

IBM StoredIQ for Legal

Improve eDiscovery with corporate litigation management

Highlights

- Notify custodians with automated legal holds, alerts and reminders
 - Manage custodian interviews and data sources for a legal matter
 - Analyze data in place, and act for faster insight before collection
 - Reduce downstream review costs by performing early data assessment
 - Automate the legal collection process from dozens of data sources
 - Align legal and IT on what needs to be preserved or collected
 - Deliver a repeatable and defensible eDiscovery process
 - Customize, manage and track workflow for preservation and collection
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Legal teams must wade through a rising tide of information to discover what's relevant for a specific legal matter. By targeting only the information that's most important, these teams can reduce the cost, risk and time involved in an eDiscovery response. IBM StoredIQ for Legal provides robust management of the eDiscovery process, from hold notification to identification, preservation and collection.

IBM StoredIQ for Legal enables legal teams to efficiently and confidently manage the full matter lifecycle and process compliance for thousands of matters. It allows legal teams to issue custodian hold notices and interviews with automatic follow-ups and escalations, manage preservation and collection activities conducted by IT. The solution also finds and analyzes unstructured information in place to gain faster insight into data and help ensure only the right information is collected. Legal teams can save time and gain flexibility by not having to move data before analysis. They can see data before collection, acquiring insights from data in a matter of hours versus weeks and using that knowledge to make more informed business decisions. Legal teams can also perform proactive and reactive eDiscovery processes.

Streamline management of the full matter lifecycle

Teams begin to realize the benefits of StoredIQ for Legal through the legal hold notification process. The solution helps reduce the preservation risk from lack of process integrity, minimize manual mistakes and eliminate common legal hold notification oversights. With StoredIQ for Legal, team members can easily identify custodians and draft legal hold

notices by drawing on templates. They can send those notices, conduct custodian interviews, solicit approvals and track custodian responses from easily configurable dashboards. And can then schedule and automatically send reminders to custodians about their ongoing duty to preserve, escalate non-responders and rapidly move from notification to requesting collection—all from the same interface.

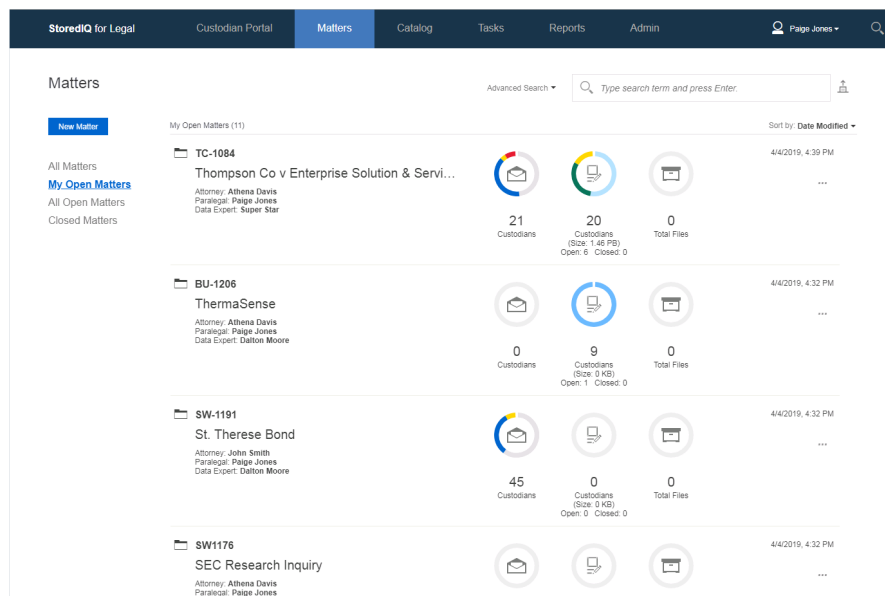


Figure 1. IBM StoredIQ for Legal streamlines eDiscovery and collection processes.

If the reason for the hold turns into a formal legal matter, StoredIQ for Legal can streamline a variety of tasks for IT and non-IT users alike. For example, the solution helps IT users oversee the identification, collection and preservation of all physical and electronic data relevant to the matter. Those IT users can execute the business and technical processes, as well as the communications needed to preserve data in an approved manner. Non-IT users can easily send role-appropriate alerts, set action items and produce reports.

Enhance the efficiency of eDiscovery management

StoredIQ for Legal Notifications provides legal staff an interface to manage hold notifications and conduct virtual interviews:

- Reliably send legal hold notices and track responses across thousands of matters.
- Automatically send reminders to custodians, including a consolidated reminder across matters and escalate non-responders.
- Send interviews and manage responses with automatically generated follow-ups.
- Manage by exception and utilize workflows to track approvals and follow-ups.

StoredIQ for Legal Identification and Collection offers several capabilities to help legal teams manage the eDiscovery collection process and reduce cost, complexity and common collection inefficiencies, including:

- Manage eDiscovery collections from dozens of common data sources.
- Identify and analyze data in place before collection, helping to save costs and reduce risk by amassing only required content.
- Set collection criteria once, and apply everywhere.
- Identify and collect content in file shares, user desktops, email systems, archives, content management systems, collaboration systems, and Microsoft SharePoint.
- Track collection status and provide clarification throughout the process.
- Export collected content and original metadata to major review tools.

