

IBM i
7.3

Security
Secure Sockets Layer/Transport Layer
Security



Note

Before using this information and the product it supports, read the information in [“Notices” on page 39.](#)

This edition applies to IBM i 7.3 (product number 5770-SS1) and to all subsequent releases and modifications until otherwise indicated in new editions. This version does not run on all reduced instruction set computer (RISC) models nor does it run on CISC models.

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Secure Sockets Layer/Transport Layer Security

Secure Sockets Layer (SSL)/Transport Layer Security (TLS) describes how to use SSL/TLS on your system.

SSL and TLS are generic terms for a set of industry standards that are used for enabling applications for secure communication sessions over an unprotected network, such as the Internet. SSL evolved into and was replaced by TLS. TLS is the more accurate term; however, SSL/TLS is used here to maintain a link to the term SSL, which remains embedded in existing application interfaces, documentation, and configuration.

The version of the SSL or TLS protocol specification identifies the relative level of security provided. There are no versions of the SSL protocol specification that should be used today. Only one version of the TLS protocol is allowed for security compliance in some industries.

What's new for IBM i 7.3

Read about new or significantly changed information for Secure Sockets Layer/Transport Layer Security.

What's new as of April 2020

The following support was added to System SSL/TLS as part of PTFs. See <https://www.ibm.com/support/pages/node/6151287> for more information on the PTFs to install for the support.

- [RFC 8446](#) defines version 1.3 of the TLS protocol. Support for Transport Layer Security protocol version 1.3 (TLSv1.3) was added. See the [System SSL/TLS system level settings](#) for the enabled and default attributes that were updated to include the new TLSv1.3 values.
- – See [Protocol configuration](#) for updates to the System SSL/TLS enabled and default protocols.
- – See [Cipher suite configuration](#) for updates to the System SSL/TLS enabled and default cipher suites.
- – See [Signature algorithms](#) for updates to the System SSL/TLS enabled and default [Certificate selection](#) and [Message signature](#).
- – See [Supported groups](#) for more information on how TLSv1.3 and TLSv1.2 protocols interpret the elliptic curve groups setting.
- The TLSv1.3 protocol significantly altered existing handshake message formats, and added and removed other handshake messages. Early TLSv1.3 adopters encountered handshake failures as a result of middleboxes on the network between the client and server not understanding the new protocol. TLSv1.3 RFC 8446 Appendix D defines an optional Middlebox Compatibility Mode applications can use to mitigate middlebox issues in the network. For more information, see [Middlebox compatibility mode](#).
- The TLSv1.3 protocol eliminated renegotiation. [Renegotiation](#) is only applicable to TLSv1.2 and earlier protocol versions. The TLSv1.3 protocol uses [Key update](#) processing to generate new read and write keys for an existing secure session. This replaces renegotiation and its deficiencies with a simple key update mechanism.
- System SSL/TLS allows up to four certificates to be assigned to a secure environment. For information on how the TLSv1.3 secure protocol affects the certificate selection process, see [Multiple certificate selection](#).
- Digital Certificate Manager (DCM) manages an application database that contains application definitions. System SSL/TLS users know this application definition as an “Application ID. The application definitions were updated to encapsulate the following System SSL/TLS attributes for the application.
 - [TLS signature algorithms for key exchange](#)
 - [TLS signature algorithms for certificate](#)
 - [TLS secure session cache time to live](#)

- The Retrieve TLS Attributes (QsoRtvTLSA) API allows the retrieval of the system-wide System SSL/TLS current default properties. The properties can be changed and viewed with **TLSCONFIG**. The benefit of QsoRtvTLSA is it can be included in programs or scripts for health check actions.
- ChaCha20Poly1305 cipher suite support was added for the TLSv1.2 protocol. *ECDHE_ECDSA_CHACHA20_POLY1305_SHA256 and *ECDHE_RSA_CHACHA20_POLY1305_SHA256 were added to both the supported and default cipher suite lists. See [Cipher suite configuration](#) for updates to the cipher suite lists.
- Elliptic Curve support for Curve25519(x25519) and Curve448(x448) were added to both the supported and default lists of supported groups. See [Supported groups](#) for updates to the list of supported groups.
- System SSL/TLS added support for Online Certificate Status Protocol (OCSP) stapling in the TLSv1.3 and TLSv1.2 protocols. This support allows client applications to send a certificate status request extension as part of the TLS handshake, as defined in RFC 6066, requesting that the server complete OCSP requests on behalf of the client. Based on the server's OCSP configuration, server applications enabling this new support query an OCSP responder and send the OCSP response back to the client. See [Online Certificate Status Protocol](#) for more information on OCSP stapling.

What's new as of November 2016



The 3DES cipher suites should not be used due to the Sweet32 vulnerability. PTF MF62780 removes the 3DES cipher suite from the System SSL default eligible cipher suite list. For more information, see [“Cipher suite configuration”](#) on page 7.

System SSL/TLS Enhancements

- Updated System SSL/TLS [“Protocol configuration”](#) on page 5 to describe enabled and default protocols and configuration options to change these values.
- Updated System SSL/TLS [“Cipher suite configuration”](#) on page 7 to describe enabled and default cipher suites and configuration options to change these values.
- Updated the default [“Signature algorithms”](#) on page 12 used by System SSL/TLS.
- Added support to specify the [“Minimum RSA key size”](#) on page 17 allowed for a certificate that is used by System SSL/TLS for a secure handshake.
- Added [“Supported groups”](#) on page 18 to describe configuration options to change the ECDSA key sizes that are allowed for System SSL/TLS.
- Added information on how to stay up to date on [“Security bulletins”](#) on page 4.
- Added details for [“How to determine what System SSL/TLS protocols and cipher suites are used on the system”](#) on page 33.

How to see what's new or changed

To help you see where technical changes have been made, the information center uses:

- The  image to mark where new or changed information begins.
- The  image to mark where new or changed information ends.

In PDF files, you might see revision bars (|) in the left margin of new and changed information.

To find other information about what's new or changed this release, see the [Memo to users](#).

PDF file for SSL/TLS

You can view and print a PDF file of this information.


To view or download the PDF version of this document, select [Secure Sockets Layer \(SSL\)/Transport Layer Security \(TLS\)](#).

Saving PDF files

To save a PDF on your workstation for viewing or printing:

1. Right-click the PDF link in your browser.
2. Click the option that saves the PDF locally.
3. Navigate to the directory in which you want to save the PDF.
4. Click **Save**.

Downloading Adobe Reader

You need Adobe Reader installed on your system to view or print these PDFs. You can download a free copy from the [Adobe Web site](#) .

SSL/TLS implementations

The system contains multiple SSL/TLS implementations. Each implementation implements one or more versions of the TLS or SSL protocols according to the industry definitions.

The implementations must interoperate with other implementations according to the Internet Engineering Task Force (IETF) specifications for each protocol version. Each implementation has unique characteristics and provides different sets of optional functionality.

The set of APIs used determines which implementation is used for each secure application on the system. With Java™, the configured JSSE provider determines the implementation since the Java interfaces are standardized. An application can also embed an implementation that is only known to the application.

These implementations are available to develop applications with on the IBM® i.

- System SSL/TLS

ILE applications use System SSL/TLS. Certificate management is performed with the Digital Certificate Manager (DCM) and the certificate store type is Certificate Management Services (CMS) with a file extension of *.KDB. Java applications can use System SSL/TLS, but it is not typical. Even rarer, would be a Java application that uses System SSL/TLS while also using a Java Keystore.

- IBMJSSE2 (IBMJSSEProvider2)

This Java Secure Socket Extension (JSSE) provider contains a pure Java implementation of the SSL/TLS protocols and is available on multiple platforms. This implementation is known as the com.ibm.jsse2.IBMJSSEProvider2 in the java.security provider list. Most Java applications on the system use this JSSE since it is the default provider for all JDK versions. The certificates are typically found in a Java keystore file (JKS) and are managed by using the Java keytool command or IBM Key Management (iKeyman) utility.

For general JSSE information on the system, see [Java Secure Socket Extension \(JSSE\)](#)

For specific details, see the IBMJSSE2 platform independent documentation for the appropriate JDK version. For JDK8, see [Security Reference for IBM SDK, Java Technology Edition, Version 8](#).

- OpenSSL

OpenSSL is an Open Source toolkit that implements SSL/TLS protocols and a full-strength general-purpose cryptography library. It is only available in the IBM Portable Application Solutions Environment for i (PASE for i). The certificates are typically found in PEM files and are managed with OpenSSL commands.

Common Information Model Object Manager is an application that uses this implementation. For more information, see [Common Information Model](#).

Application embedded implementations:

- Domino® for i

Uses its own native SSL/TLS implementation that is embedded in the product. Configuration and certificate management is provided by the application.

Domino HTTP can be configured to use System SSL/TLS with DCM certificates, but by default uses the Domino native implementation.

Related concepts

Security bulletins

A security bulletin is created when an implementation provides a mitigation to a disclosed vulnerability. The vulnerability can be specific to an implementation or generic to the protocol.

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A security bulletin is created when an implementation provides a mitigation to a disclosed vulnerability. The vulnerability can be specific to an implementation or generic to the protocol.

It is important for administrators to keep current with all mitigations provided by the implementations. Due to implementation differences, not all implementations are vulnerable to a specific vulnerability. If vulnerable, the mitigation might not be the same for each of the implementations.

The security bulletin contains the actions that must be taken to mitigate the issue. When a protocol or algorithm cannot be fixed, the mitigation might disable that feature.

Note: This disabled feature might result in interoperability issues for environments that depend on the vulnerable protocol.

Subscribe to receive notification when SSL/TLS security bulletins are created or updated. For more information about this process, see [My notifications: How to subscribe to valuable product information notifications](#) in the IBM Support Portal.

Manage [My notifications](#) to subscribe to "Security bulletin" to receive a notification each time the Security Bulletin publication is updated.

The Security Bulletin publication is an aggregation of individual security bulletins that are created for specific vulnerabilities. Read each individual security bulletin to keep up to date. Security bulletins can be updated after their initial publication when new information becomes available. Updated bulletins are moved back to the top of the aggregation bulletin. The updated bulletin does not have change flags but includes a brief note that indicates what was added to or changed in the bulletin. Re-read the full bulletin contents to see all the updates.

System SSL/TLS

System SSL/TLS is a set of generic services that are provided in the IBM i Licensed Internal Code (LIC) to protect TCP/IP communications by using the SSL/TLS protocol. System SSL/TLS is tightly coupled with the operating system and the LIC sockets code specifically providing extra performance and security.

How to code to use System SSL/TLS

System SSL/TLS is accessible to application developers from the following programming interfaces and JSSE implementation.

- [Global Security Kit \(GSKit\) APIs](#)
 - These ILE C APIs are accessible from other ILE languages.
 - For more information about the [Global Security Kit \(GSKit\) APIs](#), see the [Socket programming](#) topic.
- Integrated IBM i [SSL_ APIs](#)
 - These ILE C APIs are accessible from other ILE languages.
 - Do not code to this deprecated interface. This API set does not provide the newer security features available in GSKit, which is the recommended C interface.

- Integrated IBM i JSSE implementation

- The IBM i JSSE implementation is available for JDK 7 and JDK 8. This implementation is known as `com.ibm.i5os.jsse.JSSEProvider` in the `java.security` provider list.

SSL/TLS applications that are created by IBM, IBM Business Partners, independent software vendors (ISV), or customers that use one of the three System SSL/TLS interfaces previously listed use System SSL/TLS. For example, FTP and Telnet are IBM applications that use System SSL/TLS. Not all SSL/TLS enabled applications that run on IBM i use System SSL/TLS.

System SSL/TLS system level settings

System SSL/TLS has many attributes that determine how secure sessions are negotiated.

Each attribute value is set in one of three ways:

1. The application developer sets an explicit value for the attribute by using code.
2. The application developer provides a user interface to allow the application administrator to indirectly set the attribute value.
3. The application developer does not set a value for the attribute. System SSL/TLS uses the default value for the attribute.

Security compliance requirements change over the lifespan of a release. To remain compliant, system administrators need to override some attribute values. System SSL/TLS provides various system level settings to implement this level of control.

