ibm-slapdKrbIdentityMap](#)
- [ibm-slapdKrbAdminDN](#)
- [objectClass](#)

#### **Optional Attributes**

- None

### **cn=Master Server**

#### **DN**

cn=Master Server, cn=Configuration

#### **Description**

When configuring a replica, this entry holds the bind credentials and referral URL of the master server.

#### **Number**

0 or 1 (optional)

#### **Object Class**

ibm-slapdReplication

#### **Mandatory Attributes**

- [cn](#)

- [ibm-slapdMasterPW](#) (Mandatory if not using Kerberos authentication.)

### Optional Attributes

- [ibm-slapdMasterDN](#)
- [ibm-slapdMasterPW](#) (Optional if using Kerberos authentication.)
- [ibm-slapdMasterReferral](#)
- [objectClass](#)

### cn=Referral

#### DN

cn=Referral, cn=Configuration

#### Description

This entry contains all the referral entries from the first section (global stanza) of `ibmslapd.conf`. If there are no referrals (there are none by default), this entry is optional.

#### Number

0 or 1 (optional)

#### Object Class

`ibm-slapdReferral`

#### Mandatory Attributes

- [cn](#)
- [ibm-slapdReferral](#)
- [objectClass](#)

#### Optional Attributes

- None

### cn=Schemas

#### DN

cn=Schemas, cn=Configuration

#### Description

This entry serves as a container for the schemas. This entry is not really necessary because the schemas can be distinguished by the object class `ibm-slapdSchema`. It is included to improve the readability of the DIT.

Only one schema entry is currently allowed: `cn=IBM Directory`.

#### Number

1 (required)

#### Object Class

Container

#### Mandatory Attributes

- [cn](#)
- [objectClass](#)

#### Optional Attributes

- None

## **cn=IBM Directory**

### **DN**

cn=IBM Directory, cn=Schemas, cn=Configuration

### **Description**

This entry contains all the schema configuration data from the first section (global stanza) of ibmslapd.conf. It also serves as a container for all the backends which use the schema. Multiple schemas are not currently supported, but if they were, then there would be one ibm-slapdSchema entry per schema. Note that multiple schemas are assumed to be incompatible. Therefore, a backend can be associated with a single schema only.

### **Number**

1 (required)

### **Object Class**

ibm-slapdSchema

### **Mandatory Attributes**

- [cn](#)
- [ibm-slapdSchemaCheck](#)
- [ibm-slapdIncludeSchema](#)
- [objectClass](#)

### **Optional Attributes**

- [ibm-slapdSchemaAdditions](#)

## **cn=Config Backends**

### **DN**

cn=Config Backends, cn=IBM Directory, cn=Schemas, cn=Configuration

### **Description**

This entry serves as a container for the Config backends.

### **Number**

1 (required)

### **Object Class**

Container

### **Mandatory Attributes**

- [cn](#)
- [objectClass](#)

### **Optional Attributes**

None

## **cn=ConfigDB**

### **DN**

cn=ConfigDB, cn=Config Backends, cn=IBM Directory, cn=Schemas, cn=Configuration

### **Description**

Configuration backend for IBM Directory server configuration

### **Number**

0 - n (optional)

### **Object Class**

ibm-slapdConfigBackend

### **Mandatory Attributes**

- [ibm-slapdSuffix](#)
- [ibm-slapdPlugin](#)

### **Optional Attributes**

- [ibm-slapdReadOnly](#)

### **cn=RDBM Backends**

#### **DN**

cn=RDBM Backends, cn=IBM Directory, cn=Schemas, cn=Configuration

#### **Description**

This entry serves as a container for the RDBM backends. It effectively replaces the database rdbm line from ibmslapd.conf by identifying all sub-entries as DB2 backends. This entry is not really necessary because the RDBM backends can be distinguished by object class `ibm-slapdRdbmBackend`. It is included to improve the readability of the DIT.

#### **Number**

0 or 1 (optional)

#### **Object Class**

Container

#### **Mandatory Attributes**

- [cn](#)
- [objectClass](#)

#### **Optional Attributes**

- None

### **cn=Directory**

#### **DN**

cn=Directory, cn=RDBM Backends, cn=IBM Directory, cn=Schemas, cn=Configuration

#### **Description**

This entry contains all the database configuration settings for the default RDBM database backend.

Although multiple backends with arbitrary names can be created, the Server Administration assumes that "cn=Directory" is the main directory backend, and that "cn=ChangeLog" is the optional change log backend. Only the suffixes displayed in "cn=Directory" are configurable through the Server Administration (except for the change log suffix, which is set transparently by enabling change log).

#### **Number**

0 - n (optional)

#### **Object Class**

`ibm-slapdRdbmBackend`

#### **Mandatory Attributes**

- [cn](#)
- [ibm-slapdDbInstance](#)
- [ibm-slapdDbName](#)
- [ibm-slapdDbUserID](#)
- [objectClass](#)

## Optional Attributes

- [ibm-slapdBulkloadErrors](#)
- [ibm-slapdChangeLogMaxEntries](#)
- [ibm-slapdCLIErrors](#)
- [ibm-slapdDBAlias](#)
- [ibm-slapdDB2CP](#)
- [ibm-slapdDbConnections](#)
- [ibm-slapdDbLocation](#)
- [ibm-slapdPagedResAllowNonAdmin](#)
- [ibm-slapdPagedResLmt](#)
- [ibm-slapdPageSizeLmt](#)
- [ibm-slapdPlugin](#)
- [ibm-slapdReadOnly](#)
- [ibm-slapdReplDbConns](#)
- [ibm-slapdSortKeyLimit](#)
- [ibm-slapdSortSrchAllowNonAdmin](#)
- [ibm-slapdSuffix](#)
- [ibm-slapdUseProcessIdPw](#)

**Note:** If you are using **ibm-slapdUseProcessIdPw**, you must change the schema to make **ibm-slapdDbUserPW** optional.

## cn=Change Log

### DN

cn=Change Log, cn=RDBM Backends, cn=IBM Directory, cn=Schemas, cn=Configuration

### Description

This entry contains all the database configuration settings for the change log backend.

### Number

0 - n (optional)

### Object Class

ibm-slapdRdbmBackend

### Mandatory Attributes

- [cn](#)
- [ibm-slapdDbInstance](#)
- [ibm-slapdDbName](#)
- [ibm-slapdDbUserID](#)
- [objectClass](#)

### Optional Attributes

- [ibm-slapdBulkloadErrors](#)
- [ibm-slapdChangeLogMaxEntries](#)
- [ibm-slapdCLIErrors](#)
- [ibm-slapdDBAlias](#)
- [ibm-slapdDB2CP](#)
- [ibm-slapdDbConnections](#)

- [ibm-slapdDbLocation](#)
- [ibm-slapdPagedResAllowNonAdmin](#)
- [ibm-slapdPagedResLmt](#)
- [ibm-slapdPageSizeLmt](#)
- [ibm-slapdPlugin](#)
- [ibm-slapdReadOnly](#)
- [ibm-slapdReplDbConns](#)
- [ibm-slapdSortKeyLimit](#)
- [ibm-slapdSortSrchAllowNonAdmin](#)
- [ibm-slapdSuffix](#)
- [ibm-slapdUseProcessIdPw](#)

**Note:** If you are using **ibm-slapdUseProcessIdPw**, you must change the schema to make **ibm-slapdDbUserPW** optional.

### **cn=LDCF Backends**

#### **DN**

cn=LDCF Backends, cn=IBM Directory, cn=Schemas, cn=Configuration

#### **Description**

This entry serves as a container for the LDCF backends. It effectively replaces the database ldcf line from ibmslapd.conf by identifying all sub-entries as LDCF backends. This entry is not really necessary because the LDCF backends can be distinguished by the object class `ibm-slapdLdcfBackend`. It is included to improve the readability of the DIT.

#### **Number**

1 (required)

#### **Object Class**

Container

#### **Mandatory Attributes**

- [cn](#)
- [objectClass](#)

#### **Optional Attributes**

- [ibm-slapdPlugin](#)

### **cn=SchemaDB**

#### **DN**

cn=SchemaDB, cn=LDCF Backends, cn=IBM Directory, cn=Schemas, cn=Configuration

#### **Description**

This entry contains all the database configuration data from the ldcf database section of ibmslapd.conf.

#### **Number**

1 (required)

#### **Object Class**

ibm-slapdLdcfBackend

#### **Mandatory Attributes**

- [cn](#)



- [objectClass](#)

### Optional Attributes

- [ibm-slapdPlugin](#)
- [ibm-slapdSuffix](#)

### cn=SSL

#### DN

cn=SSL, cn=Configuration

#### Description

Global SSL connection settings for Directory Server.

#### Number

0 or 1 (optional)

#### Object Class

ibm-slapdSSL

#### Mandatory Attributes

- [cn](#)
- [ibm-slapdSecurity](#)
- [ibm-slapdSecurePort](#)
- [ibm-slapdSslAuth](#)
- [objectClass](#)

#### Optional Attributes

- [ibm-slapdSslCertificate](#)
- [ibm-slapdSslCipherSpec](#)

**Note:** **ibm-slapdSslCipherSpecs** is now deprecated. Use **ibm-slapdSslCipherSpec** instead. If you use **ibm-slapdSslCipherSpecs**, the server will convert to the supported attribute.

- [ibm-slapdSslKeyDatabase](#)
- [ibm-slapdSslKeyDatabasePW](#)

### cn=CRL

#### DN

cn=CRL, cn=SSL, cn=Configuration

#### Description

This entry contains certificate revocation list data from the first section (global stanza) of `ibmslapd.conf`. It is only needed if `"ibm-slapdSslAuth = serverclientauth"` in the `cn=SSL` entry and the client certificates have been issued for CRL validation.

#### Number

0 or 1 (optional)

#### Object Class

ibm-slapdCRL

#### Mandatory Attributes

- [cn](#)
- [ibm-slapdLdapCrlHost](#)
- [ibm-slapdLdapCrlPort](#)

- [objectClass](#)

### Optional Attributes

- [ibm-slapdLdapCrlUser](#)
- [ibm-slapdLdapCrlPassword](#)

### cn=Transaction

#### DN

cn = Transaction, cn = Configuration

#### Description

Specifies Global transaction support settings. Transaction support is provided using the plugin:

```
extendedop /QSYS.LIB/QGLDTRANEX.SRVPGM tranExtOpInit 1.3.18.0.2.12.5
1.3.18.0.2.12.6
```

The server (**slapd**) loads this plugin automatically at startup if **ibm-slapdTransactionEnable = TRUE**. The plugin does not need to be explicitly added to **ibmslapd.conf**.

#### Number

0 or 1 (optional; required only if you want to use transactions.)

#### Object Class

ibm-slapdTransaction

#### Mandatory Attributes

- [cn](#)
- [ibm-slapdMaxNumOfTransactions](#)
- [ibm-slapdMaxOpPerTransaction](#)
- [ibm-slapdMaxTimeLimitOfTransactions](#)
- [ibm-slapdTransactionEnable](#)
- [objectClass](#)

#### Optional Attributes

- None

#### Attributes

This information describes the Directory Server attributes that are used to configure the **ibmslapd.conf** file.

- [cn](#)
- [ibm-auditPTABindInfo](#)
- [ibm-slapdACIMechanism](#)
- [ibm-slapdACLAccess](#)
- [ibm-slapdACLCache](#)
- [ibm-slapdACLCacheSize](#)
- [ibm-slapdAdminDN](#)
- [ibm-slapdAdminGroupEnabled](#)
- [ibm-slapdAdminPW](#)
- [ibm-slapdAllowAnon](#)
- [ibm-slapdAllReapingThreshold](#)
- [ibm-slapdAnonReapingThreshold](#)
- [ibm-slapdBoundReapingThreshold](#)

- [ibm-slapdBulkloadErrors](#)
- [ibm-slapdCachedAttribute](#)
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## **cn**

### **Description**

This is the X.500 common Name attribute, which contains a name of an object.

### **Syntax**

Directory string

### **Maximum Length**

256

### **Value**

Multi-valued

## **ibm-auditPTABindInfo**

### **Description**

Indicates whether to log pass-through authentication information related to bind operations.