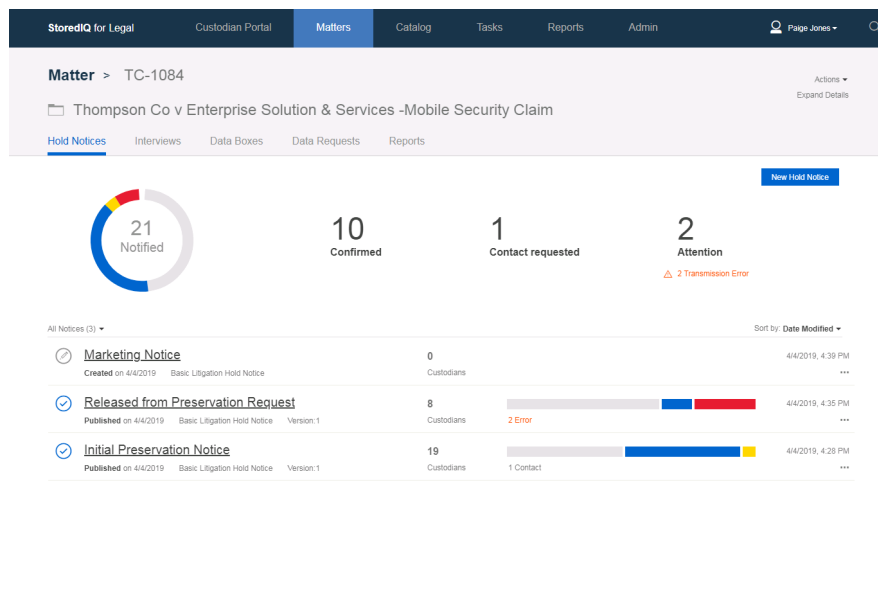


Figure 2. The StoredIQ for Legal Identification and Collection dashboard displays information in a clear, concise interface to help maximize efficiency and productivity.

StoredIQ for Legal Identification and Collection helps IT and data experts:

- Receive and manage preservation and collection requests directly from legal in the same solution (See Figure 3).
- Find relevant content by metadata or full-text searches, or classify data with machine learning.
- Access desktop and laptop data across large installations, with support for agent or agentless collection and full start and stop capabilities in a purpose-built desktop collection interface.
- Identify more than 450 content types, including nested content in containers, such as ZIP, PST and NSF.
- Analyze content in place before collection to cull large data sets into manageable collections.
- Manage exceptions in an easy-to-use exception center that supports the ability to retry and reroute exception content.
- Provide collection status or ask for clarification from legal, all in the same interface.
- Export collected content and original metadata to major review tools.

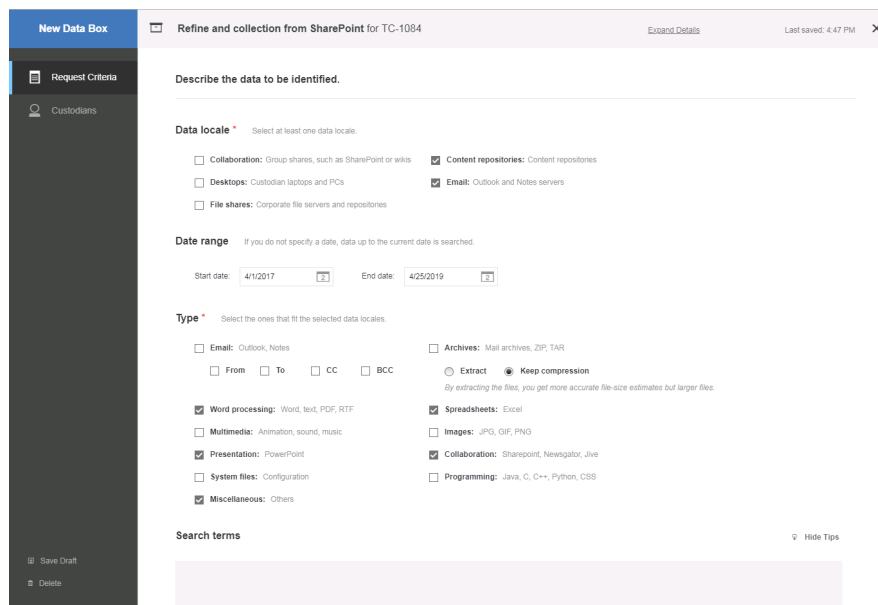


Figure 3. Legal teams can clearly communicate identification and collection requirements in a repeatable and audited interface.

StoredIQ for Legal eDiscovery for IT helps organizations:

- Receive and manage preservation and collection requests directly from legal in the same solution.
- Manage the data request creation and fulfilment process with the right level of detail for legal and IT teams with a common understanding of request criteria, status, next steps and outcome across all data sources.
- Utilize multidata source, date range and custodian criteria via fully customizable intake forms and fulfilment workflows.
- Track status and provide communication through the process.
- Capture results of the identification, preservation and collection activities.