There are two types of system level control:

- Completely disable the value for an attribute
 - The disabled value is ignored when it is used by any of the three methods of setting the attribute value
 - Application encounters a hard failure if no valid value remains enabled for the attribute
 - Application encounters a soft failure if peer requires the disabled value
- Disable a default value for an attribute
 - Changes only applications that use System SSL/TLS defaults for setting this specific attribute
 - Application soft failure if peer requires the disabled value

The system level settings are controlled by using a combination of these interfaces:

- SSL/TLS System Values
- System Service Tools (SST) Advanced Analysis command **SSLCONFIG** as specified.

The following System SSL/TLS attributes can have their enabled values, default values, or both changed at the system level.

Related concepts

SSLCONFIG command

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

Related information

SSL/TLS system value: QSSLPCL

SSL/TLS system value: QSSLCSLCTL

SSL/TLS system value: QSSLCSL

Protocol configuration

System SSL/TLS has the infrastructure to support multiple protocols.

The following protocols can be supported by System SSL/TLS:

- Transport Layer Security version 1.3 (TLSv1.3)
- Transport Layer Security version 1.2 (TLSv1.2)
- Transport Layer Security version 1.1 (TLSv1.1)
- Transport Layer Security version 1.0 (TLSv1.0)
- Secure Sockets Layer version 3.0 (SSLv3)
- Secure Sockets Layer version 2.0 (SSLv2)
 - SSLv2 cannot be used if TLSv1.2 or TLSv1.3 are enabled on the system in the QSSLPCL system value.



CAUTION:

IBM strongly recommends that you always run your IBM i server with the following network protocols disabled. Using configuration options that are provided by IBM to enable the weak protocols results in your IBM i server being configured to allow use of the weak protocols. This configuration results in your IBM i server potentially being at risk of a network security breach. IBM DISCLAIMS AND YOU ASSUME ALL RESPONSIBILITY AND LIABILITY FOR ANY DAMAGE OR LOSS, INCLUDING LOSS OF DATA, ARISING OUT OF OR RELATED TO YOUR USE OF THE SPECIFIED NETWORK PROTOCOL.

Weak protocols (as of April 2016):

- Secure Sockets Layer version 3.0 (SSLv3)
- Secure Sockets Layer version 2.0 (SSLv2)

Enabled protocols

The QSSLPCL system value setting identifies the specific protocols that are enabled on the system. Applications can negotiate secure sessions with only the protocols that are listed in QSSLPCL. For example, to restrict the System SSL/TLS implementation to use only TLSv1.3 and not allow any of the older protocol versions, set QSSLPCL to contain only *TLSV1.3.

The QSSLPCL special value *OPSYS allows the operating system to change the protocols that are enabled on the system. The value of QSSLPCL remains the same when the system upgrades to a newer operating system release. If the value of QSSLPCL is not *OPSYS, then the administrator must manually add newer protocol versions to QSSLPCL after the system moves to a new release.

Table defining system value QSSLPCL option *OPSYS value for a particular IBM i release.

IBM i release	QSSLPCL *OPSYS definition
i 6.1	*TLSV1, *SSLV3
i 7.1	*TLSV1, *SSLV3
i 7.2	*TLSV1.2, *TLSV1.1, *TLSV1
i 7.3	*TLSV1.3, *TLSV1.2, *TLSV1.1, *TLSV1

Default protocols

When an application does not specify the protocols to enable, the System SSL/TLS default protocols are used. Applications use this design to pick up new TLS support without requiring application code changes. The default protocol setting has no meaning for applications that explicitly specify the protocols to enable for the application.

The default protocols on a system are the intersection of the enabled protocols from QSSLPCL and the eligible default protocols. The eligible default protocol list is configured by using the System Service Tools (SST) Advanced Analysis command **SSLCONFIG**.

To determine the current value of the eligible default protocol list and the default protocol list on the system, use **SSLCONFIG** option `-display`. The Retrieve TLS Attributes (QsoRtvTLISA) API retrieves TLS attributes allowing the eligible default protocol list to be retrieved from a program.

An administrator should consider changing the default protocol settings only when no other configuration setting allows an application to interoperate with peers successfully. It is preferred to enable an older protocol for only the specific application that requires it. When the application has an “application definition,” then this enablement is accomplished through the Digital Certificate Manager (DCM).

Warning: Adding an older protocol version to the default list results in opening up all applications that use the default list to known security vulnerabilities. Loading a Group Security PTF might result in the removal of a protocol from the default protocol list. Subscribe to the Security Bulletin to receive notification when a security mitigation includes this type of change. If an administrator adds back an eligible protocol that was removed by a Security PTF, the system remembers this change and does not remove it a second time when the next Security PTF is applied.

If the default protocols must be changed on the system, use **SSLCONFIG** option `eligibleDefaultProtocols` to change the value. **SSLCONFIG** option `-h` displays the help panel that describes how to set the protocol list. Only protocol versions that are listed in the help text can be added to the list.

Note: The **SSLCONFIG** `eligibleDefaultProtocols` setting is reset by installing the Licensed Internal Code (LIC).

Example of setting TLSv1.3 to be the only default protocol on the system:

```
SSLCONFIG -eligibleDefaultProtocols:20
```

Table defining eligible default protocol list for a particular IBM i release.

IBM i release	Eligible default protocol list with latest TCP/IP Group PTF
i 6.1	TLSv1.0
i 7.1	TLSv1.0
i 7.2	TLSv1.2, TLSv1.1, TLSv1.0
i 7.3	TLSv1.3, TLSv1.2, TLSv1.1, TLSv1.0

Related concepts

[SSLCONFIG command](#)

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

[Security bulletins](#)

A security bulletin is created when an implementation provides a mitigation to a disclosed vulnerability. The vulnerability can be specific to an implementation or generic to the protocol.

Related information

[SSL/TLS system value: QSSLPCL](#)

[Retrieve TLS Attributes \(QsoRtvTLSA\) API](#)

Cipher suite configuration

System SSL/TLS has the infrastructure to support multiple cipher suites.

The cipher suites are specified in different ways for each programming interface. The following table shows the cipher suite specifications, which are shown here in the system value format, that can be supported by System SSL/TLS for each protocol version. The supported cipher suite specifications for each protocol are indicated by the "X" in the appropriate column.

Table 1. Supported cipher suite specifications supported for TLS and SSL protocols

QSSLCSL System Value Representation	TLSv1.3	TLSv2.1	TLSv1.1	TLSv0.1	SSLv3	SSLv2
*AES_128_GCM_SHA256	X					
*AES_256_GCM_SHA384	X					
*CHACHA20_POLY1305_SHA256	X					
*ECDHE_ECDSA_AES_128_GCM_SHA256		X				
*ECDHE_ECDSA_AES_256_GCM_SHA384		X				
*ECDHE_RSA_AES_128_GCM_SHA256		X				
*ECDHE_RSA_AES_256_GCM_SHA384		X				
*ECDHE_ECDSA_CHACHA20_POLY1305_SHA256		X				
*ECDHE_RSA_CHACHA20_POLY1305_SHA256		X				
*RSA_AES_128_GCM_SHA256		X				
*RSA_AES_256_GCM_SHA384		X				
*ECDHE_ECDSA_AES_128_CBC_SHA256		X				
*ECDHE_ECDSA_AES_256_CBC_SHA384		X				
*ECDHE_RSA_AES_128_CBC_SHA256		X				
*ECDHE_RSA_AES_256_CBC_SHA384		X				
*RSA_AES_128_CBC_SHA256		X				
*RSA_AES_128_CBC_SHA		X	X	X		

Table 1. Supported cipher suite specifications supported for TLS and SSL protocols (continued)

QSSLCSL System Value Representation	T L S v 1 . 3	T L S v . 2 1	T L S v . 1 1	T L S v . 0 1	S S L v . 3	S S L v . 2
*RSA_AES_256_CBC_SHA256		X				
*RSA_AES_256_CBC_SHA		X	X	X		
*ECDHE_ECDSA_3DES_EDE_CBC_SHA		X				
*ECDHE_RSA_3DES_EDE_CBC_SHA		X				
*RSA_3DES_EDE_CBC_SHA		X	X	X	X	
*ECDHE_ECDSA_RC4_128_SHA		X				
*ECDHE_RSA_RC4_128_SHA		X				
*RSA_RC4_128_SHA		X	X	X	X	
*RSA_RC4_128_MD5		X	X	X	X	X
*RSA_DES_CBC_SHA			X	X	X	
*RSA_EXPORT_RC4_40_MD5				X	X	X
*RSA_EXPORT_RC2_CBC_40_MD5				X	X	X
*RSA_RC2_CBC_128_MD5						X
*RSA_3DES_EDE_CBC_MD5						X
*RSA_DES_CBC_MD5						X
*ECDHE_ECDSA_NULL_SHA		X				
*ECDHE_RSA_NULL_SHA		X				
*RSA_NULL_SHA256		X				
*RSA_NULL_SHA		X	X	X	X	
*RSA_NULL_MD5		X	X	X	X	

Enabled cipher suites

The QSSLCSL system value setting identifies the specific cipher suites that are enabled on the system. Applications can negotiate secure sessions with only a cipher suite that is listed in QSSLCSL. No matter what an application does with code or configuration, it cannot negotiate secure sessions with a cipher suite if it is not listed in QSSLCSL. Individual application configuration determines which of the enabled cipher suites are used for that application.

To restrict the System SSL/TLS implementation from using a particular cipher suite, follow these steps:

1. Change QSSLCSLCTL system value to special value *USRDFN to allow the QSSLCSL system value to be edited.
2. Remove all cipher suites to be restricted from the list in QSSLCSL.

The QSSLCSLCTL system value special value *OPSYS allows the operating system to change the cipher suites that are enabled on the system. The value of QSSLCSLCTL remains the same when the system upgrades to a newer operating system release. If the value of QSSLCSLCTL is *USRDFN, then the administrator must manually add in newer cipher suites to QSSLCSL after the system moves to a new release. Setting QSSLCSLCTL back to *OPSYS also adds the new values to QSSLCSL.

A cipher suite cannot be added to QSSLCSL if the SSL/TLS protocol that is required by the cipher suite is not set in QSSLPCL.

The cipher suites that are enabled with QSSLCSLCTL *OPSYS in IBM i 7.3 with TCP/IP PTF group level 5 are displayed in the QSSLCSL system value. They are as follows:

- *AES_128_GCM_SHA256
- *AES_256_GCM_SHA384
- *CHACHA20_POLY1305_SHA256
- *ECDHE_ECDSA_AES_128_GCM_SHA256
- *ECDHE_ECDSA_AES_256_GCM_SHA384
- *ECDHE_RSA_AES_128_GCM_SHA256
- *ECDHE_RSA_AES_256_GCM_SHA384
- *ECDHE_ECDSA_CHACHA20_POLY1305_SHA256
- *ECDHE_RSA_CHACHA20_POLY1305_SHA256
- *RSA_AES_128_GCM_SHA256
- *RSA_AES_256_GCM_SHA384
- *ECDHE_ECDSA_AES_128_CBC_SHA256
- *ECDHE_ECDSA_AES_256_CBC_SHA384
- *ECDHE_RSA_AES_128_CBC_SHA256
- *ECDHE_RSA_AES_256_CBC_SHA384
- *RSA_AES_128_CBC_SHA256
- *RSA_AES_128_CBC_SHA
- *RSA_AES_256_CBC_SHA256
- *RSA_AES_256_CBC_SHA
- *ECDHE_ECDSA_3DES_EDE_CBC_SHA
- *ECDHE_RSA_3DES_EDE_CBC_SHA
- *RSA_3DES_EDE_CBC_SHA



CAUTION:

IBM strongly recommends that you always run your IBM i server with the following cipher suites disabled. Using configuration options that are provided by IBM to enable the weak cipher suites results in your IBM i server being configured to allow use of the weak cipher suite list. This

configuration results in your IBM i server potentially being at risk of a network security breach. IBM DISCLAIMS AND YOU ASSUME ALL RESPONSIBILITY AND LIABILITY FOR ANY DAMAGE OR LOSS, INCLUDING LOSS OF DATA, ARISING OUT OF OR RELATED TO YOUR USE OF THE SPECIFIED CIPHER SUITES.