### **Default**

False

### **Syntax**

Boolean

### **Maximum Length**

5

### **Value**

Single-valued

## **ibm-slapdACIMechanism**

### **Description**

Determines which ACL model the server uses. (Supported only on i5/OS and OS/400 as of v3.2, ignored on other platforms.)

- 1.3.18.0.2.26.1 = IBM SecureWay v3.1 ACL model
- 1.3.18.0.2.26.2 = IBM SecureWay v3.2 ACL model

**Default**

1.3.18.0.2.26.2 = IBM SecureWay v3.2 ACL model

**Syntax**

Directory string

**Maximum Length**

256

**Value**

Multi-valued.

**ibm-slapdACLAccess****Description**

Controls whether access to ACLs is enabled. If set to TRUE, access to ACLs is enabled. If set to FALSE, access to ACLs is disabled.

**Default**

TRUE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdACLCache****Description**

Controls whether or not the server caches ACL information.

- If set to TRUE, the server caches ACL information.
- If set to FALSE, the server does not cache ACL information.

**Default**

TRUE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdACLCacheSize****Description**

Maximum number of entries to keep in the ACL Cache.

**Default**

25000

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdAdminDN****Description**

The administrator bind DN for Directory Server.

**Default**

cn=root

**Syntax**

DN

**Maximum Length**

Unlimited

**Value**

Single-valued

**ibm-slapdAdminGroupEnabled****Description**

Specifies whether the Administrative Group is currently enabled. If set to TRUE, the server will allow users in the administrative group to log in.

**Default**

FALSE

**Syntax**

Boolean

**Maximum Length**

128

**Value**

Single-valued

**ibm-slapdAdminPW****Description**

The administrator bind Password for Directory Server.

**Default**

secret

**Syntax**

Binary

**Maximum Length**

128

**Value**

Single-valued

**ibm-slapdAllowAnon****Description**

Specifies if anonymous binds are allowed.

**Default**

True

**Syntax**

Boolean

**Maximum Length**

128

**Value**

Single-valued

**ibm-slapdAllReapingThreshold****Description**

Specifies a number of connections to maintain in the server before connection management is activated.

**Default**

1200

**Syntax**

Directory string with case-exact matching.

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdAnonReapingThreshold****Description**

Specifies a number of connections to maintain in the server before connection management of anonymous connections is activated.

**Default**

0

**Syntax**

Directory string with case-exact matching.

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdBoundReapingThreshold****Description**

Specifies a number of connections to maintain in the server before connection management of anonymous and bound connections is activated.

**Default**

1100

**Syntax**

Directory string with case-exact matching.

**Maximum Length**

1024

**Value**

Single-valued



## **ibm-slapdBulkloadErrors**

### **Description**

File path or device on ibmslapd host machine to which bulkload error messages will be written.

### **Default**

/var/bulkload.log

### **Syntax**

Directory string with case-exact matching

### **Maximum Length**

1024

### **Value**

Single-valued

## **ibm-slapdCachedAttribute**

### **Description**

Contains the names of the attributes to be cached in the attribute cache, one attribute name per value.

### **Default**

None

### **Syntax**

Directory string

### **Maximum Length**

256

### **Value**

Multi-valued

## **ibm-slapdCachedAttributeAutoAdjust**

### **Description**

Controls whether the server will automatically adjust the attribute caches at configured time intervals defined in `ibm-slapdCachedAttributeAutoAdjustTime` and `ibm-slapdCachedAttributeAutoAdjustTimeInterval`.

### **Default**

FALSE

### **Syntax**

Boolean

### **Maximum Length**

5

### **Value**

Single-valued

## **ibm-slapdCachedAttributeAutoAdjustTime**

### **Description**

When `ibm-slapdCachedAttributeAutoAdjust` is set to TRUE, controls the time at which the server begins to adjust attribute caches automatically.

```
Minimum = T000000  
Maximum = T235959
```

**Default**

T000000

**Syntax**

Military time

**Maximum Length**

7

**Value**

Single-valued

**ibm-slapdCachedAttributeAutoAdjustTimeInterval****Description**

When `ibm-slapdCachedAttributeAutoAdjust` is set to `TRUE`, controls the time interval between automatic adjustments of the attribute cache.

```
Minimum = 1  
Maximum = 24
```

**Default**

2

**Syntax**

Integer

**Maximum Length**

2

**Value**

Single-valued

**ibm-slapdCachedAttributeSize****Description**

Amount of memory, in bytes, that can be used by the attribute cache. A value of 0 indicates not use an attribute cache.

**Default**

0

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued.

**ibm-slapdChangeLogMaxEntries****Description**

This attribute is used by a change log plug-in to specify the maximum number of change log entries allowed in the RDBM database. Each change log has its own `changeLogMaxEntries` attribute.

```
Minimum = 0 (unlimited)  
Maximum = 2,147,483,647 (32-bit, signed integer)
```

**Default**

0

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdCLIErrors****Description**

File path or device on ibmslapd host machine to which CLI error messages will be written.

**Default**

/var/db2cli.log

**Syntax**

Directory string with case-exact matching

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdConcurrentRW****Description**

Setting this to TRUE allows searches to proceed simultaneously with updates. It allows for 'dirty reads', that is, results that might not be consistent with the committed state of the database.



**Attention:** This attribute is deprecated.

**Default**

FALSE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdDB2CP****Description**

Specifies the code page of the directory database. 1208 is the code page for UTF-8 databases.

**Syntax**

Directory string with case-exact matching

**Maximum Length**

11

**Value**

Single-valued

## **ibm-slapdDBAlias**

### **Description**

The DB2 database alias.

### **Syntax**

Directory string with case-exact matching

### **Maximum Length**

8

### **Value**

Single-valued

## **ibm-slapdDbConnections**

### **Description**

Specify the number of DB2 connections the server will dedicate to the DB2 backend. The value must be between 5 & 50 (inclusive).

**Note:** ODBCCONS environment variable overrides the value of this directive.

If `ibm-slapdDbConnections` (or `ODBCCONS`) is less than 5 or greater than 50, the server will use 5 or 50 respectively. 1 additional connection will be created for replication (even if no replication is defined). 2 additional connections will be created for the change log (if change log is enabled).

### **Default**

15

### **Syntax**

Integer

### **Maximum Length**

50

### **Value**

Single-valued

## **ibm-slapdDbInstance**

### **Description**

Specifies the DB2 database instance for this backend.

### **Default**

ldapdb2

### **Syntax**

Directory string with case-exact matching

### **Maximum Length**

8

### **Value**

Single-valued

**Note:** All `ibm-slapdRdbmBackend` objects must use the same `ibm-slapdDbInstance`, `ibm-slapdDbUserID`, `ibm-slapdDbUserPW` and DB2 character set.

## **ibm-slapdDbLocation**

### **Description**

The file system path where the backend database is located.

**Syntax**

Directory string with case-exact matching

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdDbName****Description**

Specifies the DB2 database name for this backend.

**Default**

ldapdb2

**Syntax**

Directory string with case-exact matching

**Maximum Length**

8

**Value**

Single-valued

**ibm-slapdDbUserID****Description**

Specifies the user name with which to bind to the DB2 database for this backend.

**Default**

ldapdb2

**Syntax**

Directory string with case-exact matching

**Maximum Length**

8

**Value**

Single-valued

**Note:** All `ibm-slapdRdbmBackend` objects must use the same `ibm-slapdDbInstance` `ibm-slapdDbUserID`, `ibm-slapdDbUserPW` and DB2 character set.

**ibm-slapdDerefAliases****Description**

Maximum alias dereferencing level on search requests, regardless of any `derefAliases` that may have been specified on the client requests. Allowed values are **never**, **find**, **search** and **always**.

**Default**

always

**Syntax**

Directory string

**Maximum Length**

6

**Value**

Single-valued

## **ibm-slapdDbUserPW**

### **Description**

Specifies the user password with which to bind to the DB2 database for this backend. The password can be plain text or imask encrypted.

### **Default**

ldapdb2

### **Syntax**

Binary

### **Maximum Length**

128

### **Value**

Single-valued

**Note:** All ibm-slapdRdbmBackend objects must use the same ibm-slapdDbInstance, ibm-slapdDbUserID, ibm-slapdDbUserPW and DB2 character set.

## **ibm-slapdDigestAdminUser**

### **Description**

Specifies the Digest MD5 User Name of the LDAP administrator or administrative group member. Used when MD5 Digest authentication is used to authenticate an administrator.

### **Default**

None

### **Syntax**

Directory string

### **Maximum Length**

512

### **Value**

Single-valued

## **ibm-slapdDigestAttr**

### **Description**

Overrides the default DIGEST-MD5 username attribute. The name of the attribute to use for DIGEST-MD5 SASL bind username lookup. If the value is not specified, the server uses uid.

### **Default**

If not specified, the server uses uid.

### **Syntax**

Directory string.

### **Maximum Length**

64

### **Value**

Single-valued

## **ibm-slapdDigestEnabled**

### **Description**

Specifies whether the Digest-MD5 bind mechanism is enabled

### **Default**

True

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdDigestRealm****Description**

Overrides the default DIGEST-MD5 realm. A string that can enable users to know which username and password to use, in case they might have different ones for different servers. Conceptually, it is the name of a collection of accounts that might include the users account. This string should contain at least the name of the host performing the authentication and might additionally indicate the collection of users who might have access. An example might be `registered_users@gotham.news.example.com`. If the attribute is not specified, the server uses the fully qualified hostname of the server.

**Default**

The fully qualified hostname of the server

**Syntax**

Directory string.

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdEnableEventNotification****Description**

Specifies whether to enable Event Notification. It must be set to either TRUE or FALSE.

If set to FALSE, the server rejects all client requests to register event notifications with the extended result LDAP\_UNWILLING\_TO\_PERFORM.

**Default**

TRUE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdEnablePersistentSearch****Description**

Specifies whether the Persistent Search is enabled

**Default**

True

**Syntax**

Boolean

**Value**

Single-valued

**ibm-slapdEntryCacheSize****Description**

Maximum number of entries to keep in the entry cache.

**Default**

25000

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdErrorLog****Description**

Specifies the file path or device on the Directory Server machine to which error messages are written.

**Default**

/var/ibmslapd.log

**Syntax**

Directory string with case-exact matching

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdESizeThreshold****Description**

Specifies the number of work items on the work queue before the Emergency thread is activated.

**Default**

50

**Syntax**

Integer

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdEThreadActivate****Description**

Specifies which conditions will activate the Emergency Thread. Must be set to one of the following values:

**S**

Size only



**T**

Time only

**SOT**

Size or time

**SAT**

Size and time

**Default**

SAT

**Syntax**

String

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdEThreadEnable****Description**

Specifies if the Emergency Thread is active.

**Default**

True

**Syntax**

Boolean

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdETimeThreshold****Description**

Specifies the amount of time in minutes between items removed from the work queue before the Emergency thread is activated.

**Default**

5

**Syntax**

Integer

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdFilterCacheBypassLimit****Description**

Search filters that match more than this number of entries will not be added to the Search Filter cache. Because the list of entry IDs that matched the filter are included in this cache, this setting helps to limit memory use. A value of 0 indicates no limit.

**Default**

100

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdFilterCacheSize****Description**

Specifies the maximum number of entries to keep in the Search Filter Cache.

**Default**

25000

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdGroupMembersCacheSize****Description**

Specifies the Maximum number of group entries whose members should be cached.

**Default**

25

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdGroupMembersCacheBypassLimit****Description**

Specifies the Maximum number of members that can be in a group in order for the group and its members to be cached in the group members' cache.

**Default**

25000

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

## **ibm-slapdIdleTimeOut**

### **Description**

Maximum time to keep an LDAP connection open when there is no activity on the connection. The idle time for an LDAP connection is the time (in seconds) between the last activity on the connection and the current time. If the connection has expired, based on the idle time being greater than the value of this attribute, the LDAP server will clean up and end the LDAP connection, making it available for other incoming requests.

### **Default**

300

### **Syntax**

Integer

### **Length**

11

### **Count**

Single

### **Usage**

Directory operation

### **User Modify**

Yes

### **Access Class**

Critical

### **Required**

No

## **ibm-slapdIncludeSchema**

### **Description**

Specifies a file path on the Directory Server server machine containing schema definitions.

