

# Regulatory compliance management (RCM)

## Drive efficiency, consistency and accuracy in end-to-end regulatory compliance management



### Highlights

- Consolidate regulatory content in a central repository.
- Manage new regulatory requirements and manage regulatory change.
- Distribute regulatory data to relevant stakeholders and owners.
- Map regulatory requirements to internal taxonomies and business structures.
- Ingest feeds from Thomson Reuters Regulatory Intelligence connector.
- Load regulatory feeds from Wolters Kluwer, Ascent RegTech and Reg-Track into IBM OpenPages Regulatory Compliance Management.

In a business environment defined by sustained market volatility and ever-increasing regulations, companies need the ability to identify and assess regulatory change, and devise and implement remediation plans to ensure compliance with applicable requirements.

Firms are witnessing a dramatic increase in active first-line users—sometimes tens of thousands—scattered across the organization that requires advanced skills. Users need solutions that are integrated with the power of artificial intelligence (AI) and automation to empower the first line. The solutions must be flexible in adapting to regulatory change, yet simple enough to be used and deployed to a large number of users without extensive training.

### Understand regulatory impacts and manage your regulatory exposure

IBM OpenPages Regulatory Compliance Management helps organizations reduce time and costs to understand regulatory requirements that are applicable to their business. The solution helps reduce risks, such as sanctions and fines, associated with a lack of adherence to regulations.

The solution also supports organizations in breaking down regulations into a catalog of requirements, evaluating its impact on the business, and creating actionable tasks. OpenPages Regulatory Compliance Management offers a diverse array of features and capabilities.



### **Consolidate regulatory requirements in a central repository**

A single repository can disaggregate and classify complex regulations, helping to enable stakeholders across the enterprise process large volumes of regulatory data more rapidly and efficiently.

### **Distribute regulatory data to relevant stakeholders and owners**

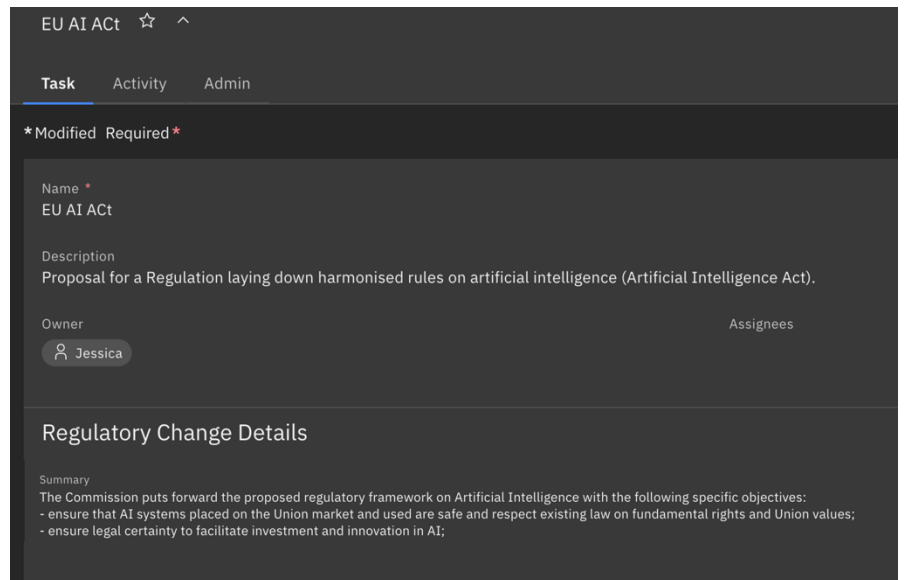
Regulatory requirements can be organized into logical groupings and assigned to owners across the organization.

### **Map regulatory requirements to internal taxonomies and business structures**

IBM OpenPages Regulatory Compliance Management provides the ability to map regulatory requirements to internal Governance, Risk and Compliance (GRC) data—connecting regulatory data to key risks, controls and policies, and linking data to an overall business strategy. Complex regulations are now organized, visible and measurable in firm-specific terminology.

### **Ingest feeds from Thomson Reuters Regulatory Intelligence connector**

Manage regulatory change and horizon scanning by directly ingesting regulatory event feeds into OpenPages Regulatory Compliance Management. Auto-generate workflows assigned to users based on supplied data points, as well as documents impacted by regulatory change. Users can also ingest regulatory library feeds to view up-to-date regulatory text.



IBM OpenPages EU AI Act, regulatory compliance User Interface.

### Load regulatory feeds from Wolters Kluwer into IBM OpenPages Regulatory Compliance Management

Wolters Kluwer data feed integration includes a rules-based setup that automatically processes incoming regulatory data. OpenPages Regulatory Compliance Management checks for any Wolters Kluwer taxonomy changes and updates the relevant taxonomy fields.

### Load regulatory feeds from Ascent RegTech into OpenPages Regulatory Compliance Management

Ingest regulatory documents parsed by Ascent into a three-tiered structure, including granular-level tasks requiring compliance from financial institutions.

### Load regulatory feeds from Reg-Track into OpenPages Regulatory Compliance Management

Seamless ingestion of Reg-Track's standardized feed of Expert High-Level Analysis together with associated structured data to streamline the horizon scanning, applicability and impact analysis work undertaken by clients.

### Manage Regulator Interactions

Out-of-the box workflows help users manage an organized response to regulator interactions, such as inquiries, meeting requests and examinations.

IBM OpenPages Regulatory Compliance Management assists in reducing time and costs associated with understanding regulatory compliance requirements and their related controls.

This solution:

- Provides the ability to create a single regulatory library, integrate all data, facilitate mapping and perform concept-search across platforms.
- Enables a transparent, actionable regulatory compliance management and assessment process.
- Allows an understanding and management of changes to regulations and management of interactions with regulators.
- Enables the complex web of regulatory requirements to be organized, transparent, measurable and actionable.

### Why IBM OpenPages

IBM OpenPages transforms the way risk and compliance professionals' work. By providing core services and functional components on a scalable platform that spans operational risk, model risk, third party risk, regulatory compliance, IT governance, business continuity, internal audit, policy and financial controls management, data privacy and ESG.

IBM OpenPages delivers a holistic view of risk and regulatory responsibilities across the enterprise. IBM OpenPages merges AI capabilities and the expertise of our extensive partner network to help risk and compliance professionals make more informed decisions to manage risk and compliance processes. It delivers on the marketplace demand for an integrated end-to-end solution that enables organizations to connect internal GRC policies and practices to the external regulatory environment.

To learn more, explore the [Interactive Demo](#) or visit [www.ibm.com/products/openpages](http://www.ibm.com/products/openpages).

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