Weak cipher suites (as of October 2016):

- SSL_RSA_WITH_RC4_128_SHA
- SSL_RSA_WITH_RC4_128_MD5
- SSL_RSA_WITH_NULL_MD5
- SSL_RSA_WITH_NULL_SHA
- SSL_RSA_WITH_DES_CBC_SHA
- SSL_RSA_EXPORT_WITH_RC4_40_MD5
- SSL_RSA_EXPORT_WITH_RC2_CBC_40_MD5
- SSL_RSA_WITH_RC2_CBC_128_MD5
- SSL_RSA_WITH_DES_CBC_MD5
- SSL_RSA_WITH_3DES_EDE_CBC_MD5
- TLS_ECDHE_ECDSA_WITH_NULL_SHA
- TLS_ECDHE_ECDSA_WITH_RC4_128_SHA
- TLS_ECDHE_RSA_WITH_NULL_SHA
- TLS_ECDHE_RSA_WITH_RC4_128_SHA
- TLS_ECDHE_ECDSA_3DES_EDE_CBC_SHA
- TLS_ECDHE_RSA_3DES_EDE_CBC_SHA
- TLS_RSA_3DES_EDE_CBC_SHA

Default cipher suites

When an application does not specify the cipher suites to enable, the ordered System SSL/TLS default cipher suite list is used. Applications use this design to pick up future new TLS support without requiring application code changes. The default cipher suite setting has no meaning for applications that explicitly specify the cipher suites to enable for the application.

The default cipher suites on a system are the intersection of the enabled cipher suites from QSSLCSL and the eligible default cipher suites. The eligible default cipher suites list is configured by using the System Service Tools (SST) Advanced Analysis command **SSLCONFIG**. The order of the default cipher suite list is the order the cipher suites appear in the QSSLCSL system value. To change the order, change QSSLCSL.

To determine the current value of the eligible default cipher suite list and the default cipher suite list on the system, use **SSLCONFIG** option `-display`. The Retrieve TLS Attributes ([QsoRtvTLASA](#)) API retrieves TLS attributes allowing the eligible default cipher suite list to be retrieved from a program.

An administrator should only consider changing the default cipher suite list settings when no other configuration setting allows an application to interoperate with peers successfully. It is preferred to enable an older cipher suite for only the specific application that requires it. When the application has an “application definition,” then this enablement is accomplished through the Digital Certificate Manager (DCM).

Warning: Adding an older cipher suite to the default list results in opening up all applications that use the default list to known security vulnerabilities. Loading a Group Security PTF might result in the removal of a cipher suite from the default cipher suite list. Subscribe to the Security Bulletin to receive notification when a security mitigation includes this type of change. If an administrator adds back an eligible cipher suite that was removed by a Security PTF, the system remembers this change and does not remove it a second time when the next Security PTF is applied.

If the default cipher suite list must be changed on the system, use **SSLCONFIG** option `eligibleDefaultCipherSuites` to change the value. **SSLCONFIG** option `-h` displays the help panel

that describes how to specify the changed cipher suite list. The help text includes the short hand values that are required by the option. Only cipher suites that are listed in the help text can be added to the list.

Note: The **SSLCONFIG** `eligibleDefaultCipherSuites` setting is reset by installing the Licensed Internal Code (LIC).

Example of setting only ECDHE cipher suites as the default on the system:

```
SSLCONFIG -eligibleDefaultCipherSuites:YF,YG,YH,YE,YD,YC,YB,YA,Y9,Y8,Y7,Y6,Y3
```

The cipher suites included in the shipped eligible default cipher suite list with TCP/IP PTF group level 5 installed are as follows:

- *AES_128_GCM_SHA256
- *AES_256_GCM_SHA384
- *CHACHA20_POLY1305_SHA256
- *ECDHE_ECDSA_AES_128_GCM_SHA256
- *ECDHE_ECDSA_AES_256_GCM_SHA384
- *ECDHE_RSA_AES_128_GCM_SHA256
- *ECDHE_RSA_AES_256_GCM_SHA384
- *ECDHE_ECDSA_CHACHA20_POLY1305_SHA256
- *ECDHE_RSA_CHACHA20_POLY1305_SHA256
- *RSA_AES_128_GCM_SHA256
- *RSA_AES_256_GCM_SHA384
- *ECDHE_ECDSA_AES_128_CBC_SHA256
- *ECDHE_ECDSA_AES_256_CBC_SHA384
- *ECDHE_RSA_AES_128_CBC_SHA256
- *ECDHE_RSA_AES_256_CBC_SHA384
- *RSA_AES_128_CBC_SHA256
- *RSA_AES_128_CBC_SHA
- *RSA_AES_256_CBC_SHA256
- *RSA_AES_256_CBC_SHA

Related concepts

[SSLCONFIG command](#)

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

Related information

[SSL/TLS system value: QSSLCSLCTL](#)

[SSL/TLS system value: QSSLCSL](#)

[Retrieve TLS Attributes \(QsoRtvTLSA\) API](#)

Signature algorithms

The TLSv1.3 protocol provides two extensions for indicating which signature algorithms may be used in digital signatures. The "signature_algorithms_cert" extension applies to signatures in certificates and the "signature_algorithms" extension, which originally appeared in TLSv1.2, applies to signatures in handshake messages.

If no "signature_algorithms_cert" extension is present, then the "signature_algorithms" extension also applies to signatures appearing in certificates. The "signature_algorithms_cert" extension was added to allow implementations which support different sets of algorithms for certificates and in TLS itself to clearly signal their capabilities.

Some TLSv1.2 implementations process and use the "signature_algorithms_cert" extension. TLSv1.2 implementations that do not process it continue to use "signature_algorithms" extension for both purposes.

System SSL/TLS system level settings and GSKit attributes are tailored for TLSv1.3. During the transition while TLSv1.2 is supported alongside TLSv1.3, System SSL/TLS merges both settings into the original "signature_algorithms" extension when appropriate for increased interoperability.

Related concepts

[SSLCONFIG command](#)

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

Related information

[The Transport Layer Security \(TLS\) Protocol Version 1.3](#)

Certificate selection

The ordered signature algorithm certificate list is sent to the peer when System SSL/TLS requests a certificate during the handshake. The peer uses the received list to guide the certificate selection process.

The peer should select a certificate that conforms to the list, however, that is not true for all implementations and configurations. System SSL/TLS treats a received certificate with an undesired signature algorithm as a session error unless optional client authentication is configured. When System SSL/TLS receives a client certificate request and is unable to select a conforming certificate, its behavior depends on the TLS protocol version. TLSv1.3 does not send a client certificate. TLSv1.2 sends an available nonconforming RSA or ECDSA certificate. The peer determines whether this certificate results in a session error. For more information about System SSL/TLS certificate selection logic, see [“Multiple certificate selection”](#) on page 26.

System SSL/TLS has the infrastructure to support the following certificate signature algorithms:

- ECDSA_SHA512
- ECDSA_SHA384
- ECDSA_SHA256
- ECDSA_SHA224
- ECDSA_SHA1
- RSA_PSS_SHA512
- RSA_PSS_SHA384
- RSA_PSS_SHA256
- RSA_SHA512
- RSA_SHA384
- RSA_SHA256
- RSA_SHA224
- RSA_SHA1
- RSA_MD5

Enabled certificate signature algorithms

The System Service Tools (SST) Advanced Analysis command **SSLCONFIG** identifies the signature algorithms that are enabled for use in certificates on the system. Applications can negotiate secure sessions only with certificates that use the signature algorithms that are listed for **SSLCONFIG** option `supportedSignatureAlgorithmCertificateList`.

To determine the current value of the enabled signature algorithm certificate list on the system, use **SSLCONFIG** option `display` or the Retrieve TLS Attributes (QsoRtvTLISA) API. If the enabled signature algorithm certificate list must be changed on the system, use **SSLCONFIG** option

supportedSignatureAlgorithmCertificateList to change the value. **SSLCONFIG** option h displays the help text that describes how to set the signature algorithm certificate list. Only signature algorithm values that are listed in the help text can be added to the list.

Note: The **SSLCONFIG** supportedSignatureAlgorithmCertificateList setting is reset by installing the Licensed Internal Code (LIC).

Example of setting SHA2 signature algorithms as the supported certificate signature algorithms on the system:

```
SSLCONFIG -supportedSignatureAlgorithmCertificateList:36,35,34,16,15,14
```

System SSL/TLS with TCP/IP PTF group level 5 installed has the following list of supported certificate signature algorithms:

- ECDSA_SHA512
- ECDSA_SHA384
- ECDSA_SHA256
- ECDSA_SHA224
- ECDSA_SHA1
- RSA_PSS_SHA512
- RSA_PSS_SHA384
- RSA_PSS_SHA256
- RSA_SHA512
- RSA_SHA384
- RSA_SHA256
- RSA_SHA224
- RSA_SHA1
- RSA_MD5

Default certificate signature algorithms

When an application does not specify a signature algorithm certificate list, the System SSL/TLS default signature algorithm certificate list is used. Applications use this design to pick up new TLS support without requiring application code changes. The default signature algorithm certificate list has no meaning for applications that explicitly specify the signature algorithm certificate list for the application.

The default signature algorithm certificate list on a system is the intersection of the enabled signature algorithm certificate list and the eligible default signature algorithm certificate list. The eligible default signature algorithm certificate list is configured by using **SSLCONFIG** option defaultSignatureAlgorithmCertificateList.

To determine the current value of the eligible default signature algorithm certificate list on the system, use **SSLCONFIG** option display or the Retrieve TLS Attributes (QsoRtvTLISA) API.

Consider changing the default signature algorithm certificate settings only when no other configuration setting allows an application to interoperate with peers successfully. It is preferred to enable an older signature algorithm for only the specific application that requires it. When the application has an “application definition,” this enablement is accomplished through the Digital Certificate Manager (DCM).

If the default signature algorithm certificate list must be changed on the system, use **SSLCONFIG** option defaultSignatureAlgorithmCertificateList to change the value. **SSLCONFIG** option h displays the help text that describes how to set the signature algorithm certificate list. Only signature algorithm versions that are listed in the help text can be added to the list.

Note: The **SSLCONFIG** defaultSignatureAlgorithmCertificateList setting is reset by installing the Licensed Internal Code (LIC).

Example of setting the ECDSA signature algorithms as the default signature algorithms allowed for certificates on the system:

```
SSLCONFIG -defaultSignatureAlgorithmCertificateList:36,35,34
```

The following displays the order of the shipped default signature algorithm certificate list when TCP/IP PTF group level 5 is installed:

- ECDSA_SHA512
- ECDSA_SHA384
- ECDSA_SHA256
- ECDSA_SHA224
- ECDSA_SHA1
- RSA_PSS_SHA512
- RSA_PSS_SHA384
- RSA_PSS_SHA256
- RSA_SHA512
- RSA_SHA384
- RSA_SHA256
- RSA_SHA224
- RSA_SHA1

Message signature

The list of algorithm pairs restricts which signature and hash algorithms can be used for handshake message digital signatures. A handshake message signature algorithm might be different from the signature algorithm of the certificate that is used for the session.

For instance, the handshake message might be protected by SHA256 even though a SHA1 certificate is selected for the session.

System SSL/TLS has the infrastructure to support the following message signature algorithms:

- ECDSA_SHA512
- ECDSA_SHA384
- ECDSA_SHA256
- ECDSA_SHA224
- ECDSA_SHA1
- RSA_PSS_SHA512
- RSA_PSS_SHA384
- RSA_PSS_SHA256
- RSA_SHA512
- RSA_SHA384
- RSA_SHA256
- RSA_SHA224
- RSA_SHA1
- RSA_MD5

Enabled signature algorithms

The System Service Tools (SST) Advanced Analysis command **SSLCONFIG** identifies the signature algorithms for use in handshake messages that are enabled on the system. Applications can

negotiate secure sessions with only the signature algorithms that are listed for **SSLCONFIG** option `supportedSignatureAlgorithmList`.

To determine the current value of the enabled signature algorithm list on the system, use **SSLCONFIG** option `display` or the Retrieve TLS Attributes (QsoRtvTLSA) API.

If the enabled signature algorithm list must be changed on the system, use **SSLCONFIG** option `supportedSignatureAlgorithmList` to change the value. **SSLCONFIG** option `h` displays the help text that describes how to set the signature algorithm list. Only signature algorithm values that are listed in the help text can be added to the list.