### **Default**

- /etc/V3.system.at
- /etc/V3.system.oc
- /etc/V3.config.at
- /etc/V3.config.oc
- /etc/V3.ibm.at
- /etc/V3.ibm.oc
- /etc/V3.user.at
- /etc/V3.user.oc
- /etc/V3.ldapsyntaxes
- /etc/V3.matchingrules

### **Syntax**

Directory string with case-exact matching

### **Maximum Length**

1024

### **Value**

Multi-valued

## **ibm-slapdKrbAdminDN**

### **Description**

Specifies the Kerberos ID of the LDAP administrator (for example, `ibm-kn=admin1@realm1`). Used when Kerberos authentication is used to authenticate the administrator when logged onto the Server Administration interface. This might be specified instead of or in addition to `adminDN` and `adminPW`.

### **Default**

No preset default is defined.

### **Syntax**

Directory string with case-exact matching

### **Maximum Length**

128

### **Value**

Single-valued

## **ibm-slapdKrbEnable**

### **Description**

Specifies whether the server supports Kerberos. It must be either `TRUE` or `FALSE`.

### **Default**

`TRUE`

### **Syntax**

Boolean

### **Maximum Length**

5

### **Value**

Single-valued

## **ibm-slapdKrbIdentityMap**

### **Description**

Specifies whether to use Kerberos identity mapping. It must be set to either `TRUE` or `FALSE`. If set to `TRUE`, when a client is authenticated with a Kerberos ID, the server searches for all local users with matching Kerberos credentials, and adds those user DN's to the bind credentials of the connection. This allows ACLs based on LDAP user DN's to still be usable with Kerberos.

### **Default**

`FALSE`

### **Syntax**

Boolean

### **Maximum Length**

5

### **Value**

Single-valued

## **ibm-slapdKrbKeyTab**

### **Description**

Specifies the LDAP server Kerberos keytab file. This file contains the LDAP server private key, that is associated with its Kerberos account. This file is to be protected (like the server SSL key database file).

**Default**

No preset default is defined.

**Syntax**

Directory string with case-exact matching

**Maximum Length**

1024

**Value**

Single-valued

**ibm-slapdKrbRealm****Description**

Specifies the Kerberos realm of the LDAP server. It is used to publish the `ldapservicename` attribute in the root DSE. Note that an LDAP server can serve as the repository of account information for multiple KDCs (and realms), but the LDAP server, as a kerberized server, can only be a member of a single realm.

**Default**

No preset default is defined.

**Syntax**

Directory string with case-insensitive matching

**Maximum Length**

256

**Value**

Single-valued

**ibm-slapdLanguageTagsEnabled****Description**

Whether or not the server should allow language tags. The value read from the `ibmslapd.conf` file for this attribute is `FALSE`, but, can be set to `TRUE`.

**Default**

`FALSE`

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdLdapCrlHost****Description**

Specifies the host name of the LDAP server that contains the Certificate Revocation Lists (CRLs) for validating client x.509v3 certificates. This parameter is needed when `ibm-slapdSslAuth=serverclientauth` and the client certificates have been issued for CRL validation.

**Default**

No preset default is defined.

**Syntax**

Directory string with case-insensitive matching

**Maximum Length**

256

**Value**

Single-valued

**ibm-slapdLdapCrlPassword****Description**

Specifies the password that server-side SSL uses to bind to the LDAP server that contains the Certificate Revocation Lists (CRLs) for validating client x.509v3 certificates. This parameter might be needed when `ibm-slapdSslAuth=serverclientauth` and the client certificates have been issued for CRL validation.

**Note:** If the LDAP server holding the CRLs permits unauthenticated access to the CRLs (that is, anonymous access), then `ibm-slapdLdapCrlPassword` is not required.

**Default**

No preset default is defined.

**Syntax**

Binary

**Maximum Length**

128

**Value**

Single-valued

**ibm-slapdLdapCrlPort****Description**

Specifies the port used to connect to the LDAP server that contains the Certificate Revocation Lists (CRLs) for validating client x.509v3 certificates. This parameter is needed when `ibm-slapdSslAuth=serverclientauth` and the client certificates have been issued for CRL validation. (IP ports are unsigned, 16-bit integers in the range 1 - 65535)

**Default**

No preset default is defined.

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdLdapCrlUser****Description**

Specifies the bindDN that the server-side SSL uses to bind to the LDAP server that contains the Certificate Revocation Lists (CRLs) for validating client x.509v3 certificates. This parameter might be needed when `ibm-slapdSslAuth=serverclientauth` and the client certificates have been issued for CRL validation.

**Note:** If the LDAP server holding the CRLs permits unauthenticated access to the CRLs (that is, anonymous access), then `ibm-slapdLdapCrlUser` is not required.

**Default**

No preset default is defined.

**Syntax**

DN

**Maximum Length**

1000

**Value**

Single-valued

**ibm-slapdMasterDN****Description**

Specifies the bind DN of master server. The value must match the replicaBindDN in the replicaObject defined for the master server. When Kerberos is used to authenticate to the replica, ibm-slapdMasterDN must specify the DN representation of the Kerberos ID (for example, ibm-kn=freddy@realm1). When Kerberos is used, MasterServerPW is ignored.

**Default**

No preset default is defined.

**Syntax**

DN

**Maximum Length**

1000

**Value**

Single-valued

**ibm-slapdMasterPW****Description**

Specifies the bind password of master replica server. The value must match replicaBindDN in the replicaObject defined for the master server. When Kerberos is used to authenticate to the replica, ibm-slapdMasterDN must specify the DN representation of the Kerberos ID (for example, ibm-kn=freddy@realm1). When Kerberos is used, MasterServerPW is ignored.

**Default**

No preset default is defined.

**Syntax**

Binary

**Maximum Length**

128

**Value**

Single-valued

**ibm-slapdMasterReferral****Description**

Specifies the URL of the master replica server. For example:

```
ldap://master.us.ibm.com
```

For security set to SSL only:

```
ldaps://master.us.ibm.com:636
```

For security set to none and using a nonstandard port:

```
ldap://master.us.ibm.com:1389
```

**Default**

none

**Syntax**

Directory string with case-insensitive matching

**Maximum Length**

256

**Value**

Single-valued

**ibm-slapdMaxEventsPerConnection****Description**

Specifies the maximum number of event notifications which can be registered per connection.

```
Minimum = 0 (unlimited)  
Maximum = 2,147,483,647
```

**Default**

100

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdMaxEventsTotal****Description**

Specifies the maximum total number of event notifications which can be registered for all connections.

```
Minimum = 0 (unlimited)  
Maximum = 2,147,483,647
```

**Default**

0

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdMaxNumOfTransactions****Description**

Specifies the maximum number of transactions per server.

```
Minimum = 0 (unlimited)  
Maximum = 2,147,483,647
```



**Default**

20

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdMaxOpPerTransaction****Description**

Specifies the maximum number of operations per transaction.

```
Minimum = 0 (unlimited)  
Maximum = 2,147,483,647
```

**Default**

5

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdMaxPendingChangesDisplayed****Description**

Specifies the maximum number of pending changes to be displayed.

**Default**

200

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdMaxPersistentSearches****Description**

Specifies the maximum total number of simultaneous persistent search.

**Default**

100

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdMaxTimeLimitOfTransactions****Description**

Specifies the maximum timeout value of a pending transaction in seconds.

```
Minimum = 0 (unlimited)
Maximum = 2,147,483,647
```

**Default**

300

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdNoReplConflictResolution****Description**

Specifies whether or not directory server will handle replication conflict resolution. If it is set to true, then the server does not try to compare timestamps for replicated entries in an attempt to resolve conflicts between the entries. However, conflict resolution does not apply to entry cn=schema which is always replaced by a replicated cn=schema.

**Default**

FALSE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdReplRestrictedAccess****Description**

Control access to the replication topology entry. If it is set to true, then only the root admin, local admin group members and the master DN have access to the replication topology entry, otherwise, any user with proper ACL may have access to the replication topology entry.

**Default**

FALSE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

## **ibm-slapdPagedResAllowNonAdmin**

### **Description**

Whether or not the server should allow non-Administrator bind for paged results requests on a search request. If the value read from the ibmslapd.conf file is FALSE, the server will process only those client requests submitted by a user with Administrator authority. If a client requests paged results for a search operation, does not have Administrator authority, and the value read from the ibmslapd.conf file for this attribute is FALSE, the server will return to the client with return code insufficientAccessRights; no searching or paging will be performed.

### **Default**

FALSE

### **Syntax**

Boolean

### **Length**

5

### **Count**

Single

### **Usage**

directoryOperation

### **User Modify**

Yes

### **Access Class**

critical

### **Objectclass**

ibm-slapdRdbmBackend

### **Required**

No

## **ibm-slapdPagedResLmt**

### **Description**

Maximum number of outstanding paged results search requests allowed active simultaneously. Range = 0.... If a client requests a paged results operation, and a maximum number of outstanding paged results are currently active, then the server will return to the client with return code of busy; no searching or paging will be performed.

### **Default**

3

### **Syntax**

Integer

### **Length**

11

### **Count**

Single

### **Usage**

directoryOperation

### **User Modify**

Yes

### **Access Class**

critical

### **Required**

No

**Objectclass**

ibm-slapdRdbmBackend

**ibm-slapdPageSizeLmt****Description**

Maximum number of entries to return from search for an individual page when paged results control is specified, regardless of any pagesize that might have been specified on the client search request. Range = 0.... If a client has passed a page size, then the smaller value of the client value and the value read from ibmslapd.conf will be used.

**Default**

50

**Syntax**

Integer

**Length**

11

**Count**

Single

**Usage**

directoryOperation

**User Modify**

Yes

**Access Class**

critical

**Required**

No

**Objectclass**

ibm-slapdRdbmBackend

**ibm-slapdPlugin****Description**

A plugin is a dynamically loaded library which extends the capabilities of the server. An ibm-slapdPlugin attribute specifies to the server how to load and initialize a plug-in library. The syntax is:

```
keyword filename init_function [args...]
```

The syntax is slightly different for each platform because of library naming conventions.

Most plug-ins are optional, but the RDBM backend plug-in is required for all RDBM backends.

**Default**

*database /bin/libback-rdbm.dll rdbm\_backend\_init*

**Syntax**

Directory string with case-exact matching

**Maximum Length**

2000

**Value**

Multi-valued

## **ibm-slapdPort**

### **Description**

Specifies the TCP/IP port used for non-SSL connections. It cannot have the same value as `ibm-slapdSecurePort`. (IP ports are unsigned, 16-bit integers in the range 1 - 65535.)

### **Default**

389

### **Syntax**

Integer

### **Maximum Length**

5

### **Value**

Single-valued

## **ibm-slapdPtaEnabled**

### **Description**

This attribute Specifies whether Pass-through Authentication is currently enabled. Defaults to FALSE. If set to TRUE, Pass-through Authentication will be performed as per the configuration settings.

### **Default**

False

### **Syntax**

Boolean

### **Maximum Length**

5

### **Value**

Single-valued

## **ibm-slapdPWEncryption**

### **Description**

Specifies the encoding mechanism for the user passwords before they are stored in the directory. It must be specified as `none`, `imask`, `crypt`, or `sha` (you must use the keyword **sha** in order to get SHA-1 encoding). The value must be set to `none` for the SASL `cram-md5` bind to succeed.

### **Default**

none

### **Syntax**

Directory string with case-insensitive matching

### **Maximum Length**

5

### **Value**

Single-valued

## **ibm-slapdReadOnly**

### **Description**

This attribute is normally applied to only the Directory backend. It specifies whether the backend can be written to. It must be specified as either TRUE or FALSE. It defaults to FALSE if unspecified. If set to TRUE, the server returns `LDAP_UNWILLING_TO_PERFORM (0x35)` in response to any client request which changes data in the `readOnly` database.

**Default**

FALSE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdReferral****Description**

Specifies the referral LDAP URL to pass back when the local suffixes do not match the request. It is used for superior referral (that is, the suffix is not within the naming context of the server).

**Default**

No preset default is defined.

**Syntax**

Directory string with case-exact matching

**Maximum Length**

32700

**Value**

Multi-valued

**ibm-slapdReplDbConns****Description**

Maximum number of database connections for use by replication.

