

July 19, 2024

International Business Machines Inc. Cloud
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Based upon representation from management as to the accuracy and completeness of information provided, the procedures performed by an Authorized External Assessor to validate such information, and HITRUST's independent confirmation that the work was performed in accordance with the HITRUST® Assurance Program requirements, the following platforms, facilities, and supporting infrastructure of the Organization ("Scope") meet the HITRUST CSF® v9.6.2 Risk-based, 2-year (r2) certification criteria:

Platforms:

- IBM Bare Metal Servers for VPC
- IBM Cloud Account Management and Billing (AMB)
- IBM Cloud Auto Scale for VPC
- IBM Cloud Backup for VPC
- IBM Cloud Block Storage Snapshots for VPC
- IBM Cloud Block Storage for Virtual Private Cloud
- IBM Cloud Console
- IBM Cloud Container Registry
- IBM Cloud Continuous Delivery
- IBM Cloud DNS Services
- IBM Cloud Databases (ICD)
- IBM Cloud Dedicated Host for VPC
- IBM Cloud Direct Link Connect (2.0)
- IBM Cloud Direct Link Dedicated (2.0)
- IBM Cloud File Storage for Virtual Private Cloud
- IBM Cloud Flow Logs for VPC
- IBM Cloud Global Catalog
- IBM Cloud Global Search & Tagging (GhoST)
- IBM Cloud Identity and Access Management (IAM)
- IBM Cloud Kubernetes Service (IKS) / Red Hat OpenShift on IBM
- IBM Cloud Load Balancer for VPC
- IBM Cloud Object Storage (COS)
- IBM Cloud Satellite

- IBM Cloud Schematics
- IBM Cloud Secrets Manager
- IBM Cloud Security and Compliance Center
- IBM Cloud Transit Gateway
- IBM Cloud VPN for VPC Client-to-Site Server
- IBM Cloud VPN for VPC Site-to-Site Gateway
- IBM Cloud Virtual Private Endpoint for VPC
- IBM Cloud Virtual Server for VPC
- IBM Cloud for VMware Solutions Dedicated
- IBM Event Streams for IBM Cloud (Enterprise)
- IBM Key Protect for IBM Cloud

Facilities:

- AMS03 (Data Center) managed by NorthC located in Almere, Netherlands
- CHE01 (Data Center) managed by STT located in Ambattur, India
- DAL10 (Data Center) managed by QTS located in Irving, TX, United States of America
- DAL12 (Data Center) managed by Digital Realty located in Richardson, TX, United States of America
- DAL13 (Data Center) managed by Cyrus One located in Carrollton, TX, United States of America
- FRA02 (Data Center) managed by Cyrus One located in Frankfurt, Germany
- FRA04 (Data Center) managed by E-Shelter located in Frankfurt, Germany
- FRA05 (Data Center) managed by Interxion located in Frankfurt, Germany
- LON02 (Data Center) managed by Digital Realty located in Chessington, London, United Kingdom of Great Britain and Northern Ireland
- LON04 (Data Center) managed by Ark Data Centres located in Farnborough, United Kingdom of Great Britain and Northern Ireland
- LON05 (Data Center) managed by NTT located in Hemel Hempstead, United Kingdom of Great Britain and Northern Ireland
- LON06 (Data Center) managed by Cyrus One located in Slough, United Kingdom of Great Britain and Northern Ireland
- MAD02 (Data Center) managed by DATA4 located in Madrid, Spain
- MAD04 (Data Center) managed by NTT located in Madrid, Spain
- MAD05 (Data Center) managed by Interxion located in Madrid, Spain
- MIL01 (Data Center) managed by DATA4 located in Milan, Italy
- OSA2X (Data Center) managed by IDC Frontier located in Osaka, Japan
- PAR01 (Data Center) managed by Global Switch located in Paris, France
- PAR04 (Data Center) managed by Global Switch located in Paris, France
- PAR05 (Data Center) managed by BNPP located in Paris, France
- PAR06 (Data Center) managed by BNPP located in Paris, France
- SAO01 (Data Center) managed by Ascenty located in Sao Paulo, Brazil

- SAO04 (Data Center) managed by Odata located in Santana De Parnaba, Brazil, Brazil
- SAO05 (Data Center) managed by Ascenty located in Sao Paulo, Brazil
- SNG01 (Data Center) managed by Digital Realty located in Jurong East, Singapore
- SYD01 (Data Center) managed by Global Switch located in Sydney, Australia
- SYD04 (Data Center) managed by Digital Realty located in Erskine Park, Australia
- SYD05 (Data Center) managed by Equinix located in Sydney, Australia
- TOK02 (Data Center) managed by @Tokyo located in Tokyo, Japan
- TOK04 (Data Center) managed by Softbank located in Saitama, Japan
- TOK05 (Data Center) managed by NTT located in Tokyo, Japan
- TOR01 (Data Center) managed by Digital Realty located in Markham, Ontario, Canada
- TOR04 (Data Center) managed by ServerFarm located in Toronto, Canada
- TOR05 (Data Center) managed by Digital Realty located in Vaughan, Canada
- WDC04 (Data Center) managed by Digital Realty located in Ashburn, VA, United States of America
- WDC06 (Data Center) managed by Raging Wire located in Ashburn, VA, United States of America
- WDC07 (Data Center) managed by Sabey located in Ashburn, VA, United States of America

The certification is valid for a period of two years assuming the following occurs. If any of these criteria are not met, HITRUST will perform an investigation to determine ongoing validity of the certification and reserves the right to revoke the Organization's certification.

- Annual progress is being made on areas identified in the Corrective Action Plan(s) (CAPs),
- No data security breach reportable to a federal or state agency by law or regulation has occurred within or affecting the assessed environment,
- No significant changes in the business or security policies, practices, controls, and processes have occurred that might impact its ability to meet the HITRUST Risk-based, 2-year (r2) certification criteria, and
- Timely completion of the HITRUST Interim Assessment for r2 Certification as defined in the HITRUST Assurance Program Requirements.

HITRUST has developed the HITRUST CSF, a certifiable framework that provides organizations with the needed structure, detail and clarity relating to information protection. With input from leading organizations, HITRUST identified a subset of the HITRUST CSF controls that an



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organization must meet to be HITRUST Risk-based, 2-year (r2) Certified. For certain HITRUST CSF controls that were not being met, the Organization developed a CAP that outlined its plans for meeting such controls.

HITRUST performed a quality assurance review to ensure that the control maturity scores were consistent with the results of testing performed by the Authorized External Assessor. Users of this letter can refer to the document [Leveraging HITRUST Assessment Reports: A Guide for New Users](#) for questions on interpreting this letter and can contact HITRUST customer support at support@hitrustalliance.net. Users of this letter are assumed to be familiar with and understand the services provided by the organization listed above, and what specific services are being used by the user organization.

A version of this letter with a more detailed scope description has also been issued by HITRUST. A full HITRUST Validated Assessment Report has also been issued by HITRUST. Additional information on the HITRUST Assurance Program can be found at the HITRUST website at <https://hitrustalliance.net>.

A stylized, handwritten signature of the word "HITRUST" in dark blue ink.

HITRUST