Note: The **SSLCONFIG** `supportedSignatureAlgorithmList` setting is reset by installing the Licensed Internal Code (LIC).

Example of setting the ECDSA signature algorithms as the supported signature algorithms on the system:

```
SSLCONFIG -supportedSignatureAlgorithmList:36,35,34
```

System SSL/TLS with TCP/IP PTF group level 5 installed has the following list of supported signature algorithms:

- ECDSA_SHA512
- ECDSA_SHA384
- ECDSA_SHA256
- RSA_PSS_SHA512
- RSA_PSS_SHA384
- RSA_PSS_SHA256
- RSA_SHA512
- RSA_SHA384
- RSA_SHA256

Default signature algorithms

When an application does not specify a signature algorithm list, the System SSL/TLS default signature algorithm list is used. Applications use this design to pick up new TLS support without requiring application code changes. The default signature algorithm list has no meaning for applications that explicitly specify the signature algorithm list for the application.

The default signature algorithm list on a system is the intersection of the enabled signature algorithm list and the eligible default signature algorithm list. The eligible default signature algorithm list is configured by using **SSLCONFIG** option `defaultSignatureAlgorithmList`.

To determine the current value of the eligible default signature algorithm list on the system, use **SSLCONFIG** option `display` or the Retrieve TLS Attributes (QsoRtvTLSA) API.

Consider changing the default signature algorithm settings only when no other configuration setting allows an application to interoperate with peers successfully. It is preferred to enable an older signature algorithm for only the specific application that requires it. When the application has an “application definition,” then this enablement is accomplished through the Digital Certificate Manager (DCM).

If the default signature algorithm list must be changed on the system, use **SSLCONFIG** option `defaultSignatureAlgorithmList` to change the value. **SSLCONFIG** option `h` displays the help text that describes how to set the signature algorithm list. Only signature algorithm values that are listed in the help text can be added to the list.

Note: The **SSLCONFIG** `defaultSignatureAlgorithmList` setting is reset by installing the Licensed Internal Code (LIC).

Example of setting the ECDSA signature algorithms as the default signature algorithms on the system:

```
SSLCONFIG -signatureAlgorithmList:36,35,34
```

The following displays the order of the shipped default signature algorithm list when TCP/IP PTF group level 5 is installed:

- ECDSA_SHA512
- ECDSA_SHA384
- ECDSA_SHA256
- RSA_PSS_SHA512
- RSA_PSS_SHA384
- RSA_PSS_SHA256
- RSA_SHA512
- RSA_SHA384
- RSA_SHA256

Minimum RSA key size

The minimum RSA key size that is allowed for a certificate that is used by either side of a handshake can be restricted for System SSL/TLS.

An RSA digital certificate has a public/private key pair. The key size for the pair is measured in bits. Security compliance policies exist that require the RSA key size meet a minimum level.

The RSA key size is set when the certificate is created. The system administrator must create new certificates with a larger RSA key to replace any certificate that uses a key size smaller than the minimum RSA key size value set.

System SSL/TLS has a system level setting to restrict the RSA key size that is allowed for a certificate it uses. The restriction applies to local and peer certificates and includes both client and server certificates. Setting a minimum key size results in a handshake failure when either side's certificate contains an RSA key smaller than the minimum size.

Before the administrator changes the system level setting for minimum key size, manually check and replace existing local certificates that have keys smaller than the desired minimum to avoid application failures. Setting the minimum RSA key size restriction prevents inter-operation with peers that use RSA certificates with a key size smaller than the minimum.

The initial minimum RSA key size system level setting has a value of 0. A value of 0 places no restriction on the key size.

The System SSL/TLS system level setting is changed by using the System Service Tools (SST) Advanced Analysis command **SSLCONFIG**. To determine the current setting, use **SSLCONFIG** option `-display`. Use **SSLCONFIG** option `minimumRsaKeySize` to change the value. **SSLCONFIG** option `-h` displays the help panel that describes how to set the key size.

For example, use the following command to set 2 K (2048 bits) as the minimum RSA key size allowed on the system:

```
SSLCONFIG -minimumRsaKeySize:2048
```

The GSKit attribute `GSK_MIN_RSA_KEY_SIZE` can be set by the application to a higher minimum key size to allow individual applications to be more restrictive than the rest of the system. If the application sets `GSK_MIN_RSA_KEY_SIZE` to a value lower than **SSLCONFIG** option `minimumRsaKeySize`, the **SSLCONFIG** `minimumRsaKeySize` value is used, ignoring the application's attempt to reduce the minimum key size.

ECDSA certificates are not impacted by this setting since they do not have an RSA key.

Related concepts

[SSLCONFIG command](#)

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

Supported groups

The TLSv1.3 and TLSv1.2 protocols share an extension in the handshake messages that each protocol label and interpret differently. The TLSv1.3 protocol refers to it as "supported_groups" and uses it to determine the elliptic curve group that is used for key exchange. The TLSv1.2 protocol refers to it as "elliptic_curves" and uses it to determine the elliptic curve group that is used for key exchange and also uses it to determine supported certificates.

System SSL/TLS system level settings and GSKit attributes are tailored for TLSv1.3 though also used for TLSv1.2 when appropriate.

System SSL/TLS supports Elliptic Curve Digital Signature Algorithm (ECDSA) based certificates. The key size for an ECDSA certificate is determined by the named curve set when the certificate is created.

System SSL/TLS and the Digital Certificate Manager (DCM) have the infrastructure to support the following named curves:

- x25519
- x448
- Secp521r1
- Secp384r1
- Secp256r1
- Secp224r1
- Secp192r1

When you view a certificate in DCM, the key size that is associated with the named curve used to create the certificate is displayed in bits.

Enabled named elliptic curve groups

The System Service Tools (SST) Advanced Analysis command **SSLCONFIG** identifies the system level setting to restrict the supported named elliptic curve groups.

When used for TLSv1.3 protocol negotiation, it restricts which named elliptic curve groups are allowed for key exchange. It has no impact on certificate selection or support.

When used for TLSv1.2 protocol negotiation, it is used for two different purposes. Like TLSv1.3, it restricts which named elliptic curve groups are allowed for key exchange. The second purpose is to restrict the ECDSA key sizes that are allowed for a certificate. The restriction applies to local and peer certificates and includes both client and server certificates. Restricting the supported list of named elliptic curves results in a handshake failure when the server or client certificate contain an ECDSA key size not in the supported list.

To determine the current value of the enabled named elliptic curve group list, use **SSLCONFIG** option `-display` or the Retrieve TLS Attributes (QsoRtvTLISA) API. If the enabled named elliptic curve group list on the system must be changed, use **SSLCONFIG** option `supportedNamedCurve` to change the value. **SSLCONFIG** option `-h` displays the help text that describes how to set the named elliptic curve group values. Only named curve values that are listed in the help text can be added to the list.

Note: The **SSLCONFIG** `supportedNamedCurve` setting is reset by installing the Licensed Internal Code (LIC).

Example of setting 256 and 384-bit key sizes as the supported named elliptic curve group list on the system:

```
SSLCONFIG -supportedNamedCurve:23,24
```

System SSL/TLS with TCP/IP PTF group level 5 installed has the following list of supported named elliptic curve groups:

- x25519
- x448
- Secp521r1
- Secp384r1
- Secp256r1
- Secp224r1
- Secp192r1

Default named elliptic curve groups

When an application does not specify a named elliptic curve group list, the System SSL/TLS default named elliptic curve group list is used. Applications use this design to pick up new TLS support without requiring application code changes. The default named elliptic curve group list has no meaning for applications that explicitly specify the named elliptic curve group list for the application.

The default named elliptic curve group list on a system is the intersection of the enabled named elliptic curve group list and the eligible default named elliptic curve group list. The eligible default named elliptic curve group list is configured by using **SSLCONFIG** option `defaultNamedCurve`.

To determine the current value of the default named elliptic curve group list on the system, use **SSLCONFIG** option `-display` or the Retrieve TLS Attributes (QsoRtvTLSA) API.

Consider changing the default named elliptic curve groups settings only when no other configuration setting allows an application to interoperate with peers successfully. It is preferred to enable a weaker named elliptic curve group for only the specific application that requires it. When the application has an “application definition,” then this enablement is accomplished through the Digital Certificate Manager (DCM).

If the default named elliptic curve group list must be changed on the system, use **SSLCONFIG** option `defaultNamedCurve` to change the value. **SSLCONFIG** option `-h` displays the help panel that describes how to set the named elliptic curve group list. Only named elliptic curve groups that are listed in the help text can be added to the list.

Note: The **SSLCONFIG** `defaultNamedCurve` setting is reset by installing the Licensed Internal Code (LIC).

Example of setting 256 and 384-bit key sizes as the default named elliptic curve group list on the system:

```
SSLCONFIG -namedCurve:23,24
```

The following displays the order of the shipped default named elliptic curve groups when TCP/IP PTF group level 5 is installed:

- Secp256r1
- Secp384r1
- x25519
- Secp521r1
- x448

Related concepts

[SSLCONFIG command](#)

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

Middlebox compatibility mode

TLSv1.3 RFC 8446 Appendix D defines an optional Middlebox Compatibility Mode applications can use to mitigate middlebox issues in the network.

The TLSv1.3 protocol significantly altered existing handshake message formats, and added and removed other handshake messages. Early TLSv1.3 adopters encountered handshake failures as a result of middleboxes on the network between the client and server not understanding the new protocol. The problem middleboxes didn't adhere to previous TLS protocol versions' specifications making them brittle to the new protocol.

Middlebox Compatibility Mode makes the TLSv1.3 handshake flow look more like a TLSv1.2 handshake. This is accomplished by filling in legacy fields in handshake messages and by sending a TLSv1.2 handshake message eliminated from the pure TLSv1.3 implementation. These changes make the TLSv1.3 handshake appear similar to a TLSv1.2 session resumption which misbehaving middleboxes understand and generally allow through.

System SSL/TLS does not initiate middlebox compatibility mode by default. If needed in your network, this mode can be turned on globally for System SSL/TLS using **TLSCONFIG** option `middleboxCompatibilityMode`. Individual GSKit applications can enable this mode with `gsk_attribute_set_enum()`. System SSL/TLS always responds with middlebox compatibility mode processing when a peer is detected using this mode.

Related information

[The Transport Layer Security \(TLS\) Protocol Version 1.3](#)

Renegotiation

The TLSv1.3 protocol eliminated renegotiation. The information in this section is only applicable to TLSv1.2 and earlier protocol versions.

Starting a new handshake negotiation inside of an existing secure session is called renegotiation. There are two properties that determine System SSL/TLS renegotiation characteristics.

Multiple reasons exist for an application to use renegotiation. Renegotiation can be started by either the client or server. The application layer might not be aware that a secure session is renegotiated at the request of a peer.

Note: A GSKit System SSL/TLS application uses `gsk_secure_soc_misc()` to initiate renegotiation.

The SSL and TLS protocol architecture as defined by their base RFCs contain a flaw with renegotiation. The protocols fail to provide cryptographic verification that a session renegotiation is linked to the existing secure session. Supplemental RFC 5746 defines an optional extension to the base protocols to correct the issue.

Since RFC 5746 is an addition to a previously defined protocol, not all SSL/TLS implementations currently support it. Some SSL/TLS implementations have not or cannot be updated to support RFC 5746. To allow for business continuity/interoperability during various stages of the transition, two renegotiation properties exist to specify the renegotiation mode.

SSL/TLS Renegotiation Mode

The System SSL/TLS default requires RFC 5746 semantics are used for all renegotiated handshakes. The default mode can be changed with option `renegotiation` on the System Service Tools (SST) Advanced Analysis command **SSLCONFIG**.

The mode can be set to allow all unsecure renegotiations or to allow only abbreviated unsecure renegotiations. Use these modes only after careful consideration. **SSLCONFIG** option `-h` displays the help panel that describes how to set the SSL/TLS renegotiation mode

A mode exists to disable all peer initiated handshake renegotiation. This mode prevents secure (RFC 5746 semantics) and unsecure renegotiation. This mode can result in interoperability issues for applications that require the use of renegotiation. Locally initiated secure renegotiation such as `gsk_secure_soc_misc()` is still allowed in this mode.

SSL/TLS Extended Renegotiation Critical Mode

Extended Renegotiation Critical Mode determines when System SSL/TLS requires all peers provide the RFC 5746 renegotiation indication during initial session negotiation.