**Default**

4

**Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdReplicaSubtree****Description**

Identifies the DN of a replicated subtree

**Syntax**

DN

**Maximum Length**

1000

**Value**

Single-valued

## **ibm-slapdSchemaAdditions**

### **Description**

The `ibm-slapdSchemaAdditions` attribute is used to identify explicitly which file holds new schema entries. This is set by default to be `/etc/V3.modifiedschema`. If this attribute is not defined, the server reverts to using the last `ibm-slapdIncludeSchema` file as in previous releases.

Before Version 3.2, the last `includeSchema` entry in **`slapd.conf`** was the file to which any new schema entries were added by the server if it received an `add` request from a client. Normally the last `includeSchema` is the `V3.modifiedschema` file, which is an empty file installed just for this purpose.

**Note:** The name `modified` is misleading, for it only stores new entries. Changes to existing schema entries are made in their original files.

### **Default**

`/etc/V3.modifiedschema`

### **Syntax**

Directory string with case-exact matching

### **Maximum Length**

1024

### **Value**

Single-valued

## **ibm-slapdSchemaCheck**

### **Description**

Specifies the schema checking mechanism for the `add/modify/delete` operation. It must be specified as `V2`, `V3`, or `V3_lenient`.

- `V2` - Retain `v2` and `v2.1` checking. Recommended for migration purpose.
- `V3` - Perform `v3` checking.
- `V3_lenient` - Not all parent object classes are needed. Only the immediate object class is needed when adding entries.

### **Default**

`V3_lenient`

### **Syntax**

Directory string with case-insensitive matching

### **Maximum Length**

10

### **Value**

Single-valued

## **ibm-slapdSecurePort**

### **Description**

Specifies the TCP/IP port used for SSL connections. It cannot have the same value as `ibm-slapdPort`. (IP ports are unsigned, 16-bit integers in the range 1 - 65535.)

### **Default**

636

### **Syntax**

Integer

### **Maximum Length**

5

**Value**

Single-valued

**ibm-slapdSecurity****Description**

Enables SSL and TLS connections. Must be none, SSL, SSLOnly, TLS, or SSLTLS.

- none - The server listens on the nonsecure port only.
- SSL - The server listens on both the SSL and the non-SSL ports. The secure port is the only means of using a secure connection.
- SSLOnly - The server listens on the SSL port only.
- TLS - The server only listens on the nonsecure port. The StartTLS extended operation is the only means of using a secure connection.
- SSLTLS - The server listens on both the default and secure ports. The StartTLS extended operation can be used to get a secure connection over the default port, or the client can use the secure port directly. Sending a StartTLS over the secure port will return the message LDAP\_OPERATIONS\_ERROR.

**Default**

none

**Syntax**

Directory string with case-insensitive matching

**Maximum Length**

7

**Value**

Single-valued

**ibm-slapdServerId****Description**

Identifies the server for use in replication.

**Syntax**

IA5 String with case-sensitive matching

**Maximum Length**

240

**Value**

Single-valued

**ibm-slapdSetenv****Description**

The server runs **putenv()** for all values of `ibm-slapdSetenv` at startup to change the server runtime environment. Shell variables (like `%PATH%` or `$LANG`) are not expanded.

**Default**

No preset default is defined.

**Syntax**

Directory string with case-exact matching

**Maximum Length**

2000



**Value**

Multi-valued

**ibm-slapdSizeLimit****Description**

Specifies the maximum number of entries to return from search, regardless of any size limit that might have been specified on the client search request (Range = 0...). If a client has passed a limit, then the smaller value of the client values and the value read from **ibmslapd.conf** are used. If a client has not passed a limit and has bound as admin DN, the limit is considered unlimited. If the client has not passed a limit and has not bound as admin DN, then the limit is that which was read from the **ibmslapd.conf** file. 0 = unlimited.

**Default**

500

**Syntax**

Integer

**Maximum Length**

12

**Value**

Single-valued

**ibm-slapdSortKeyLimit****Description**

The maximum number of sort conditions (keys) that can be specified on a single search request. Range = 0.... If a client has passed a search request with more sort keys than the limit allows, and the sorted search control criticality is FALSE, then the server will honor the value read from the **ibmslapd.conf** file and ignore any sort keys encountered after the limit has been reached - searching and sorting will be performed. If a client has passed a search request with more keys than the limit allows, and the sorted search control criticality is TRUE, then the server will return to the client with a return code of **adminLimitExceeded** - no searching or sorting will be performed.

**Default**

3

**Syntax**

cis

**Length**

11

**Count**

Single

**Usage**

directoryOperation

**User Modify**

Yes

**Access Class**

critical

**Objectclass**

ibm-slapdRdbmBackend

**Required**

No

## **ibm-slapdSortSrchAllowNonAdmin**

### **Description**

Whether or not the server should allow non-Administrator bind for sort on a search request. If the value read from the `ibmslapd.conf` file is `FALSE`, the server will process only those client requests submitted by a user with Administrator authority. If a client requests sort for a search operation, does not have Administrator authority, and the value read from the `ibmslapd.conf` file for this attribute is `FALSE`, the server will return to the client with return code `insufficientAccessRights` - no searching or sorting will be performed.

### **Default**

`FALSE`

### **Syntax**

Boolean

### **Length**

5

### **Count**

Single

### **Usage**

`directoryOperation`

### **User Modify**

Yes

### **Access Class**

`critical`

### **Objectclass**

`ibm-slapdRdbmBackend`

### **Required**

No

## **ibm-slapdSslAuth**

### **Description**

Specifies the authentication type for the ssl connection, either `serverauth` or `serverclientauth`.

- `serverauth` - supports server authentication at the client. This is the default.
- `serverclientauth` - supports both server and client authentication.

### **Default**

`serverauth`

### **Syntax**

Directory string with case-insensitive matching

### **Maximum Length**

16

### **Value**

Single-valued

## **ibm-slapdSslCertificate**

### **Description**

Specifies the label that identifies the server Personal Certificate in the key database file. This label is specified when the server private key and certificate are created with the **gsk4ikm** application. If `ibm-slapdSslCertificate` is not defined, the default private key, as defined in the key database file, is used by the LDAP server for SSL connections.

**Default**

No preset default is defined.

**Syntax**

Directory string with case-exact matching

**Maximum Length**

128

**Value**

Single-valued

**ibm-slapdSslCipherSpec**

Specifies the method of SSL encryption for clients accessing the server. Must be set to one of the following:

| <i>Table 11. Methods of SSL encryption</i> |  |
|--|--|
| <b>Attribute</b>                           | <b>Encryption level</b>                                  |
| TripleDES-168                              | Triple DES encryption with a 168-bit key and a SHA-1 MAC |
| DES-56                                     | DES encryption with a 56-bit key and a SHA-1 MAC         |
| RC4-128-SHA                                | RC4 encryption with a 128-bit key and a SHA-1 MAC        |
| RC4-128-MD5                                | RC4 encryption with a 128-bit key and a MD5 MAC          |
| RC2-40-MD5                                 | RC4 encryption with a 40-bit key and a MD5 MAC           |
| RC4-40-MD5                                 | RC4 encryption with a 40-bit key and a MD5 MAC           |
| AES  | AES encryption   |

**Syntax**

IA5 String

**Maximum Length**

30

**ibm-slapdSslKeyDatabase****Description**

Specifies the file path to the LDAP server SSL key database file. This key database file is used for handling SSL connections from LDAP clients, as well as for creating secure SSL connections to replica LDAP servers.

**Default**

/etc/key.kdb

**Syntax**

Directory string with case-exact matching

**Maximum Length**

1024

**Value**

Single-valued

## **ibm-slapdSslKeyDatabasePW**

### **Description**

Specifies the password associated with the LDAP server SSL key database file, as specified on the `ibm-slapdSslKeyDatabase` parameter. If the LDAP server key database file has an associated password stash file, then the `ibm-slapdSslKeyDatabasePW` parameter can be omitted, or set to none.

**Note:** The password stash file must be located in the same directory as the key database file and it must have the same file name as the key database file, but with an extension of `.sth` instead of `.kdb`.

### **Default**

none

### **Syntax**

Binary

### **Maximum Length**

128

### **Value**

Single-valued

## **ibm-slapdSslKeyRingFile**

### **Description**

Path to the LDAP server's SSL key database file. This key database file is used for handling SSL connections from LDAP clients, as well as for creating secure SSL connections to replica LDAP servers.

### **Default**

key.kdb

### **Syntax**

Directory String with case-sensitive matching

### **Maximum Length**

1024

### **Value**

Single-valued

## **ibm-slapdSuffix**

### **Description**

Specifies a naming context to be stored in this backend.

**Note:** This has the same name as the object class.

### **Default**

No preset default is defined.

### **Syntax**

DN

### **Maximum Length**

1000

### **Value**

Multi-valued

## **ibm-slapdSupportedWebAdmVersion**

### **Description**

This attribute defines the earliest version of the Web administration tool that supports this server of `cn=configuration`.

**Default****Syntax**

Directory String

**Maximum Length****Value**

Single-valued

**ibm-slapdSysLogLevel****Description**

Specifies the level at which debugging and operation statistics are logged in the slapd.errors file. It must be specified as l, m, or h.

- h - high (provides the most information)
- m - medium (the default)
- l - low (provides the least information)

**Default**

m

**Syntax**

Directory string with case-insensitive matching

**Maximum Length**

1

**Value**

Single-valued

**ibm-slapdTimeLimit****Description**

Specifies the maximum number of seconds to spend on a search request, regardless of any time limit that might have been specified on the client request. If a client has passed a limit, then the smaller value of the client values and the value read from **ibmslapd.conf** are used. If a client has not passed a limit and has bound as admin DN, the limit is considered unlimited. If the client has not passed a limit and has not bound as admin DN, then the limit is that which was read from the **ibmslapd.conf** file. 0 = unlimited.

**Default**

900

**Syntax**

Integer

**Maximum Length****Value**

Single-valued

**ibm-slapdTransactionEnable****Description**

If the transaction plugin is loaded but ibm-slapdTransactionEnable is set to FALSE, the server rejects all StartTransaction requests with the response LDAP\_UNWILLING\_TO\_PERFORM.

**Default**

TRUE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdUseProcessIdPw****Description**

If set to TRUE, the server ignores the `ibm-slapdDbUserID` and the `ibm-slapdDbUserPW` attributes and uses its own process credentials to authenticate to DB2.

**Default**

FALSE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdVersion****Description**

IBM Slapd version Number

**Default****Syntax**

Directory String with case-sensitive matching

**Maximum Length****Value**

Single-valued

**ibm-slapdWriteTimeout****Description**

Specifies a timeout value in seconds for blocked writes. When the time limit is reached the connection will be dropped.

**Default**

120

**Syntax**

Integer

**Maximum Length**

1024

**Value**

Single-valued

### **ibm-slapdTombstoneEnabled**

#### **Description**

Enable or Disable tombstones to record deleted entries. The default value is FALSE.

#### **Default**

FALSE

#### **Syntax**

Boolean

#### **Maximum Length**

5

#### **Value**

Single-valued

### **ibm-slapdTombstoneLifetime**

#### **Description**

Specifies the time in hours that tombstones may live. When the time limit is reached the tombstones will be deleted from the database.

#### **Default**

168

#### **Syntax**

Integer

#### **Maximum Length**

11

#### **Value**

Single-valued

### **ibm-slapdVLVEnabled**

#### **Description**

Must be one of { TRUE | FALSE }. Specifies whether the Virtual List View control is currently supported by the directory server.

#### **Default**

TRUE

#### **Syntax**

Boolean

#### **Maximum Length**

5

#### **Value**

Single-valued

### **ibm-slapdVLVCacheEnabled**

#### **Description**

Must be one of { TRUE | FALSE }. Specifies whether the VLV cache (that assists in re-using open cursors) is enabled or not

#### **Default**

#### **Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdVLVCacheTimeout****Description**

Specifies the timeout in seconds for an open cursor in the VLV cache. Minimum value 10, Maximum value 300 and default (in case this attribute is missing in the configuration file) is 15.

**Default**

15

**Syntax**

Integer

**Maximum Length**

5

**Value**

Single-valued

**ibm-slapdMaxVLVBeforeCount****Description**

Maximum number of entries before offset that each VLV search can send.

**Default****Syntax**

Integer

**Maximum Length**

11

**Value**

Single-valued

**ibm-slapdEnableConflictResolutionForGroups****Description**

Enable or Disable conflict resolution for groups.