Generate precise insight to speed eDiscovery

Approximately 90 percent of corporate cases are settled prior to the collection process.¹ In many organizations where no insight into data associated with a case is available, a settlement is made with little to no information regarding the actual merits of the case. StoredIQ for Legal is designed to accelerate the eDiscovery process and provide legal teams with insight into relevant data in place prior to collection. This capability helps litigators make more informed decisions about whether to settle or litigate. If litigation moves forward, StoredIQ for Legal determines which data needs to be collected and then collects the smallest legally defensible set of data.

Connect your data

From identification through collection, preservation and production of vendor-agnostic, review-ready load files, StoredIQ for Legal supports legal teams with deep data analysis. The solution identifies, collects and preserves active electronically stored information (ESI) on more than 100 common enterprise data sources and more than 450 file types, including network servers, storage systems, laptops and cloud-based data. And it does it all while avoiding disruptions to end users.

Filter your data to create a custom data set

StoredIQ for Legal helps accelerate the understanding of large amounts of unstructured content through powerful search functionality, filters and explorers. Data can be filtered or acted upon and refined using a visual heat map. Data explorers visually represent unstructured data from various points of view, such as file system metadata attributes, data source location and category.

Defensibly present your eDiscovery workflow

Legal users can take action to copy data to a retention server, move data for archiving, export data for review or delete data—with defensible actions and a full audit trail with StoredIQ for Legal. Data topology, overlay hit, term hit, duplicate and data assessment reports provide valuable insight designed to help legal teams make more informed decisions about the merits of a case prior to collection.

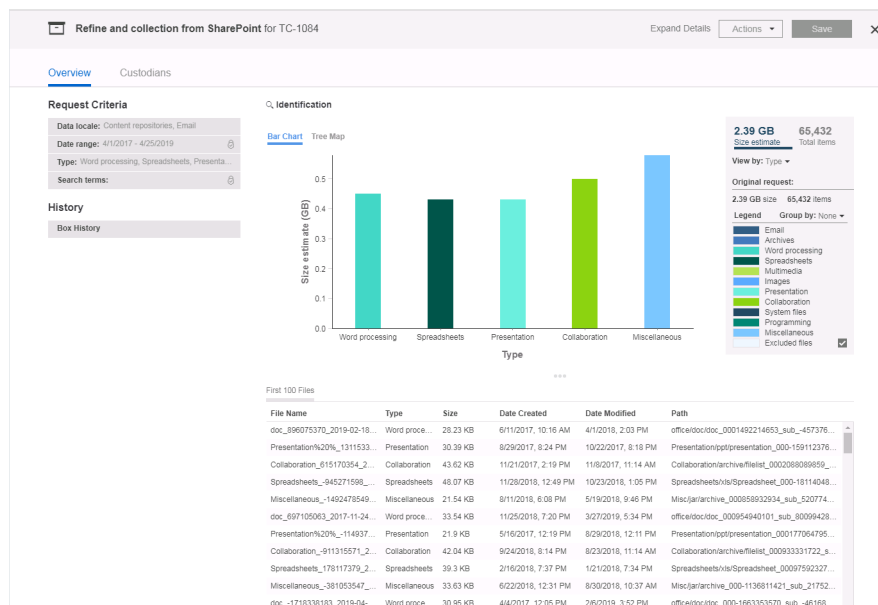


Figure 4. Get insight into potentially responsive data prior to collection.

Implement comprehensive information lifecycle governance

To maximize operational efficiency, control costs and reduce risks, organizations should integrate the eDiscovery process into a comprehensive approach to information lifecycle governance (ILG). The StoredIQ platform offers solutions not only for legal eDiscovery, but also for records management and retention, archiving, curation, and content assessment and cleanup. Teams can automate records retention and disposal; efficiently archive content while enforcing policies; identify and process the most important data; remediate old and redundant data; and more. With the StoredIQ platform, organizations gain a powerful, comprehensive suite of data governance solutions from a single vendor.

IBM provides an integrated, modular and scalable information management platform that enables line-of-business and IT professionals to more effectively understand and act on data where it resides. Leveraging the fabric of the IBM information governance foundation to optimize existing legal, records, compliance and IT processes, IBM enables customers to manage enterprise information according to its business value. Further, it helps them comply more efficiently with litigation and regulatory mandates and defensibly dispose of information that no longer has value or preservation requirements.

¹Albert W. Alschuler, "Plea Bargaining and Its History," 79 Columbia Law Review 1 (1979), JSTOR 1122051.

Why IBM?

IBM StoredIQ for Legal is part of IBM data governance solutions. These solutions can help you understand your data, whether structured or unstructured, and govern it to help you mitigate your compliance risks and empower your information stakeholders.

For more information

To learn more about IBM data governance solutions visit ibm.com/unified-governance-integration.

To learn more about IBM StoredIQ for Legal visit ibm.com/marketplace/ibm-storediq-for-legal.

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