To completely protect both sides of the secure session against the renegotiation weakness, all initial negotiations must indicate support for RFC 5746. This indication can be in the form of the “renegotiation_info” TLS Extension or the Signaling Cipher Suite Value (SCSV) as defined by RFC 5746.

Critical mode is disabled by default to maintain interoperability with SSL/TLS implementations that do not implement RFC 5746. When critical mode is enabled, System SSL/TLS is restricted to negotiating with systems that implement RFC 5746. The restriction exists even if neither side supports or uses renegotiation. If it is determined that all System SSL/TLS peers support RFC 5746, then this mode should be changed to be enabled.

The default extended renegotiation critical mode can be changed with the System Service Tools (SST) Advanced Analysis command **SSLCONFIG**. There is a property for client applications, **SSLCONFIG** option `rfc5746NegotiationRequiredClient`, and a separate property, **SSLCONFIG** option `rfc5746NegotiationRequiredServer`, for server applications.

System SSL/TLS always sends the “renegotiation_info” TLS Extension or the SCSV in the ClientHello. SCSV is sent only if no other extensions are part of the ClientHello.

Related concepts

[SSLCONFIG command](#)

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

Related information

[RFC 5746: "Transport Layer Security \(TLS\) Renegotiation Indication Extension"](#)

Key update

The TLSv1.3 protocol uses key update processing to generate new read and write keys for an existing secure session. This replaces renegotiation and its deficiencies with a simple key update mechanism.

Note: A GSKit System SSL/TLS application uses `gsk_secure_soc_misc()` to initiate key update for TLSv1.3 the same way it would initiate renegotiation for TLSv1.2 and earlier.

Online Certificate Status Protocol

Online Certificate Status Protocol (OCSP) provides applications a way to determine the revocation status for a digital certificate. Certificate revocation status that is checked via OCSP provides more up-to-date status information than is available through CRLs.

The implementation of OCSP revocation status checking is done in accordance with RFC 2560. OCSP certificate revocation status checking is available for the end entity certificate. Protocol version 1 over HTTP and the basic response type are supported.

Certificate revocation status is checked on behalf of the application via OCSP when at least one of the following conditions are true:

- A URL address of an OCSP responder is configured.
- Authority Information Access (AIA) checking is enabled and the certificate to be validated has an AIA extension. The AIA extension must contain a PKIK_AD_OCSP access method with a URI that indicates the HTTP location of the OCSP responder.

Note: Only the first OCSP responder that is identified in the AIA extension is queried for revocation status.

When URL and AIA checking are enabled, the URL responder is queried first. This order can be changed for an individual application by setting the Global Security Kit (GSKit) API attribute, `GSK_OCSP_CHECK_AIA_FIRST`. A query to the second responder is only sent if the query sent to the first responder results in an undetermined revocation status.

Client sessions with certificate status request processing enabled can ask the server session to send a stapled OCSP response as part of session negotiation for TLS protocols TLSv1.3 and TLSv1.2. The client session processes the stapled OCSP response from the server eliminating the need for the client to query an OCSP responder for certificate revocation status. A server session must also enable certificate status request processing in order to support OCSP stapling when requested by a client application.

Related concepts

Certificate revocation

Certificate revocation checking is one phase of certificate validation that is done as part of session negotiation. The certificate chain is validated to ensure that the certificate is not revoked.

Related information

[RFC 2560: X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP](#)

OCSP configuration

In addition to enabling Online Certificate Status Protocol (OCSP), there are a number of properties that can be configured by an application to customize the OCSP behavior.

When OCSP revocation checking is enabled, an HTTP request is sent to an OCSP responder. The request contains information to identify the certificate for which revocation status is being queried and an optional signature. The optional signature on the request is used to help the responder verify valid requests that are received from clients. Request signatures are disabled by default. The request is sent to the responder over HTTP by the GET or the POST method. Requests that are sent by the GET method enable HTTP caching. If configuration indicates that the GET method is preferred and the request is smaller than 255 bytes, the request is sent by the GET method. Otherwise, the request is sent by the POST method. The GET method is preferred by default.

After a request is sent, OCSP revocation checking blocks until a response is received from the responder or it times out. Revocation checking happens as part of the session negotiation; therefore, the session negotiation blocks while revocation checking is done. If the timeout set on the session negotiation is smaller than the OCSP timeout configured, the smaller value is used for the OCSP timeout. The OCSP timeout value defaults to 10 seconds, but can be configured by an application.

A valid response is signed and includes data to indicate the revocation status of the certificate queried. The revocation status of the certificate can be good, unknown, or revoked. The response must be signed by a certificate that meets at least one of the following requirements:

- The signing certificate is trusted by the local certificate store.
- The signing certificate is the certificate authority (CA) that issued the certificate to be validated.
- The signing certificate includes a value of `id-ad-ocspSigning` in an `ExtendedKeyUsage` extension and is issued by the CA that issued the certificate in question.

The size of a response can vary. It is up to the application to determine the maximum response size allowed. By default the maximum response size that is allowed is 20480 bytes. If a response is larger than the maximum size allowed, the response is ignored and treated the same as a response that indicates unknown certificate status.

A cryptographic nonce value is a security mechanism that can be used to verify that the response received is a reply to a particular request. The nonce value, which is a random generated bit string, is computed and included as part of both the request and response. If nonce checking is enabled, the nonce value included on the response is verified with the value that is sent in the request. If the nonce values do not match, the response is ignored. Nonce checking is disabled by default.

Revocation checking can slow down session negotiation. However, caching OCSP responses allows the client to obtain revocation status from previous requests without sending the same request again. The OCSP response cache is enabled by default, but can be disabled for an application.

A proxy HTTP server can be used as an intermediate server to handle OCSP requests from cached responses, or forward requests to the configured responder. If a proxy server is configured for an application, all the OCSP requests for the application are sent to the configured server. The default proxy port is 80. A proxy server is not configured by default.

Client sessions have the ability to request that a server session send the OCSP request for the server certificate on behalf of the client. Enabling this option on the client causes the client to send the certificate status request extension to the server. When the certificate status request extension is sent by the client and certificate status request processing is enabled on the server session, the server sends a stapled OCSP response to the client as part of session negotiation. A client session can require certificate status request processing. This means that if the server certificate has the feature extension indicating that it must staple, the server must staple an OCSP response or else the client fails session negotiation. Certificate status request processing is disabled on both client and server sessions by default.

The Global Security Kit (GSKit) APIs, `gsk_attribute_set_buffer()`, `gsk_attribute_set_numeric_value()`, and `gsk_attribute_set_enum()`, can be used to configure OCSP with the following API attributes:

- `GSK_OCSP_URL` - URL of the OCSP responder to which OCSP requests are sent
- `GSK_OCSP_ENABLE` - Enable AIA checking
- `GSK_OCSP_CHECK_AIA_FIRST` - Determine if OCSP URL or OCSP AIA extension checking should be done first
- `GSK_OCSP_REQUEST_SIGKEYLABEL` - Certificate label of the certificate that is used to sign the OCSP request
- `GSK_OCSP_REQUEST_SIGALG` - Signature algorithm that is used to generate the signature of the OCSP request
- `GSK_OCSP_RETRIEVE_VIA_GET` - Method with which the OCSP request is sent
- `GSK_HTTP_CONNECT_TIMEOUT` - Number of seconds to wait for a connection to the OCSP responder to complete
- `GSK_OCSP_TIMEOUT` - Number of seconds to wait for a response from the OCSP responder
- `GSK_OCSP_MAX_RESPONSE_SIZE` - Maximum response size in bytes to be accepted from the OCSP responder
- `GSK_OCSP_CLIENT_CACHE_SIZE` - Enable or disable the OCSP client response cache
- `GSK_OCSP_NONCE_GENERATION_ENABLE` - Send a nonce extension as part of the OCSP request
- `GSK_OCSP_NONCE_CHECK_ENABLE` - Verify that the nonce extension in the OCSP response matches the one sent in the OCSP request
- `GSK_OCSP_NONCE_SIZE` - Number of bytes to be used to generate the nonce value
- `GSK_OCSP_PROXY_SERVER_NAME` - Server name of the proxy server to which OCSP requests are sent
- `GSK_OCSP_PROXY_SERVER_PORT` - Port number of the proxy server to which OCSP requests are sent
- `GSK_SSL_EXTN_CERTSTATUSREQ_ENABLE` - Enable or disable certificate status request processing on a client or server session
- `GSK_TLS_FEATURES_EXTN_ENABLE` - Indicate if certificate status request processing is required by a client session when the server's certificate includes the feature extension for must staple

Applications that use the integrated IBM i SSL_ APIs or IBM i JSSE implementation do not have an interface to configure OCSP. However, any programs that use an "application ID" can enable or disable OCSP revocation checking through DCM. The default values are used for all other OCSP configuration options.

Related concepts

[Online Certificate Status Protocol attributes](#)

The Online Certificate Status Protocol (OCSP) attribute fields in the application definitions are used to control OCSP enablement.

Related information

[Global Security Kit \(GSKit\) APIs](#)

OCSP revocation status

OCSP revocation status is determined by the OCSP response sent in reply to an OCSP request. Two types of responses can be received. One indicates that the OCSP responder sent a valid response; the other signals that the responder encountered an issue as it processed the prior request.

The issues a responder might encounter while it processes a request include the following:

- `malformedRequest` - The request was malformed.
- `internalError` - An internal error occurred on the OCSP responder.
- `tryLater` - The OCSP responder is temporarily unable to respond; try the request again later.
- `sigRequired` - The OCSP responder requires that the request must be signed.
- `unauthorized` - The OCSP client is not authorized to query the OCSP responder.

A valid response contains certificate revocation status for the queried certificate. The possible certificate status values are `good`, `revoked`, or `unknown`. OCSP revocation status checking is complete if it receives a `good` or `revoked` revocation status for the certificate. A `good` status allows the handshake to continue and `revoked` status causes the handshake to fail.

If the revocation status from both URL and AIA checking is undetermined, the certificate is allowed to be used. Information about the certificate with an undetermined revocation status can be retrieved with `gsk_attribute_get_buffer()` and attribute `GSK_UNKNOWNREVOCATIONSTATUS_SUBJECT`. The application should close the connection if an undetermined revocation status is not allowed.

Undetermined revocation status

The following responses result in undetermined revocation status:

- No response received within the specified timeout
- OCSP response status that indicates the responder encountered an issue
- Valid response with one of the following conditions:
 - Unknown response type (only `PKIX_AD_OCSP_basic` response type supported)
 - Unknown response version (only version 1 supported)
 - Invalid signature or invalid signing certificate
 - The signing certificate must meet one of the following criteria:
 - Is trusted by the local keystore
 - Is the certificate of the certificate authority (CA) that issued the certificate in question
 - Includes a value of `id-ad-ocspSigning` in an `ExtendedKeyUsage` extension and is issued by the CA that issued the certificate in question
 - No nonce included on the response when nonce checking is required
 - Bad nonce value on the response when nonce checking is required
 - Invalid or expired `nextUpdate` value is specified on the response
 - Unknown certificate status value that indicates the responder does not know the status of the certificate

Certificate revocation

Certificate revocation checking is one phase of certificate validation that is done as part of session negotiation. The certificate chain is validated to ensure that the certificate is not revoked.

The following steps apply for certificate revocation checking:

1. Check revocation status with a [Certificate Revocation List \(CRL\) location](#).
 - a. When a CRL location is configured through the Digital Certificate Manager (DCM), a CRL database (LDAP) server is queried for CRLs containing the revocation status of the certificate.
 - If the certificate is revoked, the certificate revocation phase of certificate validation is complete and the session negotiation fails.
 - Otherwise, continue with certificate revocation processing.

Note: CRL locations are configured individually for each certificate authority (CA) in a local certificate store.

2. Check revocation status with [Online Certificate Status Protocol \(OCSP\)](#).

When both URL and AIA checking are enabled, the order in which the responders are queried is determined by the Global Security Kit (GSKit) API attribute, `GSK_OCSP_CHECK_AIA_FIRST`. The default is to check the URL responder address first.