**Default**

FASLE

**Syntax**

Boolean

**Maximum Length**

5

**Value**

Single-valued

**objectClass****Description**

The values of the objectClass attribute describe the kind of object which an entry represents.



**Syntax**

Directory string

**Maximum Length**

128

**Value**

Multi-valued

**Object identifiers (OIDs)**

This information contains the object identifiers (OIDs) that are used in the Directory Server.

The OIDs shown in the following tables are used in the Directory Server. These OIDs are in the root DSE. The root DSE entry contains information about the server itself. Learn more about Object Identifiers (OIDs) for extended operations and controls, including the encoding of request and response data associated with the following controls and extended operations, in the [Tivoli Software Information Center](#)

**Controls**

| <i>Table 12. Supported Directory Server controls</i> |  |  |   |  |
|--|--|--|---|--|
| <b>Name</b>  | <b>OID</b>   | <b>Earliest or IBM i or OS/400 release</b> | <b>Earliest IBM Tivoli Directory Server version</b> | <b>Description</b>   |
| Manage DSA IT  | 2.16.840.1.1137.30.3.4.2   | V4R5                                       | V3.2  | Treat referral entries as regular entries.   |
| <a href="#">“Transactions” on page 50</a>            | 1.3.18.0.2.10.5  | V4R5                                       | V3.2  | Mark an operation as part of a transaction.  |
| os400-dltusrprf-ownobjopt                            | 1.3.18.0.2.10.8  | V5R2                                       |   | Delete user profile option for object owner. See <a href="#">“Operating system projected backend” on page 91</a> for details.  |
| os400-dltusrprf-pgpopt                               | 1.3.18.0.2.10.9  | V5R2                                       |   | Delete user profile option for primary group. See <a href="#">“Operating system projected backend” on page 91</a> for details. |
| Sorted search  | 1.2.840.113556.1.4.473 (request) and 1.2.840.113556.1.4.474 (response) | V5R2 with PTF                              | V4.1  | Sort search results before returning the entries to the client. See <a href="#">“Search parameters” on page 46</a> .           |

Table 12. Supported Directory Server controls (continued)

| Name   | OID                       | Earliest or IBM i or OS/400 release | Earliest IBM Tivoli Directory Server version | Description  |
|--|---------------------------|-------------------------------------|--|--|
| Paged search                                     | 1.2.840.113556.1.4.319    | V5R2 with PTF                       | V4.1   | Return search results in pages to the client instead of all at once. See <a href="#">“Search parameters” on page 46.</a>   |
| Tree Delete control                              | 1.2.840.113556.1.4.805    | V5R3                                | V5.1   | This control is attached to a Delete request to indicate that the specified entry and all descendant entries are to be deleted. User must be a directory administrator. The entry to be deleted cannot be a replication context. |
| <a href="#">“Password policy” on page 77</a>     | 1.3.6.1.4.1.42.2.27.8.5.1 | V5R3                                | V5.1   | Return extra password policy error information to the client.  |
| Server administration                            | 1.3.18.0.2.10.15          | V5R3                                | V5.1   | Permits the administrator to perform repair operations that would normally be refused (for example: update a read-only replica, update a quiesced server, or set certain operational attributes).                                |
| <a href="#">“Proxy authorization” on page 65</a> | 2.16.840.1.113730.3.4.18  | 5.4                                 | V5.2   | Client application can bind to the directory with its own identity but is allowed to perform operations on behalf of another.  |
| Replication supplier bind control                | 1.3.18.0.2.10.18          | V5R3                                | V5.2   | This control is added by supplier, if the supplier is a gateway server.  |

Table 12. Supported Directory Server controls (continued)

| Name                               | OID              | Earliest or IBM i or OS/400 release | Earliest IBM Tivoli Directory Server version | Description  |
|------------------------------------|------------------|-------------------------------------|--|--|
| Refresh Entry Control              | 1.3.18.0.2.10.24 | 6.1                                 | V6.0   | This control is used internally by the server to support replication conflict resolution.  |
| No Replication Conflict Resolution | 1.3.19.0.2.10.27 | V6R1                                | V6.0   | This control is used internally by the server to support replication conflict resolution.  |
| Do Not Replicate Control           | 1.3.19.0.2.10.23 | 6.1                                 | V6.0   | This control can be specified by an administrator to request that the associated operation not be replicated to other servers. The control has no control value.   |
| Audit Control                      | 1.3.18.0.2.10.22 | 6.1                                 | V6.0   | This control is used by authorized clients, including the proxy server, to identify the client that originated a request that might be routed through multiple servers.                                    |
| Group Authorization Control        | 1.3.18.0.2.10.21 | 6.1                                 | V6.0   | This control is used to assert the group membership of the client's authorization identity, rather than the local server group membership. It is used in conjunction with the proxy authorization control. |

Table 12. Supported Directory Server controls (continued)

| Name                                     | OID              | Earliest or IBM i or OS/400 release | Earliest IBM Tivoli Directory Server version | Description  |
|--|------------------|-------------------------------------|--|--|
| Modify Groups Only Control               | 1.3.18.0.2.10.25 | 6.1                                 | V6.0   | The operation with this control (either delete or modrdn/dn) will be recognized by the backend servers as a special type of operation where the dn is not deleted or renamed; rather, the groups in which it resides are modified to either delete or rename the reference to the target dn in its membership. |
| Omit group referential integrity control | 1.3.18.0.2.10.26 | 6.1                                 | V6.0   | Omit the group referential integrity processing on a delete or modrdn request. ACI and group membership are not updated to reflect the change.   |
| AES bind control                         | 1.3.18.0.2.10.28 | 6.1                                 | V6.0   | This control enables the IBM Tivoli Directory Server to send updates to the consumer server with passwords already encrypted using AES.  |

Table 12. Supported Directory Server controls (continued)

| Name                                     | OID                    | Earliest or IBM i or OS/400 release | Earliest IBM Tivoli Directory Server version | Description  |
|--|------------------------|-------------------------------------|--|--|
| Limit Number of Attribute Values Control | 1.3.18.0.2.10.30       | 7.1                                 | V6.1   | This control limits the number of attribute values returned for an entry on a search operation. The control can be used to limit the number of values returned for the entire entry. It can also be used to limit the number of values returned for each attribute within an entry |
| Paged search results control             | 1.2.840.113556.1.4.319 | 7.1                                 | V6.1   | Allows management of the amount of data returned from a search request.  |
| Replication update ID control            | 1.3.18.0.2.10.29       | 7.1                                 | V6.1   | This control was created for serviceability. If the supplier server is set to issue the control, each replicated update is accompanied by this control.  |

### Extended operations

Table 13. OIDs for extended operations

| Name                  | OID             | Earliest IBM i or OS/400 release | Earliest IBM Tivoli Directory Server version | Description   |
|-----------------------|-----------------|----------------------------------|--|---|
| Register for events   | 1.3.18.0.2.12.1 | V4R5                             | V3.2   | Request registration for events in Tivoli Directory Server Event Support            |
| Unregister for events | 1.3.18.0.2.12.3 | V4R5                             | V3.2   | Unregister for events that were registered for using an Event Registration Request. |
| Begin transaction     | 1.3.18.0.2.12.5 | V4R5                             | V3.2   | Begin a Transactional context   |

Table 13. OIDs for extended operations (continued)

| Name                 | OID                    | Earliest IBM i or OS/400 release | Earliest IBM Tivoli Directory Server version | Description                                     |
|----------------------|------------------------|----------------------------------|--|---|
| End transaction      | 1.3.18.0.2.12.6        | V4R5                             | V3.2   | End Transactional context (commit/rollback)     |
| DN normalize request | 1.3.18.0.2.12.30       | V5R3                             | V5.1   | Request to normalize a DN or a sequence of DNs. |
| StartTLS             | 1.3.6.1.4.1.1466.20037 | 5.4                              | V5.2   | Request to start Transport Layer Security.      |

Additional extended operations are defined which are not intended to be started by a client. These operations are used through the ldapexop utility or through operations performed by the Web administration tool. These operations, and the authority required to start them are listed below:

Table 14. Additional extended operations

| Name                      | OID              | Earliest IBM i release | Earliest IBM Tivoli Directory Server version | Description   |
|---------------------------|------------------|------------------------|--|---|
| Control replication       | 1.3.18.0.2.12.16 | V5R3                   | V5.1   | This operation performs the requested action on the server it is issued to and cascades the call to all consumers beneath it in the replication topology. The client must be the directory administrator or have write authority to ibm-replicagroup=default object for the associated replication context. |
| Control replication queue | 1.3.18.0.2.12.17 | V5R3                   | V5.1   | This operation marks items as already replicated for a specified agreement. This operation is allowed only when the client has write authority to the replication agreement.  |

Table 14. Additional extended operations (continued)

| Name                          | OID              | Earliest IBM i release | Earliest IBM Tivoli Directory Server version | Description   |
|-------------------------------|------------------|------------------------|--|---|
| Quiesce or unquiesce          | 1.3.18.0.2.12.19 | V5R3                   | V5.1   | This operation puts the subtree into a state where it does not accept client updates (or terminates this state), except for those from clients authenticated as a directory administrator where the Server Administration control is present. The client must be authenticated as the directory administrator or have write authority to the <code>ibm-replicagroup=default</code> object for the associated replication context. |
| Cascading control replication | 1.3.18.0.2.12.15 | V5R3                   | V5.1   | This operation performs the requested action on the server it is issued to and cascades the call to all consumers beneath it in the replication topology. The client must be the directory administrator or have write authority to <code>ibm-replicagroup=default</code> object for the associated replication context.  |
| Update configuration          | 1.3.18.0.2.12.28 | V5R3                   | V5.1   | This operation is used to cause the server to reread specified settings from its configuration. The operation is allowed only when the client is the directory administrator.   |
| Kill Connection Request       | 1.3.18.0.2.12.35 | 5.4                    | V5.2   | Request to kill connections on the server. The caller must be a directory administrator.  |
| Unique attribute request      | 1.3.18.0.2.12.44 | 5.4                    | V5.2   | Requests the server to return a list of all non-unique values for a given attribute name. See <a href="#">“ldapexop” on page 240</a> -op uniqueattr. The caller must be a directory administrator.  |
| Attribute type request        | 1.3.18.0.2.12.46 | 5.4                    | V5.2   | Requests the server to return a list of names of attributes having a particular characteristic. See <a href="#">“ldapexop” on page 240</a> -op getattributes  |

Table 14. Additional extended operations (continued)

| Name                                     | OID              | Earliest IBM i release | Earliest IBM Tivoli Directory Server version | Description   |
|--|------------------|------------------------|--|---|
| User type request                        | 1.3.18.0.2.12.37 | V5R3                   | V5.2   | Request to get User Type of the bound user.   |
| Replication error log extended operation | 1.3.18.0.2.12.56 | 6.1                    | V6.0   | The IBM Replication Error Control extended request is used to view the replication error log, retry entries from the log or delete log entries. The caller must be a directory administrator or have write authority to ibm-replicagroup=default object for the associated replication context. |
| Group evaluation extended operation      | 1.3.18.0.2.12.50 | 6.1                    | V6.0   | Requests all the groups that a given user belongs to. The caller must be a directory administrator.   |
| Replication topology extended operation  | 1.3.18.0.2.12.54 | 6.1                    | V6.0   | Trigger a replication of replication topology-related entries under a given replication context. The caller must be a directory administrator or have write authority to ibm-replicagroup=default object for the associated replication context.  |
| Account status extended operation        | 1.3.18.0.2.12.58 | 6.1                    | V6.0   | This extended operation sends the server a DN of an entry which contains a userPassword attribute, and the server sends back the status of the user account being queried: open, locked, or expired. The caller must be a directory administrator.  |
| Get file extended operation              | 1.3.18.0.2.12.73 | 6.1                    | V6.0   | Returns the contents of a given file on the server. Caller must be a directory administrator. Supports the LostAndFound log and the Tivoli Directory Server audit log. The audit log is not related to i5/OS security auditing capabilities of the directory server.                            |



Table 14. Additional extended operations (continued)

| Name  | OID              | Earliest IBM i release | Earliest IBM Tivoli Directory Server version | Description  |
|---|------------------|------------------------|--|--|
| Get lines extended operation                                  | 1.3.18.0.2.12.22 | 6.1                    | V6.0   | Request to get lines from a log file. Caller must be a directory administrator. Supports the LostAndFound log and the Tivoli Directory Server audit log. The audit log is not related to IBM i5/OS security auditing capabilities of the directory server.   |
| Get number of lines extended operation                        | 1.3.18.0.2.12.24 | 6.1                    | V6.0   | Request number of lines in a log file. Caller must be a directory administrator. Supports the LostAndFound log and the Tivoli Directory Server audit log. The audit log is not related to i5/OS security auditing capabilities of the directory server.  |
| Clear log extended operation                                  | 1.3.18.0.2.12.20 | 6.1                    | V6.0   | Request to Clear log file.   |
| Password Policy Bind Initialize and Verify Extended Operation | 1.3.18.0.2.12.79 | 7.1                    | V6.1   | This extended operation performs password policy bind initialization and verification for a specified user. The extended operation checks to see if an account is locked. This extended operation was introduced to provide a mechanism for the proxy server to support bind plug-ins.   |
| Password Policy Finalize and Verify Bind Extended Operation   | 1.3.18.0.2.12.80 | 7.1                    | V6.1   | This extended operation performs password policy post-bind processing for a specified user. The extended operation was introduced to provide a mechanism for the proxy server to support bind plug-ins. Post bind processing includes checking for expired passwords, grace logins, and updating failed or successful bind counters. |

### Supported and enabled capabilities

The following table shows OIDs for supported and enabled capabilities. You can use these OIDs to see if a particular server supports these features.

