



Improve regulatory compliance while reducing costs.

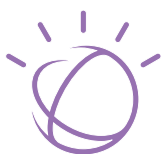
Financial services leaders are searching for new, innovative strategies to manage regulatory expectations while also supporting growth and profitability.

But what if you could drive real transformation in regulatory compliance **by combining cutting-edge technology with deep domain expertise?**

IBM + Promontory RegTech solutions combine the power of IBM Watson technology with the deep domain knowledge of Promontory Financial Group to deliver solutions designed to transform your compliance program.



These offerings combine the **expertise of Promontory**



with the **power of IBM Watson technology**



to help **improve compliance while reducing costs**




with solutions that can reach across practically **all lines of business and scale as needed** to match growth.

Promontory's accomplished subject matter experts work with the world's leading financial institutions help solve complex compliance challenges with client-specific solutions. This portfolio of offerings includes...

Advisory services for regulatory compliance and risk management		
<ul style="list-style-type: none"> • Deep agency and industry understanding • Regulatory requirements guidance • Compliance strategies • Program reviews and gap analyses • Structure, policies, procedures, validation and testing • Training • Regulatory exam preparation 	Implementation and delivery	Managed services
	<ul style="list-style-type: none"> • Rich banking and capital markets expertise • Global service delivery organization • Strong delivery standards and methodologies • Extensive data integration, analytics and cognitive skills • Custom cognitive development • Advanced process automation capabilities 	<h3>Software solutions</h3> <p>Cognitive solutions for risk and compliance. Examples include:</p> <ul style="list-style-type: none"> • Conduct surveillance insight • Customer complaint insight • AML alerts insight • AML and KYC due diligence • Safer payments • Cybersecurity

Powered by Watson technology, these cognitive solutions **continuously learn about your business and industry over time**, including changing standards and emerging threats. This ability allows the solution to **continuously evolve and become more effective.**



IBM + Promontory RegTech solutions help streamline and reduce compliance burdens with solutions focused on:



Financial crimes



Regulatory change management



Conduct risk & complaint management



Cybersecurity and resiliency



Data privacy

To learn more about how these IBM + Promontory RegTech solutions can drive transformation in regulatory compliance,

Visit www.ibm.com/regtech and www.promontory.com

Read “RegTech in the cognitive era: Insights from Gene Ludwig and Bridget van Kralingen”, ibm.biz/Bdj2ES

Contact **your IBM or Promontory representative**

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