- a. If a client session enables certificate status request processing, then the client uses a stapled OCSP response received from the server to determine revocation status first. This step only applies to client session negotiation using TLS protocols TLSv1.3 or TLSv1.2.
 - If the client requires certificate status request processing and the server's certificate includes the feature extension defined in RFC 7633 indicating that the server must staple, the client fails the handshake when a stapled OCSP response is not received from the server. This completes the certificate revocation phase of certificate validation and the session negotiation fails.
 - If the certificate is revoked in the stapled OCSP response, the certificate revocation phase of certificate validation is complete and the session negotiation fails.
 - If the certificate is good in the stapled OCSP response, the certificate revocation phase of certificate validation is complete and certificate validation continues.
 - If the certificate revocation status is undetermined from the stapled OCSP response, the certificate revocation phase of certificate validation is complete and certificate validation continues.
- b. When an OCSP URL responder address is configured, query the responder.
 - If the certificate is revoked, the certificate revocation phase of certificate validation is complete and the session negotiation fails.
 - If the certificate is good, the certificate revocation phase of certificate validation is complete and certificate validation continues.
 - If the certificate revocation status is undetermined, continue with certificate revocation processing.
- c. When AIA checking is enabled and the certificate has a `PKIK_AD_OCSP` access method with a URI that indicates the HTTP location, query the responder.
 - If the certificate is revoked, the certificate revocation phase of certificate validation is complete and the session negotiation fails.
 - If the certificate is good, the certificate revocation phase of certificate validation is complete and certificate validation continues.
 - If the certificate revocation status is undetermined, the certificate revocation phase of certificate validation is complete and certificate validation continues.

Note: If revocation status is undetermined, GSKit stores information about the certificate for which revocation status is undetermined and continues as if the status is not revoked. The application can retrieve undetermined certificate status information with `gsk_attribute_get_buffer()` and

attribute `GSK_UNKNOWNREVOCATIONSTATUS_SUBJECT` and make a policy decision on whether to continue or end the connection.

Note: An application definition that is configured in DCM can override CRL and OCSP revocation checking that is configured by an application that uses the application definition.

Related concepts

[Online Certificate Status Protocol](#)

Online Certificate Status Protocol (OCSP) provides applications a way to determine the revocation status for a digital certificate. Certificate revocation status that is checked via OCSP provides more up-to-date status information than is available through CRLs.

Related information

[Managing CRL locations](#)

Multiple certificate selection

System SSL/TLS allows up to four certificates to be assigned to a secure environment. The purpose of multiple certificates is to enable the use of Elliptic Curve Digital Signature Algorithm (ECDSA) certificates while still allowing RSA certificates to be used with clients that require RSA.

For maximum interoperability, a server must be able to negotiate with a wide range of clients with varying SSL/TLS capabilities. When one client does not support ECDSA certificates, the server must retain the ability to use an RSA certificate in order to negotiate a secure connection with that client.

Two methods exist to configure multiple certificates for an environment:

- Use the Digital Certificate Manager (DCM) to assign multiple certificates to the application definition configured for the secure environment.
- Use the GSKit API `gsk_attribute_set_buffer(GSK_KEYRING_LABEL_EX)` to configure a list of certificate labels to be used.

During each SSL/TLS handshake, the appropriate certificate is selected for use by the session based on the attributes for the session. The selection process uses the SSL/TLS attributes from both the client and server to make the decision. It is possible that no certificate is usable for a combination of attributes.

Selection Considerations

When the negotiated protocol is TLSv1.1, TLSv1.0, SSLv3, or SSLv2 the first RSA certificate found that also has an RSA signature is used.

When the negotiated protocol is TLSv1.2, the selection process involves several steps.

1. The key type of the server's most preferred cipher suite that is supported by the client starts the selection process. A certificate has either an ECDSA or RSA key. If the cipher suite name contains "ECDSA", then an ECDSA key/certificate must be used. If the cipher suite name contains "RSA", then an RSA key/certificate must be used. If a matching certificate does not exist, then selection moves to the next cipher suite in the list until it finds a cipher suite that works with one of the certificates.

When one or more certificate key type matches are found, additional criteria are checked to determine which if any can be used based on the rest of the handshake attributes.

2. When ECDSA is the key type that is being considered, the named curve (which equates to key size) must be supported by the peer. If the ECDSA certificates under consideration have different named curves, the peer's preferred order of named curves is used to determine the preferred certificate. One or more certificates can be tried for selection after this step. If no certificates remain eligible after this step, revert to the previous step.
3. Both RSA and ECDSA certificates have a signature from the certificate's issuing certificate authority. The signature key type can be different from the server certificate key type. When multiple certificates remain eligible for selection in this phase, the one with the signature most preferred by the peer is selected.

An ECDSA certificate must have a signature algorithm that is allowed by the peer's ordered signature algorithm list. If no ECDSA certificates in this step have a supported signature algorithm, revert to the previous step where a less preferred named curve can be considered for additional selection processing.

If no RSA certificates in this step have a supported signature algorithm, revert to the first step where a different key type can be considered for additional selection processing.

If there are multiple certificates still equivalent after this step, the first one processed is selected. The other equivalent certificates are never selected as they are cryptographically identical to the first certificate. Remove the additional certificates from the configuration to eliminate the extra selection processing.

4. When processing completes with no certificate that meets the preceding selection criteria, the first RSA certificate that is processed is selected provided an RSA cipher suite is in the list. If no RSA certificate is selected, then the first ECDSA certificate that is processed is selected provided an ECDSA cipher suite is in the list.

The peer makes the final decision to allow the certificate and thus whether the connection works or not.

When the negotiated protocol is TLSv1.3, the selection process involves fewer steps than TLSv1.2.

1. The negotiated cipher suite is not part of the certificate selection process.
2. The named elliptic curve group list is not part of the certificate selection process.
3. The signature algorithm certificate list is the primary factor for certificate selection. Both RSA and ECDSA certificates have a signature from the certificate's issuing certificate authority. The certificate with the signature most preferred by the peer list is selected. When ECDSA is the key type of the certificate under consideration, the certificate's key size must match the signature algorithm's hash. If a match, the certificate is selected. If key size does not match hash size, the certificate is selected if no configured certificates match this requirement.
4. When processing completes with no certificate that meets the preceding selection criteria, the first certificate encountered with a signature that is not SHA1 or MD5 is selected.

The peer makes the final decision to allow the certificate and thus whether the connection works or not.

DCM application definitions

Digital Certificate Manager (DCM) manages an application database that contains application definitions. Each application definition encapsulates certificate processing information for a specific application. As of the IBM i 7.1 release, the application definition also encapsulates some System SSL/TLS attributes for the application. System SSL/TLS users know this application definition as an "Application ID."

Many of the IBM i provided applications use application definitions to configure certificate information for their application. Any application developer can design an application to use application definitions.

Application definitions can be managed under the **Manage Applications** menu in DCM.

- Manage application definitions in the *SYSTEM certificate store
 1. Click **Select a Certificate Store** in the left navigation pane.
 2. Select *SYSTEM and click **Continue**.
 3. Enter the password that is associated with *SYSTEM certificate store and click **Continue**.
 4. Expand the **Manage Applications** menu in the left navigation pane.
- View application definitions in the *SYSTEM certificate store
 1. Click **View application definition** from the **Manage Applications** menu.
 2. Select **Server** or **Client** for the type of application definition you want to view. Click **Continue**.
 3. Select the application definition that you want to view. Click **View**.
- Update application definitions in the *SYSTEM certificate store

1. Click **Update application definition** from the **Manage Applications** menu.
2. Select **Server** or **Client** for the type of application definition you want to update. Click **Continue**.
3. Select the application definition that you want to update. Click **Update Application Definition**.
4. Update the TLS/SSL attributes that you want to change and click **Apply** to save your changes.

The DCM application definition contains two fields that are used to identify it. The **Application description** field is used to find and interact with the application definition in DCM. The **Application ID** field is used by System SSL/TLS to identify the application definition that holds the configuration information.

Each of the following System SSL/TLS programming interfaces has a method for identifying the "Application ID" to use.

- Global Security Kit (GSKit) APIs
 - `gsk_attribute_set_buffer`(with attribute `GSK_IBMI_APPLICATION_ID`)
- Integrated IBM i SSL_ APIs
 - `SSL_Init_Application`(set value in struct `SSLInitAppStr`)
- Integrated IBM i JSSE implementation
 - Java system property `os400.secureApplication`

The following DCM application definition fields can be used to control the corresponding System SSL/TLS attributes of an application:

TLS protocols

The **Protocols** application definition field determines which SSL/TLS protocol versions are supported by the application.

The default value is `*PGM`, which means the program that uses this "application ID" sets the SSL/TLS protocol attribute to the appropriate value. All System SSL/TLS programs have a protocol attribute value that is set explicitly through an API call, or implicitly by allowing the system default to be used. Use `*PGM` unless it is known that the required attribute value is not set by the program.

If `*PGM` does not result in the appropriate protocols, this application definition field can override the protocols that are supported by this application. If at least one of the protocols that are identified here are enabled on the system by the `QSSLPCL` system value, protocols that are not enabled on the system are silently ignored. Where possible, follow the configuration steps that are included in the application documentation to set the protocols instead of using the application definition field. An administrator can configure weaker security properties for an IBM application than was previously possible by using this field.

Related information

[SSL/TLS system value: QSSLPCL](#)

TLS cipher specification options

The **Cipher specification options** application definition field determines which SSL/TLS cipher suites are supported by the application.

The default value is `*PGM`, which means the program that uses this "application ID" sets the supported cipher suites attribute to the appropriate value. The program might set the value explicitly through an API call or implicitly by allowing the system default to be used.

If `*PGM` results in the incorrect value, this field can define the SSL/TLS cipher suites that are supported by this application. Cipher suites that are disabled by the `QSSLCSL` system value are ignored when at least one cipher suite is enabled.

The server application controls the cipher suites that are supported by a prioritized list. A combination of security policy, performance, and interoperability considerations is used by the administrator to determine the appropriate configuration. Use caution when you consider changes to the list. The flexibility

of the user-defined list allows for a weaker security configuration than might be possible with *PGM. Security can be weakened in several ways:

- Selecting a higher priority for a relatively weak encryption algorithm
- Disabling a relatively strong encryption algorithm
- Enabling a relatively weak encryption algorithm

A server is only as secure as the weakest cipher suite it allows regardless of its position in the ordered list.

Related information

[SSL/TLS system value: QSSLCSL](#)

Extended renegotiation critical mode

This field has meaning for TLSv1.2 and prior versions; it does not apply to TLSv1.3 and newer versions.

This application definition field determines whether the application requires the peer provide the RFC 5746 renegotiation indication during the initial handshake.

For more information, see [SSL/TLS Extended Renegotiation Critical Mode](#) in the [“Renegotiation”](#) on page 20 topic under [“System SSL/TLS system level settings”](#) on page 5.

The default value is *PGM, which means the program that uses this "application ID" already set the mode to the appropriate value. The program is either using the System SSL/TLS default value or a value that is set explicitly by the `gsk_attribute_set_enum()` API call for this attribute.

Set to “Enable” to require the RFC 5746 renegotiation indication is included in the initial handshake for the initial handshake to be successful. By design, this application is no longer able to handshake with peers that have not or cannot be updated to support RFC 5746.

Set this application definition field to “Disable” if the application does not require RFC 5746 renegotiation indication from the peer on initial handshake. The RFC 5746 renegotiation indication is still required for all renegotiated handshakes.

Note: The application always provides the RFC 5746 renegotiation indication information to the peer regardless of the value of this setting.

Related information

[RFC 5746: "Transport Layer Security \(TLS\) Renegotiation Indication Extension"](#)

Server Name Indication

The **Server Name Indication (SNI)** application definition field is used to tell System SSL/TLS to provide limited SNI support for this application.

SNI, as defined by RFC 6066, allows TLS clients to provide to the TLS server the name of the server they are contacting. This function is used to facilitate secure connections to servers that host multiple 'virtual' servers at a single underlying network address. To use SNI in this way, as either the client or the server, `gsk_attribute_set_buffer()` must be used to configure SNI in the application.

If limited SNI support is needed, enter the fully qualified domain name (FQDN) for the server application. If not required, accept the default value of *NONE for the limited SNI support which does not override existing SNI application configuration.