Table 15. OIDs for supported and enabled capabilities

| Name                                       | OID              | Description   |
|--|------------------|---|
| Enhanced Replication Model                 | 1.3.18.0.2.32.1  | Identifies the replication model introduced in IBM Directory Server v5.1 including subtree and cascading replication.                 |
| Entry Checksum                             | 1.3.18.0.2.32.2  | Indicates that this server supports the ibm-entrychecksum and ibm-entrychecksumop features.   |
| Entry UUID                                 | 1.3.18.0.2.32.3  | Identifies that this server supports the ibm-entryuuid operational attribute.   |
| Filter ACLs                                | 1.3.18.0.2.32.4  | Identifies that this server supports the IBM Filter ACL model.  |
| Password Policy                            | 1.3.18.0.2.32.5  | Identifies that this server supports password policies  |
| Sort by DN                                 | 1.3.18.0.2.32.6  | Indicates that this server supports using the ibm-slapdDn attribute to sort by DN.  |
| Administrative Group Delegation            | 1.3.18.0.2.32.8  | Server supports the delegation of server administration to a group of administrators that are specified in the configuration backend. |
| Denial of Service Prevention               | 1.3.18.0.2.32.9  | Server supports the denial of service prevention feature. Including read/write time-outs and the emergency thread.                    |
| Dereference Alias Option                   | 1.3.18.0.2.32.10 | Server supports an option to not dereference Aliases by default   |
| 128 Character Table Names                  | 1.3.18.0.2.32.12 | The server feature to allow name of unique attributes to be higher than 18 characters (with the maximum of 128 characters).           |
| Attribute Caching Search Filter Resolution | 1.3.18.0.2.32.13 | The server supports attribute caching for search filter resolution.   |
| Entry And Subtree Dynamic Updates          | 1.3.18.0.2.32.15 | The server supports dynamic configuration updates on entries and subtrees   |
| Globally Unique Attributes                 | 1.3.18.0.2.32.16 | The server feature to enforce globally unique attribute values.   |
| Group-Specific Search Limits               | 1.3.18.0.2.32.17 | Group-Specific Search Limits supports extended search limits for a group of people  |
| IBMpolicies Replication Subtree            | 1.3.18.0.2.32.18 | Server supports the replication of the cn=IBMpolicies subtree.  |
| Max Age ChangeLog Entries                  | 1.3.18.0.2.32.19 | Specifies that the server is capable of retaining changelog entries bases on age.   |
| Monitor Logging Counts                     | 1.3.18.0.2.32.20 | The server provides monitor logging counts for messages added to server, CLI, and audit log files..                                   |
| Monitor Active Workers Info                | 1.3.18.0.2.32.21 | The server provides monitor information for active workers (cn=workers,cn=monitor).   |
| Monitor Connection Type Counts             | 1.3.18.0.2.32.22 | The server provides monitor connection type counts for SSL and TLS connections.   |

Table 15. OIDs for supported and enabled capabilities (continued)

| Name  | OID                    | Description   |
|---|------------------------|---|
| Monitor Connections Info                                | 1.3.18.0.2.32.23       | The server provides monitor information for connections by IP address instead of connection ID (cn=connections, cn=monitor).  |
| Monitor Operation Counts                                | 1.3.18.0.2.32.24       | The server provides monitor operation counts for initiated and completed operation types.   |
| Monitor Tracing Info                                    | 1.3.18.0.2.32.25       | The server provides monitor information for tracing options currently being used.   |
| NULL base subtree search                                | 1.3.18.0.2.32.26       | Server allows null based subtree search which searches the entire DIT defined in the server.  |
| TLS Capabilities  | 1.3.18.0.2.32.28       | Specifies that the server is actually capable of doing TLS.   |
| Non-blocking Replication                                | 1.3.18.0.2.32.29       | Supplier does not always retry sending an update if consumer returns an error   |
| Kerberos Capabilities                                   | 1.3.18.0.2.32.30       | Specifies that the server is actually capable of doing Kerberos.  |
| ibm-allMembers and ibm-allGroups operational attributes | 1.3.18.0.2.32.31       | The backend supports static, dynamic, and nested group searching via the ibm-allMembers and ibm-allGroups operational attributes. The members of a static, dynamic and/or nested group can be obtained by performing a search on the ibm-allMembers operational attribute. The static, dynamic, and/or nested groups that a member DN belongs to can be obtained by performing a search on the ibm-allGroups operational attribute. |
| Language tag option support                             | 1.3.6.1.4.1.4203.1.5.4 | Indicates server supports language tags as defined in RFC 2596.   |
| Modify DN (leaf move)                                   | 1.3.18.0.2.32.35       | Indicates if modify DN operation supports new superior for leaf entries. Note that this capability is implied by the pre-existing Modify DN (subtree move) capability. Applications should check for both capabilities.   |
| Filtered referrals server capability                    | 1.3.18.0.2.32.36       | Used to indicate support for enhanced filtered referrals. This means that the filtered value in a referral will be combined with the original filter on a search request.   |
| Simplify resizing of attributes                         | 1.3.18.0.2.32.37       | Allows customers to increase the maximum length of attributes through the schema modification facilities.   |
| Global admin group server capability                    | 1.3.18.0.2.32.38       | Used to indicate support for a global admin group.  |
| AES password encryption                                 | 1.3.18.0.2.32.39       | Indicates support for the AES password encryption.  |
| Auditing of compare capability                          | 1.3.18.0.2.32.40       | Used to indicate support for auditing of the compare operation.   |

Table 15. OIDs for supported and enabled capabilities (continued)

| Name  | OID                  | Description  |
|---|----------------------|--|
| Log Management                                    | 1.3.18.0.2.32.4<br>1 | Indicates support for the log file access extended operations and the Tivoli Directory Server audit log.   |
| Multi-threaded replication                        | 1.3.18.0.2.32.4<br>2 |  |
| Server configuration of suppliers for replication | 1.3.18.0.2.32.4<br>3 |  |
| Using CN=IBMPOLICIES for Global Updates           | 1.3.18.0.2.32.4<br>4 | Using CN=IBMPOLICIES for Global Updates  |
| Multihomed configuration support                  | 1.3.18.0.2.32.4<br>5 | Server supports configuration on multiple IP addresses (multihomed).   |
| Multiple Directory Server Instances Architecture  | 1.3.18.0.2.32.4<br>6 | Server is designed to run with multiple directory server instances on the same machine.  |
| Configuration Tool Auditing                       | 1.3.18.0.2.32.4<br>7 | Server supports the auditing of the the configuration tools.   |
| autonomic attribute cache                         | 1.3.18.0.2.32.5<br>0 | Supports autonomic attribute caching   |
| Maximum Entry Size                                | 1.3.18.0.2.32.5<br>1 | Used to resolve replication conflict. Based on this number, a supplier can decide if an entry should be added to a target server again in order to resolve a replication conflict. |
| LostAndFound log file                             | 1.3.18.0.2.32.5<br>2 | A file which archives the replaced entries as a result of replication conflict resolution.   |
| Password Policy Account Lockout                   | 1.3.18.0.2.32.5<br>3 | Identifies that this server supports password policy Account Locked feature.   |
| Password Policy Admin                             | 1.3.18.0.2.32.5<br>4 | Identifies that this server supports password policy Account Locked feature.   |
| ibm-entrychecksumop                               | 1.3.18.0.2.32.5<br>6 | The 6.0 IDS ibm-entrychecksumop functionality  |
| LDAP Password Global Start Time                   | 1.3.18.0.2.32.5<br>7 | Indicates that the server can support ibm-pwdPolicyStartTime attribute in the cn=pwdPolicy entry   |
| Audit Configuration Settings Consolidation        | 1.3.18.0.2.32.5<br>8 | Identifies that the audit configuration settings are now residing in the ibmslapd configuration file only.   |
| Filter Replication                                | 1.3.18.0.2.32.6<br>5 | The server feature designed to have only required entries and a subset of its attributes to be replicated.   |
| Tombstone Support                                 | 1.3.18.0.2.32.9<br>2 | Server supports tombstone for deleted entries.   |
| Replication Finegrained timestamps                | 1.3.18.0.2.32.9<br>4 | Replication uses fine grained timestamp for resolving conflicts.   |

Table 15. OIDs for supported and enabled capabilities (continued)

| Name  | OID              | Description  |
|---|------------------|--|
| SHA-2   | 1.3.18.0.2.32.99 | Indicates that this server supports SHA-2 family of algorithms, which include: SHA-224, SHA-256, SHA-384, and SHA-512. The server also supports the Salted version of the SHA-2 family of algorithms, which include: SSHA-224, SSHA-256, SSHA-384, and SSHA-512. * SHA-2 is only applicable for servers with database backend. |
| Virtual List View Support                           | 1.3.18.0.2.32.89 | Server supports virtual list view control in searches.   |
| Password Policy Max Consecutive repeated characters | 1.3.18.0.2.32.88 | Server supports restricting maximum consecutive repeated characters in password policy.  |

### OIDs for ACL mechanisms

The following table shows the OIDs for ACL mechanisms.

Table 16. OIDs for ACL mechanisms

| Name                           | OID             | Description   |
|--------------------------------|-----------------|---|
| IBM SecureWay V3.2 ACL Model   | 1.3.18.0.2.26.2 | Indicates that the LDAP server supports the IBM SecureWay V3.2 ACL model            |
| IBM Filter Based ACL Mechanism | 1.3.18.0.2.26.3 | Indicates that the LDAP server supports IBM Directory Server v5.1 filter based ACLs |
| System Restricted ACL Support  | 1.3.18.0.2.26.4 | Indicates server supports system and restricted access class in ACL entries.        |

### Related concepts

#### Controls and extended operations

Controls and extended operations allow the LDAP protocol to be extended without changing the protocol itself.

## IBM Tivoli Directory Server equivalence

The Directory Server is compatible with the IBM Tivoli Directory Server product available on other platforms. The following table lists the equivalent version of the IBM Tivoli Directory Server product corresponding to particular versions of IBM i Directory Server. This table may be useful when determining if the IBM i Directory Server satisfies directory server prerequisites for a particular product.

Table 17. IBM Tivoli Directory Server equivalence

| IBM iDirectory Server | IBM Tivoli Directory Server             |
|-----------------------|---|
| Version 7 release 2   | IBM Tivoli Directory Server version 6.3 |
| Version 7 release 1   | IBM Tivoli Directory Server version 6.1 |
| Version 6 release 1   | IBM Tivoli Directory Server version 6.0 |
| Version 5 release 4   | IBM Tivoli Directory Server version 5.2 |
| Version 5 release 3   | IBM Directory Server version 5.1        |

| <i>Table 17. IBM Tivoli Directory Server equivalence (continued)</i> |  |
|--|--|
| <b>IBM iDirectory Server</b>   | <b>IBM Tivoli Directory Server</b>           |
| Version 5 release 2 (with PTF SI08487)                               | IBM Directory Server version 4.1             |
| Version 5 release 2 (GA)   | IBM SecureWay Directory Server version 3.2.2 |

## Default configuration for Directory Server

The Directory Server is automatically installed when you install IBM i. This installation includes a default configuration.