If the application configures SNI information with `gsk_attribute_set_buffer()`, then the value that is set for this application definition field is appended to the end of that existing information. If the existing information is configured to be critical, then this value would also be critical. Critical means that if the client FQDN does not match a name in the server list, then the server generates a failure for the session negotiation. If no existing information exists, then the limited SNI support is not critical.

A use case for setting the limited support SNI field: Your Company is contacted by a user of your services. The user has a new security requirement that all TLS servers they communicate with provide the server SNI acknowledgement. Your server is a simple server that is used for just one service with no need for virtual server configuration.

By setting the FQDN of the server, System SSL/TLS can send the SNI server acknowledgement if asked. The server certificate that is sent is the one assigned to the application definition. Nothing is changed from the server perspective, yet the peer client satisfied their requirement.

If the client requested FQDN does not match (because this field was not set or had a different value), no server SNI acknowledgment is sent. The server continues with handshake negotiation as if no SNI request was made. The client determines whether this condition is a critical error for the session negotiation.

Related information

[RFC 6066: "Transport Layer Security \(TLS\) Extensions: Extension Definitions"](#)

Online Certificate Status Protocol attributes

The Online Certificate Status Protocol (OCSP) attribute fields in the application definitions are used to control OCSP enablement.

OCSP is a mechanism for determining the revocation status of a certificate. See the detailed OCSP description to understand how that processing works. The GSKit APIs allow for configuring numerous attributes that determine OCSP processing.

The application definition has two OCSP attribute fields that are used to control OCSP enablement. The other OCSP attributes cannot be changed by the application definition. Those other attribute values are determined by GSKit API settings or by internal default values.

Related concepts

[Online Certificate Status Protocol](#)

Online Certificate Status Protocol (OCSP) provides applications a way to determine the revocation status for a digital certificate. Certificate revocation status that is checked via OCSP provides more up-to-date status information than is available through CRLs.

Related information

[RFC 2560: X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP](#)

OCSP URL

The **Online Certificate Status Protocol (OCSP) URL** application definition field determines whether this application uses a general OCSP responder to send requests during certificate validation for end entity certificates.

When a URL is present, the specified OCSP responder is contacted for all end entity certificates to determine revocation status.

The default value for the field is *PGM meaning the program that uses this "application ID" sets the attribute to the appropriate value. All System SSL/TLS attributes have an initial default value, which for this attribute is no URL value. Programs can also call `gsk_attribute_set_buffer()` to explicitly set a URL value.

If *PGM does not result in the required OCSP responder, enter the appropriate OCSP responder URL in this field. HTTP is the only supported URL protocol; therefore, this value must begin with "http://". Setting this value overrides the configuration that is set internally by the program for the URL destination. However, the other configured OCSP attributes continue to be used.

If *PGM results in the application that uses an OCSP responder, yet no general OCSP responder processing is wanted, set this field to "Disable." This setting overrides a URL internally configured by using `gsk_attribute_set_buffer()`. Disabling OCSP weakens the security model for the application, so use due diligence before you make this choice.

Related concepts

[Certificate revocation](#)

Certificate revocation checking is one phase of certificate validation that is done as part of session negotiation. The certificate chain is validated to ensure that the certificate is not revoked.

OCSP certificate revocation checking

The **Online Certificate Status Protocol (OCSP) Certificate Revocation Checking** application definition field determines whether OCSP certificate revocation checking is done with AIA certificate extension information and if OCSP stapling is enabled or required.

Revocation checking is done with AIA certificate extension information if OCSP revocation status is undetermined and both of the following conditions are true:

- OCSP AIA checking is enabled.
- The certificate to be validated has an AIA extension with a PKIK_AD_OCSP access method that contains a URI of the HTTP location of the OCSP responder.

Note: The first OCSP responder that is identified in the AIA extension is queried for revocation status.

Enabling OCSP stapling on a client application causes the client to request OCSP stapling by sending the certificate status request extension as part of the client hello. Server applications with OCSP stapling enabled support the certificate status request extension and query the OCSP responder on behalf of the client when receiving the extension.

A client application can also indicate that they require OCSP stapling. This means that if the client does not receive a stapled OCSP response from the server and the server's certificate indicates that it must staple, then the client fails the secure connection.

The default value for the field is *PGM meaning the program that uses this "application ID" sets the attribute to the appropriate value. All System SSL/TLS attributes have an initial default value. For this attribute, the default value is disabled for both AIA checking and OCSP stapling. Programs can explicitly enable or disable OCSP AIA and OCSP stapling with `gsk_attribute_set_enum()`.

If *PGM does not result in OCSP AIA validation and revocation checking is wanted, set this field to "Enable." The internal setting is overridden and OCSP checking happens when AIA information is available.

If *PGM does not result in OCSP stapling and support is wanted, set this field to "Stapling." The internal setting is overridden and OCSP stapling is requested by client applications and supported by server applications. Additionally, this enables OCSP AIA checking when AIA information is available on the certificate.

Setting this field to "Stapling Required" overrides the internal setting and enables OCSP AIA checking for client and server applications, enables OCSP stapling for client and server applications, and requires OCSP stapling for client applications.

If *PGM results in OCSP AIA validation or OCSP stapling, yet revocation checking is not wanted, set this field to "Disable." Disabling OCSP weakens the security model for the application, so use due diligence before you make this choice.

Related concepts

Certificate revocation

Certificate revocation checking is one phase of certificate validation that is done as part of session negotiation. The certificate chain is validated to ensure that the certificate is not revoked.

TLS Signature algorithms for key exchange

The **Signature Algorithms for Key Exchange** application definition field determines which algorithms are supported by the application for handshake message digital signatures.

For more information about signature algorithms and how they are used, see the ["Signature algorithms" on page 12](#) under ["System SSL/TLS system level settings" on page 5](#).

The default value is *PGM, which means the program that uses this "application ID" sets the TLS signature algorithms used for handshake message signatures to the appropriate value. All System

SSL/TLS attributes have an initial default value. Programs can explicitly define the list by using `gsk_attribute_set_buffer()`.

If *PGM results in an inappropriate configuration, set this field to contain the required ordered list of supported signature algorithms.

TLS signature algorithms for certificate selection

The **Signature Algorithms for Certificate** application definition field determines which algorithms are supported by the application for certificate selection.

For more information about signature algorithms and how they are used, see the [“Certificate selection” on page 13](#) under [“System SSL/TLS system level settings” on page 5](#).

The default value is *PGM, which means the program that uses this "application ID" sets the TLS signature algorithms certificate list to the appropriate value. All System SSL/TLS attributes have an initial default value. Programs can explicitly define the list by using `gsk_attribute_set_buffer()`.

If *PGM results in an inappropriate configuration, set this field to contain the required ordered list of supported signature algorithms for the certificate.

Secure session cache time to live

The **Secure Session Cache Time to Live** application definition field determines the session cache time out used by the application.

The default value is *PGM, which means the program that uses this "application ID" sets the secure session cache time to live to the appropriate value. All System SSL/TLS attributes have an initial default value. Programs can explicitly define this value by using `gsk_attribute_set_numeric()`.

If *PGM results in an inappropriate configuration, set this field to contain the required secure session cache time to live.

SSLCONFIG command

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

Note: **TLSCONFIG** is a System Service Tools (SST) Advanced Analysis command that provides the same functionality as **SSLCONFIG**.

To use the SSL/TLS configuration IBM-supplied command support, follow these steps:

1. Access System Service Tools by using the CL command Start System Service Tools (**STRSST**).
2. Select **Start a service tool**.
3. Select **Display/Alter/Dump**.
4. Select **Display/Alter storage**.
5. Select **Licensed Internal Code (LIC) data**.
6. Select **Advanced analysis**. (You must page down to see this option.)
7. Page down until you find the SSLCONFIG option. Then, place a 1 (Select) next to the option and press Enter. You are now on the Specify Advanced Analysis Options window. The command shows as **SSLCONFIG**.
8. Enter ' -h ' without the quotation marks and press Enter to display the available options.

Retrieve TLS Attributes

The Retrieve TLS Attributes (QsoRtvTLASA) API allows the retrieval of the system-wide System SSL/TLS current default properties.

The properties can be changed and viewed with **SSLCONFIG**. The benefit of QsoRtvTLASA is it can be included in programs or scripts for health check actions.

Related concepts

[SSLCONFIG command](#)

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

Related information

[Retrieve TLS Attributes \(QsoRtvTLSA\) API](#)

How to determine what System SSL/TLS protocols and cipher suites are used on the system

The System SSL/TLS protocols and cipher suites that are used on the system can be determined by audit or trace functions available on the system.

Audit journal

The protocol and cipher suite for a System SSL/TLS connection are captured when secure socket connections are audited.

Secure socket connection auditing is enabled when *NETSECURE is set for the QAUDLVL/QAUDLVL2 system value. Each successful connection generates an SK (Sockets Connections) journal entry with an entry type of S (Successful secure connection). The journal entry contains the protocol information in the "Secure version" field and the cipher suite in the "Secure properties" field.

In the following example SK journal entry type S, the "Secure version" field indicates TLSv1.2 was negotiated along with cipher suite TLS_RSA_WITH_AES_128_CBC_SHA256 shown in the "Secure properties" field. The signature algorithm used was ECDSA_SHA512, which is also in the "Secure properties" field.

```
Column      Entry specific data
00901      '*...+....1....+....2....+....3....+....4....+....5'
00951      '          TLSV1.2  TLS_RSA_WITH_AES_128_CBC_SHA256'
01001      '6 ECDSA_SHA512'
More...
```

In the following example SK journal entry type S, the "Secure version" and the "Secure properties" fields indicate TLSv1.2 was negotiated along with cipher suite TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384. The signature algorithm was ECDSA_SHA512 and the named elliptic curve, which determines key size, was Secp521r1.

```
Column      Entry specific data
00901      '*...+....1....+....2....+....3....+....4....+....5'
00951      '          TLSV1.2  TLS_ECDHE_ECDSA_WITH_AES_256_G'
01001      'CM_SHA384 ECDSA_SHA512 SECP521R1'
More...
```

For more information about interpreting all the SK entry fields, see [SK \(Sockets Connections\) journal entries](#). For more information about analyzing the logged events, see [Analyzing audit journal entries](#) in the Security reference topic.

Related information

[Copy Audit Journal Entries \(CPYAUDJRNE\)](#)

LIC trace

The Trace Licensed Internal Code (LIC) service tool can capture a System SSL/TLS trace point that contains the System SSL/TLS protocols and cipher suites. The Trace Internal (TRCINT) command is the command interface to the Trace LIC Service tool.

To trace all protocol versions, issue the following command to start the trace:

```
TRCINT SET(*ON) TRCTBL('SCKSSL-1700x') TRCTYPE(*SCKSSL) SLTTRCPNT((17000 17009))
```

Wait for new secure connections to establish and end the trace with the command:

```
TRCINT SET(*OFF) TRCTBL('SCKSSL-1700x') OUTPUT(*PRINT)
```

To delete the trace, issue the following command:

```
TRCINT SET(*END) TRCTBL('SCKSSL-1700x')
```

To trace specific protocol version connections, use one or more of the following trace points.

Protocol Version	Trace Identifier
TLSv1.3	17005
TLSv1.2	17004
TLSv1.1	17003
TLSv1.0	17002
SSLv3	17001
SSLv2	17000

For example, to find only TLSv1.0 connections use trace point 17002:

```
TRCINT SET(*ON) TRCTBL('SCKSSL-17002') TRCTYPE(*SCKSSL) SLTTRCPNT((17002))
```

To trace a range of protocol versions, specify the beginning Trace ID followed by the end Trace ID. This example illustrates tracing SSLv2 through TLSv1.1.

```
TRCINT SET(*ON) TRCTBL('SCKSSL-1700x') TRCTYPE(*SCKSSL) SLTTRCPNT((17000 17003))
```

A spooled file named QPCSMPT is created for the user that ran the TRCINT SET(*OFF) command. Submit the TRCINT SET(*OFF) command to a background job when you are managing a large trace capture. The following trace point output outlines the connection properties included in the trace point.

```
SOCKETS          IDENTIFIER : SC#17003          TIME 02/17/15 11:03:33.151908  TDE# 000000003C94
#1 ( 21) +0000 C3D605D5C5C3E3C9 D6D540D7D9D6D7C5 D9E3C9C5E2 *CONNECTION PROPERTIES
#2 ( 7) +0000 E3D3E2E5F14BF1 *TLSV1.1
#3 ( 28) +0000 E3D3E26DD9E2C16D E6C9E3C86DC1C5E2 6DF1F2F86DC3C2C3 60E2C8C1 *TLS_RSA_WITH_AES_128_CBC_SHA
#4 ( 10) +0000 D3D6C3C1D340D7D6 D9E3 *LOCAL PORT
#5 ( 3) +0000 F9F9F2 *992
#6 ( 16) +0000 D3D6C3C1D340C9D7 40C1C4C4D9C5E2E2 *LOCAL IP ADDRESS
#7 ( 20) +0000 7A7A868686867AF1 F9F84BF5F14BF1F0 F04BF1F5 *:ffff:198.51.100.15
#8 ( 11) +0000 D9C5D4D6E3C540D7 D6D9E3 *REMOTE PORT
#9 ( 5) +0000 F6F1F8F5F2 *61852
#10 ( 17) +0000 D9C5D4D6E3C540C9 D740C1C4C4D9C5E2 E2 *REMOTE IP ADDRESS
#11 ( 20) +0000 7A7A868686867AF1 F9F84BF5F14BF1F0 F04BF1F6 *:ffff:198.51.100.16
#12 ( 16) +0000 E3D5C1C3C3C5D7E3 E3C1E2D240404040 *TNACCEPTASK
#13 ( 22) +0000 D8C9C2D46DD8E3E5 6DE3C5D3D5C5E36D E2C5D9E5C5D9 *QIBM_QTV_TELNET_SERVER
```

The following information is in the trace point entry data:

- Protocol Negotiated
- Cipher suite Negotiated
- Local port and IP address
- Remote port and IP address

- Job/Task/Device name
- Application ID (if used)

System SSL/TLS protocol version counters

The System Service Tools Advanced Analysis command **SSLCONFIG** can be used to turn on System SSL/TLS protocol version counters. The counters show protocols that are actively being negotiated by System SSL/TLS.

If you want to determine the System SSL/TLS protocols that are used on your system, you can use **SSLCONFIG** option `connectionCounts`. When enabled, **SSLCONFIG** option `connectionCounts` keeps a running count of new System SSL/TLS connections that are grouped by the negotiated SSL/TLS protocol. There is a slight performance cost to count the connections.

SSLCONFIG option `-h` displays the help panel that describes how to use **SSLCONFIG** option `connectionCounts`.

The following steps can be used to determine whether a particular protocol is used on your system before you disable support for the protocol.

1. Reset the `connectionCounts` to clear the current protocol version counts.

```
SSLCONFIG -connectionCounts:reset
```

2. Track the System SSL/TLS connections to determine which protocols are used for active connections.

```
SSLCONFIG -connectionCounts:enable
```

3. After the connection counts run over an interval that exhibits normal System SSL/TLS traffic on your system, display the number of SSL/TLS connections by protocol type since the last reset.

```
SSLCONFIG -fconnectionCounts:display
```

4. Determine what applications are using the protocols that you would like to disable. Update the application's configuration to no longer use these protocols.

Note: The count does not provide guidance as to which application is using a particular protocol. For more information about how to determine what application uses a particular protocol, see [“How to determine what System SSL/TLS protocols and cipher suites are used on the system”](#) on page 33.

5. Reset the `connectionCounts` to clear the current protocol version counts.

```
SSLCONFIG -connectionCounts:reset
```

6. After another interval that exhibits normal System SSL/TLS traffic on your system, display the number of SSL/TLS connections by protocol type since the last reset.

```
SSLCONFIG -connectionCounts:display
```

If the protocol to disable has a connection count of 0, you know that protocol version was not used during the monitored interval.

7. Turn off SSL connection counting.

```
SSLCONFIG -connectionCounts:disable
```

Troubleshooting SSL/TLS

This basic troubleshooting information is intended to help you reduce the list of possible problems that System SSL/TLS can encounter.

It is important to understand this information is not a comprehensive source for troubleshooting information, but rather a guide to aid in common problem resolution.

Verify that the following statements are true:

- Your IBM i meets all the [“SSL/TLS prerequisites”](#) on page 37.
- Your certificate authority and certificates are valid and are not expired. For more information about validating certificates, see [Validating certificates and applications](#) in Digital Certificate Manager.
- You verified that your IBM i and the remote server both support at least one of the same SSL/TLS protocols and ciphers suites.
 - Review the System SSL/TLS system values: QSSLPCL, QSSLCSLCTL, and QSSLCSL
 - Check the [“DCM application definitions”](#) on page 27 to identify the SSL/TLS protocols and cipher suites that are enabled for the application
 - Review settings in the System Service Tools (SST) Advanced Analysis command **SSLCONFIG**
 - Use **SSLCONFIG** option `-display` to view the default SSL/TLS attributes
 - Review application-specific SSL/TLS attributes

More problem determination options:

- The SSL/TLS error code in the server job log can be cross referenced in an error table to find more information about the error. For example, this table maps the -93 that might be seen in a server job log to the constant `SSL_ERROR_SSL_NOT_AVAILABLE`.
 - A negative return code (indicated by the dash before the code number) indicates that the application used an `SSL_API`.
 - A positive return code indicates that the application used a `GSKit API`.

If more detailed information is required, the message ID provided in the table can be displayed on an IBM i to show potential cause and recovery for this error. More information that explains these error codes might be in the individual documentation for the secure socket API that returned the error.

- The following two header files contain the same constant names for System SSL/TLS return codes as the table, but without the message ID cross-reference:
 - `QSYSINC/H.GSKSSL`
 - `QSYSINC/H.QSOSSL`

Remember although the names of the System SSL/TLS return codes remain constant in these two files, more than one unique error can be associated with each return code.

If you think an application is failing during SSL/TLS negotiation but is not logging or otherwise providing error details, you can try to find more information by using auditing.

System SSL/TLS failed connections are captured when secure socket connection auditing is enabled. Secure socket connection auditing is enabled when `*NETSECURE` is set for the `QAUDLVL/QAUDLVL2` system value. Each failed connection generates an SK (Sockets Connections) journal entry with an entry type of X (Failed System SSL/TLS connection). The “Secure information” field contains a string that describes the failure.

In the following example Sockets Connection journal entry type X, the "Secure version" field indicates that TLSv1.2 protocol was used to try to negotiate a secure handshake. However, the handshake failed with error code `GSK_ERROR_NO_CIPHERS`, which is shown in the "Secure properties" field. The "Secure information" field contains a string that describes the failure.

```

Entry specific data
Column  *...+...1...+...2...+...3...+...4...+...5
00901   |
00951   |           TLSV1.2   GSK_ERROR_NO_CIPHERS
01001   |
01051   |                   No compatible cipher suite ava
01101   | ilable between SSL end points.
01151   |

```

For more information about all of the SK entry fields, see [SK \(Sockets Connections\) journal entries](#).

Application problem determination options:

- Programmers can code the `gsk_strerror()` or `SSL_strerror()` API in their programs to obtain a brief description of an error return code. Some applications use this API and print a message to the job log containing the brief error description.
- Additional information about the last certificate validation error on the current secure session can be retrieved by using the `GSK_LAST_VALIDATION_ERROR` attribute on `gsk_attribute_get_numeric_value()`. If `gsk_secure_soc_init()` or `gsk_secure_soc_startInit()` returned an error, this attribute might provide more error information.

Related concepts

[SSL/TLS prerequisites](#)

This topic describes the prerequisites for System SSL/TLS on the IBM i, as well as some helpful tips.

[SSLCONFIG command](#)

SSLCONFIG is a System Service Tools (SST) Advanced Analysis command that allows viewing or altering of system-wide System SSL/TLS default properties.

Related information

[Secure socket API error code messages](#)

SSL/TLS prerequisites

This topic describes the prerequisites for System SSL/TLS on the IBM i, as well as some helpful tips.

Verify that you have the following options installed before you use SSL/TLS:

- IBM Digital Certificate Manager (DCM) (5770-SS1 Option 34)
 - Note:** IBM Java Secure Socket Extension (JSSE) and OpenSSL do not require DCM.
- IBM TCP/IP Connectivity Utilities for i (5770-TC1)
- IBM HTTP Server for i (5770-DG1)
- If you are trying to access the IBM Navigator for i or DCM applications on port 2001/2005, ensure that you have the required IBM Developer Kit for Java (5770-JV1) product options installed. Otherwise, the IBM i HTTP admin server does not start. The required product options are defined by the IBM HTTP Server group PTF cover letter.
- You might also want to install cryptographic hardware to use with SSL/TLS to speed up the SSL/TLS handshake processing. If you want to install cryptographic hardware, you must also install the IBM CCA Service Provider (5770-SS1 Option 35) and IBM Cryptographic Device Manager (5733-CY3).

Related concepts

[Troubleshooting SSL/TLS](#)

This basic troubleshooting information is intended to help you reduce the list of possible problems that System SSL/TLS can encounter.

Related information

[Cryptographic hardware](#)

[Public certificates versus private certificates](#)

[Configure DCM](#)

Application security with SSL/TLS

A number of applications on IBM i can be secured with SSL/TLS. Refer to each application's documentation for details.

Related information

[Enterprise Identity Mapping](#)

[Securing File Transfer Protocol](#)

[Setting up SSL/TLS for the administration \(ADMIN\) server for HTTP Server](#)

[Add SSL/TLS protection on HTTP Server](#)

Telnet scenario: Secure Telnet with SSL/TLS

Secure Sockets Layer (SSL) and Transport Layer Security (TLS) with the Directory Server









Secure Sockets APIs

Scenario: Protect private keys with cryptographic hardware

Related information for SSL/TLS

Use this information to learn about other resources and information relevant to using Secure Sockets Layer (SSL)/Transport Layer Security(TLS).

Web sites

- [RFC 8446: "The Transport Layer Security \(TLS\) Protocol Version 1.3"](http://www.ietf.org/rfc/rfc8446.txt)  (<http://www.ietf.org/rfc/rfc8446.txt>)
Explains Version 1.2 of the TLS protocol in detail.
- [RFC 5246: "The Transport Layer Security \(TLS\) Protocol Version 1.2"](http://www.ietf.org/rfc/rfc5246.txt)  (<http://www.ietf.org/rfc/rfc5246.txt>)
Explains Version 1.2 of the TLS protocol in detail.
- [RFC 4346: "The Transport Layer Security \(TLS\) Protocol Version 1.1"](http://www.ietf.org/rfc/rfc4346.txt)  (<http://www.ietf.org/rfc/rfc4346.txt>)
Explains Version 1.1 of the TLS protocol in detail.
- [RFC 2246: "The TLS Protocol Version 1.0"](http://www.ietf.org/rfc/rfc2246.txt)  (<http://www.ietf.org/rfc/rfc2246.txt>)
Explains Version 1.0 of the TLS protocol in detail.
- [RFC2818: "HTTP Over TLS"](http://www.ietf.org/rfc/rfc2818.txt)  (<http://www.ietf.org/rfc/rfc2818.txt>)
Describes how to use TLS to secure HTTP connections over the Internet.
- [RFC 5746: "Transport Layer Security \(TLS\) Renegotiation Indication Extension"](http://www.ietf.org/rfc/rfc5746.txt)  (<http://www.ietf.org/rfc/rfc5746.txt>)
Defines the TLS renegotiation indication extension.
- [RFC 6066: "Transport Layer Security \(TLS\) Extensions: Extension Definitions"](http://www.ietf.org/rfc/rfc6066.txt)  (<http://www.ietf.org/rfc/rfc6066.txt>)
Defines TLS extensions.
- [RFC 2560: "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP"](http://www.ietf.org/rfc/rfc2560.txt)  (<http://www.ietf.org/rfc/rfc2560.txt>)
Defines OCSP which is used to determine revocation status for digital certificates.
- [RFC 4492: "Elliptic Curve Cryptography \(ECC\) Cipher Suites for Transport Layer Security \(TLS\)"](http://www.ietf.org/rfc/rfc4492.txt)  (<http://www.ietf.org/rfc/rfc4492.txt>)
Defines new key exchange algorithms for TLS.

Other information

- [SSL and Java Secure Socket Extension](#)
- [IBM Toolbox for Java](#)

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