The Directory Server uses the default configuration when all of the following are true:

- Administrators have not run the Directory Server Configuration Wizard or changed directory settings with the properties pages.
- Directory Server publishing is not configured.
- The Directory Server cannot find any LDAP DNS information.

If the Directory Server uses the default configuration, then the following occur:

- The Directory Server automatically starts when TCP/IP starts.
- The system creates a default administrator, cn=Administrator. It also generates a password that is used internally. If you need to use an administrator password later, you can set a new one from the Directory Server property page.
- A default suffix is created that is based on the system's IP name. A system objects' suffix is also created based on the system name. For example, if your system's IP name is mary.acme.com, the suffix is dc=mary,dc=acme,dc=com.
- The Directory Server uses the default data library QUSRDIRDB. The system creates it in the system ASP.
- The server uses port 389 for non-secure communications. If a digital certificate has been configured for LDAP, secure sockets layer (SSL) is enabled and port 636 is used for secure communications.

### Related tasks

#### Configuring the Directory Server

Run the Directory Server Configuration wizard to customize the Directory Server settings.

## Troubleshooting Directory Server

Information to help you solve problems. Includes suggestions for collecting service data and solving specific problems.

Unfortunately, even reliable servers such as the Directory Server sometimes have problems. When your Directory Server has problems, the following information can help you figure out what is wrong and how to fix the trouble.

You can find return codes for LDAP errors in the ldap.h file, which is located on your system in QSYSINC/H.LDAP.

For additional information about common Directory Server problems, see the Directory Server home page ([www.iseries.ibm.com/ldap](http://www.iseries.ibm.com/ldap)).

Directory Server uses several Structured Query Language (SQL) servers which are QSQRV jobs. When an SQL error occurs, the QDIRSRV job log will usually contain the following message:

```
SQL error -1 occurred
```

In these instances the QDIRSRV job log will refer you to the SQL server job logs. However, in some cases QDIRSRV might not contain this message and this referral, even if an SQL server is the cause of the problem. In these instances, it will help you to know what SQL server jobs the server started, so that you know in which QSQRV job logs to look for additional errors.

When the Directory Server starts normally, it generates messages similar to the following:

```
Job . . . : QDIRSRV      User . . . : QDIRSRV      System:  MYSYSTEM
Number . . . : 174440

>> CALL PGM(QSYS/QGLDSVR)
Job 057448/QUSER/QSQSRVR used for SQL server mode processing.
Job 057340/QUSER/QSQSRVR used for SQL server mode processing.
Job 057448/QUSER/QSQSRVR used for SQL server mode processing.
Job 057166/QUSER/QSQSRVR used for SQL server mode processing.
Job 057279/QUSER/QSQSRVR used for SQL server mode processing.
Job 057288/QUSER/QSQSRVR used for SQL server mode processing.
Directory Server started successfully.
```

The messages refer to the QSQSRVR jobs that were started for the server. The number of messages might differ on your server depending on the configuration and the number of QSQSRVR jobs needed to accomplish server startup.

On the directory servers **Database/Suffixes** Properties page in IBM Navigator for i you specify the total number of SQL servers that Directory Server uses for directory operations after server startup. Additional SQL servers are started for replication.

### Related information

[Directory Server home page](#)

## Monitoring errors and access with the Directory Server job log

When you get an error on your Directory Server and want more details, another action to take is to view the QDIRSRV job log.

Viewing the job log for your Directory Server can alert you to errors and help you to monitor server access. The job log contains:

- Messages about server operation and any problems within the server such as SQL server jobs or replication failures.
- Security related messages reflecting operations by clients such as wrong passwords.
- Messages giving details about client errors such as missing required attributes.

You might not want to log the client errors unless you are debugging client problems. You can control the logging of client errors on the **General** properties tab of the Directory Server in IBM Navigator for i.

### Viewing the QDIRSRV job log if your server is started

If your server is started, take these steps to view the QDIRSRV job log:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**.
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Server Jobs**.
3. Right click the job name, choose **Job Log**.

### Viewing the QDIRSRV job log if your server is stopped

If your server is stopped, take these steps to view the QDIRSRV job log:

1. In IBM Navigator for i, expand **Network > Servers > TCP/IP Servers**
2. Right-click **IBM Tivoli Directory Server for IBM i** and select **Server Jobs**.
3. Right click the job name, choose **Printer Output**
4. Right click the job log, select **Open**

**Note:** Directory Server uses other system resources to perform some tasks. If an error occurs with one of those resources, the job log will indicate where to go for information. In some cases Directory Server might not be able to determine where to look. In those cases, look in the Structured Query Language (SQL) servers job log to see if the problem was related to SQL servers.

## Using TRCTCPAPP to help find problems

For reproducible errors, you can use Trace TCP/IP Application (TRCTCPAPP APP(\*DIRSRV)) command to run a trace of the errors.

Your server provides a communication trace to collect data on a communications line, such as a local area network (LAN) or a wide area network (WAN) interface. The average user might not understand the entire contents of the trace data. However, you can use the trace entries to determine whether a data exchange between two points actually took place.

The Trace TCP/IP Application (TRCTCPAPP) command can be used on the Directory Server to aid in finding problems with clients or applications.

You can use the TRCTCPAPP command to trace an active server instance. For example:

```
TRCTCPAPP APP(*DIRSRV) INSTANCE(QUSRDIR)
```

You can also start trace using STRTCPSVR command and by adding '-h dft' instance startup values. This will start trace in the server instance and start the server instance. For example:

```
STRTCPSVR SERVER(*DIRSRV) INSTANCE(QUSRDIR '-h dft')
```

To end the trace use the following command:

```
TRCTCPAPP APP(*DIRSRV) SET(*OFF)
```

### Related concepts

[Communications trace](#)

### Related information

[Trace TCP/IP Application \(TRCTCPAPP\)](#)

## Using the LDAP\_OPT\_DEBUG option to trace errors

Trace problems with clients that are using the LDAP C APIs.

You can use the LDAP\_OPT\_DEBUG option of the **ldap\_set\_option()** API to trace problems with clients that are using the LDAP C APIs. The debug option has multiple debug level setting that you can use to aid in troubleshooting problems with these applications.

The following is an example of enabling the client trace debug option.

```
int debugvalue= LDAP_DEBUG_TRACE | LDAP_DEBUG_PACKETS;
ldap_set_option( 1d, LDAP_OPT_DEBUG, &debugvalue);
```

An alternate way of setting the debug level is to configure the numerical value of the LDAP\_DEBUG environment variable, for the job in which the client application runs, to the same numerical value that the debugvalue would be if the **ldap\_set\_option()** API is used.

An example of enabling the client trace using the LDAP\_DEBUG environment variable is the following:

```
ADDENVVAR ENVVAR(LDAP_DEBUG) VALUE(0x0003)
```

After running the client that produces the problem you are having, type the following on the command line:

```
DMPUSRTRC ClientJobNumber
```

where ClientJobNumber is the number of the client job.

To display this information interactively, type the following at the command line:

```
DSPPFM QAP0ZDMP QP0Znnnnnn
```

where QAP0ZDMP contains a zero and nnnnnn is the job number.

To save this information in order to send the information to service, take the following steps:



1. Create a SAVF file using the create SAVF (CRTSAVF) command.
2. Type the following at the command line.

```
SAVOBJ OBJ(QAP0ZDMP) LIB(QTEMP) DEV(*SAVF) SAVF(xxx)
```

where QAP0ZDMP contains a zero and *xxx* is the name that you specified for the SAVF file.

### Related concepts

[Lightweight Directory Access Protocol \(LDAP\) APIs](#) See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

### Related information

[Add Environment Variable \(ADDENVVAR\)](#)

[Dump User Trace \(DMPUSRTRC\)](#)

[Display Physical File Member \(DSPPFM\)](#)

[Create Save File \(CRTSAVF\)](#)

[Save Object \(SAVOBJ\)](#)

## GLEnnnn message identifiers

This information lists the GLE message identifiers and their descriptions.

Message identifiers take the form GLEnnnn, where nnnn is the decimal error number. For example, a description for return code 50 (0x32) can be viewed by entering the following command:

```
DSPMSGD RANGE(GLE0050) MSGF(QGLDMSG)
```

This would give you the description for LDAP\_INSUFFICIENT\_ACCESS.

The following table lists the GLE message identifiers and their descriptions.

| Message identifier | Description  |
|--------------------|--|
| GLE0000            | The request was successful (LDAP_SUCCESS)                            |
| GLE0001            | Operations error (LDAP_OPERATIONS_ERROR)                             |
| GLE0002            | Protocol error (LDAP_PROTOCOL_ERROR)                                 |
| GLE0003            | Time limit exceeded (LDAP_TIMELIMIT_EXCEEDED)                        |
| GLE0004            | Size limit exceeded (LDAP_SIZELIMIT_EXCEEDED)                        |
| GLE0005            | Compared type and value does not exist in entry (LDAP_COMPARE_FALSE) |
| GLE0006            | Compared type and value exists in entry (LDAP_COMPARE_TRUE)          |
| GLE0007            | Authentication method not supported (LDAP_AUTH_METHOD_NOT_SUPPORTED) |
| GLE0008            | Strong authentication required (LDAP_STRONG_AUTH_REQUIRED)           |
| GLE0009            | Partial results and referral received (LDAP_PARTIAL_RESULTS)         |
| GLE0010            | Referral returned (LDAP_REFERRAL)                                    |
| GLE0011            | Administrative limit exceeded (LDAP_ADMIN_LIMIT_EXCEEDED)            |

| <b>Message identifier</b> | <b>Description</b>  |
|---------------------------|---|
| GLE0012                   | Critical extension not supported<br>(LDAP_UNAVAILABLE_CRITICAL_EXTENSION)                   |
| GLE0013                   | Confidentiality is required<br>(LDAP_CONFIDENTIALITY_REQUIRED)                              |
| GLE0014                   | SASL bind in progress<br>(LDAP_SASLBIND_IN_PROGRESS)  |
| GLE0016                   | No such attribute (LDAP_NO_SUCH_ATTRIBUTE)  |
| GLE0017                   | Undefined attribute type (LDAP_UNDEFINED_TYPE)  |
| GLE0018                   | Inappropriate matching<br>(LDAP_INAPPROPRIATE_MATCHING)                                     |
| GLE0019                   | Constraint violation<br>(LDAP_CONSTRAINT_VIOLATION)   |
| GLE0020                   | Type or value exists<br>(LDAP_TYPE_OR_VALUE_EXISTS)   |
| GLE0021                   | Invalid syntax (LDAP_INVALID_SYNTAX)  |
| GLE0032                   | No such object (LDAP_NO_SUCH_OBJECT)  |
| GLE0033                   | Alias problem (LDAP_ALIAS_PROBLEM)  |
| GLE0034                   | Invalid DN syntax (LDAP_INVALID_DN_SYNTAX)  |
| GLE0035                   | Object is a leaf (LDAP_IS_LEAF)   |
| GLE0036                   | Alias dereferencing problem<br>(LDAP_ALIAS_DEREF_PROBLEM)                                   |
| GLE0048                   | Inappropriate authentication<br>(LDAP_INAPPROPRIATE_AUTH)                                   |
| GLE0049                   | Invalid credentials (LDAP_INVALID_CREDENTIALS)  |
| GLE0050                   | Insufficient access<br>(LDAP_INSUFFICIENT_ACCESS)   |
| GLE0051                   | Directory server is busy (LDAP_BUSY)  |
| GLE0052                   | Directory service agent is unavailable<br>(LDAP_UNAVAILABLE)                                |
| GLE0053                   | Directory server is unwilling to perform requested<br>operation (LDAP_UNWILLING_TO_PERFORM) |
| GLE0054                   | Loop detected (LDAP_LOOP_DETECT)  |
| LE0064                    | Naming violation (LDAP_NAMING_VIOLATION)  |
| LE0065                    | Object class violation<br>(LDAP_OBJECT_CLASS_VIOLATION)                                     |
| GLE0066                   | Operation not allowed on nonleaf<br>(LDAP_NOT_ALLOWED_ON_NONLEAF)                           |
| GLE0067                   | Operation not allowed on relative distinguished<br>name (LDAP_NOT_ALLOWED_ON_RDN)           |
| GLE0068                   | Already exists (LDAP_ALREADY_EXISTS)  |

| <b>Message identifier</b> | <b>Description</b>  |
|---------------------------|---|
| GLE0069                   | Cannot modify object class<br>(LDAP_NO_OBJECT_CLASS_MODS)             |
| GLE0070                   | Results too large (LDAP_RESULTS_TOO_LARGE)                            |
| GLE0071                   | Affects multiple servers.<br>(LDAP_AFFECTS_MULTIPLE_DSAS)             |
| GLE0080                   | Unknown error (LDAP_OTHER)  |
| GLE0081                   | Can't contact LDAP server (LDAP_SERVER_DOWN)                          |
| GLE0082                   | Local error (LDAP_LOCAL_ERROR)  |
| GLE0083                   | Encoding error (LDAP_ENCODING_ERROR)                                  |
| GLE0084                   | Decoding error (LDAP_DECODING_ERROR)                                  |
| GLE0085                   | Request timed out (LDAP_TIMEOUT)                                      |
| GLE0086                   | Unknown authentication method<br>(LDAP_AUTH_UNKNOWN)                  |
| GLE0087                   | Bad search filter (LDAP_FILTER_ERROR)                                 |
| GLE0088                   | User cancelled operation<br>(LDAP_USER_CANCELLED)                     |
| GLE0089                   | Bad parameter to an LDAP routine<br>(LDAP_PARAM_ERROR)                |
| GLE0090                   | Out of memory (LDAP_NO_MEMORY)  |
| GLE0091                   | Connection error (LDAP_CONNECT_ERROR)                                 |
| GLE0092                   | Feature not supported (LDAP_NOT_SUPPORTED)                            |
| GLE0093                   | Control not found (LDAP_CONTROL_NOT_FOUND)                            |
| GLE0094                   | No results returned<br>(LDAP_NO_RESULTS_RETURNED)                     |
| GLE0095                   | More results to return<br>(LDAP_MORE_RESULTS_TO_RETURN)               |
| GLE0096                   | Not an LDAP URL (LDAP_URL_ERR_NOTLDAP)                                |
| GLE0097                   | URL has no DN (LDAP_URL_ERR_NODN)                                     |
| GLE0098                   | URL scope value is not valid<br>(LDAP_URL_ERR_BADSCOPE)               |
| GLE0099                   | Memory allocation error (LDAP_URL_ERR_MEM)                            |
| GLE0100                   | Client loop (LDAP_CLIENT_LOOP)  |
| GLE0101                   | Referral limit exceeded<br>(LDAP_REFERRAL_LIMIT_EXCEEDED)             |
| GLE0112                   | SSL environment already initialized<br>(LDAP_SSL_ALREADY_INITIALIZED) |
| GLE0113                   | Initialization call failed<br>(LDAP_SSL_INITIALIZE_FAILED)            |
| GLE0114                   | SSL environment not initialized<br>(LDAP_SSL_CLIENT_INIT_NOT_CALLED)  |

| Message identifier | Description   |
|--------------------|---|
| GLE0115            | Illegal SSL parameter value specified (LDAP_SSL_PARAM_ERROR)        |
| GLE0116            | Failed to negotiate a secure connection (LDAP_SSL_HANDSHAKE_FAILED) |
| GLE0118            | SSL library cannot be located (LDAP_SSL_NOT_AVAILABLE)              |
| GLE0128            | No explicit owner found (LDAP_NO_EXPLICIT_OWNER)                    |
| GLE0129            | Could not obtain lock on required resource (LDAP_NO_LOCK)           |
| GLE0133            | No LDAP servers found in DNS (LDAP_DNS_NO_SERVERS)                  |
| GLE0134            | Truncated DNS results (LDAP_DNS_TRUNCATED)                          |
| GLE0135            | Could not parse DNS data (LDAP_DNS_INVALID_DATA)                    |
| GLE0136            | Can't resolve system domain or nameserver (LDAP_DNS_RESOLVE_ERROR)  |
| GLE0137            | DNS Configuration file error (LDAP_DNS_CONF_FILE_ERROR)             |
| GLE0160            | Output buffer overflow (LDAP_XLATE_E2BIG)                           |
| GLE0161            | Input buffer truncated (LDAP_XLATE_EINVAL)                          |
| GLE0162            | Unusable input character (LDAP_XLATE_EILSEQ)                        |
| GLE0163            | Character does not map to a codeset point (LDAP_XLATE_NO_ENTRY)     |

### Related information

[Display Message Description \(DSPMSGD\)](#)

## Common LDAP client errors

This information describes common LDAP client errors.

Knowing the causes of common LDAP client errors can help you to solve problems with your server. For a complete list of LDAP client error conditions, see "Directory Server APIs" in the Programming topic collection.

The client error messages have the following format:

```
[Failing LDAP operation]:[LDAP client API error conditions]
```

**Note:** The explanation of these errors assumes that the client is communicating with an LDAP server on IBM i. A client communicating with a server on a different platform might get similar errors, but the causes and resolutions would most likely be different.

### Related concepts

[Lightweight Directory Access Protocol \(LDAP\) APIs](#) See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

**ldap\_bind: Inappropriate authentication**

The server returns invalid credentials when the password or bind DN is incorrect.

The server returns inappropriate authentication when the client attempts to bind as one of the following:

- An entry that does not have a userpassword attribute.
- An entry that represents an IBM i user, which has a UID attribute and not a userpassword attribute. This causes a compare to be done between the password specified and the IBM i user password, which do not match.
- An entry that represents a projected user and a bind method other than simple has been requested.

This error is usually generated when the client attempts to bind with a password that is not valid. To obtain details about the error, look at the QDIRSRV job log.

**Related tasks**

[Monitoring errors and access with the Directory Server job log](#)

When you get an error on your Directory Server and want more details, another action to take is to view the QDIRSRV job log.

**ldap\_bind: No such object**

A common cause of this error is that a user makes a typing mistake when performing an operation.

Another common cause is when the LDAP client attempts to bind with a DN that does not exist. This often occurs when the user specifies what he or she mistakenly thinks is the administrator DN. For example, the user can specify QSECOFR or Administrator, when the actual administrator DN might be something like cn=Administrator.

For details about the error, look at the QDIRSRV job log.

**Related tasks**

[Monitoring errors and access with the Directory Server job log](#)

When you get an error on your Directory Server and want more details, another action to take is to view the QDIRSRV job log.

**ldap\_search: Timelimit exceeded**

This error occurs when the ldapsearch command is performing slowly.

To correct this error, you can do one or both of the following:

- Increase the search time limit for the Directory Server.
- Reduce the activity on your system. You can also reduce the number of active LDAP client jobs running.

**Related tasks**

[Adjusting search settings](#)

Use this information to control users' search capabilities.

**[Failing LDAP operation]: Cannot contact LDAP server**

The most common causes of this error include a request before the server is ready or an invalid port number.

The most common causes of this error include the following:

- An LDAP client makes a request before the LDAP server on the specified system is up and in select wait status.
- The user specifies a port number that is not valid. For example, the server is listening on port 386, but the client request attempts to use port 387.

To get information about the error, look at the QDIRSRV job log. If the Directory Server started successfully, the message Directory Server started successfully will be in the QDIRSRV job log.

**Related tasks**

[Monitoring errors and access with the Directory Server job log](#)

When you get an error on your Directory Server and want more details, another action to take is to view the QDIRSRV job log.

**[Failing LDAP operation]: Failed to connect to SSL server**

This error occurs when the LDAP server rejects the client connection because a secure socket connection cannot be established.

This can be caused by any of the following:

- The Certificate Management support rejects the clients attempt to connect to the server. Use Digital Certificate Manager to make sure that your certificates are set up properly, then restart the server and try to connect again.
- The user might not have read access to the \*SYSTEM certificate store (by default /QIBM/userdata/ICSS/Cert/Server/default.kdb).

For IBM i C applications, additional SSL error information is available. See "Directory Server APIs" in the Programming topic for details.

**Related concepts**

[Lightweight Directory Access Protocol \(LDAP\) APIs](#) See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

**[Failing LDAP operation]: Insufficient access**

This error is usually generated when the binding DN does not have authority to do the operation (such as an add or delete) that the client requests.

To get information about the error, look at the QDIRSRV job log.

**Related tasks**

[Monitoring errors and access with the Directory Server job log](#)

When you get an error on your Directory Server and want more details, another action to take is to view the QDIRSRV job log.

**[Failing LDAP operation]: Operations error**

Several things can generate this error.

To get information about the cause of this error for a particular instance, look at the QDIRSRV job logs and the Structured Query Language (SQL) server job logs.

**Related concepts**

[Troubleshooting Directory Server](#)

Information to help you solve problems. Includes suggestions for collecting service data and solving specific problems.

**Related tasks**

[Monitoring errors and access with the Directory Server job log](#)

When you get an error on your Directory Server and want more details, another action to take is to view the QDIRSRV job log.

## Password policy-related errors

Enabling a password policy can sometimes cause unexpected errors.

When certain password policies are enabled, they can cause failures that may not be obvious. Review the following for help in troubleshooting password policy-related errors.

**Bind with proper password fails with "invalid credentials":** The password may have expired or the account may be locked. Look at the pwdchangedtime and pwdaccountlockedtime attributes of the entry.

**Requests fail with "unwilling to perform" after a successful bind:** The password may have been reset, in which case a bind will succeed, but the only operation permitted by the server is for the user to change his password. Other requests fail with "unwilling to perform" until the password has been changed.

**Authentication with a password that has been reset behaves unexpectedly:** When the password has been reset, the bind request will succeed, as described above. This means that a user may be able to authenticate indefinitely using a reset password.

#### Related reference

[Password policy tips](#)

Password policy may not always behave as expected.

## Troubleshooting the QGLDCPYVL API

Using the User Trace facility may explain the error or determine if service is needed.

This API uses the User Trace facility to record its operation. If errors occur, or are suspected, a trace may explain the apparent error or if service is needed. A trace may be obtained as follows:

```
STRTRC SSNID(COPYVLDL) JOBTRCTYPE(*TRCTYPE) TRCTYPE(( *DIRSRV *INFO))
CALL QGLDCPYVL PARM(...)
ENDTRC SSNID(COPYVLDL) DTALIB(QTEMP) PRTRC(*YES)
```

To save this information in order to send the information to service, take the following steps:

1. Create a SAVF file using the create SAVF (CRTSAVF) command.
2. Type the following at the command prompt.

```
SAVOBJ OBJ(QAP0ZDMP) LIB(QTEMP) DEV(*SAVF) SAVF(yyy)
```

where QAP0ZDMP contains a zero and *yyy* is the name that you specified for the SAVF file.

#### Related concepts

[Lightweight Directory Access Protocol \(LDAP\) APIs](#) See the Lightweight Directory Access Protocol (LDAP) APIs for more information about Directory Server APIs.

#### Related information

[Start Trace \(STRTRC\)](#)




[Create Save File \(CRTSAVF\)](#)

[Save Object \(SAVOBJ\)](#)

## Related information

Listed below are the IBM Redbooks publications (in PDF format), Web sites, and Information Center topics that relate to the Directory Server topic. You can view or print any of the PDFs.

#### IBM Redbooks publications ([www.redbooks.ibm.com](http://www.redbooks.ibm.com))

- [Understanding LDAP, SG24-4986](#)  .
- [Using LDAP for Directory Integration: A Look at IBM SecureWay Directory, Active Directory, and Domino®, SG24-6163](#)  .
- [Implementation and Practical Use of LDAP on the iSeries Server, SG24-6193](#)  .

#### Web sites

- [IBM Directory Server for iSeries Web site](http://www.ibm.com/servers/eserver/series/ldap)  ([www.ibm.com/servers/eserver/series/ldap](http://www.ibm.com/servers/eserver/series/ldap))
- [The Java Naming and Directory Interface \(JNDI\) Tutorial Web site](http://java.sun.com/products/jndi/tutorial/)  ([java.sun.com/products/jndi/tutorial/](http://java.sun.com/products/jndi/tutorial/))

**Other information**

"Lightweight Directory Access Protocol (LDAP) APIs" in the Programming category.



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